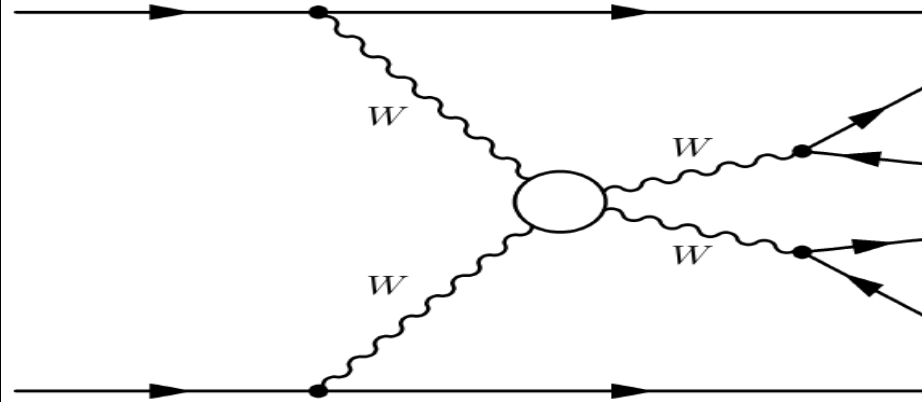
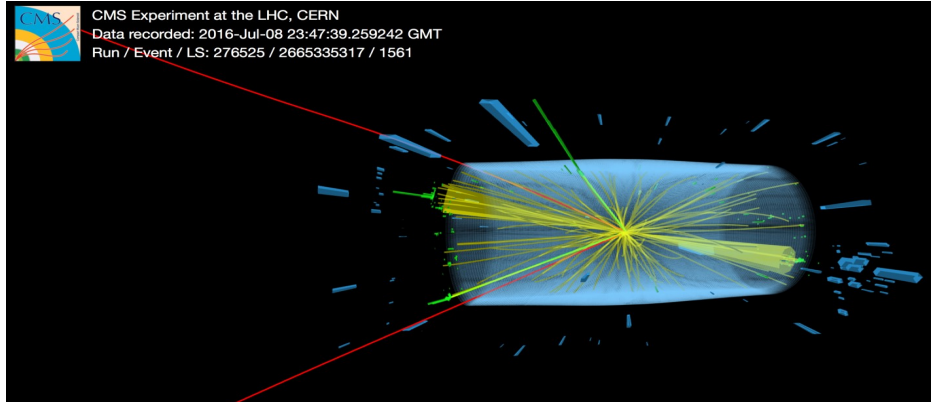


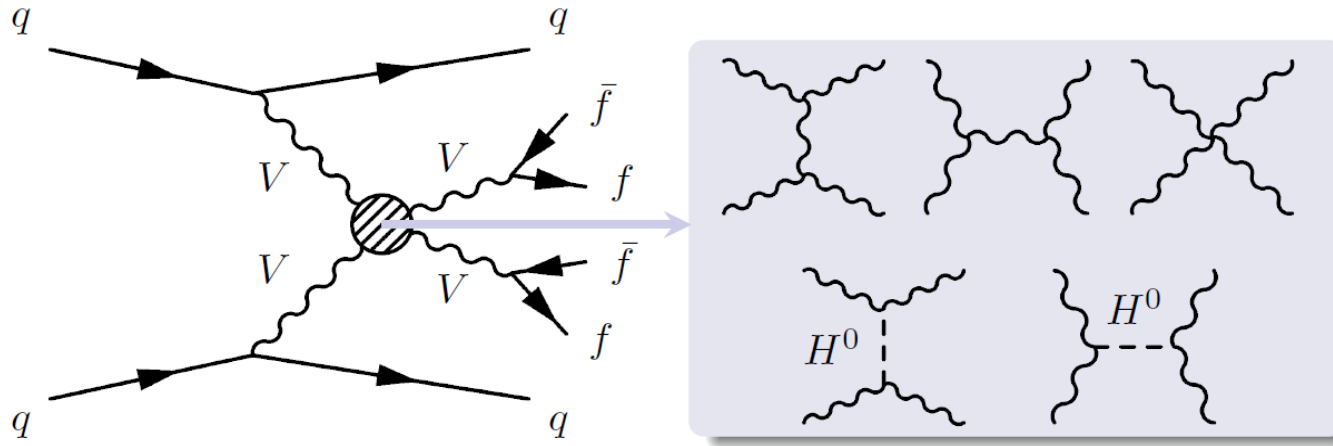
Search for anomalous couplings in the hadronic decay channel of Vector Boson Scattering at CMS

KSETA workshop, Durbach 2022

Max Neukum

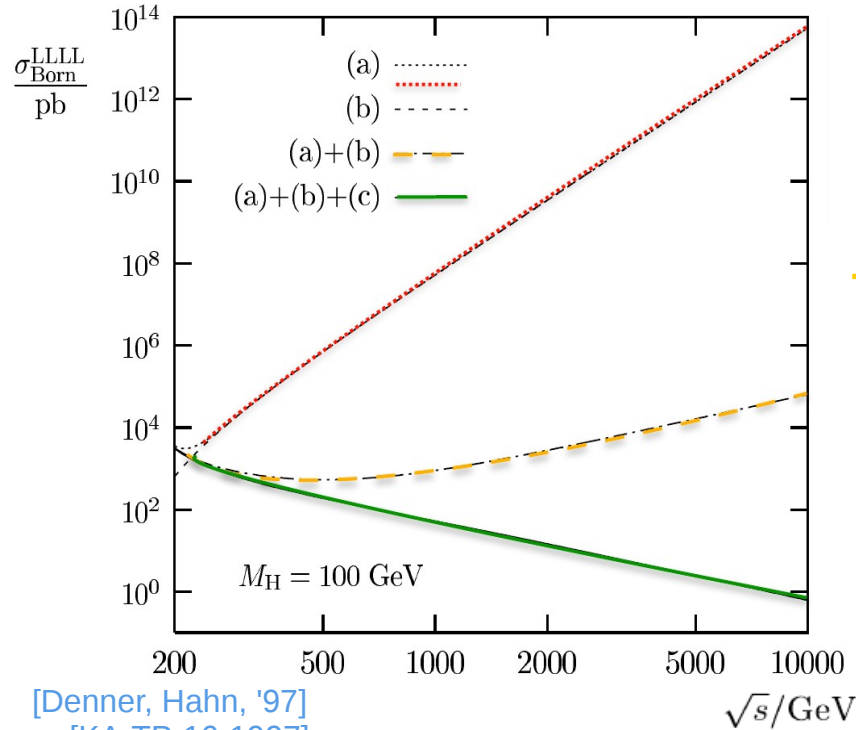


Vector Boson Scattering at the LHC



- $VVjj$ final state with $V=W,Z$
- Contains triple and quartic gauge couplings (QGC)
- Leading Order: EW (α_{EW}^6), QCD ($\alpha_{EW}^4\alpha_S^2$), and interference ($\alpha_{EW}^5\alpha_S$)

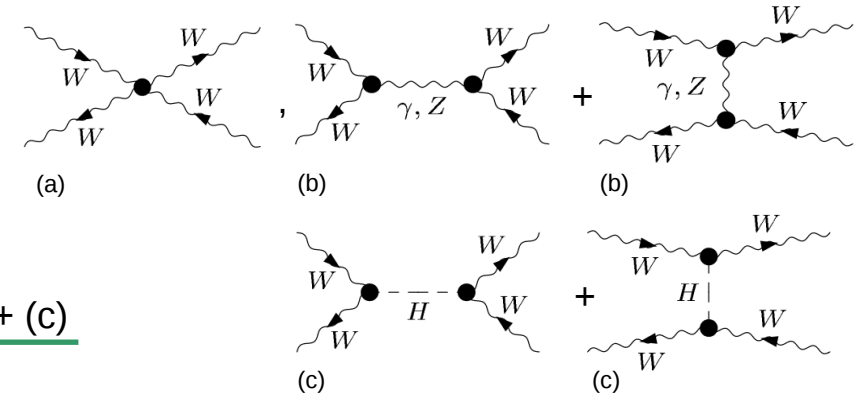
Theoretical motivation



(a), (b)

(a) + (b)

(a) + (b) + (c)



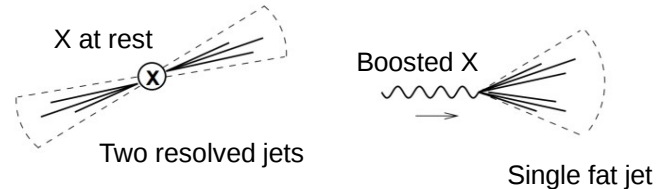
“No-lose theorem” of LHC:

- Violation of Unitarity without Higgs (~1.2 TeV)
- Either Higgs or something else

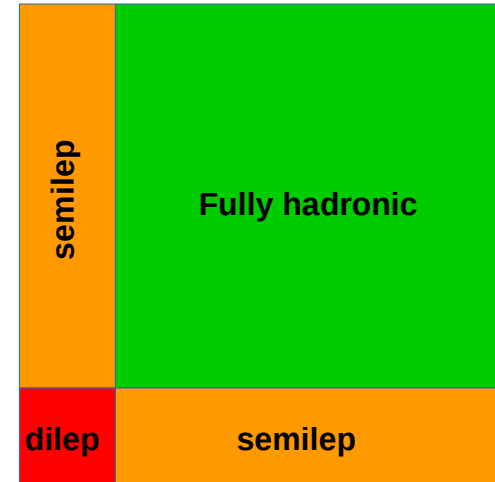
[Denner, Hahn, '97]
or [KA-TP-16-1997]

Hadronic decay channel

- Hadronic decays of $V=W,Z$ have the largest Branching Ratio
- The final state is fully reconstructable, since there are no neutrinos
- Boosted regime:



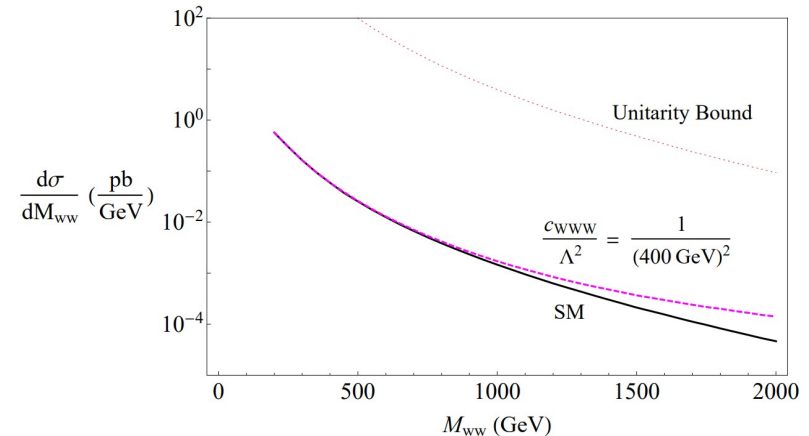
- No neutrinos, no charged leptons
- Main challenges:
 - Very large QCD multijet background
 - Small cross-section compared to background processes



Effective Field Theory approach

$$\mathcal{L} = \mathcal{L}_{SM} + \underbrace{\sum_i \frac{f_i^{(6)}}{\Lambda^2} \mathcal{O}_i^{(6)}}_{\text{dim-6}} + \underbrace{\sum_i \frac{f_i^{(8)}}{\Lambda^4} \mathcal{O}_i^{(8)}}_{\text{dim-8}} + \dots$$

- Include higher (mass-) dimension operators
- Integrate out higher energy effects → truncate series
- Theory invalid beyond Λ
- aTGC: mostly dim-6
- aQGC: dim-8



Effective Field Theory approach

$$\mathcal{L} = \mathcal{L}_{SM} + \underbrace{\sum_i \frac{f_i^{(6)}}{\Lambda^2} \mathcal{O}_i^{(6)}}_{\text{dim-6}} + \underbrace{\sum_i \frac{f_i^{(8)}}{\Lambda^4} \mathcal{O}_i^{(8)}}_{\text{dim-8}} + \dots$$

- Currently implemented: only dim-6 or dim-8
- aQGC: dim-8

	WWWW	WWZZ	ZZZZ	WWAZ	WWAA	ZZZA	ZZAA	ZAAA	AAAA
$\mathcal{L}_{S,0}, \mathcal{L}_{S,1}$	X	X	X	O	O	O	O	O	O
$\mathcal{L}_{M,0}, \mathcal{L}_{M,1}, \mathcal{L}_{M,6}, \mathcal{L}_{M,7}$	X	X	X	X	X	X	X	O	O
$\mathcal{L}_{M,2}, \mathcal{L}_{M,3}, \mathcal{L}_{M,4}, \mathcal{L}_{M,5}$	O	X	X	X	X	X	X	O	O
$\mathcal{L}_{T,0}, \mathcal{L}_{T,1}, \mathcal{L}_{T,2}$	X	X	X	X	X	X	X	X	X
$\mathcal{L}_{T,5}, \mathcal{L}_{T,6}, \mathcal{L}_{T,7}$	O	X	X	X	X	X	X	X	X
$\mathcal{L}_{T,9}, \mathcal{L}_{T,9}$	O	O	X	O	O	X	X	X	X

[O. Éboli et al.]

Effective Field Theory approach

$$\mathcal{L} = \mathcal{L}_{SM} + \underbrace{\sum_i \frac{f_i^{(6)}}{\Lambda^2} \mathcal{O}_i^{(6)}}_{\text{dim-6}} + \underbrace{\sum_i \frac{f_i^{(8)}}{\Lambda^4} \mathcal{O}_i^{(8)}}_{\text{dim-8}} + \dots$$

- aTGC: mostly dim-6
- Recent study suggests 15 dim-6 operators for VBS

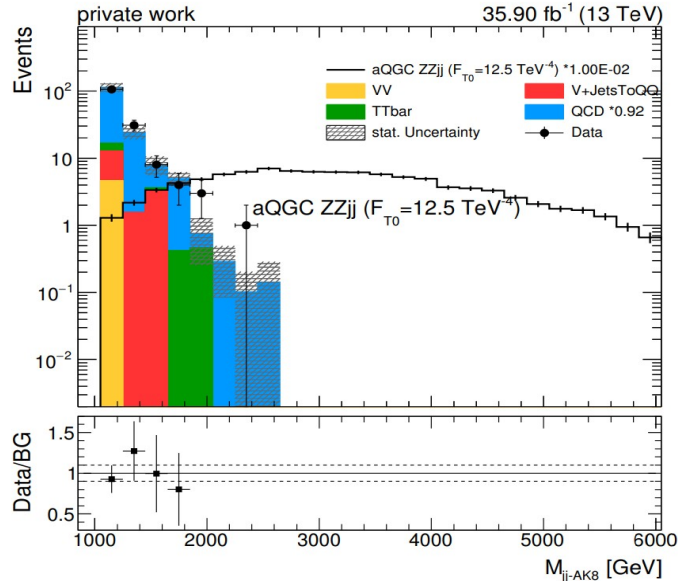
[VBSCAN-PUB-05-21]

- For now: focus on 3 operators, that only affect vector bosons
- **Warsaw** basis

$Q_{Hl}^{(1)} = (H^\dagger i \overleftrightarrow{D}_\mu H) (\bar{l}_p \gamma^\mu l_p)$	$Q_{Hl}^{(3)} = (H^\dagger i \overleftrightarrow{D}_\mu H) (\bar{l}_p \sigma^i \gamma^\mu l_p)$
$Q_{Hq}^{(1)} = (H^\dagger i \overleftrightarrow{D}_\mu H) (\bar{q}_p \gamma^\mu q_p)$	$Q_{Hq}^{(3)} = (H^\dagger i \overleftrightarrow{D}_\mu H) (\bar{q}_p \sigma^i \gamma^\mu q_p)$
$Q_{ll} = (\bar{l}_p \gamma_\mu l_p) (\bar{l}_r \gamma^\mu l_r)$	$Q_{ll}^{(1)} = (\bar{l}_p \gamma_\mu l_r) (\bar{l}_r \gamma^\mu l_p)$
$Q_{qq}^{(1)} = (\bar{q}_p \gamma_\mu q_p) (\bar{q}_r \gamma^\mu q_r)$	$Q_{qq}^{(1,1)} = (\bar{q}_p \gamma_\mu q_r) (\bar{q}_r \gamma^\mu q_p)$
$Q_{qq}^{(3)} = (\bar{q}_p \gamma_\mu \sigma^i q_p) (\bar{q}_r \gamma^\mu \sigma^i q_r)$	$Q_{qq}^{(3,1)} = (\bar{q}_p \gamma_\mu \sigma^i q_r) (\bar{q}_r \gamma^\mu \sigma^i q_p)$
$Q_{HD} = (H^\dagger D_\mu H) (H^\dagger D^\mu H)$	$Q_{H\Box} = (H^\dagger H) \Box (H^\dagger H)$
$Q_{HWB} = (H^\dagger \sigma^i H) W_{\mu\nu}^i B^{\mu\nu}$	$Q_{HW} = (H^\dagger H) W_{\mu\nu}^i W^{i\mu\nu}$
$Q_W = \varepsilon^{ijk} W_\mu^{i\nu} W_\nu^{j\rho} W_\rho^{k\mu}$	

Summary of a previous study

- QCD Fit in control region, with transfer function: $(p_0/(x/\sqrt{s})^{p_1})$
- For dim-8 operators only: comparable limits with 2016 data



observed asymptotic 95% CL_s exclusion limits [TeV⁻⁴]

aQGC parameter	literature limits	VV
f_{S0}/Λ^{-4}	(-2.7, 2.7)	-16, 18
f_{S1}/Λ^{-4}	(-3.4, 3.4)	-30, 30
f_{M0}/Λ^{-4}	(-0.69, 0.7)	-3, 3
f_{M1}/Λ^{-4}	(-2.0, 2.1)	-3.7, 3.7
f_{M2}/Λ^{-4}	(-8.2, 8.0)	-5, 5
f_{M3}/Λ^{-4}	(-21, 21)	-8, 8
f_{M4}/Λ^{-4}	(-15, 16)	-8, 8
f_{M5}/Λ^{-4}	(-25, 24)	-13, 13
f_{M6}/Λ^{-4}	(-1.3, 1.3)	-6, 6
f_{M7}/Λ^{-4}	(-3.4, 3.4)	-6.4, 6.4
f_{T0}/Λ^{-4}	(-0.12, 0.11)	-0.2, 0.2
f_{T1}/Λ^{-4}	(-0.12, 0.13)	-0.18, 0.18
f_{T2}/Λ^{-4}	(-0.28, 0.28)	-0.43, 0.43
f_{T5}/Λ^{-4}	(-0.70, 0.74)	-0.7, 0.7
f_{T6}/Λ^{-4}	(-1.6, 1.7)	-0.91, 0.91
f_{T7}/Λ^{-4}	(-2.6, 2.8)	-1.5, 1.5
f_{T8}/Λ^{-4}	(-0.47, 0.47)	-0.73, 0.73
f_{T9}/Λ^{-4}	(-1.3, 1.3)	-1.6, 1.6

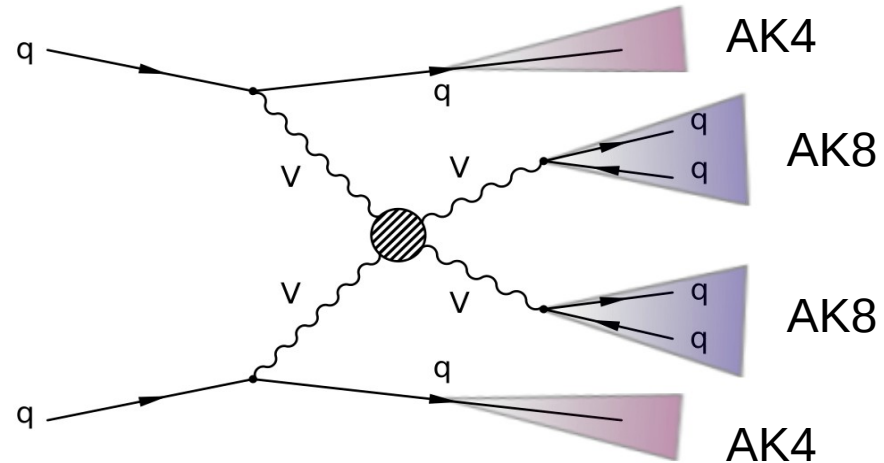
Signal Topology

VV Selection: AK8

- $p_T \geq 200 \text{ GeV}$, $|\eta| < 2.5$
- $60 \text{ GeV} < m_V < 215 \text{ GeV}$
- DNN based boosted jet tagger

VBS Selection: AK4

- $p_T \geq 50 \text{ GeV}$
- $\Delta\eta(j_1, j_2) > 3.0$
- $m(j_1, j_2) \geq 500 \text{ GeV}$



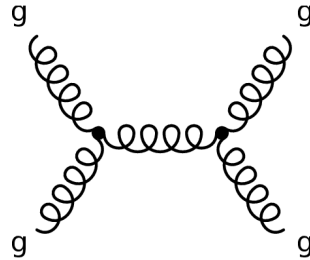
→ Control Region: fail VBS Selection

→ Data taken in 2016 - 2018 (“full Run-2”)

Backgrounds

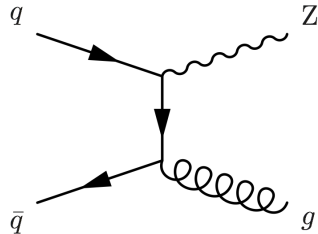
QCD multijets

- Overwhelming background
- Not resonant in M_V

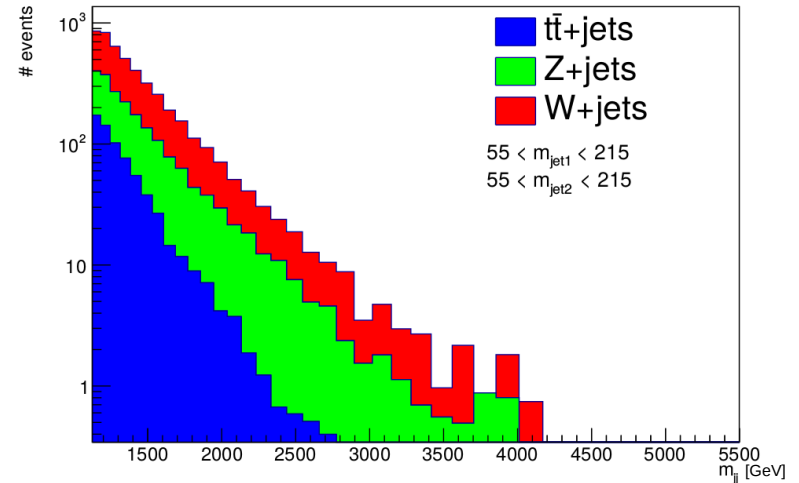
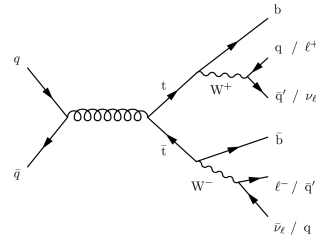


- Smaller backgrounds: VV , VVV , single top, $t\bar{t}+X$

V+jets

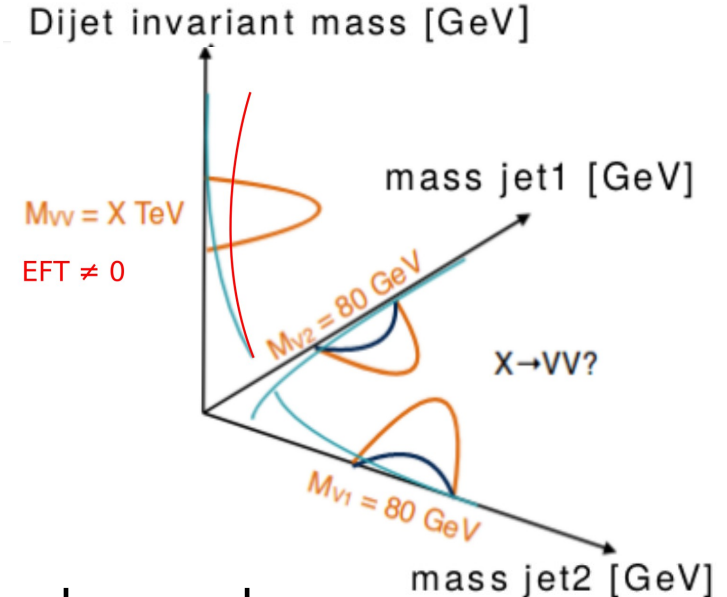


$t\bar{t}$ bar



Analysis strategy

- 3D Fit in M_{V1} , M_{V2} , M_{VV}
 - M_{VV} : very sensitive to EFT
 - M_V : QCD background not resonant
- Follow fit strategy of resonance search (~30% improvement compared to 1D)
- Fit to data via morphing between shapes
- Differences to resonance searches:
 - VBS topology: tagging jets reduce background
 - EFT signal not resonant in M_{VV} , but changes the slope



à la [CMS-B2G-18-002](#)

Signal modeling

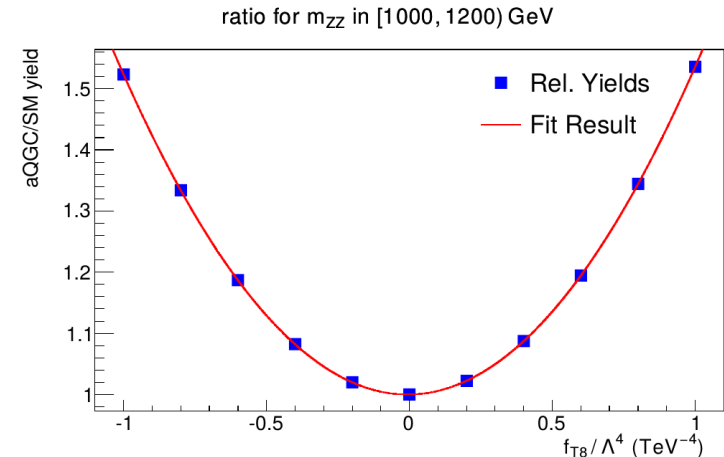
$$N \propto |A| = |A_{SM}|^2 + \sum_{\alpha} \frac{c_{\alpha}}{\Lambda^2} \cdot 2 \Re(A_{SM} A_{Q_{\alpha}}^{\dagger}) + \sum_{\alpha\beta} \frac{c_{\alpha} c_{\beta}}{\Lambda^4} \cdot (A_{Q_{\alpha}} A_{Q_{\beta}}^{\dagger})$$

Interference SM-EFT
Pure EFT (quadratic)

- UFO models as input to MadGraph:
 - Dim6: SMEFTsim Implements the **Warsaw** basis
 - Dim8: “Eboli model” includes some operators
- SM yield is scaled with a 2nd degree polynomial
- Quadratic fit describes signal scaling perfectly
- Scaling is more dominant in higher mass bins

[arXiv:1709.06492](https://arxiv.org/abs/1709.06492)

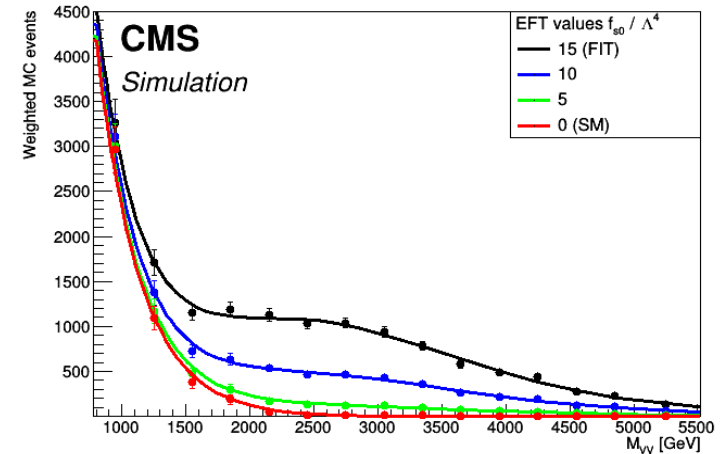
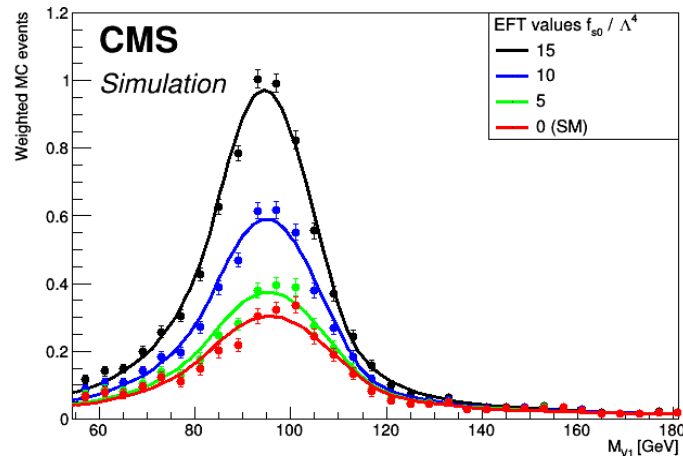
[arXiv:2004.05174](https://arxiv.org/abs/2004.05174)



Signal parametrization

- Parametrize m_{V_1} , m_{V_2} with a **double-sided Crystal Ball** function
- For m_{VV} use the envelope of $SM^2 + aQGC^2 + 2 * SM * aQGC$

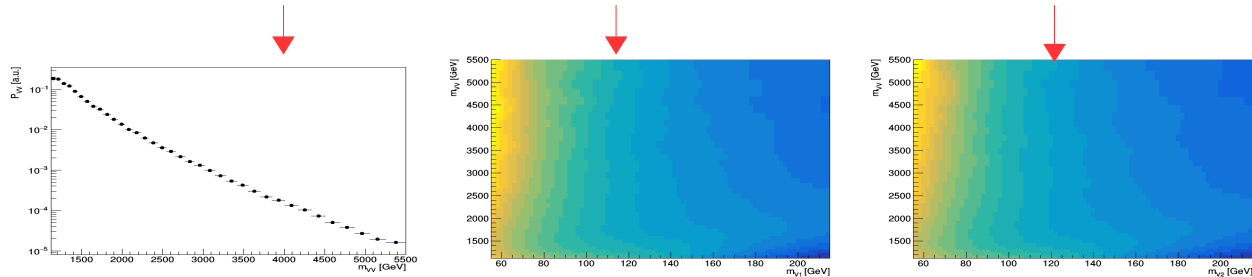
$$F_{VV} = N_{SM} (e^{a_0 M_{VV}} + e^{a_{corr} M_{VV}}) + N_{Int} \cdot f_i^2 \cdot e^{a_1} \frac{1 + \text{Erf}((M_{VV} - a_{0,1})/a_w)}{2} + N_{quadr} \cdot f_i^2 \cdot e^{a_2 M_{VV}}$$



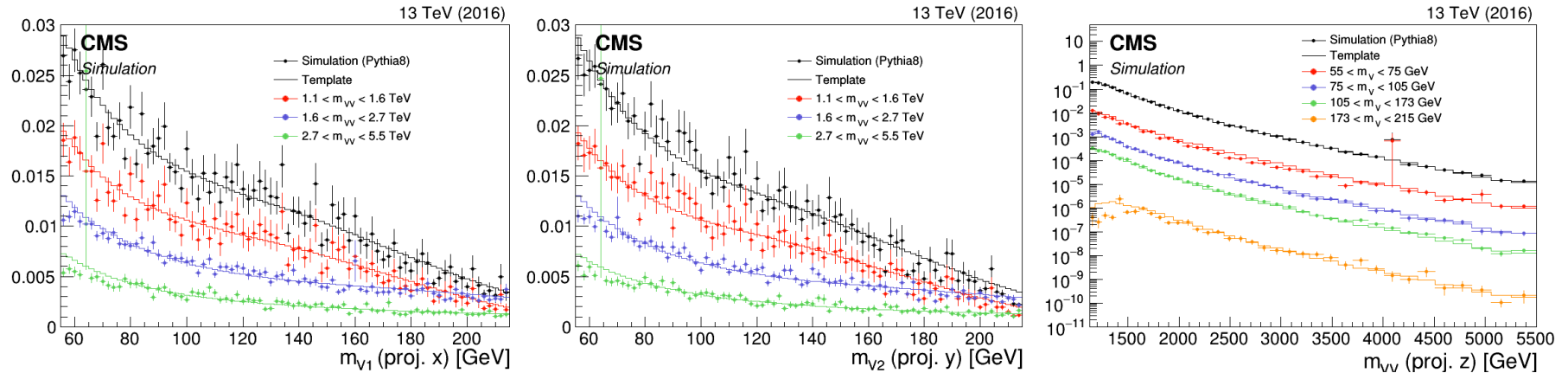
Modeling the QCD background

- Derive 3D templates from MC
- Take correlations ($m_{V1,2} | m_{VV}$) into account
- Calculate detector response for $m_{V1,2}$ and $m_{VV} \rightarrow$ scale and resolution
- Each event contributes with the 1D/2D gaussian kernel

$$P(m_{VV}, m_{V1}, m_{V2}) = P_{VV}(m_{VV}) \times P_{cond,1}(m_{V1} | m_{VV}) \times P_{cond,2}(m_{V2} | m_{VV})$$

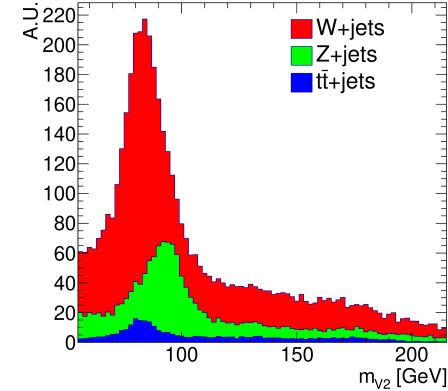
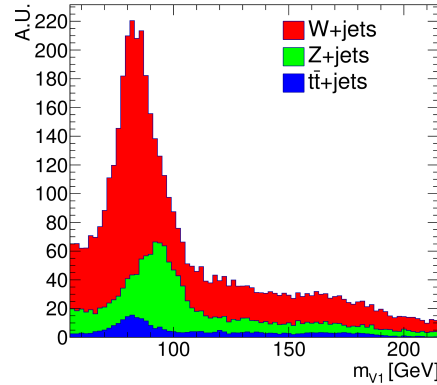
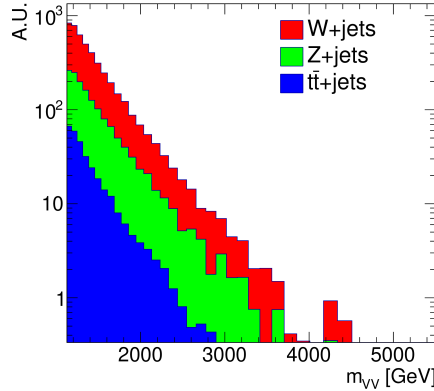


Nonresonant projections

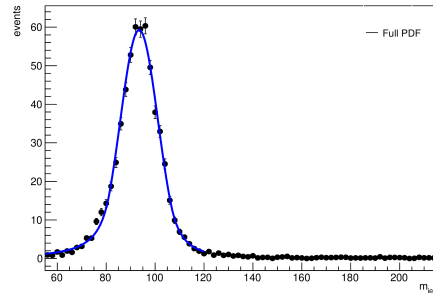


- Projections of 3D template on each axis
- Similarly: conditional PDFs for resonant backgrounds
- Further major backgrounds are: V +jets, $t\bar{t}$ bar, single top and VV production

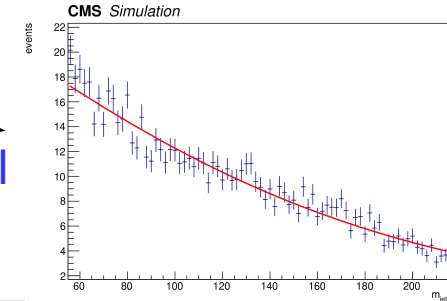
Resonant background



$$\begin{aligned}
 P_{V+jets}(m_{V1}, m_{V1}, m_{VV} | \bar{\theta}) = & 0.5 (P_{VV}(m_{VV} | \bar{\theta}_1) P_{res}(m_{V1} | \bar{\theta}_2) P_{non-res}(m_{V2} | \bar{\theta}_3)) \\
 & + 0.5 (P_{VV}(m_{VV} | \bar{\theta}_1) P_{res}(m_{V2} | \bar{\theta}_2) P_{non-res}(m_{V1} | \bar{\theta}_3))
 \end{aligned}$$

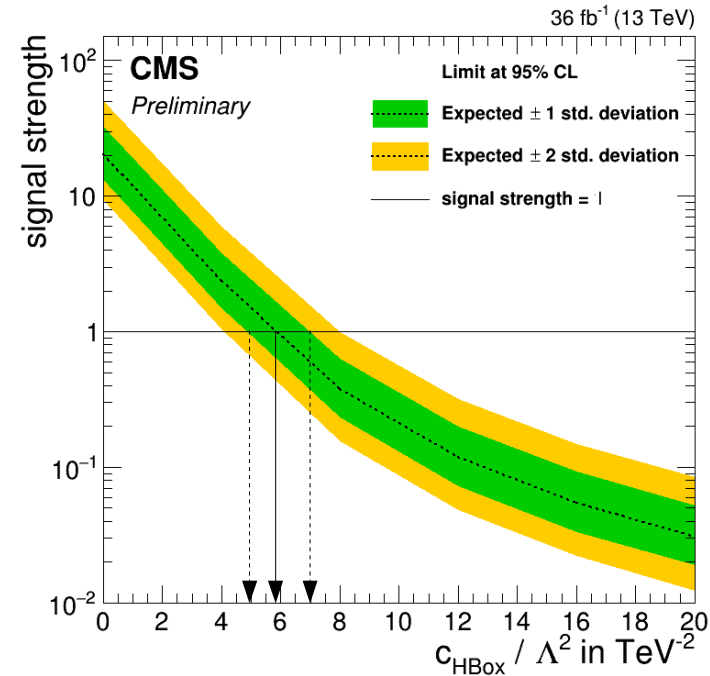


P_{res} : double-sided Crystal Ball
 $P_{non-res}$: gaussian



First fit results

- Expected CL_s limits for different values of the EFT parameter
- Shown are limits at 95% CL
- Values below the horizontal line can be excluded
- 95% CL limit on c_{HBox} : [-5.92, 5.83]
- Next steps:
 - repeat for other operators and expand to full Run2
 - Include all systematic uncertainties



Summary

- Search for anomalous couplings in hadronic decay channel of VBS
- Large branching ratio but also large background
- Simultaneous Fit of backgrounds and signal in 3D
 - suppress large QCD background

Outlook

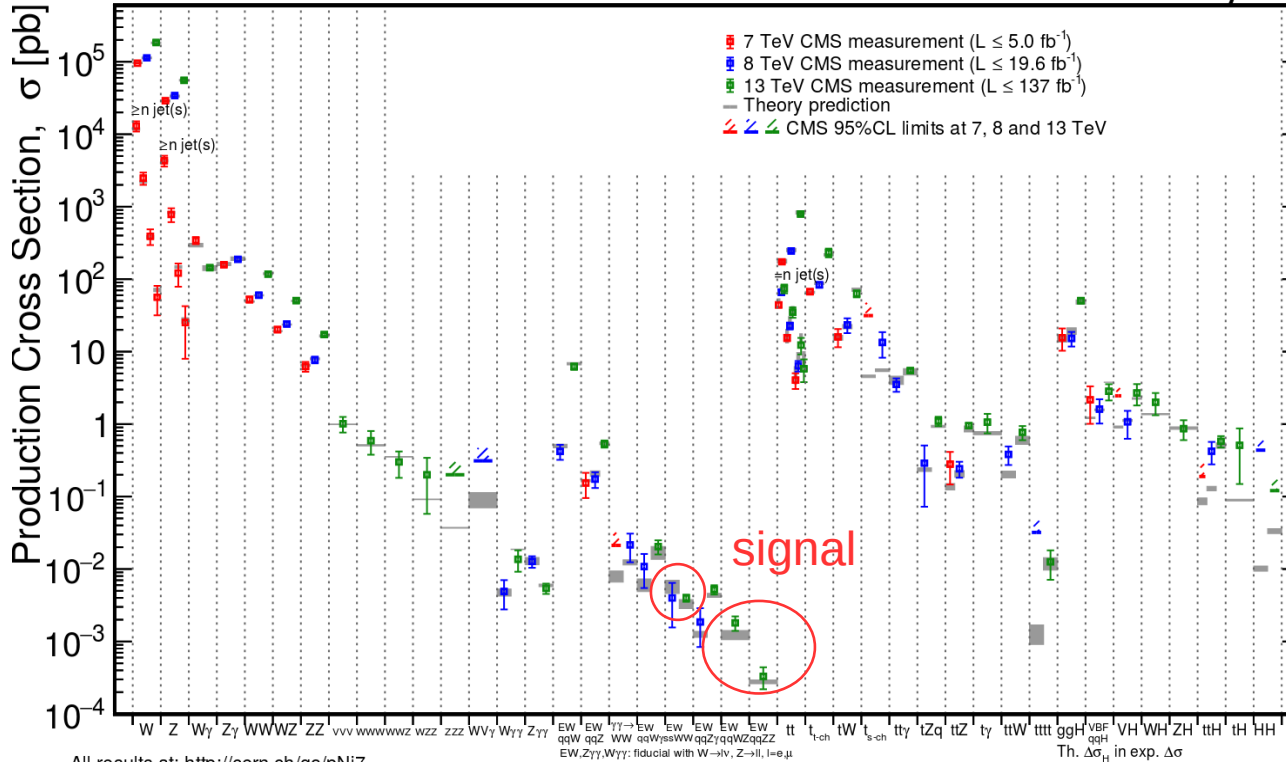
- Final Fit shows already comparable limits to existing analyses
- Include full Run2 (2016 – 2018) statistics
- Full systematics will be included
- 2D limits, Unitarity restoration

Backup

CMS: production cross sections

June 2021

CMS Preliminary



EFT Operators

$$\mathcal{L}_{S,0} = [(D_\mu \Phi)^\dagger D_\nu \Phi] \times [(D^\mu \Phi)^\dagger D^\nu \Phi] \quad (5)$$

$$\mathcal{L}_{S,1} = [(D_\mu \Phi)^\dagger D^\mu \Phi] \times [(D_\nu \Phi)^\dagger D^\nu \Phi] \quad (6)$$

$$\mathcal{L}_{M,0} = \text{Tr} [\hat{W}_{\mu\nu} \hat{W}^{\mu\nu}] \times [(D_\beta \Phi)^\dagger D^\beta \Phi] \quad (8)$$

$$\mathcal{L}_{M,1} = \text{Tr} [\hat{W}_{\mu\nu} \hat{W}^{\nu\beta}] \times [(D_\beta \Phi)^\dagger D^\mu \Phi] \quad (9)$$

$$\mathcal{L}_{M,2} = [B_{\mu\nu} B^{\mu\nu}] \times [(D_\beta \Phi)^\dagger D^\beta \Phi] \quad (10)$$

$$\mathcal{L}_{M,3} = [B_{\mu\nu} B^{\nu\beta}] \times [(D_\beta \Phi)^\dagger D^\mu \Phi] \quad (11)$$

$$\mathcal{L}_{M,4} = [(D_\mu \Phi)^\dagger \hat{W}_{\beta\nu} D^\mu \Phi] \times B^{\beta\nu} \quad (12)$$

$$\mathcal{L}_{M,5} = [(D_\mu \Phi)^\dagger \hat{W}_{\beta\nu} D^\nu \Phi] \times B^{\beta\mu} \quad (13)$$

$$\mathcal{L}_{M,6} = [(D_\mu \Phi)^\dagger \hat{W}_{\beta\nu} \hat{W}^{\beta\nu} D^\mu \Phi] \quad (14)$$

$$\mathcal{L}_{M,7} = [(D_\mu \Phi)^\dagger \hat{W}_{\beta\nu} \hat{W}^{\beta\mu} D^\nu \Phi] \quad (15)$$

$$\mathcal{L}_{T,0} = \text{Tr} [\hat{W}_{\mu\nu} \hat{W}^{\mu\nu}] \times \text{Tr} [\hat{W}_{\alpha\beta} \hat{W}^{\alpha\beta}] \quad (16)$$

$$\mathcal{L}_{T,1} = \text{Tr} [\hat{W}_{\alpha\nu} \hat{W}^{\mu\beta}] \times \text{Tr} [\hat{W}_{\mu\beta} \hat{W}^{\alpha\nu}] \quad (17)$$

$$\mathcal{L}_{T,2} = \text{Tr} [\hat{W}_{\alpha\mu} \hat{W}^{\mu\beta}] \times \text{Tr} [\hat{W}_{\beta\nu} \hat{W}^{\nu\alpha}] \quad (18)$$

$$\mathcal{L}_{T,5} = \text{Tr} [\hat{W}_{\mu\nu} \hat{W}^{\mu\nu}] \times B_{\alpha\beta} B^{\alpha\beta} \quad (19)$$

$$\mathcal{L}_{T,6} = \text{Tr} [\hat{W}_{\alpha\nu} \hat{W}^{\mu\beta}] \times B_{\mu\beta} B^{\alpha\nu} \quad (20)$$

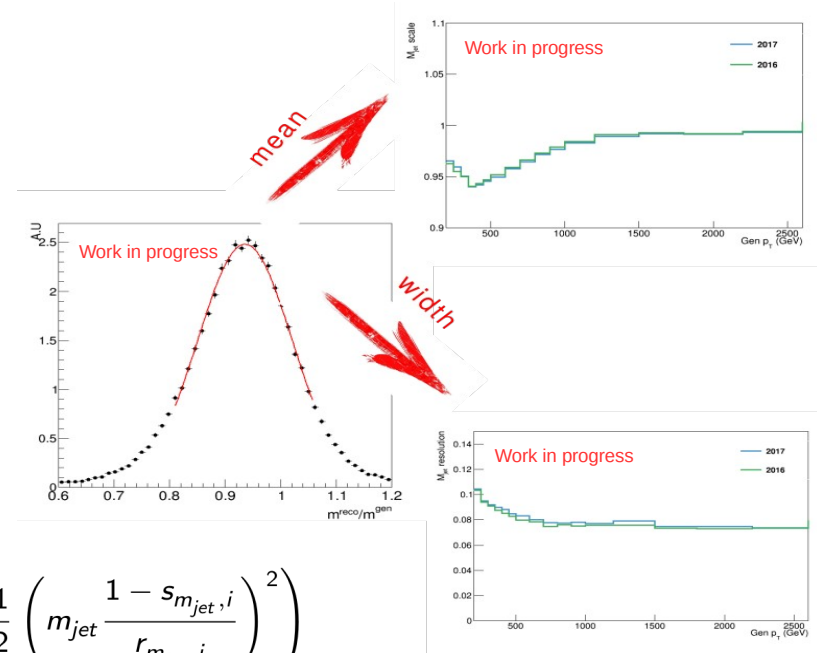
$$\mathcal{L}_{T,7} = \text{Tr} [\hat{W}_{\alpha\mu} \hat{W}^{\mu\beta}] \times B_{\beta\nu} B^{\nu\alpha} \quad (21)$$

$$\mathcal{L}_{T,8} = B_{\mu\nu} B^{\mu\nu} B_{\alpha\beta} B^{\alpha\beta} \quad (22)$$

$$\mathcal{L}_{T,9} = B_{\alpha\mu} B^{\mu\beta} B_{\beta\nu} B^{\nu\alpha} \quad (23)$$

Detector response: Gaussian kernels

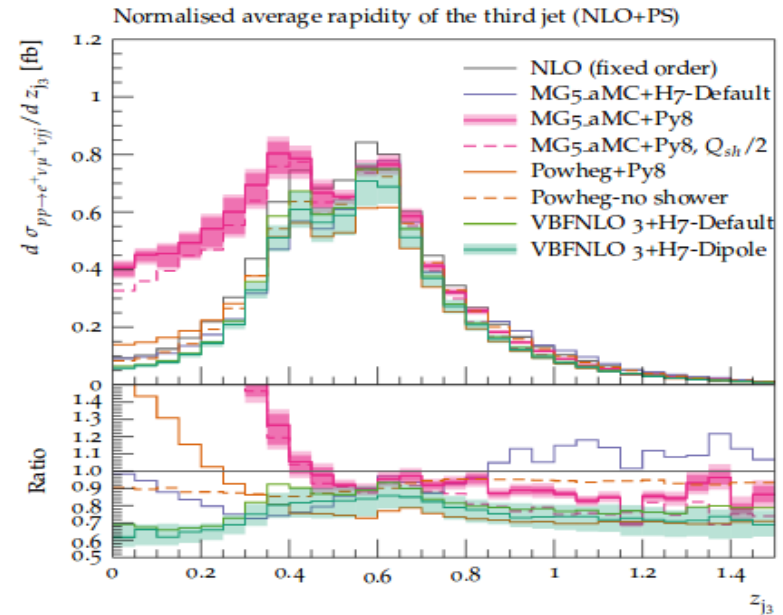
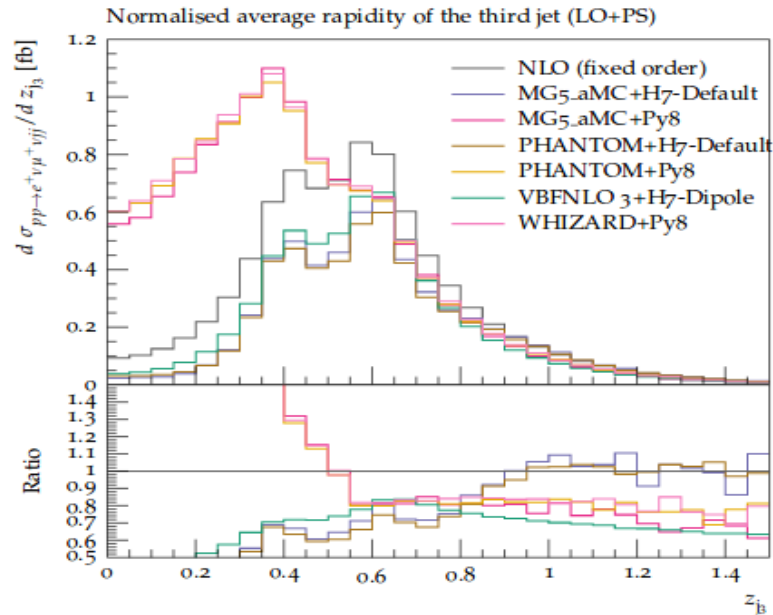
- For each bin in generated p_T : derive jet mass scale and resolution as $m_{\text{jet}}^{\text{reco}} / m_{\text{jet}}^{\text{gen}}$
- Same for dijet mass
- Instead of an event, fill full 1D/2D gaussian kernel



$$k(m_{\text{jet}}, m_{jj}) = \frac{w_i}{2\pi r_{m_{\text{jet}},i} \cdot r_{m_{jj},i}} \exp\left(-\frac{1}{2} \left(m_{jj} \frac{1 - s_{m_{jj},i}}{r_{m_{jj},i}}\right)^2 - \frac{1}{2} \left(m_{\text{jet}} \frac{1 - s_{m_{\text{jet}},i}}{r_{m_{\text{jet}},i}}\right)^2\right)$$

Central Jets and PS

- In VBS reduced central jet activity



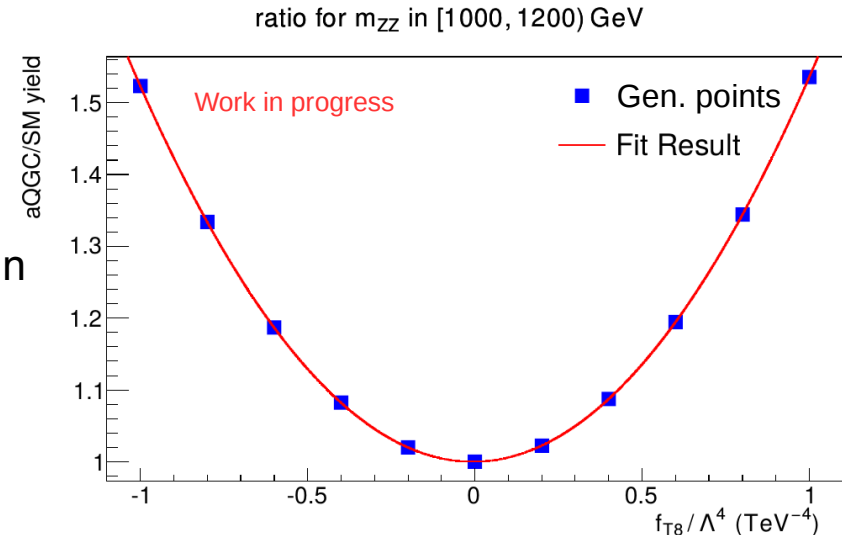
[Ballestrero et al.]

Signal modeling

$$N \propto |\mathcal{A}|^2 = |\mathcal{A}_{\text{SM}}|^2 + \sum_{\alpha} \frac{c_{\alpha}}{\Lambda^2} \cdot 2\Re(\mathcal{A}_{\text{SM}}\mathcal{A}_{Q_{\alpha}}^{\dagger}) + \sum_{\alpha,\beta} \frac{c_{\alpha}c_{\beta}}{\Lambda^4} \cdot (\mathcal{A}_{Q_{\alpha}}\mathcal{A}_{Q_{\beta}}^{\dagger})$$

Interference SM-EFT
Pure EFT (quadratic)

- MadGraph5 + UFO model + reweighting
- SMEFTsim Implements the [Warsaw](#) basis in MadGraph
- SM yield is scaled with a 2nd degree polynomial in each m_{VV} bin
- Quadratic fit describes signal scaling perfectly
- Scaling is more dominant in higher mass bins



Signal modeling (II)

- Signal modelling uses MadGraph LO Reweighting

$$W^{new} = \frac{|M_{new}|^2}{|M_{orig}|^2} W_{orig}$$

- Start with $f_i = 0$ except one parameter. Starting with non-SM scenario enhances statistics
- Use MadGraph reweighting to apply a weight along f_i -axis for every event
- For 2D limits, this has to be a 2D-grid.

