

# CPT and unitarity constraints for higher-order CP asymmetries at finite temperature

Peter Maták

In collaboration with Tomáš Blažek and Viktor Zaujec [[JCAP 10 \(2022\) 042](#)]



COMENIUS  
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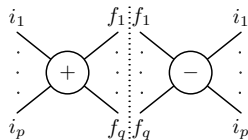
# Outline of the talk

- Classical kinetic theory with **complete** perturbative description of particle interactions
- Holomorphic cutting rules and **unitarity constraints** at higher perturbative orders  
[Phys. Rev. D 103 (2021) L091302]
- **Quantum statistics from cylindrical diagrams** and  $CP$  asymmetries in quantum kinetic theory [J. Cosmol. Astropart. Phys. 10 (2022) 042]

# Classical kinetic theory

change in # of particles  $\leftrightarrow$  average # of interactions the particles participate in

$$\dot{n}_{i_1} + 3Hn_{i_1} = -\dot{\gamma}_{fi} + \dot{\gamma}_{if} + \dots$$



$$\dot{\gamma}_{fi} = -\frac{1}{\mathcal{V}_4} \int \left( \prod_{\forall i} [d\mathbf{p}_i] \dot{f}_i(p_i) \right) \int \left( \prod_{\forall f} [d\mathbf{p}_f] \right) i T_{if}^\dagger i T_{fi} \quad (1)$$

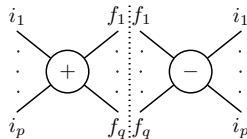
[Bernstein '88; Kolb, Turner '90]

$$[d\mathbf{p}] = \frac{d^3\mathbf{p}}{(2\pi)^3 2E_{\mathbf{p}}} \quad |T_{fi}|^2 = \mathcal{V}_4 (2\pi)^4 \delta^{(4)}(p_f - p_i) |\dot{M}_{fi}|^2 \quad \mathcal{V}_4 = \mathcal{V}_3 \times \mathcal{T} \quad (2)$$

# Classical kinetic theory

change in # of particles  $\leftrightarrow$  average # of interactions the particles participate in

$$\dot{n}_{i_1} + 3Hn_{i_1} = -\dot{\gamma}_{fi} + \dot{\gamma}_{if} + \dots$$

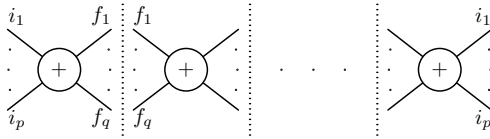


$$\dot{\gamma}_{fi} = -\frac{1}{\mathcal{V}_4} \int \left( \prod_{\forall i} [d\mathbf{p}_i] \dot{f}_i(p_i) \right) \int \left( \prod_{\forall f} [d\mathbf{p}_f] \right) i T_{if}^\dagger i T_{fi} \quad (1)$$

[Bernstein '88; Kolb, Turner '90]

$$\rightarrow \text{typically leads to } \langle \sigma v \rangle (n^2 - n_{\text{eq}}^2) \text{ or } \langle \Gamma \rangle (n - n_{\text{eq}}) \quad (3)$$

# Holomorphic cuts and higher orders



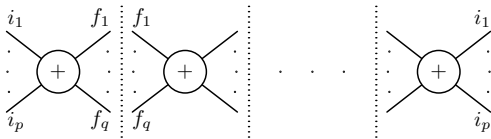
$$S^\dagger S = 1 \quad \rightarrow \quad iT^\dagger = iT - iTiT^\dagger \quad \text{for} \quad iT = S - 1 \quad (4)$$

$$|T_{fi}|^2 = -iT_{if}^\dagger iT_{fi} = \boxed{-iT_{if}^\dagger iT_{fi} + \sum_n iT_{in}^\dagger iT_{nf}^\dagger iT_{fi} - \sum_{mn} iT_{im}^\dagger iT_{mn}^\dagger iT_{nf}^\dagger iT_{fi} + \dots} \quad (5)$$

[Coster, Stapp '70; Bourjaily, Hannesdottir, *et al.* '21;  
Blažek, Maták '21; Hannesdottir, Mizera '22]

$$1 - iT^\dagger = (1 + iT)^{-1} \quad \rightarrow \quad iT^\dagger = iT - (iT)^2 + (iT)^3 - \dots \quad (6)$$

# Holomorphic cuts and higher orders



$$S^\dagger S = 1 \quad \rightarrow \quad iT^\dagger = iT - iTiT^\dagger \quad \text{for} \quad iT = S - 1 \quad (4)$$

$$|T_{fi}|^2 = -iT_{if}^\dagger iT_{fi} = \boxed{-iT_{if}^\dagger iT_{fi} + \sum_n iT_{in}^\dagger iT_{nf} iT_{fi} - \sum_{mn} iT_{im}^\dagger iT_{mn} iT_{nf} iT_{fi} + \dots} \quad (5)$$

[Coster, Stapp '70; Bourjaily, Hannesdottir, *et al.* '21;  
Blažek, Maták '21; Hannesdottir, Mizera '22]

$$\dot{\gamma}_{fi} = \frac{1}{V_4} \int \prod_{\forall i} [d\mathbf{p}_i] \dot{f}_i(p_i) \int \prod_{\forall f} [d\mathbf{p}_f] \left( -iT_{if}^\dagger iT_{fi} + \sum_n iT_{in}^\dagger iT_{nf} iT_{fi} - \dots \right) \quad (7)$$

## CP violation and unitarity constraints

$$T_{fi} = C_{fi}^{\text{tree}} K_{fi}^{\text{tree}} + C_{fi}^{\text{loop}} K_{fi}^{\text{loop}} \rightarrow \Delta |T_{fi}|^2 \propto \text{Im} \left[ C_{fi}^{\text{tree}} C_{fi}^{\text{loop}*} \right] \text{Im} \left[ K_{fi}^{\text{tree}} K_{fi}^{\text{loop}*} \right] \quad (8)$$

$$\begin{aligned} \Delta |T_{fi}|^2 &= |T_{fi}|^2 - |T_{if}|^2 = -iT_{if}^\dagger iT_{fi} + iT_{if} iT_{fi}^\dagger \\ &= \sum_n \left( iT_{in} iT_{nf} iT_{fi} - iT_{if} iT_{fn} iT_{ni} \right) \quad [\text{Covi, Roulet, Vissani '98}] \\ &\quad - \sum_{mn} \left( iT_{im} iT_{mn} iT_{nf} iT_{fi} - iT_{if} iT_{fn} iT_{nm} iT_{mi} \right) \\ &\quad + \dots \rightarrow \sum_f \Delta |T_{fi}|^2 = 0 \quad [\text{Dolgov '79; Kolb, Wolfram '80}] \end{aligned} \quad (9)$$

# CP violation and unitarity constraints

## Lowest-order asymmetries

$$\Delta\hat{\gamma}_{fi} = \frac{1}{V_4} \int \prod_{\forall i} [d\mathbf{p}_i] \hat{f}_i(p_i) \int \prod_{\forall f} [d\mathbf{p}_f] \sum_n \left( iT_{in} iT_{nf} iT_{fi} - iT_{if} iT_{fn} iT_{ni} \right) \quad (10)$$

For  $\Delta\hat{\gamma}_{fi} \rightarrow \Delta\gamma_{fi}$  add statistical factors  $1 \pm f$  for particles in  $|n\rangle, |f\rangle$  states.

[Nanopoulos, Weinberg '79; Hook '11]

## Higher-order asymmetries

$$\Delta|T_{fi}|^2 = \dots - \sum_{mn} \left( iT_{im} iT_{mn} iT_{nf} iT_{fi} - iT_{if} iT_{fn} iT_{nm} iT_{mi} \right) + \dots$$

[J. Cosmol. Astropart. Phys. 10 (2022) 042]



# Top-Yukawa corrections in leptogenesis

$$\mathcal{L} \supset -\frac{1}{2}M_i\bar{N}_iN_i - (Y_{\alpha i}\bar{N}_iP_Ll_{\alpha}H + Y_t\bar{t}P_LQH + \text{H.c.}) \quad (11)$$

[Pilaftsis, Underwood '04; '05; Abada, *et al.* '06; Nardi, Racker, Roulet '07; Racker '19;  
Giudice, *et al.* '04; Salvio, Lodone, Strumia '11]

$$\Delta\overset{\circ}{\gamma}_{NQ\rightarrow lt} \leftarrow \begin{array}{c} \begin{array}{ccccccc} N_i & & l_{\alpha} & & N_j & & \bar{l}_{\beta} & & N_i \\ \hline \bullet & \xrightarrow{\quad} & \bullet & \xrightarrow{\quad} & \bullet & \xrightarrow{\quad} & \bullet & \xrightarrow{\quad} & \bullet \\ H \downarrow & & \uparrow H & & \bar{H} & & & & \\ Q & \xrightarrow{\quad} & t & \xrightarrow{\quad} & & \xrightarrow{\quad} & & \xrightarrow{\quad} & Q \end{array} \\ \begin{array}{ccccccc} N_i & & \bar{l}_{\beta} & & N_j & & l_{\alpha} & & N_i \\ \hline \bullet & \xrightarrow{\quad} & \bullet & \xrightarrow{\quad} & \bullet & \xrightarrow{\quad} & \bullet & \xrightarrow{\quad} & \bullet \\ \bar{H} \downarrow & & \uparrow \bar{H} & & H & & \downarrow H & & \\ Q & \xrightarrow{\quad} & & \xrightarrow{\quad} & t & \xrightarrow{\quad} & & \xrightarrow{\quad} & Q \end{array} \end{array} - \quad (12)$$

# Higgs thermal mass from anomalous thresholds

$$\mathcal{L} \supset -\frac{1}{2}M_i\bar{N}_iN_i - (Y_{\alpha i}\bar{N}_iP_Ll_\alpha H + Y_t\bar{t}P_LQH + \text{H.c.}) \quad (13)$$

[Pilaftsis, Underwood '04; '05; Abada, *et al.* '06; Nardi, Racker, Roulet '07; Racker '19;  
Giudice, *et al.* '04; Salvio, Lodone, Strumia '11]

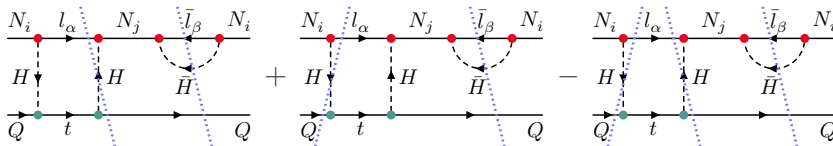
$$\text{Diagram 1} + \text{Diagram 2} - \text{Diagram 3} \quad (14)$$

$$\frac{1}{k^2 + i\epsilon} = \text{P.V.} \frac{1}{k^2} - i\pi\delta(k^2) \rightarrow \text{Diagram 1} = \text{P.V.} \text{Diagram 2} + \frac{1}{2} \text{Diagram 3} \quad (15)$$

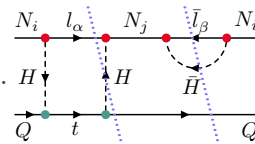
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[Pilaftsis, Underwood '04; '05; Abada, *et al.* '06; Nardi, Racker, Roulet '07; Racker '19;  
Giudice, *et al.* '04; Salvio, Lodone, Strumia '11]



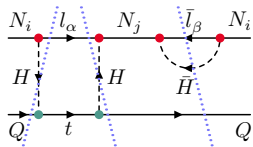
$$+ \quad - \quad (14)$$



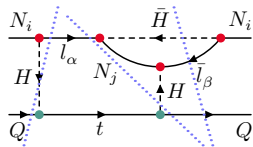
$$2\text{P.V.} \leftarrow 2\delta_+(k^2)\text{P.V.} \cdot \frac{1}{k^2} = -\frac{1}{(k^0 + |\mathbf{k}|)^2} \frac{\partial \delta(k^0 - |\mathbf{k}|)}{\partial k^0} \quad (16)$$

[Frye, Hannesdottir, Paul, Schwartz, Yan '19; Racker '19]

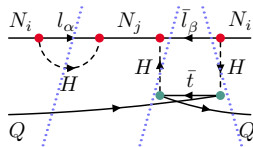
# Higgs thermal mass from anomalous thresholds



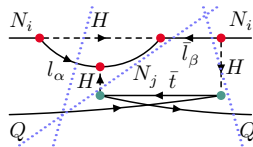
(a)



(b)



(c)



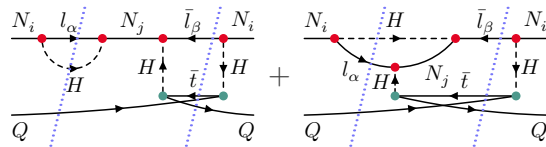
(d)

$$\Delta \dot{\gamma}_{N_i Q \rightarrow l H Q}^{(a)} + \dots = \frac{1}{4} \dot{m}_{H, Y_t}^2(T) \left. \frac{\partial}{\partial m_H^2} \right|_{m_H^2=0} \Delta \dot{\gamma}_{N_i \rightarrow l H} \quad (17)$$

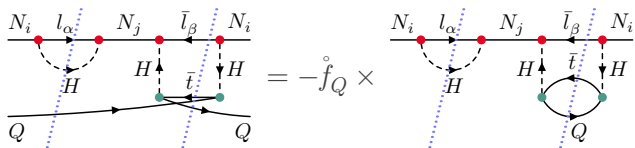
[J. Cosmol. Astropart. Phys. 10 (2022) 042]

$$\dot{m}_{H, Y_t}^2(T) = 12 Y_t^2 \int [d\mathbf{p}_Q] \exp \{ -E_Q/T \} = \frac{3}{\pi^2} Y_t^2 T^2 \quad (18)$$

# Quantum statistics in classical kinetic theory

$$\Delta \dot{\gamma}_{N_i(Q) \rightarrow lH(Q)} =$$


+ m.t. (19)



=  $-\dot{f}_Q \times$  (20)



# Uncircled rate asymmetries

$$\Delta\gamma_{N_i Q \rightarrow l H Q}^{(a)} = 2\text{P.V.} \times \text{Diagram} + \text{all windings} \quad (24)$$

$$\dot{f}_{N_i} \dot{f}_Q \rightarrow f_{N_i} f_Q (1 + f_H)(1 - f_l)(1 + f_{\bar{H}})(1 - f_l) \quad (25)$$

$$\boxed{\frac{\partial}{\partial k^0} \Big|_{k^0=|\mathbf{k}|} \frac{\mathcal{F}(k^0, \mathbf{k})}{(k^0 + |\mathbf{k}|)^2} = \frac{\partial}{\partial m_H^2} \Big|_{m_H=0} \frac{\mathcal{F}(E_{\mathbf{k}}, \mathbf{k})}{2E_{\mathbf{k}}}} \quad \text{for} \quad E_{\mathbf{k}} = \sqrt{m_H^2 + \mathbf{k}^2} \quad (26)$$

[Eur. Phys. J. C 82 (2022) 214]

# Uncircled rate asymmetries

$$\Delta\gamma_{N_i Q \rightarrow l H Q}^{(a)} = 2\text{P.V.} \int \text{Im} \left[ \frac{N_i \bar{N}_j}{(l_\alpha - t)(\bar{l}_\beta - t)} \right] dt + \text{all windings} \quad (24)$$

$$\mathring{f}_{N_i} \mathring{f}_Q \rightarrow f_{N_i} f_Q (1 + f_H)(1 - f_l)(1 + f_{\bar{H}})(1 - f_{\bar{l}}) \quad (25)$$

$$\Delta\gamma_{N_i Q \rightarrow l H Q} = \Delta\gamma_{N_i(Q) \rightarrow l H(Q)} + \frac{1}{4} m_{H, Y_t}^2(T) \left. \frac{\partial}{\partial m_H^2} \right|_{m_H^2=0} \Delta\gamma_{N_i \rightarrow l H} \quad (27)$$

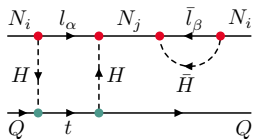
[J. Cosmol. Astropart. Phys. 10 (2022) 042]

$$m_{H, Y_t}^2(T) = 12 Y_t^2 \int [d\mathbf{p}_Q] f_Q = \frac{1}{4} Y_t^2 T^2 \quad (28)$$

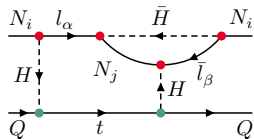
[Comelli, Espinosa '97; Giudice, *et al.* '04]



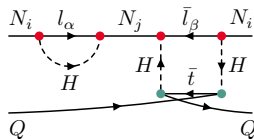
# Unitarity constraints for NLO asymmetries



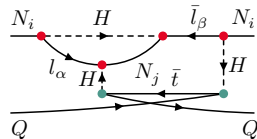
(a)



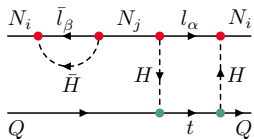
(b)



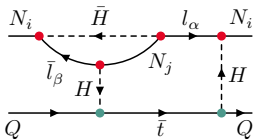
(c)



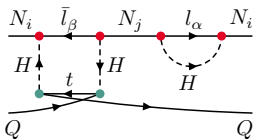
(d)



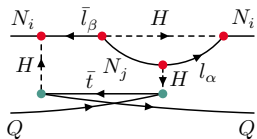
(e)



(f)



(g)



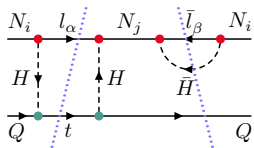
(h)

$$\Delta\dot{\gamma}_{N_i Q \rightarrow lt} + \Delta\dot{\gamma}_{N_i Q \rightarrow lHQ} + \Delta\dot{\gamma}_{N_i Q \rightarrow \bar{l}\bar{H}Q} + \Delta\dot{\gamma}_{N_i Q \rightarrow \bar{l}QQ\bar{t}} = 0 \quad (29)$$

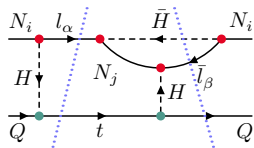
[Pilaftsis, Underwood '04; '05; Abada, *et al.* '06;  
Nardi, Racker, Roulet '07; Racker '19]

[Blažek, Maták '21]

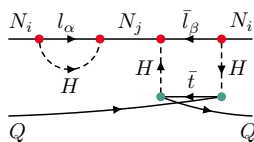
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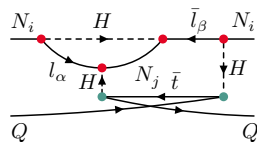
(a)



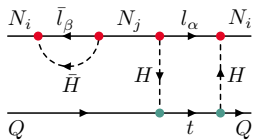
(b)



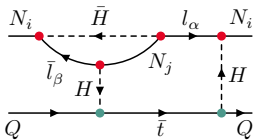
(c)



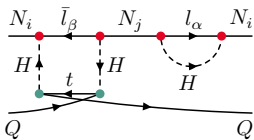
(d)



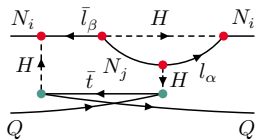
(e)



(f)



(g)

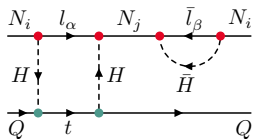


(h)

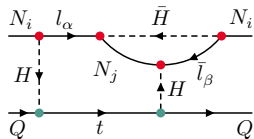
$$\Delta\gamma_{N_i Q \rightarrow lt} + \Delta\gamma_{N_i(Q) \rightarrow lH(Q)} + \Delta\gamma_{N_i(Q) \rightarrow \bar{l}\bar{H}(Q)} + \Delta\gamma_{N_i Q \rightarrow \bar{l}Q Q \bar{t}} = 0 \quad (30)$$

$$\frac{1}{4} m_{H, Y_t}^2(T) \frac{\partial}{\partial m_H^2} \Big|_{m_H^2=0} \left( \Delta\gamma_{N_i \rightarrow lH} + \Delta\gamma_{N_i \rightarrow \bar{l}\bar{H}} \right) = 0 \quad (31)$$

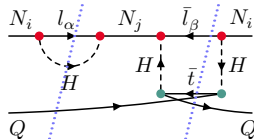
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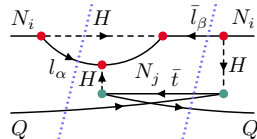
(a)



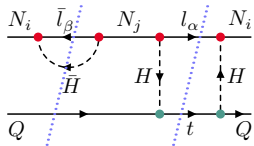
(b)



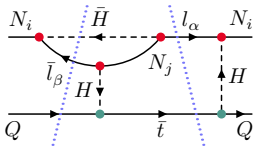
(c)



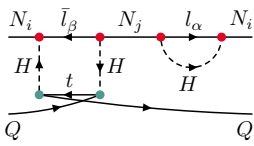
(d)



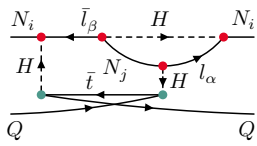
(e)



(f)



(g)

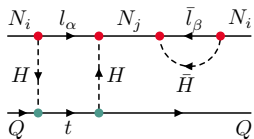


(h)

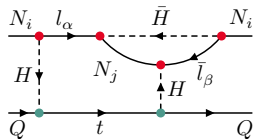
$$\Delta\gamma_{N_i Q \rightarrow lt} + \boxed{\Delta\gamma_{N_i(Q) \rightarrow lH(Q)} + \Delta\gamma_{N_i(Q) \rightarrow \bar{l}\bar{H}(Q)}} + \Delta\gamma_{N_i Q \rightarrow \bar{l}Q Q \bar{t}} = 0 \quad (30)$$

$$\frac{1}{4} m_{H, Y_t}^2(T) \frac{\partial}{\partial m_H^2} \Big|_{m_H^2=0} \left( \Delta\gamma_{N_i \rightarrow lH} + \Delta\gamma_{N_i \rightarrow \bar{l}\bar{H}} \right) = 0 \quad (31)$$

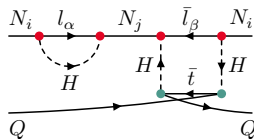
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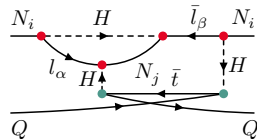
(a)



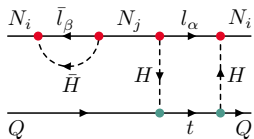
(b)



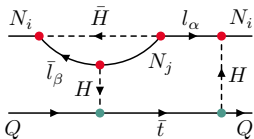
(c)



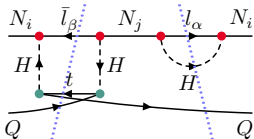
(d)



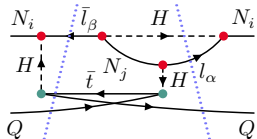
(e)



(f)



(g)

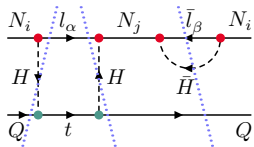


(h)

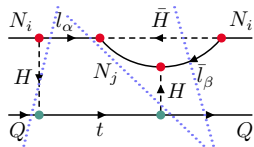
$$\Delta\gamma_{N_i Q \rightarrow lt} + \Delta\gamma_{N_i(Q) \rightarrow lH(Q)} + \Delta\gamma_{N_i(Q) \rightarrow \bar{l}\bar{H}(Q)} + \boxed{\Delta\gamma_{N_i Q \rightarrow \bar{l}Q Q \bar{t}}} = 0 \quad (30)$$

$$\frac{1}{4} m_{H, Y_t}^2(T) \frac{\partial}{\partial m_H^2} \Big|_{m_H^2=0} \left( \Delta\gamma_{N_i \rightarrow lH} + \Delta\gamma_{N_i \rightarrow \bar{l}\bar{H}} \right) = 0 \quad (31)$$

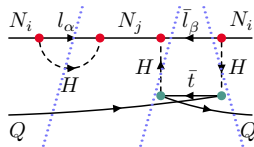
# Unitarity constraints for NLO asymmetries



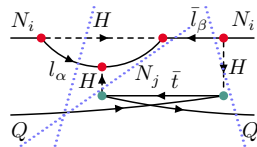
(a)



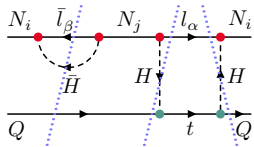
(b)



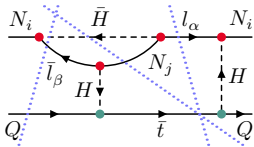
(c)



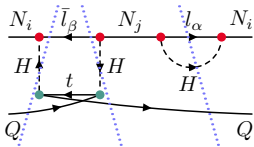
(d)



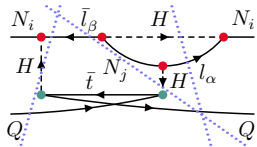
(e)



(f)



(g)



(h)

$$\Delta\gamma_{N_i Q \rightarrow lt} + \Delta\gamma_{N_i(Q) \rightarrow lH(Q)} + \Delta\gamma_{N_i(Q) \rightarrow \bar{l}\bar{H}(Q)} + \Delta\gamma_{N_i Q \rightarrow \bar{l}Q Q \bar{t}} = 0 \quad (30)$$

$$\left[ \frac{1}{4} m_{H, Y_t}^2(T) \frac{\partial}{\partial m_H^2} \Big|_{m_H^2=0} \left( \Delta\gamma_{N_i \rightarrow lH} + \Delta\gamma_{N_i \rightarrow \bar{l}\bar{H}} \right) \right] = 0 \quad (31)$$

# Summary

- One cannot consistently include higher-order perturbative corrections to the interactions with no inclusion of quantum statistics.
- Winding of propagators represents higher occupation numbers in the Fock space.
- Cutting the diagrams with all possible windings of internal lines allows to formulate unitarity constraints for equilibrium rate asymmetries including thermal corrections.

**Thank you!**

Backup slides

## General one-particle densities

The hermiticity and positive definiteness of  $\hat{\rho}$  allows us to write

$$\hat{\rho} = \frac{1}{\mathcal{Z}} \exp \left\{ -\hat{\mathcal{F}} \right\}, \quad \mathcal{Z} = \text{Tr} \exp \left\{ -\hat{\mathcal{F}} \right\}, \quad (32)$$

assuming

$$\hat{\mathcal{F}} = \sum_p \mathcal{F}_p a_p^\dagger a_p. \quad (33)$$

$$\mathcal{Z} = \sum_{\{i\}} \exp \left\{ -\mathcal{F}_1 i_1 - \mathcal{F}_2 i_2 - \dots \right\} = \prod_p \mathcal{Z}_p \quad \text{where} \quad \mathcal{Z}_p = \frac{\exp\{\mathcal{F}_p\}}{\exp\{\mathcal{F}_p\} - 1} \quad (34)$$

$$f_p = \text{Tr} \left[ \hat{\rho} a_p^\dagger a_p \right] = \frac{1}{\exp\{\mathcal{F}_p\} - 1} \quad \rightarrow \quad \overset{\circ}{f}_p \stackrel{\text{def.}}{=} \exp \left\{ -\mathcal{F}_p \right\} = \frac{f_p}{1 + f_p}$$



## General one-particle densities

$$\hat{\rho}' = S\hat{\rho}S^\dagger \Rightarrow \boxed{\hat{\rho}' - \hat{\rho} = T\hat{\rho}T^\dagger - \frac{1}{2}TT^\dagger\hat{\rho} - \frac{1}{2}\hat{\rho}TT^\dagger + \dots} \quad (35)$$

[McKellar, Thomson '94]

Tracing with  $a_p^\dagger a_p$  over  $|i_1, i_2, \dots\rangle$  we get

$$f_p' - f_p = \text{Tr} \left[ a_p^\dagger a_p \left( T\hat{\rho}T^\dagger - \hat{\rho}TT^\dagger \right) \right] = \dots = \quad (36)$$

$$= \boxed{\frac{1}{\mathcal{Z}} \sum_{k=1}^{\infty} (-1)^k \sum_{\{i\}} \sum_{\{n\}} (n_p - i_p) f_1^{i_1} f_2^{i_2} \dots (iT)_{in}^k iT_{ni}}$$

leading to statistical factors as in equilibrium case. [Eur. Phys. J. C 81 (2021) 1050]