

Modular Flavor Symmetries and CP, from the top down

Andreas Trautner

contact: trautner AT mpi-hd.mpg.de

based on:

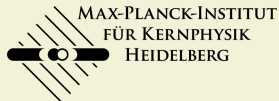
PLB 786 (2018) 283-287	1808.07060	w/ H.P. Nilles, M. Ratz, P. Vaudrevange
PLB 795 (2019) 7-14	1901.03251	w/ A. Baur, H.P. Nilles, P. Vaudrevange
NPB 947 (2019) 114737	1908.00805	w/ A. Baur, H.P. Nilles, P. Vaudrevange
NPB 971 (2021) 115534	2105.08078	w/ H.P. Nilles, S. Ramos-Sánchez, P. Vaudrevange
PRD 105 (2022) 5 055018	2112.06940	w/ A. Baur, H.P. Nilles, S. Ramos-Sánchez, P. Vaudrevange
JHEP 09 (2022) 224	2207.10677	w/ A. Baur, H.P. Nilles, S. Ramos-Sánchez, P. Vaudrevange

DISCRETE
2022

Baden-Baden
8.11.22



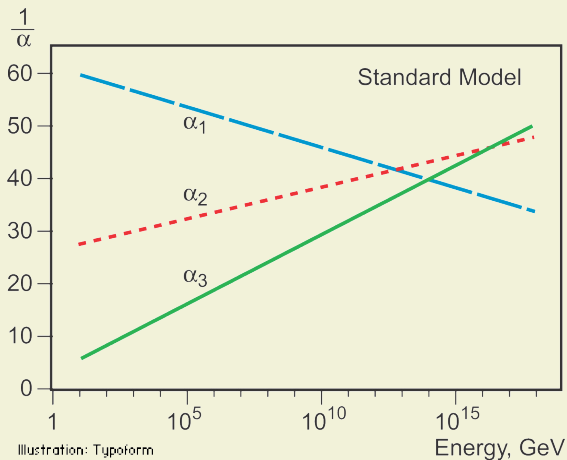
MAX-PLANCK-GESellschaft



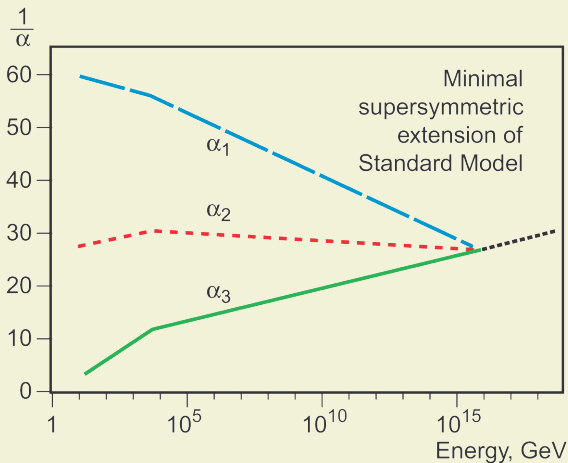
Outline: Flavor symmetry

- [Modular] Flavor symmetries from the top-down
- The eclectic flavor symmetry
- Breaking of the eclectic flavor symmetry
- Phenomenology of a concrete top-down example model
- Summary

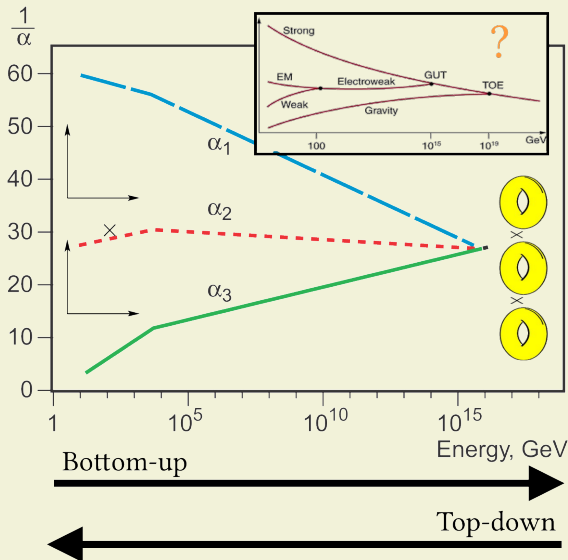
Unification – bottom-up vs. top-down



Unification – bottom-up vs. top-down



Unification – bottom-up vs. top-down

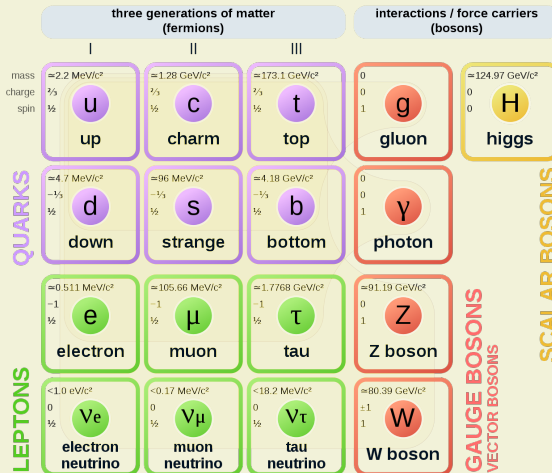


See e.g. “Supersymmetric standard model from the heterotic string”

[Buchmüller, Hamaguchi, Lebedev, Ratz '05]

Is everything unified?

Standard Model of Elementary Particles



No “theory of everything” without a theory of flavor!

Modular Flavor Symmetries

Even w/o thoughts about UV completions: Very attractive framework.
Predictivity (few parameters), CP violation & hierarchies “built in”, ...

- Neutrinos/Leptons

[Feruglio '17], [Kobayashi, Tanaka, Tatsuishi '18], [Penedo, Petcov '18], [Criado, Feruglio '18],
[Kobayashi, Omoto, Shimizu, Takagi, Tanimoto, Tatsuishi '18], [Novichkov, Penedo, Petcov, Titov '18 (2x)],
[Novichkov, Petcov, Tanimoto '18], [Nomura, Okada '19], [de Medeiros Varzielas, King, Zhou '19],
[Liu, Ding '19], [Criado, Feruglio, S.J.D.King '19], ...

- Quark sector

[Okada, Tanimoto '18 & '19], [Kobayashi, Shimizu, Takagi, Tanimoto, Tatsuishi, Uchida '18],
[Lu, Liu, Ding '19], ...

- Combination of modular transformations with CP

[Baur, Nilles, AT, Vaudrevange '19], [Novichkov, Penedo, Petcov, Titov '19],
[Kobayashi, Shimizu, Takagi, Tanimoto, Tatsuishi '19]

- Within GUTs

[de Anda, King, Perdomo '18], [Kobayashi, Shimizu, Takagi, Tanimoto, Tatsuishi '19],
[Zhao, Zhang '21], [Chen, Ding, King '21], [Ding, King, Lu '21],...

→ See talk by Penedo

Modular Flavor Symmetries

Modular flavor symmetry is strongly motivated from top-down viewpoint of UV completions of the Standard Model.

Setting: compactified heterotic string theory. [Gross, Harvey, Martinec, Rohm '85]

[Dixon, Harvey, Vafa, Witten '85 & '86]

Compactifications are controlled by modular invariance:

- Couplings among twisted-sector states are modular forms.

[Ibañez '86],[Hamdi, Vafa '87],[Dixon, Friedan, Martinec, Shenker '87], [Lauer, Mas, Nilles '89 & '91]

- Effective 4D SUSY (sugra) theory controlled by modular invariance...

[Ferrara, Lüst, (Shapere), Theisen '89(x2)]

- ...in particular, the Yukawa couplings.

[Casas, Gomez, Munoz '91], [Lebedev '01], [Kobayashi, Lebedev '03], ...

- Twisted-sector gives rise to chiral matter, can host 3 generations of (supersymmetric) SM.

[Ibañez, (Kim), Nilles, Quevedo '87 (x2)]

- Flavor symmetries are a generic feature.

[Lauer, Mas, Nilles '89 '91], [Kobayashi, Nilles, Plöger, Raby, Ratz '06]

This talk: Unambiguous derivation of unified flavor symmetry
& Example for explicit model with correct low energy pheno.

Types of (discrete) flavor symmetries

Schematically for the example of $\mathcal{N} = 1$ SUSY.

x : spacetime, θ : superspace, Φ : (Super-)fields, T : modulus.

$K(T, \Phi)$: Kähler potential, $W(T, \Phi)$: Superpotential

$$\mathcal{S} = \int d^4x d^2\theta d^2\bar{\theta} K(T, \bar{T}, \Phi, \bar{\Phi}) + \int d^4x d^2\theta W(T, \Phi) + \int d^4x d^2\bar{\theta} \bar{W}(\bar{T}, \bar{\Phi}) .$$

Types of (discrete) flavor symmetries

Schematically for the example of $\mathcal{N} = 1$ SUSY.

x : spacetime, θ : superspace, Φ : (Super-)fields, T : modulus.

$K(T, \Phi)$: Kähler potential, $W(T, \Phi)$: Superpotential

$$\mathcal{S} = \int d^4x d^2\theta d^2\bar{\theta} K(T, \bar{T}, \Phi, \bar{\Phi}) + \int d^4x d^2\theta W(T, \Phi) + \int d^4x d^2\bar{\theta} \bar{W}(\bar{T}, \bar{\Phi}).$$

- **“traditional” Flavor symmetries** $\Phi \mapsto \rho(\mathfrak{g})\Phi$, $\mathfrak{g} \in G$
for a review, see e.g. [\[King & Luhn '13\]](#)

Types of (discrete) flavor symmetries

Schematically for the example of $\mathcal{N} = 1$ SUSY.

x : spacetime, θ : superspace, Φ : (Super-)fields, T : modulus.

$K(T, \Phi)$: Kähler potential, $W(T, \Phi)$: Superpotential

$$\mathcal{S} = \int d^4x d^2\theta d^2\bar{\theta} K(T, \bar{T}, \Phi, \bar{\Phi}) + \int d^4x d^2\theta W(T, \Phi) + \int d^4x d^2\bar{\theta} \bar{W}(\bar{T}, \bar{\Phi}).$$

- “traditional” Flavor symmetries
- **modular Flavor symmetries**

$G_{\text{traditional}}$

[Feruglio '17]

$$\Phi \xrightarrow{\gamma} (cT + d)^n \rho(\gamma) \Phi, \quad T \xrightarrow{\gamma} \frac{aT + b}{cT + d}, \quad \gamma := \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}(2, \mathbb{Z}).$$

Couplings are modular forms: $Y = Y(T)$, $Y(\gamma T) = (cT + d)^{k_Y} \rho_Y(\gamma) Y(T)$.

Types of (discrete) flavor symmetries

Schematically for the example of $\mathcal{N} = 1$ SUSY.

x : spacetime, θ : superspace, Φ : (Super-)fields, T : modulus.

$K(T, \Phi)$: Kähler potential, $W(T, \Phi)$: Superpotential

$$\mathcal{S} = \int d^4x d^2\theta d^2\bar{\theta} K(T, \bar{T}, \Phi, \bar{\Phi}) + \int d^4x d^2\theta W(T, \Phi) + \int d^4x d^2\bar{\theta} \bar{W}(\bar{T}, \bar{\Phi}) .$$

- “traditional” Flavor symmetries $G_{\text{traditional}}$
- modular Flavor symmetries G_{modular}
- **R symmetries** for non-Abelian discrete R flavor symmetries see [\[Chen, Ratz, AT '13\]](#)

$$\Phi(x, \theta) = \phi(x) + \sqrt{2}\theta\psi(x) + \theta\theta F(x) , \implies \phi \mapsto e^{iq\Phi\alpha}\phi, \psi \mapsto e^{i(q\Phi - q\theta)\alpha}\psi .$$

Types of (discrete) flavor symmetries

Schematically for the example of $\mathcal{N} = 1$ SUSY.

x : spacetime, θ : superspace, Φ : (Super-)fields, T : modulus.

$K(T, \Phi)$: Kähler potential, $W(T, \Phi)$: Superpotential

$$\mathcal{S} = \int d^4x d^2\theta d^2\bar{\theta} K(T, \bar{T}, \Phi, \bar{\Phi}) + \int d^4x d^2\theta W(T, \Phi) + \int d^4x d^2\bar{\theta} \bar{W}(\bar{T}, \bar{\Phi}) .$$

- “traditional” Flavor symmetries $G_{\text{traditional}}$
- modular Flavor symmetries G_{modular}
- R symmetries G_R
- **general CP(-like) symmetries** [Novichkov, Penedo et al. '19],[Baur et al. '19]

$$\Phi \xrightarrow{\bar{\gamma}} (c\bar{T} + d)^n \rho(\bar{\gamma}) \bar{\Phi}, \quad T \xrightarrow{\bar{\gamma}} \frac{a\bar{T} + b}{c\bar{T} + d}, \quad \det[\bar{\gamma} \in \text{GL}(2, \mathbb{Z})] = -1 .$$

Types of (discrete) flavor symmetries

Schematically for the example of $\mathcal{N} = 1$ SUSY.

x : spacetime, θ : superspace, Φ : (Super-)fields, T : modulus.

$K(T, \Phi)$: Kähler potential, $W(T, \Phi)$: Superpotential

$$\mathcal{S} = \int d^4x d^2\theta d^2\bar{\theta} K(T, \bar{T}, \Phi, \bar{\Phi}) + \int d^4x d^2\theta W(T, \Phi) + \int d^4x d^2\bar{\theta} \bar{W}(\bar{T}, \bar{\Phi}) .$$

- “traditional” Flavor symmetries $G_{\text{traditional}}$
- modular Flavor symmetries G_{modular}
- R symmetries G_R
- general \mathcal{CP} (-like) symmetries \mathcal{CP}

From the bottom-up: All kinds known and used, individually!

→ See talk by Penedo.

for an up-to-date review see [Feruglio&Romanino '19]

Types of (discrete) flavor symmetries

Schematically for the example of $\mathcal{N} = 1$ SUSY.

x : spacetime, θ : superspace, Φ : (Super-)fields, T : modulus.

$K(T, \Phi)$: Kähler potential, $W(T, \Phi)$: Superpotential

$$\mathcal{S} = \int d^4x d^2\theta d^2\bar{\theta} K(T, \bar{T}, \Phi, \bar{\Phi}) + \int d^4x d^2\theta W(T, \Phi) + \int d^4x d^2\bar{\theta} \bar{W}(\bar{T}, \bar{\Phi}) .$$

- “traditional” Flavor symmetries $G_{\text{traditional}}$
- modular Flavor symmetries G_{modular}
- R symmetries G_R
- general \mathcal{CP} (-like) symmetries \mathcal{CP}

From the top-down: *all, at the same time!*

$$G_{\text{eclectic}} = G_{\text{traditional}} \cup G_{\text{modular}} \cup G_R \cup \mathcal{CP} ,$$

see works by [Baur, Nilles, AT, Vaudrevange '19; Nilles, Ramos-Sánchez, Vaudrevange '20]

Types of (discrete) flavor symmetries

Schematically for the example of $\mathcal{N} = 1$ SUSY.

x : spacetime, θ : superspace, Φ : (Super-)fields, T : modulus.

$K(T, \Phi)$: Kähler potential, $W(T, \Phi)$: Superpotential

$$\mathcal{S} = \int d^4x d^2\theta d^2\bar{\theta} K(T, \bar{T}, \Phi, \bar{\Phi}) + \int d^4x d^2\theta W(T, \Phi) + \int d^4x d^2\bar{\theta} \bar{W}(\bar{T}, \bar{\Phi}) .$$

- “traditional” Flavor symmetries $G_{\text{traditional}}$
- modular Flavor symmetries G_{modular}
- R symmetries G_R
- general \mathcal{CP} (-like) symmetries \mathcal{CP}

From the top-down: *all, at the same time!*

$$G_{\text{eclectic}} = G_{\text{traditional}} \cup G_{\text{modular}} \cup G_R \cup \mathcal{CP} ,$$

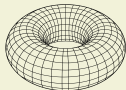
see works by [Baur, Nilles, AT, Vaudrevange '19; Nilles, Ramos-Sánchez, Vaudrevange '20]

How to compute G_{eclectic} ?

Flavor symmetries from top-down perspective

- Setting is compactified heterotic string theory.

Focus on $2D$ compact space, e.g. a torus: \mathbb{T}^2 .

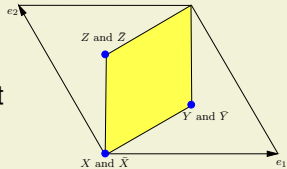


- **Example case:** $\mathbb{T}^2/\mathbb{Z}_3$ orbifold. Space group S (rot. & transl.).

$$g \in S \quad g = (\theta^k, en) \quad \text{with } k \in \{0, 1, 2\} \text{ and } n \in \begin{pmatrix} \mathbb{Z} \\ \mathbb{Z} \end{pmatrix} .$$

- we identify points $y \sim gy \Rightarrow$ fixed points.
- g constitutes boundary condition for closed strings; e.g. closed-string worldsheet boson [Dixon, Harvey, Vafa, Witten '85,'86]

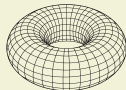
\Rightarrow Strings are “localized” at fixed points.



Flavor symmetries from top-down perspective

- Setting is compactified heterotic string theory.

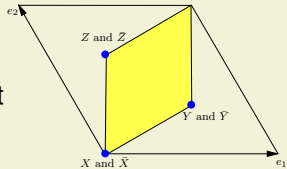
Focus on $2D$ compact space, e.g. a torus: \mathbb{T}^2 .



- **Example case:** $\mathbb{T}^2/\mathbb{Z}_3$ orbifold. Space group S (rot. & transl.).

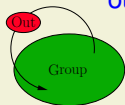
$$g \in S \quad g = (\theta^k, en) \quad \text{with } k \in \{0, 1, 2\} \text{ and } n \in \begin{pmatrix} \mathbb{Z} \\ \mathbb{Z} \end{pmatrix} .$$

- we identify points $y \sim gy \Rightarrow$ fixed points.
- g constitutes boundary condition for closed strings; e.g. closed-string worldsheet boson [Dixon, Harvey, Vafa, Witten '85,'86]



\Rightarrow Strings are “localized” at fixed points.

- *New insight:* we can obtain flavor symmetries from **outer automorphisms** of the space group! [Baur, Nilles, AT, Vaudrevange '19]



- **inner** auts: map fixed points to themselves \Rightarrow trivial.
- **outer** auts: permutation of fixed points \Rightarrow non-trivial maps between strings at different f.p.'s!

$$h := (\sigma, t) \notin S, \quad \text{with } g \xrightarrow{h} h g h^{-1} \in S .$$

Origin of eclectic flavor symmetry in heterotic orbifolds

The “*whole*” story: **Narain lattice** formulation of heterotic string theory:

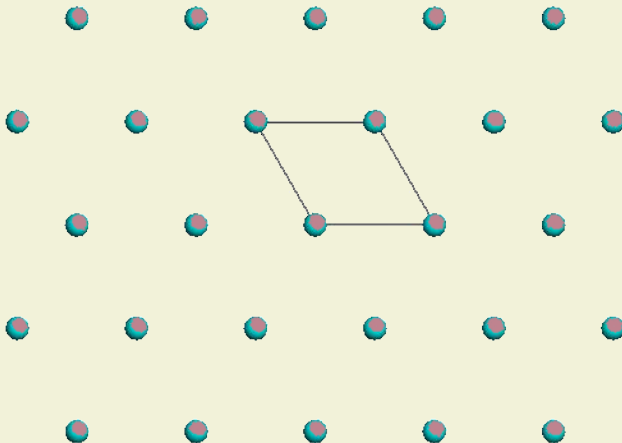
[Narain '86], [Narain, Samardi, Witten '87], [Narain, Sarmadi, Vafa'87], [Groot Nibbelink, Vaudrevange '17]

Origin of eclectic flavor symmetry in heterotic orbifolds

The “*whole*” story: **Narain lattice** formulation of heterotic string theory:

[Narain '86], [Narain, Samardi, Witten '87], [Narain, Sarmadi, Vafa'87], [Groot Nibbelink, Vaudrevange '17]

Lattice can have symmetries.

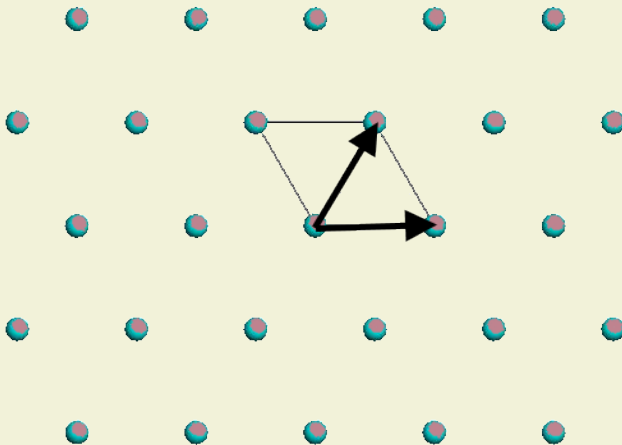


Origin of eclectic flavor symmetry in heterotic orbifolds

The “*whole*” story: **Narain lattice** formulation of heterotic string theory:

[Narain '86], [Narain, Samardi, Witten '87], [Narain, Sarmadi, Vafa'87], [Groot Nibbelink, Vaudrevange '17]

Lattice can have symmetries.



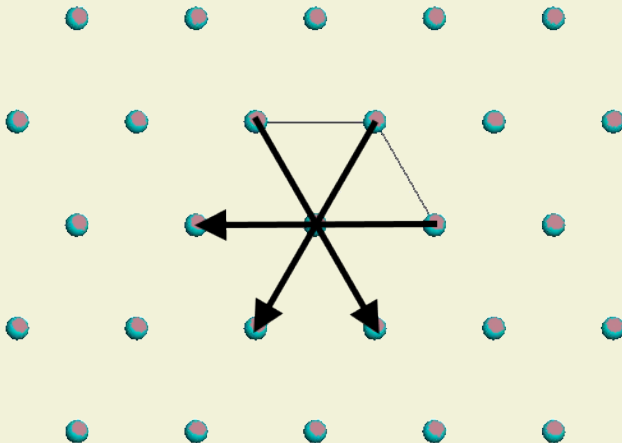
discrete translations

Origin of eclectic flavor symmetry in heterotic orbifolds

The “*whole*” story: **Narain lattice** formulation of heterotic string theory:

[Narain '86], [Narain, Samardi, Witten '87], [Narain, Sarmadi, Vafa'87], [Groot Nibbelink, Vaudrevange '17]

Lattice can have symmetries.



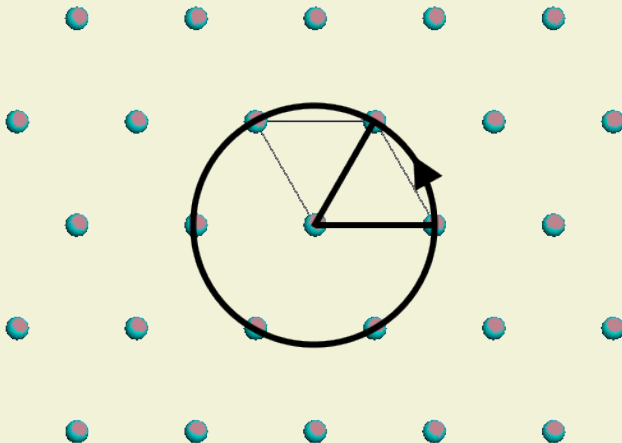
reflections / inversions

Origin of eclectic flavor symmetry in heterotic orbifolds

The “*whole*” story: **Narain lattice** formulation of heterotic string theory:

[Narain '86], [Narain, Samardi, Witten '87], [Narain, Sarmadi, Vafa'87], [Groot Nibbelink, Vaudrevange '17]

Lattice can have symmetries.



discrete rotations

Flavor symmetries from top-down perspective

Specializing to $D = 2 \Rightarrow$ 4-dim lattice w/ $E^T E \equiv \mathcal{H} = \mathcal{H}(T, U)$

\rightarrow **Kähler** T and **complex structure modulus** U .

Reflection and rotation **outer automorphisms** of Narain space group:
Modular transformations

$$O_{\hat{\eta}}(D, D, \mathbb{Z}) := \langle \hat{\Sigma} \mid \hat{\Sigma} \in \text{GL}(2D, \mathbb{Z}) \text{ with } \hat{\Sigma}^T \hat{\eta} \hat{\Sigma} = \hat{\eta} \rangle .$$

$$O_{\hat{\eta}}(2, 2, \mathbb{Z}) \cong [(\text{SL}(2, \mathbb{Z})_T \times \text{SL}(2, \mathbb{Z})_U) \rtimes (\mathbb{Z}_2 \times \mathbb{Z}_2)] / \mathbb{Z}_2 .$$

Action on moduli $M \equiv \{T, U\}$ includes

$$s : M \mapsto -\frac{1}{M} , \quad t : M \mapsto M+1 , \quad u : M \mapsto -\overline{M} , \quad d : U \leftrightarrow T .$$

Flavor symmetries from top-down perspective

Specializing to $D = 2 \Rightarrow$ 4-dim lattice w/ $E^T E \equiv \mathcal{H} = \mathcal{H}(T, U)$

\rightarrow **Kähler** T and **complex structure modulus** U .

Reflection and rotation **outer automorphisms** of Narain space group:
Modular transformations

$$O_{\hat{\eta}}(D, D, \mathbb{Z}) := \langle \hat{\Sigma} \mid \hat{\Sigma} \in \text{GL}(2D, \mathbb{Z}) \text{ with } \hat{\Sigma}^T \hat{\eta} \hat{\Sigma} = \hat{\eta} \rangle .$$

$$O_{\hat{\eta}}(2, 2, \mathbb{Z}) \cong [(\text{SL}(2, \mathbb{Z})_T \times \text{SL}(2, \mathbb{Z})_U) \rtimes (\mathbb{Z}_2 \times \mathbb{Z}_2)] / \mathbb{Z}_2 .$$

Action on moduli $M \equiv \{T, U\}$ includes

$$s : M \mapsto -\frac{1}{M} , \quad t : M \mapsto M+1 , \quad u : M \mapsto -\bar{M} , \quad d : U \leftrightarrow T .$$

Translational **outer automorphisms** of Narain space group:
Traditional flavor symmetries

$$M \xrightarrow{h} M' \neq M \quad \leftrightarrow \text{“modular flavor trafo”}$$

$$M \xrightarrow{h} M' = M \quad \leftrightarrow \text{“traditional flavor trafo”}$$

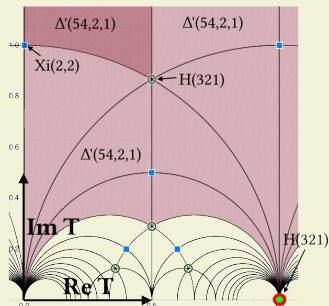
$$\text{Out}_{\text{Narain}} = \left\{ (\hat{\Sigma}_1, 0), (\hat{\Sigma}_2, 0), \dots, (1, \hat{T}_1), (1, \hat{T}_2), \dots \right\} .$$

The eclectic flavor symmetry of $\mathbb{T}^2/\mathbb{Z}_3$

For this specific orbifold, $\langle U \rangle = \exp(2\pi i/3)$.

nature of symmetry		outer automorphism of Narain space group	flavor groups			
eclectic	modular	rotation $S \in \text{SL}(2, \mathbb{Z})_T$ rotation $T \in \text{SL}(2, \mathbb{Z})_T$	\mathbb{Z}_4 \mathbb{Z}_3	T'		$\Omega(2)$
	traditional flavor	translation A translation B	\mathbb{Z}_3 \mathbb{Z}_3	$\Delta(27)$	$\Delta(54)$ $\Delta'(54, 2, 1)$	
		rotation $C = S^2 \in \text{SL}(2, \mathbb{Z})_T$	\mathbb{Z}_2^R			
		rotation $R \in \text{SL}(2, \mathbb{Z})_U$	\mathbb{Z}_9^R			

table from [Nilles, Ramos-Sánchez, Vaudrevange '20]



Action on the T modulus as

$$S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix},$$

$$T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix},$$

$$K_*^{\mathcal{CP}} = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}.$$

A, B, C, R : trivial!

The eclectic flavor symmetry of $\mathbb{T}^2/\mathbb{Z}_3$

For this specific orbifold, $\langle U \rangle = \exp(2\pi i/3)$.

The outer automorphisms of the corresponding Narain space group yield the following symmetries:

[Baur, Nilles, AT, Vaudrevange '19; Nilles, Ramos-Sánchez, Vaudrevange '20]

- a $\Delta(54)$ traditional flavor symmetry,
- an $SL(2, \mathbb{Z})_T$ modular symmetry which acts as a $\Gamma'_3 \cong T'$ finite modular symmetry on matter fields and their couplings,
- a \mathbb{Z}_9^R discrete R -symmetry as remnant of $SL(2, \mathbb{Z})_U$, and
- a $\mathbb{Z}_2^{\mathcal{CP}}$ \mathcal{CP} -like transformation.

$$G_{\text{eclectic}} = G_{\text{traditional}} \cup G_{\text{modular}} \cup G_R \cup \mathcal{CP},$$

Together, the full eclectic group of this setting is of order 3888 given by

$$G_{\text{eclectic}} = \Omega(2) \rtimes \mathbb{Z}_2^{\mathcal{CP}}, \quad \text{with } \Omega(2) \cong [1944, 3448].$$

The eclectic flavor symmetry of $\mathbb{T}^2/\mathbb{Z}_3$

For this specific orbifold, $\langle U \rangle = \exp(2\pi i/3)$.

The outer automorphisms of the corresponding Narain space group yield the following symmetries:

[Baur, Nilles, AT, Vaudrevange '19; Nilles, Ramos-Sánchez, Vaudrevange '20]

- a $\Delta(54)$ traditional flavor symmetry,
- an $SL(2, \mathbb{Z})_T$ modular symmetry which acts as a $\Gamma'_3 \cong T'$ finite modular symmetry on matter fields and their couplings,
- a \mathbb{Z}_9^R discrete R -symmetry as remnant of $SL(2, \mathbb{Z})_U$, and
- a \mathbb{Z}_2^{CP} CP -like transformation.

$$G_{\text{eclectic}} = G_{\text{traditional}} \cup G_{\text{modular}} \cup G_R \cup CP,$$

Together, the full eclectic group of this setting is of order 3888 given by

$$G_{\text{eclectic}} = \Omega(2) \rtimes \mathbb{Z}_2^{CP}, \quad \text{with } \Omega(2) \cong [1944, 3448].$$



Explicit $\mathbb{T}^2/\mathbb{Z}_3$ models: charge assignments

Model	ℓ	\bar{e}	$\bar{\nu}$	q	\bar{u}	\bar{d}	H_u	H_d	flavons
A	$\Phi_{-2/3}$	$\Phi_{-2/3}$	$\Phi_{-2/3}$	$\Phi_{-2/3}$	$\Phi_{-2/3}$	$\Phi_{-2/3}$	Φ_0	Φ_0	$\Phi_{-2/3,-1}$
B	$\Phi_{-1/3}$	$\Phi_{-2/3}$	$\Phi_{-2/3}$	$\Phi_{-2/3}$	$\Phi_{-2/3}$	$\Phi_{-1/3}$	Φ_{-1}	Φ_0	$\Phi_{-2/3,-1}$
C	$\Phi_{-2/3}$	$\Phi_{-1/3}$	$\Phi_{-1/3}$	$\Phi_{-1/3}$	$\Phi_{-1/3}$	$\Phi_{-2/3}$	Φ_{-1}	Φ_{-1}	$\Phi_{-1/3,-1}$
D	$\Phi_{-1/3}$	$\Phi_{-1/3}$	$\Phi_{\pm 2/3,0}$	$\Phi_{-1/3}$	$\Phi_{-1/3}$	$\Phi_{-1/3}$	Φ_0	$\Phi_{-1,0}$	$\Phi_{\pm 2/3,-1}$
E	$\Phi_{-2/3,-1/3}$	$\Phi_{-2/3,0}$	$\Phi_{0,-2/3,-1/3,-5/3}$	$\Phi_{-1,-2/3}$	$\Phi_{-2/3}$	$\Phi_{0,-2/3}$	Φ_0	Φ_0	$\Phi_{-2/3,-1/3,-5/3,-1}$

for methodology, see [Carballo-Pérez, Peinado, Ramos-Sánchez '16; Ramos-Sánchez '17]
[Olguin-Trejo, Perez-Martinez, Ramos-Sanchez '18]

sector	matter fields Φ_n	eclectic flavor group $\Omega(2)$								\mathbb{Z}_9^R R
		modular T' subgroup				traditional $\Delta(54)$ subgroup				
		irrep s	$\rho_s(S)$	$\rho_s(T)$	n	irrep r	$\rho_r(A)$	$\rho_r(B)$	$\rho_r(C)$	
bulk	Φ_0	1	1	1	0	1	1	1	+1	0
	Φ_{-1}	1	1	1	-1	1'	1	1	-1	3
θ	$\Phi_{-2/3}$	2' \oplus 1	$\rho(S)$	$\rho(T)$	-2/3	3₂	$\rho(A)$	$\rho(B)$	+ $\rho(C)$	1
	$\Phi_{-5/3}$	2' \oplus 1	$\rho(S)$	$\rho(T)$	-5/3	3₁	$\rho(A)$	$\rho(B)$	- $\rho(C)$	-2
θ^2	$\Phi_{-1/3}$	2'' \oplus 1	$(\rho(S))^*$	$(\rho(T))^*$	-1/3	3₁	$\rho(A)$	$(\rho(B))^*$	- $\rho(C)$	2
	$\Phi_{+2/3}$	2'' \oplus 1	$(\rho(S))^*$	$(\rho(T))^*$	+2/3	3₂	$\rho(A)$	$(\rho(B))^*$	+ $\rho(C)$	5
super-potential	\mathcal{W}	1	1	1	-1	1'	1	1	-1	3

table from [Nilles, Ramos-Sánchez, Vaudrevange '20]

Explicit $\mathbb{T}^2/\mathbb{Z}_3$ models: charge assignments

Model	ℓ	\bar{e}	$\bar{\nu}$	q	\bar{u}	\bar{d}	H_u	H_d	flavons
A	$\Phi_{-2/3}$	$\Phi_{-2/3}$	$\Phi_{-2/3}$	$\Phi_{-2/3}$	$\Phi_{-2/3}$	$\Phi_{-2/3}$	Φ_0	Φ_0	$\Phi_{-2/3,-1}$
B	$\Phi_{-1/3}$	$\Phi_{-2/3}$	$\Phi_{-2/3}$	$\Phi_{-2/3}$	$\Phi_{-2/3}$	$\Phi_{-1/3}$	Φ_{-1}	Φ_0	$\Phi_{-2/3,-1}$
C	$\Phi_{-2/3}$	$\Phi_{-1/3}$	$\Phi_{-1/3}$	$\Phi_{-1/3}$	$\Phi_{-1/3}$	$\Phi_{-2/3}$	Φ_{-1}	Φ_{-1}	$\Phi_{-1/3,-1}$
D	$\Phi_{-1/3}$	$\Phi_{-1/3}$	$\Phi_{\pm 2/3,0}$	$\Phi_{-1/3}$	$\Phi_{-1/3}$	$\Phi_{-1/3}$	Φ_0	$\Phi_{-1,0}$	$\Phi_{\pm 2/3,-1}$
E	$\Phi_{-2/3,-1/3}$	$\Phi_{-2/3,0}$	$\Phi_{0,-2/3,-1/3,-5/3}$	$\Phi_{-1,-2/3}$	$\Phi_{-2/3}$	$\Phi_{0,-2/3}$	Φ_0	Φ_0	$\Phi_{-2/3,-1/3,-5/3,-1}$

for methodology, see [Carballo-Pérez, Peinado, Ramos-Sánchez '16; Ramos-Sánchez '17]
[Olguin-Trejo, Perez-Martinez, Ramos-Sanchez '18]

sector	matter fields Φ_n	eclectic flavor group $\Omega(2)$									
		modular T' subgroup				traditional $\Delta(54)$ subgroup					\mathbb{Z}_9^R
		irrep s	$\rho_s(S)$	$\rho_s(T)$	n	irrep r	$\rho_r(A)$	$\rho_r(B)$	$\rho_r(C)$	R	
bulk	Φ_0	1	1	1	0	1	1	1	+1	0	
	Φ_{-1}	1	1	1	-1	1'	1	1	-1	3	
θ	$\Phi_{-2/3}$	$2' \oplus 1$	$\rho(S)$	$\rho(T)$	-2/3	3_2	$\rho(A)$	$\rho(B)$	$+\rho(C)$	1	
	$\Phi_{-5/3}$	$2' \oplus 1$	$\rho(S)$	$\rho(T)$	-5/3	3_1	$\rho(A)$	$\rho(B)$	$-\rho(C)$	-2	
θ^2	$\Phi_{-1/3}$	$2'' \oplus 1$	$(\rho(S))^*$	$(\rho(T))^*$	-1/3	$\bar{3}_1$	$\rho(A)$	$(\rho(B))^*$	$-\rho(C)$	2	
	$\Phi_{+2/3}$	$2'' \oplus 1$	$(\rho(S))^*$	$(\rho(T))^*$	+2/3	$\bar{3}_2$	$\rho(A)$	$(\rho(B))^*$	$+\rho(C)$	5	
super-potential	\mathcal{W}	1	1	1	-1	1'	1	1	-1	3	

table from [Nilles, Ramos-Sánchez, Vaudrevange '20]

Sources of eclectic symmetry breaking

1. Modulus VEV $\langle T \rangle$.

Points w/ enhanced symmetry:

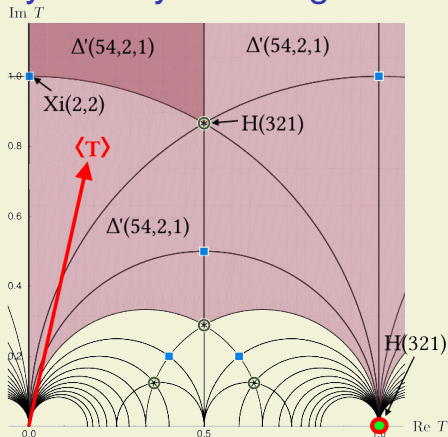
$$\langle T \rangle = i, \quad \Omega(2) \rightarrow \Xi(2, 2)$$

$$\langle T \rangle = \omega, -\omega^2 \quad \Omega(2) \rightarrow H(3, 2, 1)$$

$$\langle T \rangle = i\infty, 1 \quad \Omega(2) \rightarrow H(3, 2, 1)$$

$$\Xi(2, 2) \cong [324, 111]$$

$$H(3, 2, 1) \cong [486, 125]$$

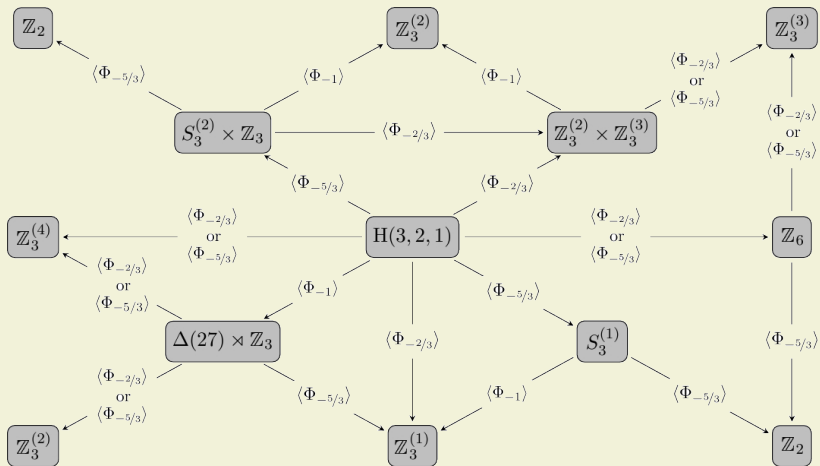


2. Flavan VEVs

$$\langle \Phi_{-2/3} \rangle \sim \langle \mathbf{3}_2 \rangle, \quad \langle \Phi_{-5/3} \rangle \sim \langle \mathbf{3}_1 \rangle, \quad \langle \Phi_{-1} \rangle \sim \langle \mathbf{1}' \rangle,$$

$$\langle \Phi_{-1/3} \rangle \sim \langle \bar{\mathbf{3}}_1 \rangle, \quad \langle \Phi_{+2/3} \rangle \sim \langle \bar{\mathbf{3}}_2 \rangle.$$

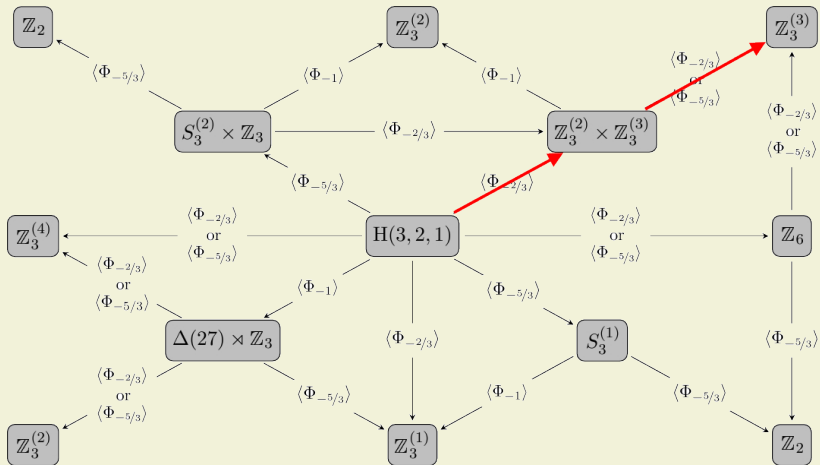
Example: Breakdown of $H(3, 2, 1)$ at $\langle T \rangle = i\infty$



[Baur, Nilles, Ramos-Sánchez, AT, Vaudrevange '22]

Residual symmetries help to generate hierarchies in masses and mixing matrix elements.

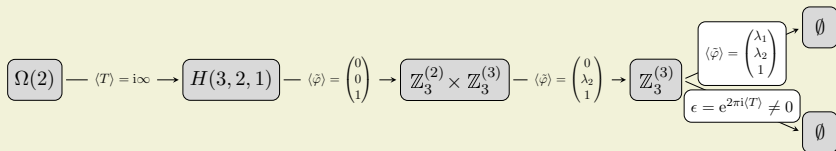
Example: Breakdown of $H(3, 2, 1)$ at $\langle T \rangle = i\infty$



[Baur, Nilles, Ramos-Sánchez, AT, Vaudrevange '22]

Residual symmetries help to generate hierarchies in masses and mixing matrix elements.

Example: Breakdown of $H(3, 2, 1)$ at $\langle T \rangle \approx i\infty$



$$\langle \tilde{\varphi}_{\mathbf{3}_2} \rangle = (\lambda_1, \lambda_2, 1) , \quad \epsilon := e^{2\pi i \langle T \rangle} .$$

$$\mathbb{Z}_3^{(2)} \subset G_{\text{traditional}} \quad \text{generated by} \quad \rho_{\mathbf{3}_2, i\infty}(\text{ABA}^2) = \begin{pmatrix} \omega & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & 1 \end{pmatrix} ,$$

$$\mathbb{Z}_3^{(3)} \subset G_{\text{modular}} \quad \text{generated by} \quad \rho_{\mathbf{3}_2, i\infty}(\text{T}) = \begin{pmatrix} \omega^2 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} .$$

Spontaneous breaking of eclectic symmetry controlled by **technically natural** small parameters

$$\epsilon, \lambda_1 \ll \lambda_2 \ll 1 .$$

A concrete “Model A” example

Superpotential predicted, and tightly constrained:

($M_{\text{Pl}} = 1$)

$$W = \phi^0 [(\phi_u^0 \varphi_u) Y_u H_u \bar{u} q + (\phi_d^0 \varphi_d) Y_d H_d \bar{d} q] \\ + \phi^0 [(\phi_e^0 \varphi_d) Y_\ell H_d \bar{e} \ell + (\varphi_\nu) Y_\nu H_u \bar{\nu} \ell] + \phi_M^0 \varphi_d \bar{\nu} \bar{\nu}.$$

All superpotential terms have the generic structure

$$\Phi_0 \dots \Phi_0 \hat{Y}^{(1)}(T) \Phi_{-2/3}^{(1)} \Phi_{-2/3}^{(2)} \Phi_{-2/3}^{(3)},$$

“singlet flavon(s) \times modular form \times **triplet** matter \times **triplet** matter \times **triplet** flavon”.

\implies All mass terms can be written as

[Nilles, Ramos-Sanchez, Vaudrevange '20]

$$\left(\Phi_{-2/3}^{(1)}\right)^T M \left(T, c, \Phi_{-2/3}^{(3)}\right) \Phi_{-2/3}^{(2)},$$

$$M \left(T, c, \Phi_{-2/3}^{(3)}\right) = c \begin{pmatrix} \hat{Y}_2(T) X & -\frac{\hat{Y}_1(T)}{\sqrt{2}} Z & -\frac{\hat{Y}_1(T)}{\sqrt{2}} Y \\ -\frac{\hat{Y}_1(T)}{\sqrt{2}} Z & \hat{Y}_2(T) Y & -\frac{\hat{Y}_1(T)}{\sqrt{2}} X \\ -\frac{\hat{Y}_1(T)}{\sqrt{2}} Y & -\frac{\hat{Y}_1(T)}{\sqrt{2}} X & \hat{Y}_2(T) Z \end{pmatrix}.$$

with $\Phi_{-2/3}^{(3)} \equiv (X, Y, Z)$, and $\hat{Y}^{(1)}(T) \equiv \begin{pmatrix} \hat{Y}_1(T) \\ \hat{Y}_2(T) \end{pmatrix} \equiv \frac{1}{\eta(T)} \begin{pmatrix} -3\sqrt{2}\eta^3(3T) \\ 3\eta^3(3T) + \eta^3(T/3) \end{pmatrix}.$

A concrete “Model A” example

Superpotential predicted, and tightly constrained:

($M_{\text{Pl}} = 1$)

$$W = \phi^0 [(\phi_u^0 \varphi_u) Y_u H_u \bar{u} q + (\phi_d^0 \varphi_d) Y_d H_d \bar{d} q] \\ + \phi^0 [(\phi_e^0 \varphi_d) Y_\ell H_d \bar{e} \ell + (\varphi_\nu) Y_\nu H_u \bar{\nu} \ell] + \phi_M^0 \varphi_d \bar{\nu} \bar{\nu}.$$

All superpotential terms have the generic structure

$$\Phi_0 \dots \Phi_0 \hat{Y}^{(1)}(T) \Phi_{-2/3}^{(1)} \Phi_{-2/3}^{(2)} \Phi_{-2/3}^{(3)},$$

“singlet flavon(s) \times modular form \times **triplet** matter \times **triplet** matter \times **triplet** flavon”.

\implies All mass terms can be written as

[Nilles, Ramos-Sanchez, Vaudrevange '20]

$$\left(\Phi_{-2/3}^{(1)}\right)^T M\left(T, c, \Phi_{-2/3}^{(3)}\right) \Phi_{-2/3}^{(2)},$$

$$M(\langle T \rangle, \Lambda, \langle \tilde{\varphi} \rangle) = \Lambda \begin{pmatrix} \lambda_1 & 3\epsilon^{1/3} & 3\lambda_2 \epsilon^{1/3} \\ 3\epsilon^{1/3} & \lambda_2 & 3\lambda_1 \epsilon^{1/3} \\ 3\lambda_2 \epsilon^{1/3} & 3\lambda_1 \epsilon^{1/3} & 1 \end{pmatrix} + \mathcal{O}(\epsilon).$$

\implies **Analytic control** over hierarchies, e.g. mass ratios

$$\frac{m_1}{m_2} \approx \left| \frac{\lambda_1}{\lambda_2} \right| \quad \frac{m_2}{m_3} \approx |\lambda_2| \quad \text{for} \quad |\epsilon^{2/3}| \ll |\lambda_1 \lambda_2| \ll |\lambda_2|^2,$$

$$\frac{m_1}{m_2} \approx 9 \left| \frac{\epsilon^{2/3}}{\lambda_2^2} \right| \quad \frac{m_2}{m_3} \approx |\lambda_2| \quad \text{for} \quad |\lambda_1 \lambda_2| \ll |\epsilon^{2/3}| \ll |\lambda_2|^2.$$

Numerical analysis: fit to data

Strategy:

[Baur, Nilles, Ramos-Sánchez, AT, Vaudrevange 2207.10677]

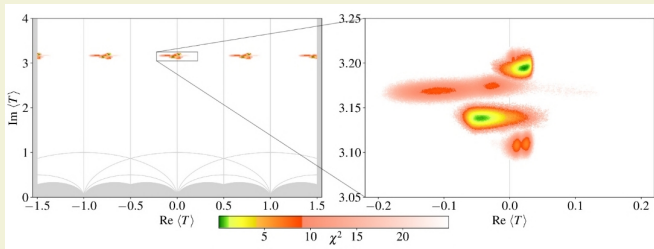
- Fit model to data as *proof-of-existence* of working consistent top-down models.
- Lepton data from NuFITv5.1. [Esteban, Gonzalez-Garcia, Maltoni, Schwetz, Zhou '20]
- Quark data from PDG.
- “State-of-the-art” handling of SUSY breaking and running.
($M_{\text{SUSY}} \approx 10 \text{ TeV}$, $\tan(\beta) \approx 10$)
[Ross, Serna '08], [Antusch, Maurer '13], [Feruglio '17], [Ding, King, Yao '21]
- Numerically minimize χ^2 using `lmfit`. [Newville et al.'21]
- Explore each minimum w/ MCMC sampler `emcee`. [Foreman-Mackey et al.'12]

Numerical analysis: fit to lepton data

Lepton sector fit: **effectively** only 7 parameters

$$x = \{ \text{Re} \langle T \rangle, \text{Im} \langle T \rangle, \langle \tilde{\varphi}_{e,1} \rangle, \langle \tilde{\varphi}_{e,2} \rangle, \langle \tilde{\varphi}_{\nu,1} \rangle, \langle \tilde{\varphi}_{\nu,2} \rangle, \Lambda_\nu \} .$$

parameter	right green region		left green region	
	best-fit value	1σ interval	best-fit value	1σ interval
$\text{Re} \langle T \rangle$	0.02279	0.01345 \rightarrow 0.03087	-0.04283	-0.05416 \rightarrow -0.02926
$\text{Im} \langle T \rangle$	3.195	3.191 \rightarrow 3.199	3.139	3.135 \rightarrow 3.142
$\langle \tilde{\varphi}_{e,1} \rangle$	$-4.069 \cdot 10^{-5}$	$-4.321 \cdot 10^{-5} \rightarrow -3.947 \cdot 10^{-5}$	$2.311 \cdot 10^{-5}$	$2.196 \cdot 10^{-5} \rightarrow 2.414 \cdot 10^{-5}$
$\langle \tilde{\varphi}_{e,2} \rangle$	0.05833	0.05793 \rightarrow 0.05876	0.05826	0.05792 \rightarrow 0.05863
$\langle \tilde{\varphi}_{\nu,1} \rangle$	0.001224	0.001201 \rightarrow 0.001248	-0.001274	-0.001304 \rightarrow -0.001248
$\langle \tilde{\varphi}_{\nu,2} \rangle$	-0.9857	-1.0128 \rightarrow -0.9408	0.9829	0.9433 \rightarrow 1.0122
Λ_ν [eV]	0.05629	0.05442 \rightarrow 0.05888	0.05591	0.05408 \rightarrow 0.05850
χ^2	0.08		0.45	

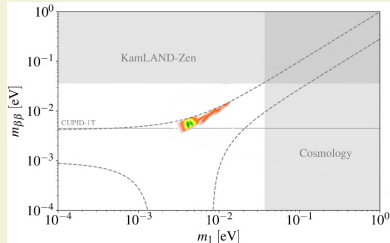
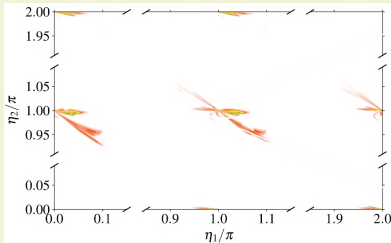
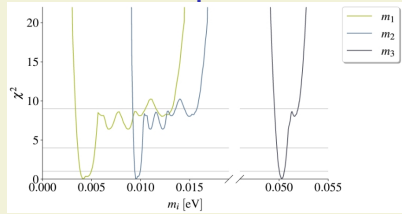
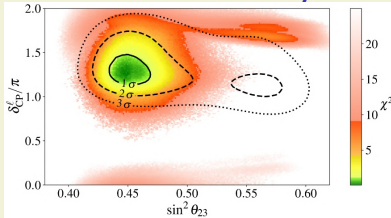


Numerical analysis: fit to lepton data

Neutrino sector fit:

observable	model			experiment		
	best fit	1σ interval	3σ interval	best fit	1σ interval	3σ interval
m_e/m_μ	0.00473	0.00470 \rightarrow 0.00477	0.00462 \rightarrow 0.00485	0.00474	0.00470 \rightarrow 0.00478	0.00462 \rightarrow 0.00486
m_μ/m_τ	0.0586	0.0581 \rightarrow 0.0590	0.0572 \rightarrow 0.0600	0.0586	0.0581 \rightarrow 0.0590	0.0572 \rightarrow 0.0600
$\sin^2 \theta_{12}$	0.303	0.294 \rightarrow 0.315	0.275 \rightarrow 0.335	0.304	0.292 \rightarrow 0.316	0.269 \rightarrow 0.343
$\sin^2 \theta_{13}$	0.02254	0.02189 \rightarrow 0.02304	0.02065 \rightarrow 0.02424	0.02246	0.02184 \rightarrow 0.02308	0.02060 \rightarrow 0.02435
$\sin^2 \theta_{23}$	0.449	0.436 \rightarrow 0.468	0.414 \rightarrow 0.593	0.450	0.434 \rightarrow 0.469	0.408 \rightarrow 0.603
$\delta_{\mathcal{CP}}^\ell/\pi$	1.28	1.15 \rightarrow 1.47	0.81 \rightarrow 1.94	1.28	1.14 \rightarrow 1.48	0.80 \rightarrow 1.94
$\eta_1/\pi \pmod{1}$	0.029	0.018 \rightarrow 0.048	-0.031 \rightarrow 0.090	-	-	-
$\eta_2/\pi \pmod{1}$	0.994	0.992 \rightarrow 0.998	0.935 \rightarrow 1.004	-	-	-
$J_{\mathcal{CP}}$	-0.026	-0.033 \rightarrow -0.015	-0.035 \rightarrow 0.019	-0.026	-0.033 \rightarrow -0.016	-0.033 \rightarrow 0.000
$J_{\mathcal{CP}}^{\max}$	0.0335	0.0330 \rightarrow 0.0341	0.0318 \rightarrow 0.0352	0.0336	0.0329 \rightarrow 0.0341	0.0317 \rightarrow 0.0353
$\Delta m_{21}^2/10^{-5} [\text{eV}^2]$	7.39	7.35 \rightarrow 7.49	7.21 \rightarrow 7.65	7.42	7.22 \rightarrow 7.63	6.82 \rightarrow 8.04
$\Delta m_{31}^2/10^{-3} [\text{eV}^2]$	2.508	2.488 \rightarrow 2.534	2.437 \rightarrow 2.587	2.521	2.483 \rightarrow 2.537	2.430 \rightarrow 2.593
$m_1 [\text{eV}]$	0.0042	0.0039 \rightarrow 0.0049	0.0034 \rightarrow 0.0131	< 0.037	-	-
$m_2 [\text{eV}]$	0.0095	0.0095 \rightarrow 0.0099	0.0092 \rightarrow 0.0157	-	-	-
$m_3 [\text{eV}]$	0.0504	0.0501 \rightarrow 0.0505	0.0496 \rightarrow 0.0519	-	-	-
$\sum_i m_i [\text{eV}]$	0.0641	0.0636 \rightarrow 0.0652	0.0628 \rightarrow 0.0806	< 0.120	-	-
$m_{\beta\beta} [\text{eV}]$	0.0055	0.0045 \rightarrow 0.0064	0.0040 \rightarrow 0.0145	< 0.036	-	-
$m_\beta [\text{eV}]$	0.0099	0.0097 \rightarrow 0.0102	0.0094 \rightarrow 0.0159	< 0.8	-	-
χ^2	0.08					

Numerical analysis: fit to data \rightarrow predictions



The fit predicts:

[Baur, Nilles, Ramos-Sánchez, AT, Vaudrevange PRD'22 & JHEP'22]

- θ_{23}^ℓ lies in lower octant,
- Normal ordering of neutrino masses,
- Majorana phases $\eta_{1,2} \approx \pi$ close to CP conserving values.

Importance of Kähler corrections

- Kähler corrections are important, because they are unconstrained in generic bottom-up modular flavor models.

[Chen, Ramos-Sánchez, Ratz '19]

- Unlike pure modular flavor theories, the traditional flavor symmetry helps to control the Kähler potential.

\curvearrowright K is canonical at leading order.

[Nilles, Ramos-Sanchez, Vaudrevange '20]

- However, there are higher-order Kähler corrections due to VEV of flavon fields that break the traditional flavor symmetry.
- **No** Kähler corrections included in our lepton sector fit.
- **Must** include Kähler corrections for quark sector (Note: this might be specific to Model A: $\varphi_d \equiv \varphi_\ell$).

Schematically:

$$K_{\text{LO}} \supset -\log(-iT + i\bar{T}) + \sum_{\Phi} \left[(-iT + i\bar{T})^{-2/3} + (-iT + i\bar{T})^{1/3} |\hat{Y}^{(1)}(T)|^2 \right] |\Phi|^2 ,$$

$$K_{\text{NLO}} \supset \sum_{\Psi, \varphi} \left[(-iT + i\bar{T})^{-4/3} \sum_a |\Psi\varphi|_{\mathbf{1},a}^2 + (-iT + i\bar{T})^{-1/3} \sum_a |\hat{Y}^{(1)}(T)\Psi\varphi|_{\mathbf{1},a}^2 \right] .$$

Kähler corrections – parametrization

For a given quark flavor $f = \{u, d, q\}$,

$$K_{ij}^{(f)} \approx \chi^{(f)} \left[\delta_{ij} + \lambda_{\varphi_{\text{eff}}}^{(f)} \left(A_{ij}^{(f)} + \kappa_{\varphi_{\text{eff}}}^{(f)} B_{ij}^{(f)} \right) \right],$$

with flavor space structures $A = A(\varphi, T)$ and $B = B(\varphi, T)$ that are fixed by group theory and depend on *all* flavon fields. We can define “effective flavons” such that

$$\begin{aligned} \sum_{\varphi} \lambda_{\varphi}^{(f)} A_{ij}(\varphi) &=: \lambda_{\varphi_{\text{eff}}}^{(f)} A_{ij}(\tilde{\varphi}_{\text{eff}}^{(A,f)}) && \equiv \lambda_{\varphi_{\text{eff}}}^{(f)} A_{ij}^{(f)}, \\ \sum_{\varphi} \lambda_{\varphi}^{(f)} \kappa_{\varphi}^{(f)} B_{ij}(\varphi) &=: \lambda_{\varphi_{\text{eff}}}^{(f)} \kappa_{\varphi_{\text{eff}}}^{(f)} B_{ij}(\tilde{\varphi}_{\text{eff}}^{(B,f)}) && \equiv \lambda_{\varphi_{\text{eff}}}^{(f)} \kappa_{\varphi_{\text{eff}}}^{(f)} B_{ij}^{(f)}. \end{aligned}$$

Tilde means we took the scale out of the flavon directions

$$\tilde{\varphi}_{\text{eff}}^{(A,B)} := \varphi_{\text{eff}}^{(A,B)} / \Lambda_{\varphi_{\text{eff}}^{(A,B)}} \quad \text{such that} \quad \tilde{\varphi}_{\text{eff}}^{(A,B)} := \left(\tilde{\varphi}_{\text{eff},1}^{(A,B)}, \tilde{\varphi}_{\text{eff},2}^{(A,B)}, 1 \right)^{\text{T}}.$$

Finally, we can define the parameters

$$\alpha_i^{(f)} := \sqrt{\lambda_{\varphi_{\text{eff}}}^{(f)}} \langle \tilde{\varphi}_{\text{eff},i}^{(A,f)} \rangle, \quad \beta_i^{(f)} := \sqrt{\lambda_{\varphi_{\text{eff}}}^{(f)}} \langle \tilde{\varphi}_{\text{eff},i}^{(B,f)} \rangle,$$

and one can show that

$$\lambda_{\varphi_{\text{eff}}}^{(f)} A_{ij}^{(f)} = \alpha_i^{(f)} \alpha_j^{(f)}, \quad \lambda_{\varphi_{\text{eff}}}^{(f)} B_{ij}^{(f)} \approx \beta_i^{(f)} \beta_j^{(f)}.$$

Note: All this is very specific to Models of type A.

Numerical analysis: fit to quark & lepton data

Parameters:

Observables:

	parameter	best-fit value
superpotential	$\text{Im} \langle T \rangle$	3.195
	$\text{Re} \langle T \rangle$	0.02279
	$\langle \tilde{\varphi}_{u,1} \rangle$	$2.0332 \cdot 10^{-4}$
	$\langle \vartheta_{u,1} \rangle$	1.6481
	$\langle \tilde{\varphi}_{u,2} \rangle$	$6.3011 \cdot 10^{-2}$
	$\langle \vartheta_{u,2} \rangle$	-1.5983
	$\langle \tilde{\varphi}_{e,1} \rangle$	$-4.069 \cdot 10^{-5}$
	$\langle \tilde{\varphi}_{e,2} \rangle$	$5.833 \cdot 10^{-2}$
	$\langle \tilde{\varphi}_{\nu,1} \rangle$	$1.224 \cdot 10^{-3}$
	$\langle \tilde{\varphi}_{\nu,2} \rangle$	-0.9857
	Λ_ν [eV]	0.05629
Kähler potential	α_1^u	-0.94917
	α_2^u	0.0016906
	α_3^u	0.31472
	α_1^d	0.95067
	α_2^d	0.0077533
	α_3^d	0.30283
	α_1^e	-0.96952
	α_2^e	-0.20501
	α_3^e	0.041643

Imposed constraints:

- $\kappa_{\varphi_{\text{eff}}}(f) = 1 \quad \forall f,$
- $\alpha_i^{(f)} = \beta_i^{(f)} \quad \forall f, i,$
- $\alpha_i^{(f)} \in \mathbb{R}.$

	observable	model best fit	exp. best fit	exp. 1σ interval
quark sector	m_u/m_c	0.00193	0.00193	$0.00133 \rightarrow 0.00253$
	m_c/m_t	0.00280	0.00282	$0.00270 \rightarrow 0.00294$
	m_d/m_s	0.0505	0.0505	$0.0443 \rightarrow 0.0567$
	m_s/m_b	0.0182	0.0182	$0.0172 \rightarrow 0.0192$
	ϑ_{12} [deg]	13.03	13.03	$12.98 \rightarrow 13.07$
	ϑ_{13} [deg]	0.200	0.200	$0.193 \rightarrow 0.207$
	ϑ_{23} [deg]	2.30	2.30	$2.26 \rightarrow 2.34$
	δ_{CP}^q [deg]	69.2	69.2	$66.1 \rightarrow 72.3$
	m_e/m_μ	0.00473	0.00474	$0.00470 \rightarrow 0.00478$
	m_μ/m_τ	0.0586	0.0586	$0.0581 \rightarrow 0.0590$
lepton sector	$\sin^2 \theta_{12}$	0.303	0.304	$0.292 \rightarrow 0.316$
	$\sin^2 \theta_{13}$	0.0225	0.0225	$0.0218 \rightarrow 0.0231$
	$\sin^2 \theta_{23}$	0.449	0.450	$0.434 \rightarrow 0.469$
	δ_{CP}^l/π	1.28	1.28	$1.14 \rightarrow 1.48$
	η_1/π	0.029	-	-
	η_2/π	0.994	-	-
	J_{CP}	-0.026	-0.026	$-0.033 \rightarrow -0.016$
	J_{CP}^{max}	0.0335	0.0336	$0.0329 \rightarrow 0.0341$
	$\Delta m_{21}^2/10^{-5}$ [eV ²]	7.39	7.42	$7.22 \rightarrow 7.63$
	$\Delta m_{31}^2/10^{-3}$ [eV ²]	2.521	2.510	$2.483 \rightarrow 2.537$
	m_1 [eV]	0.0042	< 0.037	-
	m_2 [eV]	0.0095	-	-
	m_3 [eV]	0.0504	-	-
	$\sum_i m_i$ [eV]	0.0641	< 0.120	-
	$m_{\beta\beta}$ [eV]	0.0055	< 0.036	-
m_β [eV]	0.0099	< 0.8	-	
χ^2	0.11			

Possible lessons for bottom-up model building

Empirical observations:

- Modular flavor symmetries do not arise alone;
They are generically accompanied by (partly overlapping!)
 - “traditional” discrete flavor symmetries (& flavons),
 - discrete (non-Abelian) R symmetries,
 - \mathcal{CP} -type symmetries.

$$G_{\text{eclectic}} = G_{\text{traditional}} \cup G_{\text{modular}} \cup G_R \cup \mathcal{CP}.$$

- Modular weights of matter fields are fractional,
Modular weights of (Yukawa) couplings are integer.
- Modular weights are 1 : 1 “locked” to all other flavor symmetry representations.

Conjecture: This may be a general top-down feature !?

for other known examples, see

[Ishiguro, Kobayashi, Otsuka '21], [Kikuchi, Kobayashi, Uchida '21]
[Almumin, Chen, Knapp-Pérez, Ramos-Sánchez, Ratz, Shukla '21]

Many open questions

- Extra tori? → Metaplectic groups

[Ding, Feruglio, Liu '20 & '21], [Nilles, Ramos-Sanchez, AT, Vaudrevange '21]

- Other possible realistic string configurations?

“Size of the ‘landscape’ ”?

- Moduli stabilization?
- Flavon potential?
- Restrictions on Kähler potential?

see [Chen, Ramos-Sanchez, Ratz '19]

[Chen, Knapp-Perez, Ramos-Hamud, Ramos-Sanchez, Ratz, Shukla '21]

Summary

- There are explicit models of heterotic string theory that reproduce, at low energies, the

MSSM + (modular) flavor symmetry + flavons.

- The complete flavor symmetry can unambiguously be derived by the **outer automorphisms** of the Narain space group.
- One finds an “eclectic” flavor symmetry that non-trivially unifies:

$$G_{\text{eclectic}} = G_{\text{traditional}} \cup G_{\text{modular}} \cup G_{\text{R}} \cup \mathcal{CP}.$$

- This symmetry is broken by
 - Expectation values of the moduli, e.g. $\langle U \rangle$, $\langle T \rangle$.
 - Expectation values of the flavon fields.
- (Approximate) residual symmetries are common, and can help to naturally generate hierarchies in masses and mixing matrix elements.
- We have identified one example for a model that can give a successful fit to the observed SM flavor structure.



Thank You

Backup slides

What is an outer automorphism?

Example: \mathbb{Z}_3 symmetry, generated by $a^3 = \text{id}$.

- All elements of $\mathbb{Z}_3 : \{\text{id}, a, a^2\}$.
- **Outer automorphism** group (“Out”) of \mathbb{Z}_3 : generated by

$$u(a) : a \mapsto a^2. \quad (\text{think: } u a u^{-1} = a^2)$$

\mathbb{Z}_3	id	a	a^2
1	1	1	1
1'	1	ω	ω^2
1''	1	ω^2	ω

$(\omega := e^{2\pi i/3})$

What is an outer automorphism?

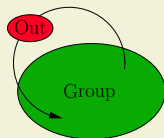
Example: \mathbb{Z}_3 symmetry, generated by $a^3 = \text{id}$.

- All elements of \mathbb{Z}_3 : $\{\text{id}, a, a^2\}$.
- **Outer automorphism** group (“Out”) of \mathbb{Z}_3 : generated by

$$u(a) : a \mapsto a^2. \quad (\text{think: } u a u^{-1} = a^2)$$

\mathbb{Z}_3	id	$a \leftrightarrow a^2$	
1	1	1	1
1'	1	ω	ω^2
1''	1	ω^2	ω

$(\omega := e^{2\pi i/3})$



What is an outer automorphism?

Example: \mathbb{Z}_3 symmetry, generated by $a^3 = \text{id}$.

- All elements of \mathbb{Z}_3 : $\{\text{id}, a, a^2\}$.
- **Outer automorphism** group (“**Out**”) of \mathbb{Z}_3 : generated by

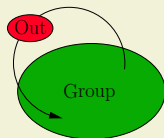
$$u(a) : a \mapsto a^2. \quad (\text{think: } u a u^{-1} = a^2)$$

Abstract: **Out** is a reshuffling of symmetry elements.

In words: **Out** is a “**symmetry of the symmetry**”.

\mathbb{Z}_3	id	$a \leftrightarrow a^2$	
1	1	1	1
1'	1	ω	ω^2
1''	1	ω^2	ω

$(\omega := e^{2\pi i/3})$



What is an outer automorphism?

Example: \mathbb{Z}_3 symmetry, generated by $a^3 = \text{id}$.

- All elements of \mathbb{Z}_3 : $\{\text{id}, a, a^2\}$.
- **Outer automorphism** group (“**Out**”) of \mathbb{Z}_3 : generated by

$$u(a) : a \mapsto a^2. \quad (\text{think: } u a u^{-1} = a^2)$$

\mathbb{Z}_3	id	$a \leftrightarrow a^2$	
1	1	1	1
1'	1	ω	ω^2
1''	1	ω^2	ω

$(\omega := e^{2\pi i/3})$

Abstract: **Out** is a reshuffling of symmetry elements.

In words: **Out** is a “**symmetry of the symmetry**”.

Concrete: **Out** is a 1:1 mapping of representations $r \mapsto r'$.

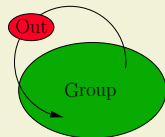
Comes with a transformation matrix U , which is given by

$$U \rho_{r'}(g) U^{-1} = \rho_r(u(g)), \quad \forall g \in G.$$

(consistency condition)

- $\rho_r(g)$: representation matrix for group element $g \in G$
- $u : g \mapsto u(g)$: **outer automorphism**

[Fallbacher, AT, '15]
[Holthausen, Lindner, Schmidt, '13]



What is an outer automorphism?

Example: \mathbb{Z}_3 symmetry, generated by $a^3 = \text{id}$.

- All elements of \mathbb{Z}_3 : $\{\text{id}, a, a^2\}$.
- **Outer automorphism** group (“Out”) of \mathbb{Z}_3 : generated by

$$u(a) : a \mapsto a^2. \quad (\text{think: } u a u^{-1} = a^2)$$

\mathbb{Z}_3	id	$a \leftrightarrow a^2$	
1	1	1	1
1'	1	ω	ω^2
1''	1	ω^2	ω

$(\omega := e^{2\pi i/3})$

Abstract: **Out** is a reshuffling of symmetry elements.

In words: **Out** is a “**symmetry of the symmetry**”.

Concrete: **Out** is a 1:1 mapping of representations $r \mapsto r'$.

Comes with a transformation matrix U , which is given by

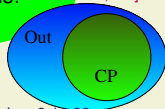
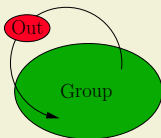
$$U \rho_{r'}(g) U^{-1} = \rho_r(u(g)), \quad \forall g \in G.$$

(consistency)

E.g.: Physical CP trafo is a special case of this!

$$r \mapsto r' = r^*$$

- $\rho_r(g)$: representation matrix for group element g
- $u : g \mapsto u(g)$: **outer automorphism**



Flavor and Modular Symmetries

Feruglio: “Are neutrino masses modular forms?” [Feruglio '17]

General (bottom-up) idea:

- Supersymmetric (say $N = 1$) theory.
- Ask for **modular invariance**: [Ferrara, Lüst, (Shapere), Theisen '89(x2)]

$$\tau \mapsto \gamma\tau = \frac{a\tau + b}{c\tau + d}, \quad \varphi^{(I)} \mapsto (c\tau + d)^{-k_I} \rho^{(I)}(\gamma)\varphi^{(I)}.$$

$$\gamma := \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma(N), \quad \{a, b, c, d\} \in \mathbb{Z}, \quad \Phi := (\tau, \varphi).$$

- *EITHER* $W(\Phi)$, $K(\Phi, \bar{\Phi})$ invariant (K up to Kähler transf.), \longrightarrow global SUSY
OR compensating against each other. \longrightarrow SUGRA
- In any case, Yukawa couplings must be *modular forms*:

$$W(\Phi) = \sum_n Y_{I_1 \dots I_n}(\tau) \varphi^{(I_1)} \dots \varphi^{(I_n)},$$

$$Y_{I_1 \dots I_n}(\tau) \mapsto Y_{I_1 \dots I_n}(\gamma\tau) \stackrel{!}{=} \left[e^{i\alpha(\gamma)} \right] (c\tau + d)^{k_Y(n)} Y_{I_1 \dots I_n}(\tau)$$

- $\tau \rightarrow \langle \tau \rangle$ breaks modular symmetry $\iff \tau$ takes rôle of flavon!

Origin of eclectic flavor symmetry in heterotic orbifolds

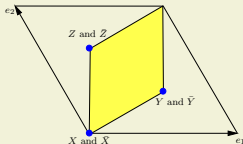
Narain lattice formulation of heterotic string theory:

[Narain '86]

[Narain, Samardi, Witten '87],[Narain, M. H. Sarmadi, and C. Vafa,'87],[Groot Nibbelink & Vaudrevange '17]

Lattice can have symmetries. Symmetries can have fixed points.

e.g. $\mathbb{T}^2/\mathbb{Z}_3$ (with $\mathbb{T}^2 := \mathbb{R}^2/\mathbb{Z}^2$)



Origin of eclectic flavor symmetry in heterotic orbifolds

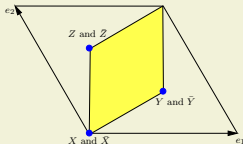
Narain lattice formulation of heterotic string theory:

[Narain '86]

[Narain, Samardi, Witten '87],[Narain, M. H. Sarmadi, and C. Vafa,'87],[Groot Nibbelink & Vaudrevange '17]

Lattice can have symmetries. Symmetries can have fixed points.

e.g. $\mathbb{T}^2/\mathbb{Z}_3$ (with $\mathbb{T}^2 := \mathbb{R}^2/\mathbb{Z}^2$)



Symmetries can have **outer automorphisms**.

“Symmetries of symmetries” [AT'16]

Origin of eclectic flavor symmetry in heterotic orbifolds

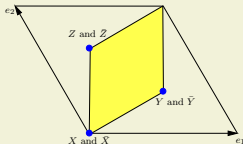
Narain lattice formulation of heterotic string theory:

[Narain '86]

[Narain, Samardi, Witten '87],[Narain, M. H. Sarmadi, and C. Vafa,'87],[Groot Nibbelink & Vaudrevange '17]

Lattice can have symmetries. Symmetries can have fixed points.

e.g. $\mathbb{T}^2/\mathbb{Z}_3$ (with $\mathbb{T}^2 := \mathbb{R}^2/\mathbb{Z}^2$)



Symmetries can have **outer automorphisms**.

“Symmetries of symmetries” [AT'16]

Here, these leave the lattice symmetries invariant, but act non-trivially on the fixed points.

Origin of eclectic flavor symmetry in heterotic orbifolds

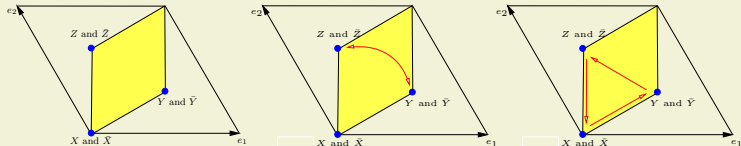
Narain lattice formulation of heterotic string theory:

[Narain '86]

[Narain, Samardi, Witten '87],[Narain, M. H. Sarmadi, and C. Vafa,'87],[Groot Nibbelink & Vaudrevange '17]

Lattice can have symmetries. Symmetries can have fixed points.

e.g. $\mathbb{T}^2/\mathbb{Z}_3$ (with $\mathbb{T}^2 := \mathbb{R}^2/\mathbb{Z}^2$)



Symmetries can have **outer automorphisms**.

“Symmetries of symmetries” [AT'16]

Here, these leave the lattice symmetries invariant, but act non-trivially on the fixed points.

Origin of eclectic flavor symmetry in heterotic orbifolds

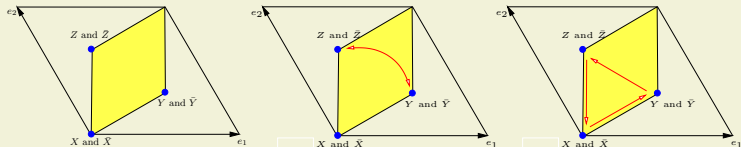
Narain lattice formulation of heterotic string theory:

[Narain '86]

[Narain, Samardi, Witten '87],[Narain, M. H. Sarmadi, and C. Vafa,'87],[Groot Nibbelink & Vaudrevange '17]

Lattice can have symmetries. Symmetries can have fixed points.

e.g. $\mathbb{T}^2/\mathbb{Z}_3$ (with $\mathbb{T}^2 := \mathbb{R}^2/\mathbb{Z}^2$)



Symmetries can have **outer automorphisms**.

“Symmetries of symmetries” [AT'16]

Here, these leave the lattice symmetries invariant, but act non-trivially on the fixed points.

New insight: Flavor symmetries are given by **outer automorphisms** of the Narain lattice space group!

[Baur, Nilles, AT, Vaudrevange '19]

In this way we can unambiguously compute them in the top-down approach.

Origin of eclectic flavor symmetry in heterotic orbifolds

Narain lattice formulation of heterotic string theory:

[Narain '86]

[Narain, Samardi, Witten '87],[Narain, M. H. Sarmadi, and C. Vafa,'87],[Groot Nibbelink & Vaudrevange '17]

- Bosonic string coordinates, D right- and D left-moving, $y_{R,L}$, compactified on $2D$ torus:

$$\begin{pmatrix} y_R \\ y_L \end{pmatrix} \equiv Y \sim \Theta^k Y + E \hat{N},$$

Origin of eclectic flavor symmetry in heterotic orbifolds

Narain lattice formulation of heterotic string theory:

[Narain '86]

[Narain, Samardi, Witten '87],[Narain, M. H. Sarmadi, and C. Vafa,'87],[Groot Nibbelink & Vaudrevange '17]

- Bosonic string coordinates, D right- and D left-moving, $y_{R,L}$, compactified on $2D$ torus:

$$\begin{pmatrix} y_R \\ y_L \end{pmatrix} \equiv Y \sim \Theta^k Y + E \hat{N}, \quad \text{with} \quad \Theta = \begin{pmatrix} \theta_R & 0 \\ 0 & \theta_L \end{pmatrix}, \hat{N} = \begin{pmatrix} n \\ m \end{pmatrix}.$$

- $\Theta^K = \mathbb{1}$, is an “orbifold twist” with $\theta_{R,L} \in \text{SO}(D)$.
- “Narain lattice”:

$$\Gamma = \{E \hat{N} \mid \hat{N} \in \mathbb{Z}^{2D}\}$$

(Γ is even, self-dual lattice with metric $\eta = \text{diag}(-\mathbb{1}_D, \mathbb{1}_D)$.)

- $\hat{N} = (n, m) \in \mathbb{Z}^{2D}$, n : winding number, m : Kaluza-Klein number of string boundary condition.
- E : “Narain vielbein”, depends on moduli of the torus;
 $E^T E \equiv \mathcal{H} = \mathcal{H}(T, U)$.

Origin of eclectic flavor symmetry in heterotic orbifolds

Narain lattice formulation of heterotic string theory:

[Narain '86]

[Narain, Samardi, Witten '87],[Narain, M. H. Sarmadi, and C. Vafa,'87],[Groot Nibbelink & Vaudrevange '17]

- Bosonic string coordinates, D right- and D left-moving, $y_{R,L}$, compactified on $2D$ torus:

$$\begin{pmatrix} y_R \\ y_L \end{pmatrix} \equiv Y \sim \Theta^k Y + E \hat{N}, \quad \text{with} \quad \Theta = \begin{pmatrix} \theta_R & 0 \\ 0 & \theta_L \end{pmatrix}, \hat{N} = \begin{pmatrix} n \\ m \end{pmatrix}.$$

- $\Theta^K = \mathbb{1}$, is an “orbifold twist” with $\theta_{R,L} \in \text{SO}(D)$.
- “Narain lattice”:

$$\Gamma = \{E \hat{N} \mid \hat{N} \in \mathbb{Z}^{2D}\}$$

(Γ is even, self-dual lattice with metric $\eta = \text{diag}(-\mathbb{1}_D, \mathbb{1}_D)$.)

- $\hat{N} = (n, m) \in \mathbb{Z}^{2D}$, n : winding number, m : Kaluza-Klein number of string boundary condition.
- E : “Narain vielbein”, depends on moduli of the torus;
 $E^T E \equiv \mathcal{H} = \mathcal{H}(T, U)$.

$$\mathcal{H}(T, U) = \frac{1}{\text{Im } T \text{ Im } U} \begin{pmatrix} |T|^2 & |T|^2 \text{ Re } U & \text{Re } T \text{ Re } U & -\text{Re } T \\ |T|^2 \text{ Re } U & |T U|^2 & |U|^2 \text{ Re } T & -\text{Re } T \text{ Re } U \\ \text{Re } T \text{ Re } U & |U|^2 \text{ Re } T & |U|^2 & -\text{Re } U \\ -\text{Re } T & -\text{Re } T \text{ Re } U & -\text{Re } U & 1 \end{pmatrix}.$$

Origin of eclectic flavor symmetry in heterotic orbifolds

Narain lattice formulation of heterotic string theory:

[Narain '86]

[Narain, Samardi, Witten '87],[Narain, M. H. Sarmadi, and C. Vafa,'87],[Groot Nibbelink & Vaudrevange '17]

- Bosonic string coordinates, D right- and D left-moving, $y_{R,L}$, compactified on $2D$ torus:

$$\begin{pmatrix} y_R \\ y_L \end{pmatrix} \equiv Y \sim \Theta^k Y + E \hat{N}, \quad \text{with} \quad \Theta = \begin{pmatrix} \theta_R & 0 \\ 0 & \theta_L \end{pmatrix}, \hat{N} = \begin{pmatrix} n \\ m \end{pmatrix}.$$

- $\Theta^K = \mathbb{1}$, is an “orbifold twist” with $\theta_{R,L} \in \text{SO}(D)$.
- “Narain lattice”:

$$\Gamma = \{E \hat{N} \mid \hat{N} \in \mathbb{Z}^{2D}\}$$

(Γ is even, self-dual lattice with metric $\eta = \text{diag}(-\mathbb{1}_D, \mathbb{1}_D)$.)

- $\hat{N} = (n, m) \in \mathbb{Z}^{2D}$, n : winding number, m : Kaluza-Klein number of string boundary condition.
- E : “Narain vielbein”, depends on moduli of the torus;
 $E^T E \equiv \mathcal{H} = \mathcal{H}(T, U)$.

Narain space group $g = (\Theta^k, E \hat{N}) \in S_{\text{Narain}}$ is given by multiplicative closure of all twist and shifts

$$S_{\text{Narain}} := \langle (\Theta, 0), (\mathbb{1}, E_i) \text{ for } i \in \{1, \dots, 2D\} \rangle.$$

Flavor symmetries from top-down perspective

The “*whole*” story: **Narain lattice** formulation of heterotic string theory:

[Narain '86], [Narain, Samardi, Witten '87], [Narain, Sarmadi, Vafa'87], [Groot Nibbelink, Vaudrevange '17]

- Winding \leftrightarrow momentum duality $\Rightarrow D \curvearrowright 2D$ lattice.
- “Narain lattice”: $\Gamma = \{E \hat{N} \mid \hat{N} = (n, m) \in \mathbb{Z}^{2D}\}$
even, self-dual, metric $\eta = \text{diag}(-\mathbb{1}_D, \mathbb{1}_D)$, n : winding #, m : Kaluza-Klein #
 E : “Narain vielbein”, depends on moduli of the torus; $E^T E \equiv \mathcal{H} = \mathcal{H}(T, U)$.
- Narain lattice space group $S_{\text{Narain}} \ni g = (\Theta^k, E \hat{N})$.
- **Outs** of S_{Narain} , $h := (\hat{\Sigma}, \hat{T}) \notin S_{\text{Narain}}$,

$$g \xrightarrow{h} h g h^{-1} \in S_{\text{Narain}}, \quad \hat{\Sigma}^T \hat{\eta} \hat{\Sigma} = \hat{\eta}.$$

\curvearrowright Solve **consistency conditions** to find *all* **Outs**.

The **outer automorphisms** of S_{Narain} include: [Baur, Nilles, AT, Vaudrevange '19 (2x)]

- (i) fixed-point permutation symmetry (S_3 in previous example),
- (ii) “space group selection rules” [Hamidi and Vafa '86]
- (iii) target space modular transformations (inkl. T-duality),
- (iv) “ \mathcal{CP} -like” transformations.

Details of representations

label	quarks and leptons						Higgs fields		flavons							
	q	\bar{u}	\bar{d}	ℓ	\bar{e}	$\bar{\nu}$	H_u	H_d	φ_e	φ_u	φ_ν	ϕ^0	ϕ_M^0	ϕ_e^0	ϕ_u^0	ϕ_d^0
$SU(3)_c$	3	$\bar{\mathbf{3}}$	$\bar{\mathbf{3}}$	1	1	1	1	1	1	1	1	1	1	1	1	1
$SU(2)_L$	2	1	1	2	1	1	2	2	1	1	1	1	1	1	1	1
$U(1)_Y$	1/6	-2/3	1/3	-1/2	1	0	1/2	-1/2	0	0	0	0	0	0	0	0
$\Delta(54)$	3₂	3₂	3₂	3₂	3₂	3₂	1	1	3₂	3₂	3₂	1	1	1	1	1
T'	$2' \oplus 1$	$2' \oplus 1$	$2' \oplus 1$	$2' \oplus 1$	$2' \oplus 1$	$2' \oplus 1$	1	1	$2' \oplus 1$	$2' \oplus 1$	$2' \oplus 1$	1	1	1	1	1
\mathbb{Z}_9^R	1	1	1	1	1	1	0	0	1	1	1	0	0	0	0	0
n	-2/3	-2/3	-2/3	-2/3	-2/3	-2/3	0	0	-2/3	-2/3	-2/3	0	0	0	0	0
\mathbb{Z}_3	1	1	ω	ω	1	1	1	1	1	ω	ω^2	1	1	ω^2	ω^2	ω^2
\mathbb{Z}_3	ω^2	ω^2	1	1	ω^2	ω^2	1	1	ω^2	1	ω	1	1	ω^2	ω^2	ω^2
\mathbb{Z}_3	1	1	ω	1	1	1	1	1	1	ω^2	1	1	1	1	ω	ω^2

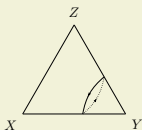
Vectorlike exotic matter:

#	irrep	labels	#	irrep	labels
101	$(\mathbf{1}, \mathbf{1})_0$	s_i			
51	$(\mathbf{1}, \mathbf{1})_{-1/3}$	V_i	51	$(\mathbf{1}, \mathbf{1})_{1/3}$	\bar{V}_i
14	$(\mathbf{1}, \mathbf{1})_{-2/3}$	X_i	14	$(\mathbf{1}, \mathbf{1})_{2/3}$	\bar{X}_i
10	$(\mathbf{1}, \mathbf{2})_{-1/2}$	L_i	10	$(\mathbf{1}, \mathbf{2})_{1/2}$	\bar{L}_i
9	$(\bar{\mathbf{3}}, \mathbf{1})_{1/3}$	\bar{D}_i	9	$(\mathbf{3}, \mathbf{1})_{-1/3}$	D_i
8	$(\mathbf{1}, \mathbf{2})_{-1/6}$	W_i	8	$(\mathbf{1}, \mathbf{2})_{1/6}$	\bar{W}_i
2	$(\bar{\mathbf{3}}, \mathbf{1})_{-2/3}$	\bar{U}_i	2	$(\mathbf{3}, \mathbf{1})_{2/3}$	U_i
4	$(\bar{\mathbf{3}}, \mathbf{1})_0$	Z_i	4	$(\mathbf{3}, \mathbf{1})_0$	\bar{Z}_i
1	$(\bar{\mathbf{3}}, \mathbf{1})_{-1/3}$	Y	1	$(\mathbf{3}, \mathbf{1})_{1/3}$	\bar{Y}

Transformation of massless matter fields

sector	matter fields Φ_n	eclectic flavor group $\Omega(2)$								
		modular T' subgroup				traditional $\Delta(54)$ subgroup				\mathbb{Z}_9^R
		irrep \mathbf{s}	$\rho_{\mathbf{s}}(\text{S})$	$\rho_{\mathbf{s}}(\text{T})$	n	irrep \mathbf{r}	$\rho_{\mathbf{r}}(\text{A})$	$\rho_{\mathbf{r}}(\text{B})$	$\rho_{\mathbf{r}}(\text{C})$	R
bulk	Φ_0	$\mathbf{1}$	1	1	0	$\mathbf{1}$	1	1	+1	0
	Φ_{-1}	$\mathbf{1}$	1	1	-1	$\mathbf{1}'$	1	1	-1	3
θ	$\Phi_{-2/3}$	$\mathbf{2}' \oplus \mathbf{1}$	$\rho(\text{S})$	$\rho(\text{T})$	$-2/3$	$\mathbf{3}_2$	$\rho(\text{A})$	$\rho(\text{B})$	$+\rho(\text{C})$	1
	$\Phi_{-5/3}$	$\mathbf{2}' \oplus \mathbf{1}$	$\rho(\text{S})$	$\rho(\text{T})$	$-5/3$	$\mathbf{3}_1$	$\rho(\text{A})$	$\rho(\text{B})$	$-\rho(\text{C})$	-2
θ^2	$\Phi_{-1/3}$	$\mathbf{2}'' \oplus \mathbf{1}$	$(\rho(\text{S}))^*$	$(\rho(\text{T}))^*$	$-1/3$	$\bar{\mathbf{3}}_1$	$\rho(\text{A})$	$(\rho(\text{B}))^*$	$-\rho(\text{C})$	2
	$\Phi_{+2/3}$	$\mathbf{2}'' \oplus \mathbf{1}$	$(\rho(\text{S}))^*$	$(\rho(\text{T}))^*$	$+2/3$	$\bar{\mathbf{3}}_2$	$\rho(\text{A})$	$(\rho(\text{B}))^*$	$+\rho(\text{C})$	5
super-potential	\mathcal{W}	$\mathbf{1}$	1	1	-1	$\mathbf{1}'$	1	1	-1	3

table from [Nilles, Ramos-Sánchez, Vaudrevange '20]



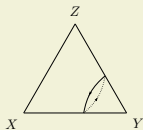
$$(\omega := e^{2\pi i/3})$$

$$\rho(\text{S}) = \frac{i}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega^2 & \omega \\ 1 & \omega & \omega^2 \end{pmatrix}, \quad \rho(\text{T}) = \begin{pmatrix} \omega^2 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

$$\rho(\text{A}) = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \quad \rho(\text{B}) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}, \quad \rho(\text{C}) = - \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} = \rho(\text{S})^2.$$

Transformation of massless matter fields

sector	matter fields Φ_n	eclectic flavor group $\Omega(2)$								
		modular T' subgroup				traditional $\Delta(54)$ subgroup				\mathbb{Z}_9^R
		irrep s	$\rho_s(S)$	$\rho_s(T)$	n	irrep r	$\rho_r(A)$	$\rho_r(B)$	$\rho_r(C)$	R
bulk	Φ_0	$\mathbf{1}$	1	1	0	$\mathbf{1}$	1	1	+1	0
	Φ_{-1}	$\mathbf{1}$	1	1	-1	$\mathbf{1}'$	1	1	-1	3
θ	$\Phi_{-2/3}$	$\mathbf{2}' \oplus \mathbf{1}$	$\rho(S)$	$\rho(T)$	$-2/3$	$\mathbf{3}_2$	$\rho(A)$	$\rho(B)$	$+\rho(C)$	1
	$\Phi_{-5/3}$	$\mathbf{2}' \oplus \mathbf{1}$	$\rho(S)$	$\rho(T)$	$-5/3$	$\mathbf{3}_1$	$\rho(A)$	$\rho(B)$	$-\rho(C)$	-2
θ^2	$\Phi_{-1/3}$	$\mathbf{2}'' \oplus \mathbf{1}$	$(\rho(S))^*$	$(\rho(T))^*$	$-1/3$	$\bar{\mathbf{3}}_1$	$\rho(A)$	$(\rho(B))^*$	$-\rho(C)$	2
	$\Phi_{+2/3}$	$\mathbf{2}'' \oplus \mathbf{1}$	$(\rho(S))^*$	$(\rho(T))^*$	$+2/3$	$\bar{\mathbf{3}}_2$	$\rho(A)$	$(\rho(B))^*$	$+\rho(C)$	5
super-potential	\mathcal{W}	$\mathbf{1}$	1	1	-1	$\mathbf{1}'$	1	1	-1	3



$$(\omega := e^{2\pi i/3})$$

table from [Nilles, Ramos-Sánchez, Vaudrevange '20]

$$\rho(S) = \frac{i}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega^2 & \omega \\ 1 & \omega & \omega^2 \end{pmatrix}, \quad \rho(T) = \begin{pmatrix} \omega^2 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

$$\rho(A) = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \quad \rho(B) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}, \quad \rho(C) = - \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} = \rho(S)^2.$$

Example: Breakdown of $H(3, 2, 1)$ at $\langle T \rangle = \omega$

$H(3, 2, 1)$ subgroup	branchings		subgroup generator(s)	corresponding vevs	
	$\Phi_{-2/3}$	$\Phi_{-5/3}$		$\langle \Phi_{-2/3} \rangle$	$\langle \Phi_{-5/3} \rangle$
$S_3^{(2)} \times \mathbb{Z}_3^{(3)}$	$\mathbf{1}' \oplus \mathbf{2}_c$	$\mathbf{1} \oplus \mathbf{2}_c$	C, AB ² A, AB ² AR(ST)	–	$(\omega^2, 1, 1)^T$
$\mathbb{Z}_3^{(2)} \times \mathbb{Z}_3^{(3)}$	$\mathbf{1} \oplus \mathbf{1}_{\omega,1} \oplus \mathbf{1}_{\omega^2,\omega}$	$\mathbf{1} \oplus \mathbf{1}_{\omega^2,1} \oplus \mathbf{1}_{\omega,\omega^2}$	AB ² A, AB ² AR(ST)	$(\omega^2, 1, 1)^T$	$(\omega^2, 1, 1)^T \oplus \langle \Phi_{-1} \rangle$
$\mathbb{Z}_3^{(3)}$	$\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1}_\omega$	$\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1}_{\omega^2}$	AB ² AR(ST)	$(0, 1, -\omega^2)^T$ $+\alpha(1, 0, -\omega^2)^T$	$(1, -1, 0)^T$ $+\alpha(0, -\omega, 1)^T$
$S_3^{(1)}$	$\mathbf{1}' \oplus \mathbf{2}$	$\mathbf{1} \oplus \mathbf{2}$	C, A	–	$(1, 1, 1)^T$
$\mathbb{Z}_3^{(1)}$	$\mathbf{1} \oplus \mathbf{1}_\omega \oplus \mathbf{1}_{\omega^2}$	$\mathbf{1} \oplus \mathbf{1}_\omega \oplus \mathbf{1}_{\omega^2}$	A	$(1, 1, 1)^T$	$(1, 1, 1)^T \oplus \langle \Phi_{-1} \rangle$
\mathbb{Z}_6	$\mathbf{1} \oplus \mathbf{1}_{-1} \oplus \mathbf{1}_{-\omega}$	$\mathbf{1} \oplus \mathbf{1}_{-1} \oplus \mathbf{1}_\omega$	B ² ACR ² (ST) ²	$(1, -1, 0)^T$	$(1, 1, -2\omega^2)^T$
$\mathbb{Z}_3^{(3)}$	$\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1}_{\omega^2}$	$\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1}_{\omega^2}$	AB ² AR(ST)	$(0, 1, -\omega^2)^T$ $+\alpha(1, 0, -\omega^2)^T$	$(1, -1, 0)^T$ $+\alpha(0, -\omega, 1)^T$
$\mathbb{Z}_3^{(4)}$	$\mathbf{1} \oplus \mathbf{1}_\omega \oplus \mathbf{1}_{\omega^2}$	$\mathbf{1} \oplus \mathbf{1}_\omega \oplus \mathbf{1}_{\omega^2}$	BR(ST) ²	$(1, a, b)^T$	$(1, a, b)^T$
\mathbb{Z}_2	$\mathbf{1} \oplus \mathbf{1}_{-1} \oplus \mathbf{1}_{-1}$	$\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1}_{-1}$	C	$(0, 1, -1)^T$ (preserves $\mathbb{Z}_6^{(2)}$)	$(1, 0, 0)^T$ $+\alpha(0, 1, 1)^T$

Representation matrices of the flavor group of twisted matter fields $\Phi_{-2/3}$ and $\Phi_{-5/3}$

$$\Phi_{-2/3} : \quad \rho_{\mathbf{3}_2, \omega}(A) = \rho(A), \quad \rho_{\mathbf{3}_2, \omega}(B) = \rho(B), \quad \rho_{\mathbf{3}_2, \omega}(C) = \rho(C),$$

$$\rho_{\mathbf{3}_2, \omega}(R) = e^{2\pi i/9} \mathbb{1}_3, \quad \rho_{\mathbf{3}_2, \omega}(ST) = e^{2\pi i 2/9} \rho(ST), \quad \text{and}$$

$$\Phi_{-5/3} : \quad \rho_{\mathbf{3}_1, \omega}(A) = \rho(A), \quad \rho_{\mathbf{3}_1, \omega}(B) = \rho(B), \quad \rho_{\mathbf{3}_1, \omega}(C) = -\rho(C),$$

$$\rho_{\mathbf{3}_1, \omega}(R) = e^{-4\pi i/9} \mathbb{1}_3, \quad \rho_{\mathbf{3}_1, \omega}(ST) = e^{2\pi i 5/9} \rho(ST).$$

Narain vielbein

The Narain vielbein can be parameterized as (in absence of Wilson lines)

$$E := \frac{1}{\sqrt{2}} \begin{pmatrix} \frac{e^{-T}}{\sqrt{\alpha'}} (G - B) & -\sqrt{\alpha'} e^{-T} \\ \frac{e^{-T}}{\sqrt{\alpha'}} (G + B) & \sqrt{\alpha'} e^{-T} \end{pmatrix}.$$

In this definition of the Narain vielbein, e denotes the vielbein of the D -dimensional geometrical torus \mathbb{T}^D with metric $G := e^T e$, e^{-T} corresponds to the inverse transposed matrix of e , B is the anti-symmetric background B -field ($B = -B^T$), and α' is called the Regge slope.

World-sheet modular invariance requires E to span even, self-dual lattice $\Gamma = \{E \hat{N} \mid \hat{N} \in \mathbb{Z}^{2D}\}$ with metric η of signature (D, D) . Consequently, one can always choose E such that

$$E^T \eta E = \hat{\eta}, \quad \text{where} \quad \eta := \begin{pmatrix} -\mathbb{1} & 0 \\ 0 & \mathbb{1} \end{pmatrix} \quad \text{and} \quad \hat{\eta} := \begin{pmatrix} 0 & \mathbb{1} \\ \mathbb{1} & 0 \end{pmatrix}.$$

Transformation of moduli

To compute the transformation properties of the moduli T and U we use the generalized metric $\mathcal{H} = E^T E$. As the Narain vielbein depends on the moduli $E = E(T, U)$ so does the generalized metric $\mathcal{H} = \mathcal{H}(T, U)$. It transforms as

$$\mathcal{H}(T, U) \xrightarrow{\hat{\Sigma}} \mathcal{H}(T', U') = \hat{\Sigma}^{-T} \mathcal{H}(T, U) \hat{\Sigma}^{-1} .$$

This equation can be used to read off the transformations of the moduli

$$T \xrightarrow{\hat{\Sigma}} T' = T'(T, U) \quad \text{and} \quad U \xrightarrow{\hat{\Sigma}} U' = U'(T, U) .$$

For a two-torus \mathbb{T}^2 , the generalized metric in terms of the torus moduli reads

$$\mathcal{H}(T, U) = \frac{1}{\text{Im } T \text{ Im } U} \begin{pmatrix} |T|^2 & |T|^2 \text{ Re } U & \text{Re } T \text{ Re } U & -\text{Re } T \\ |T|^2 \text{ Re } U & |T U|^2 & |U|^2 \text{ Re } T & -\text{Re } T \text{ Re } U \\ \text{Re } T \text{ Re } U & |U|^2 \text{ Re } T & |U|^2 & -\text{Re } U \\ -\text{Re } T & -\text{Re } T \text{ Re } U & -\text{Re } U & 1 \end{pmatrix} .$$

Explicit generators of $\Omega(2)$ for $\mathbb{T}^2/\mathbb{Z}_3$

$SL(2, \mathbb{Z})_T$ modular generators S and T arise from rotational outer automorphisms and act on the modulus via

$$S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad \text{and} \quad T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix},$$

Reflectional outer automorphism corresponding to \mathbb{Z}_2^{CP} CP-like transformation:

$$K_* = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix},$$

$$\rho(S) = \frac{i}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega^2 & \omega \\ 1 & \omega & \omega^2 \end{pmatrix} \quad \text{and} \quad \rho(T) = \begin{pmatrix} \omega^2 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

The traditional flavor symmetry $\Delta(54)$ is generated by two translational outer automorphisms of the Narain space group A and B, together with the \mathbb{Z}_2 rotational outer automorphism $C := S^2$.

$$\rho(A) = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \quad \rho(B) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix} \quad \text{and} \quad \rho(C) = - \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} = \rho(S)^2,$$