# **RL4AA'23: meet & greet session**





Dr. Sabrina Appel 2008 starting working with accelerators, PhD 2011 Since 2014: Automated optimization with numerical + nature optimizer

s.appel@gsi.de, http://web-docs.gsi.de/~sappel/index.html

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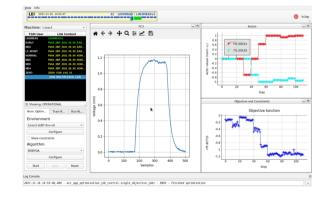
s.appel@gsi.de, http://web-docs.gsi.de/~sappel/index.html



➤The aim is to find the optimum framework for usable ML algorithms for accelerator problems.

- Open Data: Share algorithms and application across facilities + Beam optimization algorithms and ML library
- EUROLABS WP5 Task 3:
- scientific staff member for 3 years at GSI

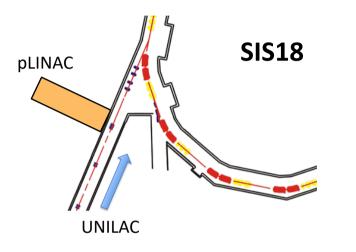
Based on <u>GEOFF</u> (CERN gitlab)



# **GSI** topics



## **Injection optimization**



MTI optimization with Bayesian and maybe with RL

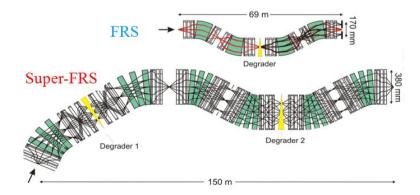
Space charge + loss-induced vacuum degradation Septum protection: Uranium ions can destroy wires

S. Appel et al: International Journal of Modern Physics A Vol. 34, 1942019, (2019),

S. Appel et al: Nucl. Instrum. Methods A 852 (2017), pp. 73-79,

S. Appel et al., J. Phys. Conf. Ser 1350 (2019) 012104

## **Optimize transport of fragmentation beams**



## FRS/Super-FRS (GSI/FAIR)

- Optical coefficient tuning
- Detector/degrader calibration
- Optimization of spectrometer parameters

# **CERN** topics

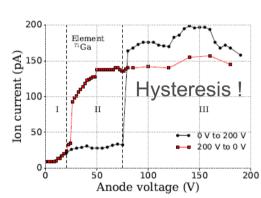




## Ion Sources optimization:

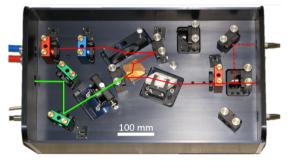
FEBIAD





Y. Martinez Palenzuela, Thesis (https://lirias.kuleuven.be/handle/123456789/636675)

## and RILIS

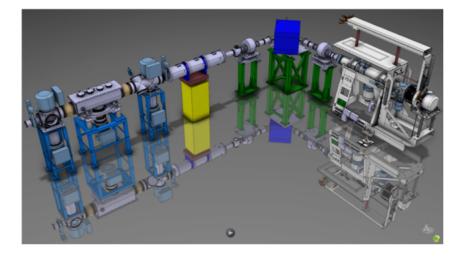


Valentin Fedosseev et al 2017 J. Phys. G: Nucl. Part. Phys. 44 084006, Doi: 10.1088/1361-6471/aa78e0

- Laser cavity has >5 parmeters
- Optomechanics has hysteresis !

## **ISODLE** beam line

ISOLDE offline 2: Testing optimizer and RL algorithms + and AWAKE beam line



and more ...

GSI Helmholtzzentrum für Schwerionenforschung GmbH



## **Awal Awal** RWTH Aachen University GSI

Phd. Student 2021-present <u>a.awal@fz-juelich.de</u> <u>https://github.com/meawal</u> Involvement in RL:

- Optimization of the Injection Beam Line (IBL)
- Autonomous injection optimization of the beam into the Cooler Synchrotron COSY

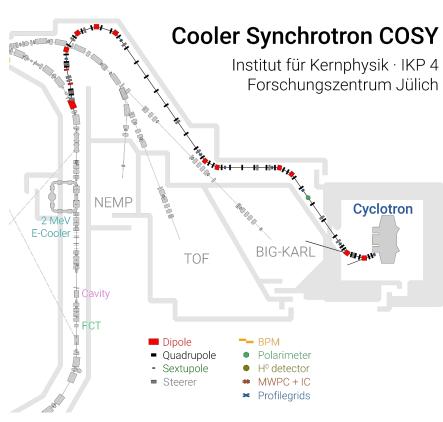
Interests in RL:

- Sim2real
- Hierarchical RL
- Derivative-free optimization
- Sample efficiency
- Multi-agent RL



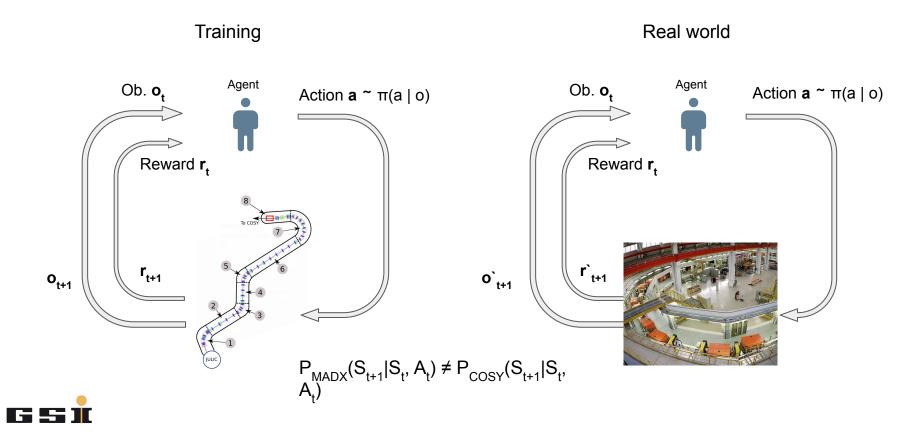
# Cooler Synchrotron COSY

- Designed for protons and deuterons
  - Polarized and unpolarized proton beams in energy range upto 2.7 GeV
  - Deuteron beams in energy range upto 2.1 GeV
  - Stochastic cooling
- Injection beam line (IBL) is the transfer line from the cyclotron (JULIC) to COSY
  - Transferring negatively charged protons and deuterons throughout the IBL
  - 45 MeV protons & 76 MeV deuterons
- Electrons are stripped at the injection point through a stripping foil
- IBL length ≈ 94m. Operated manually through 15 quadrupoles and 27 steerers



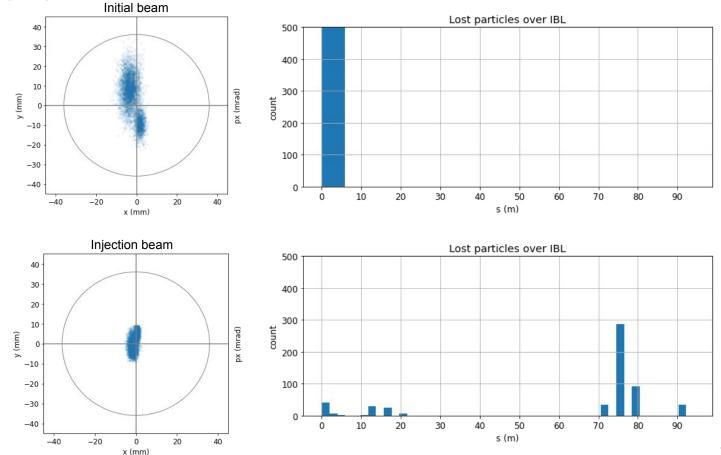


# **RL** in Simulation and Real Environment



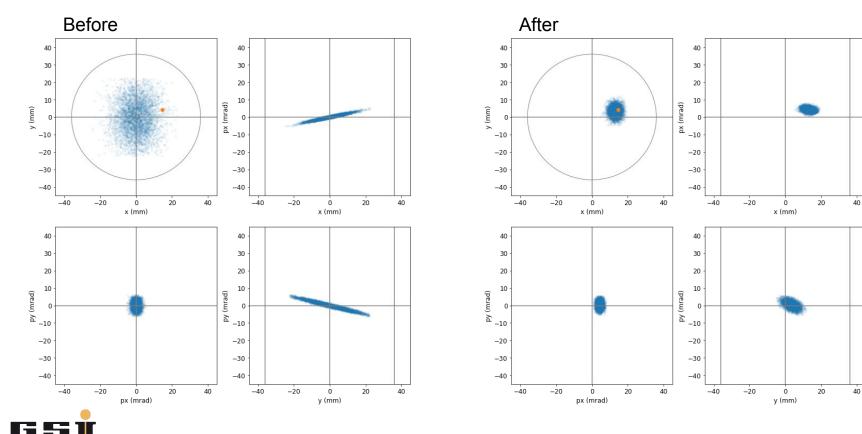
# **IBL** Optimization

5000 particles Step 0 Efficiency: 0%



5000 particles Step 32 Efficiency: 89.9%

# **Autonomous Injection**

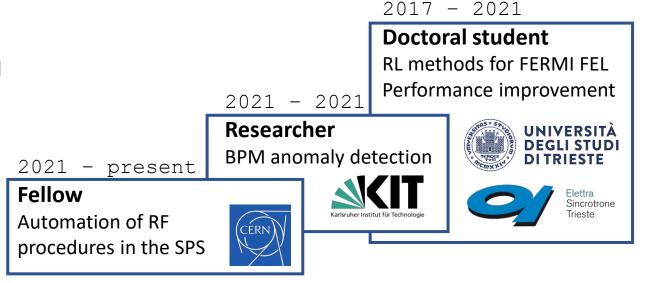




# **Niky Bruchon**

CERN SY-RF-BR

niky.bruchon@cern.ch gitlab.cern.ch/nbruchon researchgate.net



# **RL** activities:

- Investigation of model-free and modelbased RL algorithms to improve the FERMI FEL performance
- Custom OpenAI-Gym environment for developing and testing

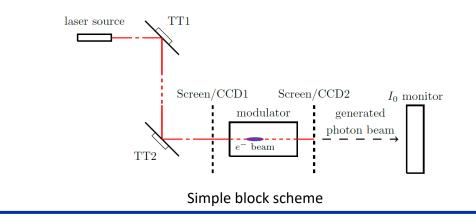
# Interests:

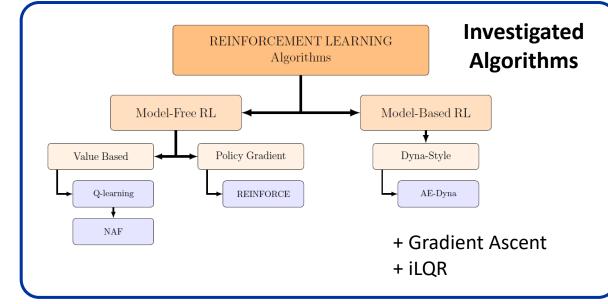
- Autonomous accelerator (one button machine)
- Real-world and online optimization problems
- Reinforcement Learning applications
- Simulation to real word transfer
- Sample efficiency

# **RL STUDIES ON RL TECHNIQUES TO IMPROVE FERMI FEL PERFORMANCE**



**Problem:** transverse overlapping of the electron and laser beams to optimize the light radiation intensity





Performance in the training/identification phase		Performance in the test phase		
Algorithm	Data points	$\operatorname{Algorithm}$	Episode length (mean)	Final intensity (mean)
Q-learning NAF NAF2 AE-Dyna with TRPO AE-Dyna with SAC Gradient Ascent iLQR	3128 1074 824 450 500 1024 1024	Q-learning NAF NAF2 AE-Dyna with TRPO AE-Dyna with SAC Gradient Ascent iLQR	$     \begin{array}{r}       11.28 \\       2.56 \\       2.64 \\       4.46 \\       3.28 \\       3.82 \\       2.54 \\     \end{array} $	$ \begin{array}{r} 1.0019\\ 0.9995\\ 1.0150\\ 1.0427\\ 0.9911\\ 1.0019 \end{array} $

#### Conclusions

Two different tasks have been successfully faced:

- Attainment of the optimal working point
- II. Recovery of the optimal working point after machine drifts

[1] Feasibility investigation on several reinforcement learning techniques to improve the performance of the FERMI free-electron laser

RL4AA'23





#### Conrad Caliari, M.Sc.

- graduated in accelerator physics
- doctoral student at TU Darmstadt



#### Involvement in RL

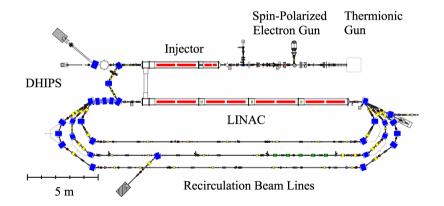
- B.Sc. thesis at S-DALINAC / TU-Darmstadt
  - applied to beam focusing and steering in transfer line

#### Interested in

- Setup & continuous control with RL
- Multi-turn injection into synchrotron
- Identification of magnetic field errors

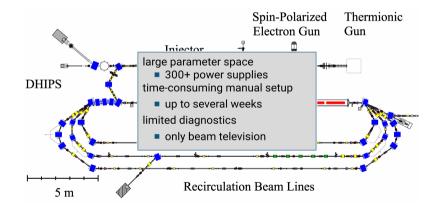
#### S-DALINAC @ TU-Darmstadt





#### S-DALINAC @ TU-Darmstadt





#### Beam Focusing and Steering with RL

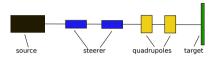


#### Goal

steer beam to center of target, minimize beam spot

#### Task

- continuous state space
  - magnet currents
  - location beam spot / rms-size
- continuous action space
  - adjust magnet current



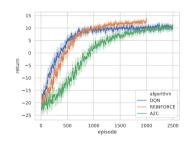
- position and slope of beam randomly initialized
- 6 degrees of freedom
- reward
  - + beam spot moved towards target center
  - + reduction of rms-size
  - constant penalty per step

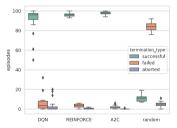
#### Results



# Implemented algorithms

- Deep-Q-Networks (DQN)
- REINFORCE
- Advantage-Actor-Critic (A2C)







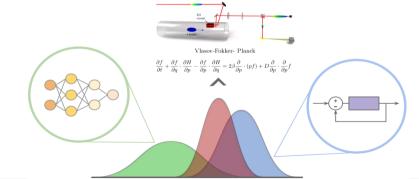


Institute for Beam Physics and Technology

## **PhD Student Felipe Donoso**

Background: Electrical Engineering - Automatic Control - Smart Machines

RL4AA'23 workshop | 20th February 2023



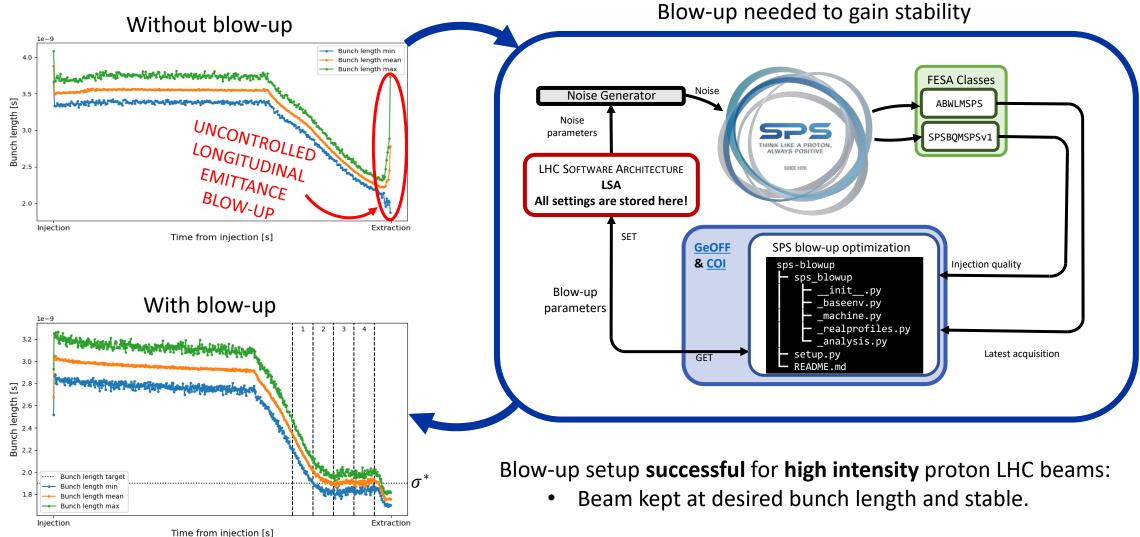


#### My research at IBPT

- The connection of physics models (e.g., partial differential equations) with machine learning (neural networks) for solving inverse problems.
- Tomography methods for the phase-space reconstruction of electron bunches in accelerators.
- Terahertz tomography for medical applications.
- Control of non-linear processes, complex simulations, and algorithm development for science and industrial applications.

# AUTOMATIC SETUP OF THE SPS CONTROLLED LONGITUDINAL EMITTANCE BLOW-UP





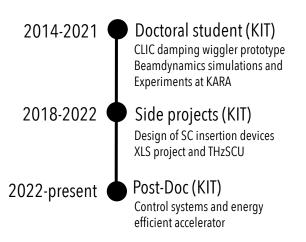
RL4AA'23



Dr. Julian Gethmann

Accelerator Physicist Controls Group (KIT)

julian.gethmann@kit.edu https://chaos.social/smartsammler https://www.linkedin.com/in/ansantam/ https://github.com/smartsammler/

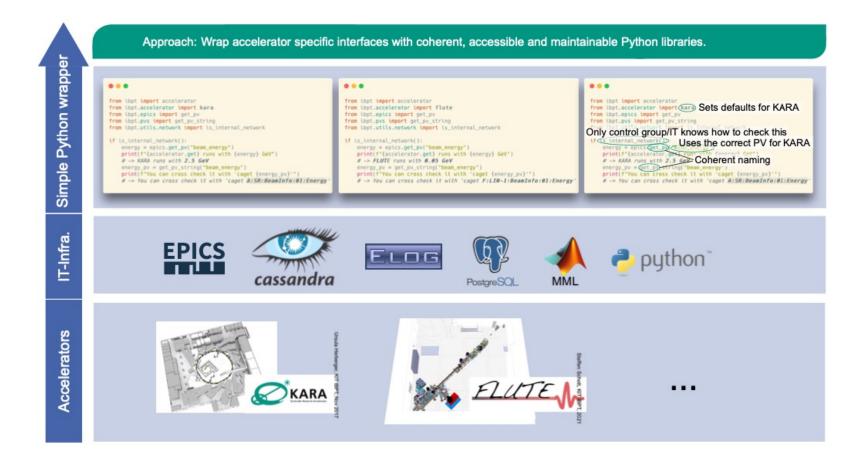


## I'm interested in:

- Integration of ML into accelerator related topics
- Data engineering
- Power consumption optimisation
- Utilising RL for cooling plant optimisation
- (Ethics of ML/AI)

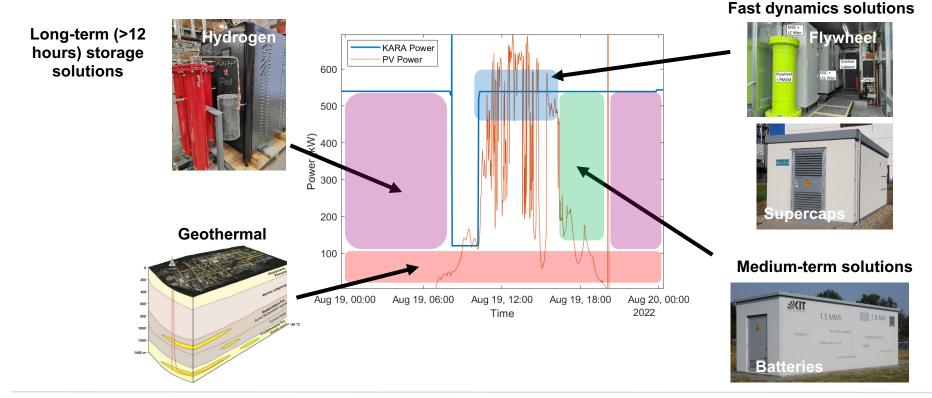
## Possible future projects with RL advantages:

- Integrate the thermal wells into our cooling system
- Adjust the cooling system to external factors (weather, beam time / operation mode, ...)
- Support my colleagues with their RL projects

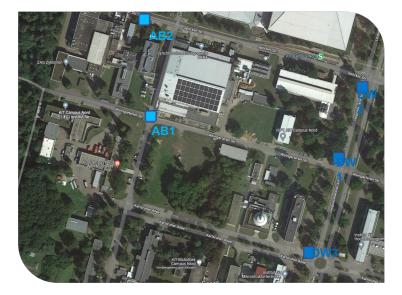


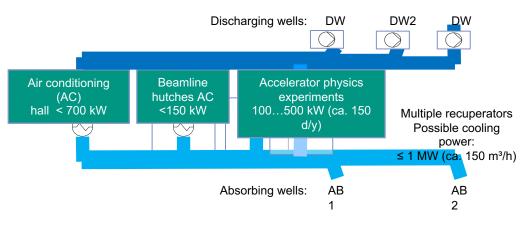
#### 

# Interplay of the sub-systems **KITTEN**



# **Thermal wells**

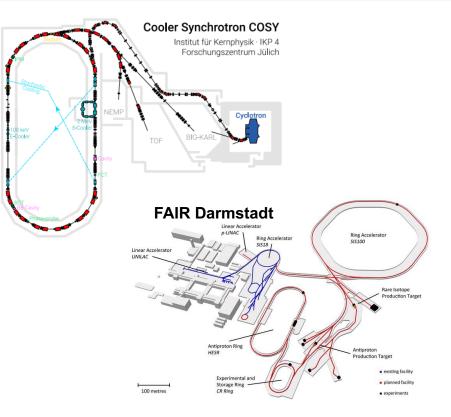






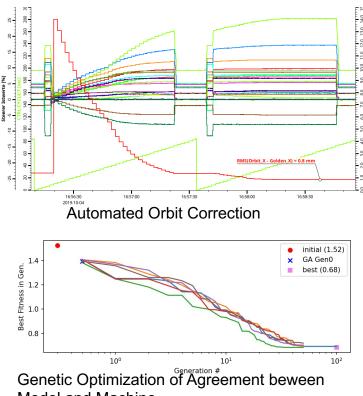
## **Jan Henry Hetzel**

- Accelerator Physicist @ COSY in Jülich since 2014 (PhD in 2018), now employee @ GSI
- Background in Magnetic
   Measurements and Beam
   Dynamics for HESR @ FAIR
- Since 2018 part of Supervisor Crew
   @ COSY
- Strong Interest in Application of Modern Methods and Concepts to Support Operation of Accelerators



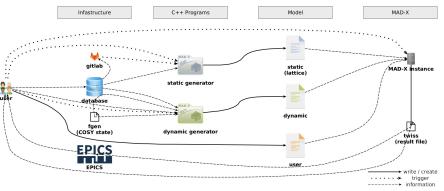


### **Recent Topics (extract)**

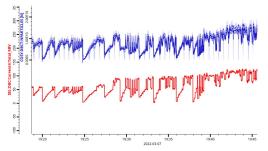


Model and Machine

GSI Helmholtzzentrum für Schwerionenforschung GmbH



Generation of Online Model from Component Database and Display in Control System



Automated Optimization of Particle Transmission through Injection Beam Line

# Simon Hirlaender

# Team lead: Smart analytics and RL IDA LAB

Artificial intelligence and human interfaces Digital and analytical Sciences University of Salzburg

Working in: Artificial intelligence and machine learning, especially deep learning and reinforcement learning, data science, statistics. Research in industry and academia



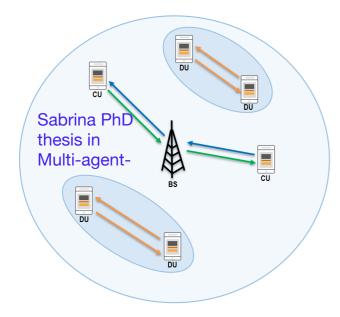
Interested in sampleefficient, safe and robust reinforcement algorithms.

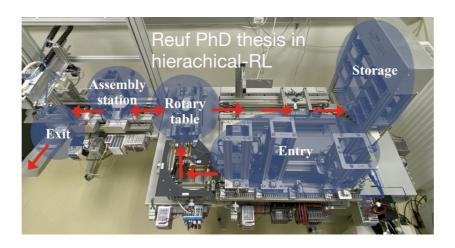
PhD @ CERN Fellowship in SPS-OP ML Coffee Cofounder (with Verena Kain)

> Lecturing in Reinforcement Learning Precision medicine



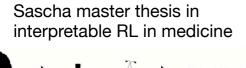


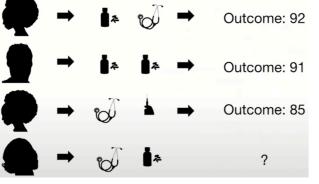






Juan PhD thesis in RL in robotics

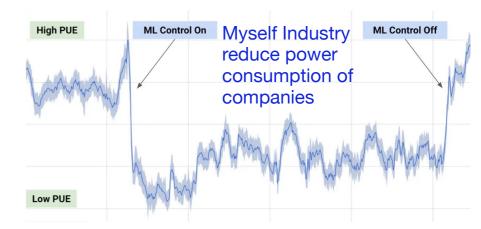


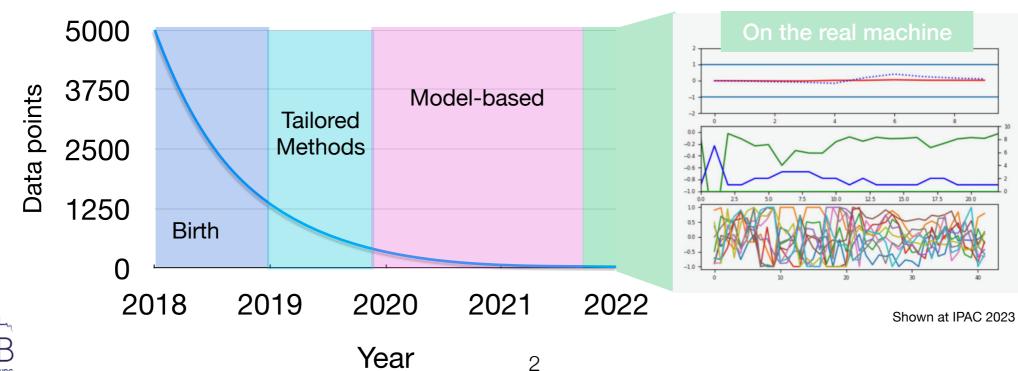


ATA ANALYTICS SALZBURG



Lukas master thesis in Meta RL Amount of data on AWAKE













Jan Kaiser Computer Scientist DESY MSK IPC, Hamburg

jan.kaiser@desy.de https://www.linkedin.com/in/jank324/ https://github.com/jank324 Hamburg University of Technology Computer Science (B.Sc.)

Hamburg University of Technology

*Computer Science (M.Sc.)* ANN-based Data Augmentation Anomaly Detection for UAVs

#### DESY

**Doctoral Researcher** Reinforcement Learning for Accelerator Control ANN-based Virtual Diagnostics

## **Involvement in RL**

- PhD project developing RL solutions to various accelerators
  - Transverse beam parameter tuning at ARES
  - Multi-agent RL-based beam threading at ARES (ongoing)
  - Beam dump loss and temperature feedback at European XFEL (ongoing)
  - FEL intensity tuning and LCLS (ongoing)

#### Interested in ...

2015 - 2018

2018 - 2020

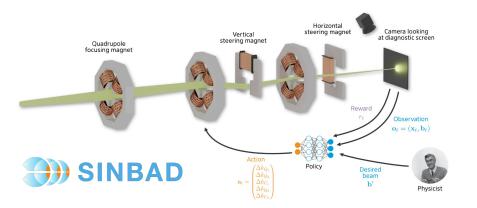
2020 - present

- · RL for tuning and as a feedback on complex real-world systems
- Sim2real transfer
- Reward design
- Observation design (including feature engineering)

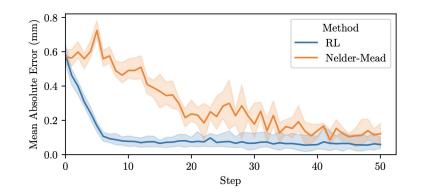
# **Transverse Beam Tuning at ARES**

#### Successfully deploying RL to an accelerator with zero-shot learning

- Deploy a RL-trained optimisation algorithm to the **real-world** ARES accelerator with **zero-shot learning**
- Equivalent of **3 years of experience** tuning the transverse beam parameters
- Faster optimisation that alternative optimisation algorithms
- Better final beam than alternative optimisation algorithms



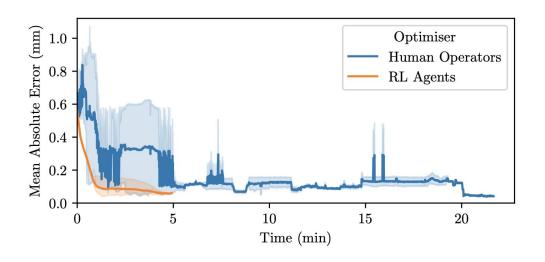
Algorithm	MAE Median (mm)	<b>Convergence</b> Median (Steps)
Do Nothing	1.122	0
Zero	0.588	1
FDF	0.699	1
Random	0.267	101
Powell	0.259	119
COBYLA	0.105	34
Nelder-Mead	0.007	112
Bayesian	0.081	101
Ours	0.008	7
Ours (Machine)	0.036	12

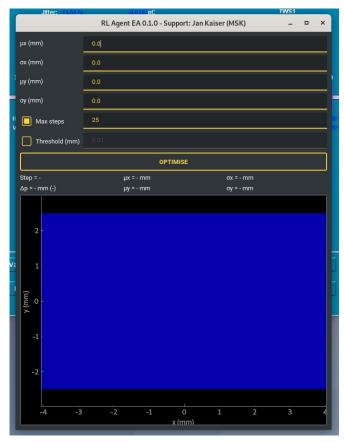


# **Transverse Beam Tuning at ARES**

Successfully deploying RL to an accelerator with zero-shot learning

- Autonomously achieve tune in less than 5 minutes what takes human operators over 20 minutes
- Deployed application for using RL agent in production





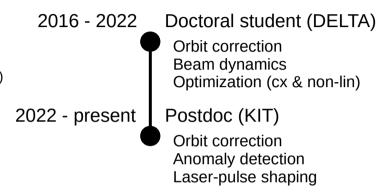




#### Dr. Stephan-Robert Kötter

Accelerator Physicist Member of Al4Accelerators team at IBPT (KIT)

stephan-robert.koetter@kit.edu



#### Involvement in RL:

• None so far

#### I'm interested in:

- Learning about RL
  - Methods
  - Applications
- Identifying usecases for my research

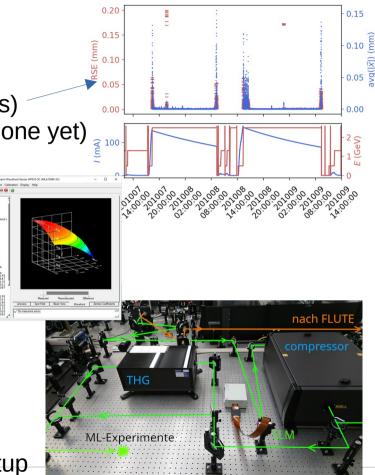
Research interest I: Orbit correction/stability

- Orbit steering (possible candidate for RL)
- Identifying faulty hardware (looked into BPMs)
- Clustering historic machine states (nothing done yet)

Research interest II: Laser-pulse shaping

- Goal: control transverse wavefront
- Actuator: spatial light modulator (SLM)
- CMOS camera & wavefront sensor
- Method: neural network

Originally planned setup







**FH Salzburg** 

**Reuf Kozlica** Junior Researcher (FH Salzburg)

reuf.kozlica@fh-salzburg.ac.at https://github.com/reufko https://www.linkedin.com/in/reuf-kozlica/

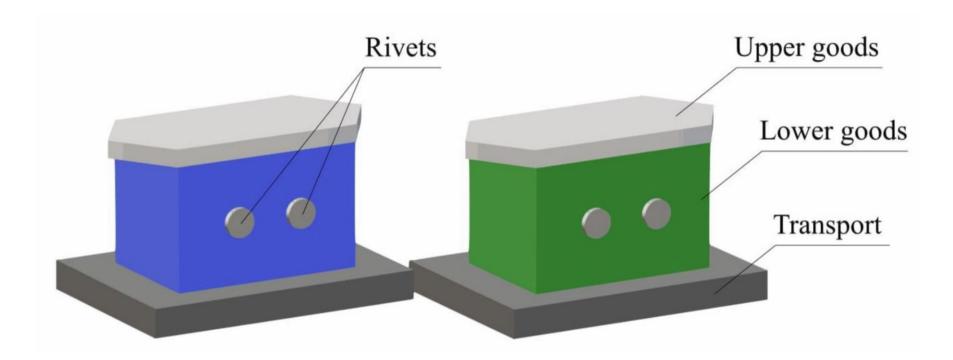


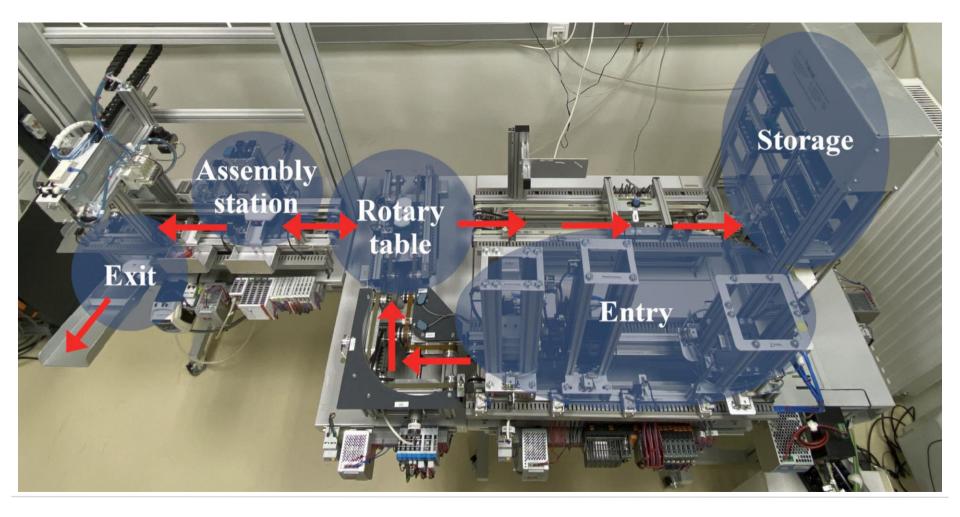
#### Involvement in RL:

- Reinforcement Learning in Production Plants
  - Automatic assembly and sorting of products
- Teaching-Assistant

#### I'm interested in:

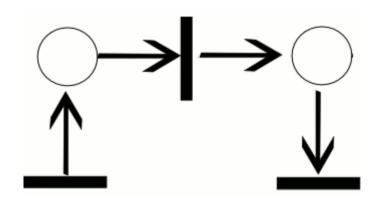
- Advanced RL algorithms with focus on hierarchical methods
- Robustness and scalability of RL algorithms
- Deployment of RL algorithms to real world tasks and architectures



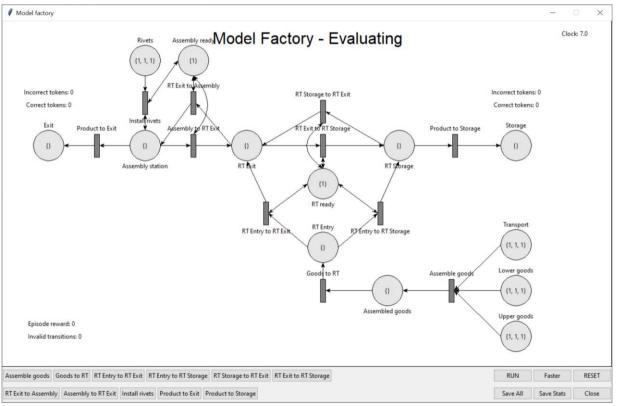


## Petri Nets as a Simulation

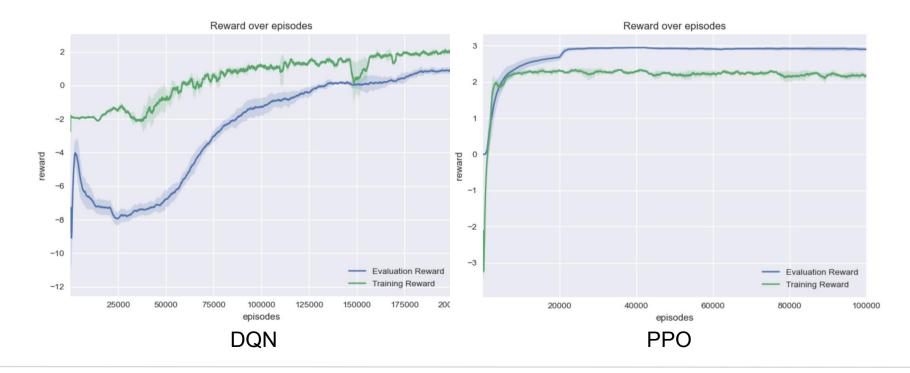
- Mathematical modeling language
- Directed bipartite graph:
  - Places
  - Transitions
  - Arcs
  - Tokens
- Graphical notation for stepwise processes
- Application areas:
  - Process modeling
  - Control engineering
  - Simulation etc.



## **Petri Nets as a Simulation**



## **Deep Q-Learning and PPO results**





salzburg**research** 





## Sabrina Pochaba

Data Scientist & PhD student Salzburg Research Forschungs GmbH sabrina.pochaba@salzburgresearch.at  2013-2018 Student (University Ulm) Mathematics & Biology State examination
 2018-2021 Student (University Heidelberg) Mathematics Master of Science
 2021-present Data Scientist (Salzburg Research) PhD Student (University Salzburg) Machine Learning in Network communication

### Involvement in RL:

- RL for network communications
- Multi-Agent RL
  - Game Theoreticel approaches for Multi-Agent
     Systems
  - Multi-Agent RL applied to wireless communication

### I'm interested in:

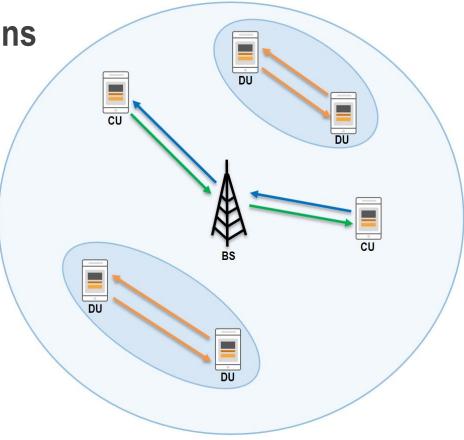
- Deep learning algorithms
- Real life applications of RL
- Advanced RL algorithms (Hierarchical RL, Multi-Agent RL, Meta RL, Inverse RL, mix with other algorithms)
- Exciting ML stuff

# **RL for Network Communications**

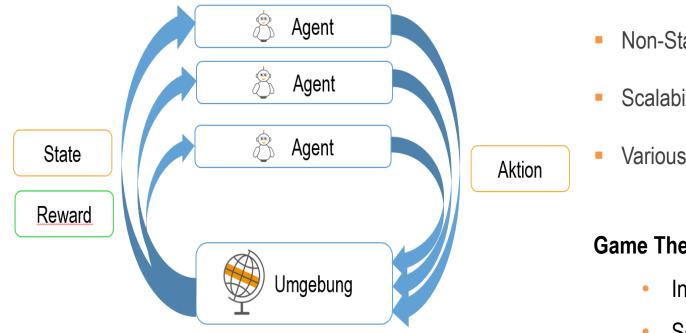
**Problem:** Reliable Communication without regulation of BS

## Solution: Multi-Agent RL

- Environment: Communcation cell
- Agents: Devices
- Action: Choice of Frequencyband
- State:
  - Own Frequencyband
  - Satisfaction (QoS)
  - Neighbors
  - Frequencyband of Neighbors
- Reward: Satisfaction (QoS) of all devices



# Multi-Agent RL



### Challenges

- Non-Unique Learning Goals
- Non-Stationarity
- Scalability Issue
- Various Information Structures

### Game Theory for MARL

- Interaction of many player
- Searching for Equilibria
- NashQ ۲

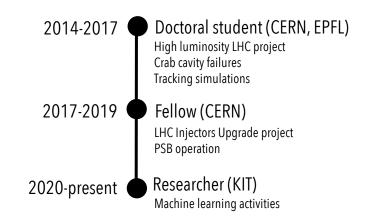




### Dr. Andrea Santamaria Garcia

Accelerator Physicist Leading AI4Accelerators team at IBPT (KIT)

andrea.santamaria@kit.edu https://twitter.com/ansantam https://www.linkedin.com/in/ansantam/ https://github.com/ansantam



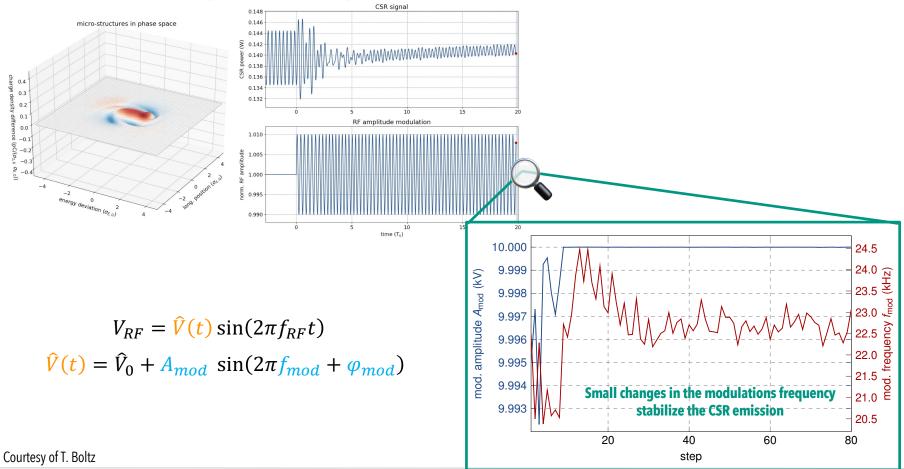
### **Involvement in RL:**

- Autonomous accelerator project with DESY (more info during tutorial)
  - Automatic steering and focusing of beam
- Control of the microbunching instability
  - Enhancement of coherent synchrotron radiation

## I'm interested in:

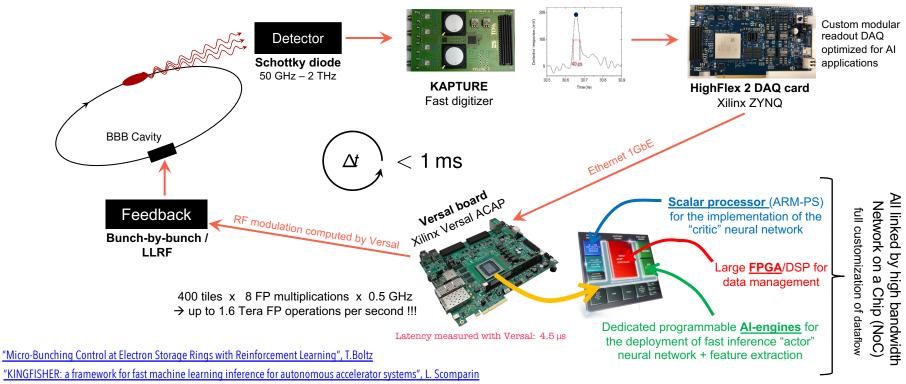
- Advanced RL algorithms (safety, robustness, hierarchical, multi-agent, meta RL, mix with other algorithms)
- Simulation to real world
- Feature engineering / dimensionality reduction
- Non deep learning algorithms
- Continuous fast feedback with RL

Micro-Bunching Control with Reinforcement Learning (PPO)

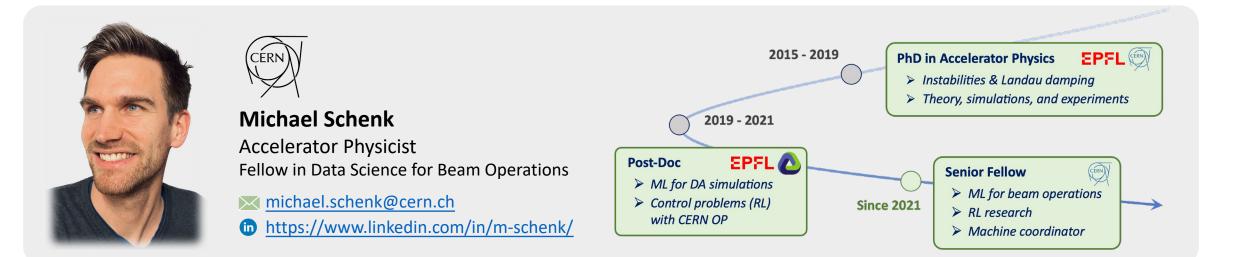


## **IN PRACTICE: WE NEED HARDWARE!**

## **Fast feedback for real-time optimization**



"Accelerated deep reinforcement learning for fast feedback of beam dynamics at KARA," W. Wang



## **RL projects & interests**

> 2019: first RL steps on LEIR together with Simon

### > Now

Sample-efficient RL with quantum Boltzmann machines trained on D-Wave hardware

### ➢ Future

- Continue N. Madysa's LEIR Schottky RL project
- Hierarchical RL: student's project

## **Other ML / control experience**

- Adaptive sampling / Bayesian exploration
- Conditional GANs
- Clustering
- Automatic tune adjustment using numerical optimizers

# Free energy based RL (FERL)

RL with quantum Boltzmann machines

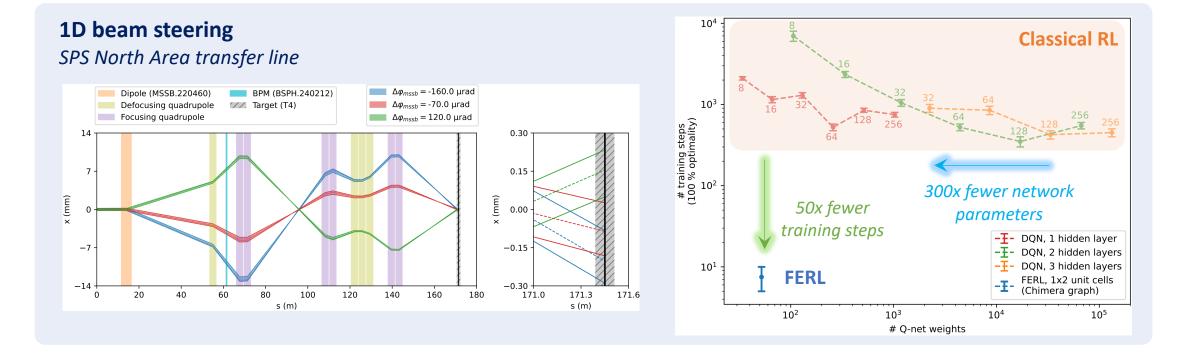
## **Q-learning**

Various function approximators for Q(s, a)

- Traditionally: look-up table
- DQN: feed-forward neural net
- FERL: quantum Boltzmann machine (QBM)

## Why FERL

- Better learning efficiency?
  - more **cost-effective** for beam operations
- > **No FERL** algorithm exists for **continuous control**



# Free energy based RL (FERL)

RL with quantum Boltzmann machines

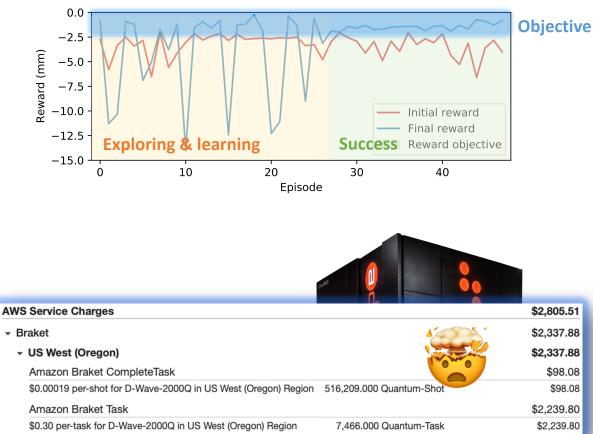
Inspired by classical actor-critic scheme, developed a hybrid algorithm for continuous state-action space
Intuition: if critic lograns more officiently, can provide

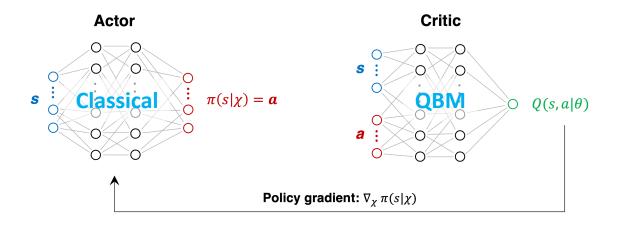
*Intuition: if critic learns more efficiently, can provide more valuable feedback early on during training* 

Trained on D-Wave quantum annealer and tested on CERN's AWAKE beam line

### Training our algorithm on a quantum computer

Trajectory steering on simulated AWAKE e<sup>-</sup> beam line







Meet & greet talk

Alexander Schütt

Helmholtz-Zentrum Berlin

RL4AA'23

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Alexander Schütt

#### Bachelor thesis (Without RL)

- Task: Predict the vertical beam size of the electron beam
- Why?
  - Derive vertical beam size from device settings
  - Long term: Adjust noise generator for constant beamsize
    - $\Rightarrow$  minimize impact from one user to another
  - Preparation for BESSY III: round beam

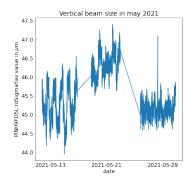
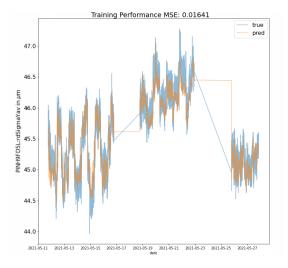


Figure 1: Vertical beam size of electron beam, measured using a pinhole camera.

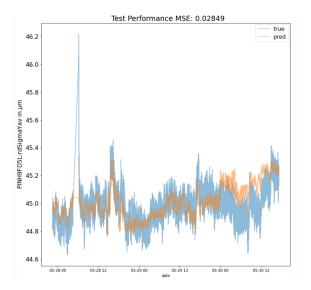
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#### Recurrent neural network regression



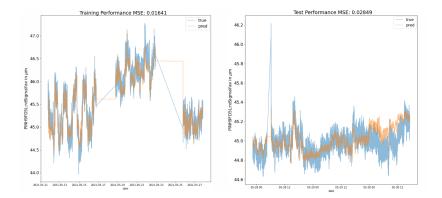
Alexander Schütt



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Alexander Schütt

#### Performance Analysis



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#### Idea for Master thesis (With RL)

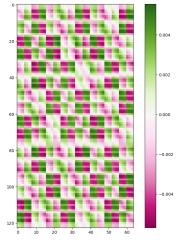
- Task: Optimize steerer control
- Currently: Beam controlled via Least squared method

 $\Delta s_{t_i} = (R^T R + \lambda \mathrm{Id}_{64})^{-1} R^T (-b_{t_i}),$ 

- **Problem:** Response matrix *R* might change in time
- Easy solution: Update response matrix via

$$R_{\text{new}} = R_{\text{old}} - 2\alpha (R_{\text{old}} s_{t_i} - b_{t_{i+1}}) s_{t_i}^{T}$$

• Better solution: With RL?





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#### Alexander Schütt

- Car driving analogy: "If I can drive 1000 cars, then small changes in the cars will not bother me."
- Idea: Train the RL-agent to pick optimal steerer settings, after observing the BPMs, on multiple response matrices

$$R_{\text{new}} = R + \varepsilon,$$

where  $\varepsilon \in \mathbb{R}^{123,64}$  random

• To debate: Can it perform better than the easy solution?





## **KINGFISHER: Fast Machine Learning Inference for Autonomous Accelerator Systems**

Luca Scomparin

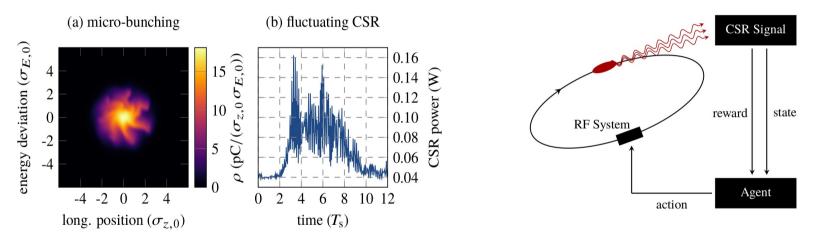


#### www.kit.edu

## **Control of Longitudinal Beam dynamics at KARA**



Interaction of beam with emitted radiation creates **instabilities** making **power fluctuate** (micro-bunching instability)  $\rightarrow$  **limits user operation** 



Control loop to limit this effect, <u>but</u> control problem not solved  $\rightarrow$  can we used ML methods? Yes, but inference must be at dynamics timescale O(tens of  $\mu$ s)

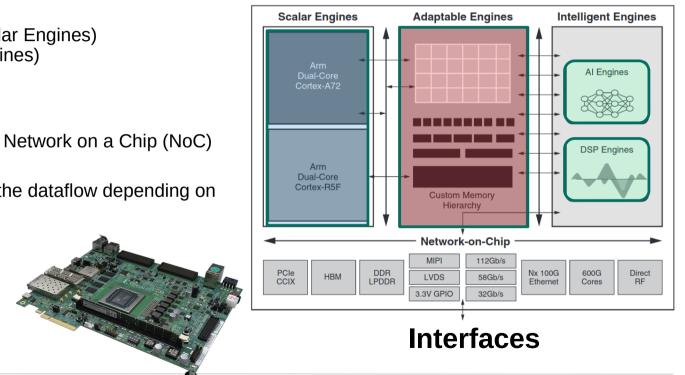
Specialized hardware is needed

## **Xilinx Versal ACAPs**

## Adaptive Compute Acceleration Platform (ACAP)



#### **FPGA**



Which combines:

- ARM processors (Scalar Engines)
- FPGA (Adaptable Engines)
- AI Engines
- DSP Engines
- Advance interfaces

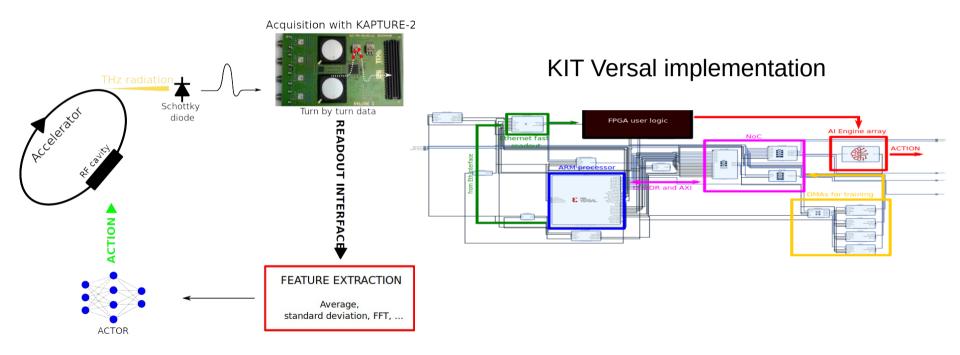
All linked by high bandwidth Network on a Chip (NoC)

Allows full customization of the dataflow depending on the application

VCK190 Evaluation Kit

## **KINGFISHER:** the structure





# Waheedullah Sulaiman Khail



PhD in Software Engineering from Slovak University of Technology.

Research focus: Agile and lean organization of Patterns.

5+ years of experienced in Object Oriented Programming.

Teaching: Software Modeling, Software Architecture and Object Oriented Programming.

Working on developing digital twin since May 2022.





Chenran Xu

**Doctoral Researcher** 

https://github.com/cr-xu

chenran.xu@kit.edu

2018-2020

### KIT

**Physics (M.Sc)** Bayesian optimization of injection efficiency at KARA storage ring

#### KIT

#### **Doctoral Researcher**

Accelerator control with machine learning methods at FLUTE

**Involvement in RL:** 

- Autonomous accelerator project with DESY
  - Automatic steering and focusing of beam
- Automatic beam control for FLUTE (ongoing)
  - > THz radiation optimization

### I'm interested in:

• Real world RL applications

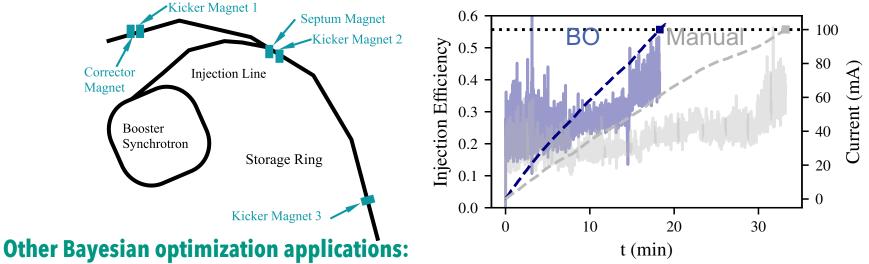
2020-present

- Generalizable RL agent
- Synergy between optimization and tuning
- Advanced RL approaches (hierachical RL, multi-agent RL...)

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# **Previous experience with machine learning**

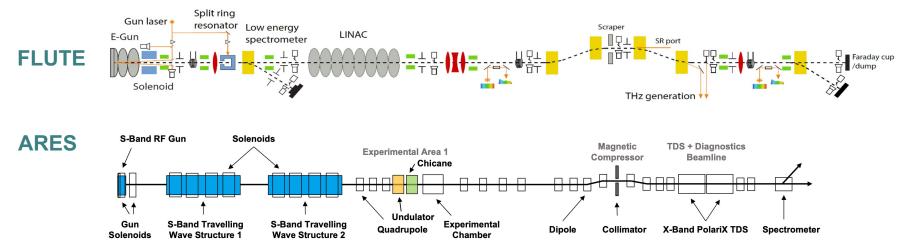
**Bayesian Optimization (BO) for Injection optimization at KARA** 



- Transverse beam tuning at ARES (benchmark to RL)
- SASE tuning at EuXFEL
- Simulation parameter optimization for THz generation

# What I'm working on

## **Autonomous Accelerartor Project**



### Transfer RL agents to similar tasks (same goal, different lattice)

- Domain Randomization
- (Future) Meta-RL