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# Solving Beautiful Puzzles

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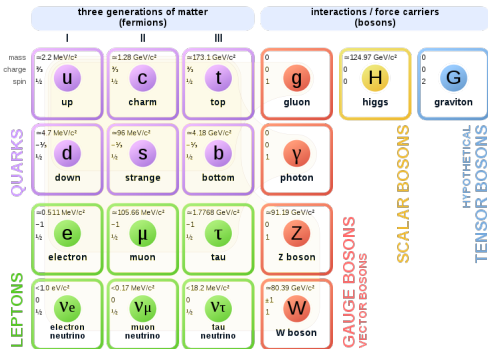
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K. Keri Vos

Maastricht University & Nikhef

# Testing the Standard Model

## Standard Model of Elementary Particles and Gravity



Passed all tests up to 100 GeV

# The Flavour Puzzle

- Flavour symmetry broken by Yukawa couplings to the Higgs field
- Origin of mixing between families described by unitary CKM matrix
- Visualized by unitary triangles
- Dominant source of CP violation (antiparticle-particle asymmetry)

$$\begin{pmatrix} \mathbf{V}_{ud} & V_{us} & V_{ub} \\ V_{cd} & \mathbf{V}_{cs} & V_{cb} \\ V_{td} & V_{ts} & \mathbf{V}_{tb} \end{pmatrix}$$

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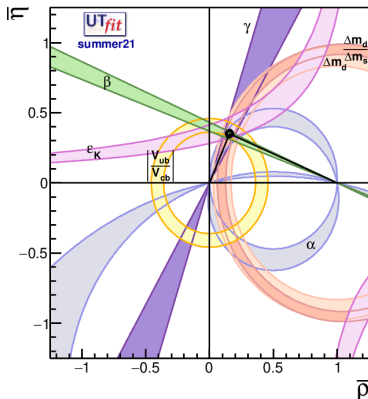
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Our understanding of Flavour is unsatisfactory

# The Flavour Puzzle

Thanks to Marcella Bona for providing the 2021 plots

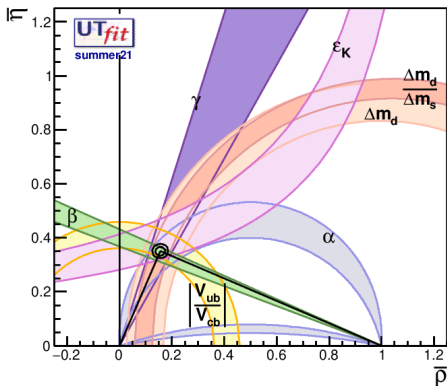
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$$\bar{\rho} + i\bar{\eta} = -\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}$$



Huge amounts of data + theory advances = Precision frontier

Tiny deviations from SM predictions constrain effects of New Physics

# SM or beyond?

## Challenge:

Disentangle SM long-distances effects from the effects of new interactions

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- Some puzzles related to  $B$  decays



## Challenge:

Disentangle SM long-distances effects from the effects of new interactions

- Some puzzles related to  $B$  decays
- Revise previous assumptions: reliable theory uncertainties
- Look for the cleanest observables/methods

# Puzzles in Flavour Physics

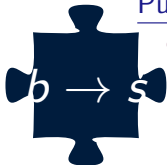
## Challenge:

Disentangle SM long-distance effects from the effects of new physics contributions



## Puzzles in semileptonic decays

- Inclusive versus Exclusive
- $V_{cb}$  and  $V_{ub}$
- LFUV in  $R_D$  and  $R_{D^*}$



## Puzzles in rare decays

- Anomalies in  $b \rightarrow sll$

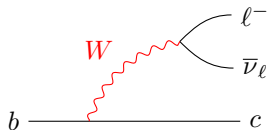


# Puzzles in semileptonic decays: $V_{ub}$ and $V_{cb}$

Inclusive versus Exclusive decays



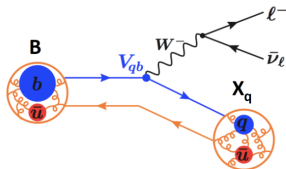
# Exclusive versus Inclusive Theory



- Theory (Weak interaction): Transitions between **quarks/partons**

# Exclusive versus Inclusive Theory

Figure from Marzia Bordone



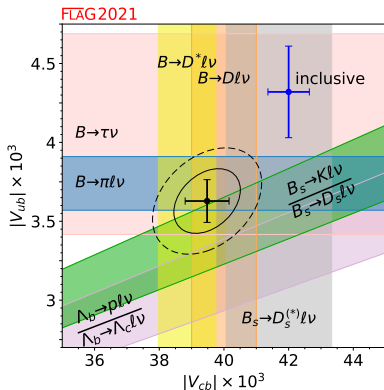
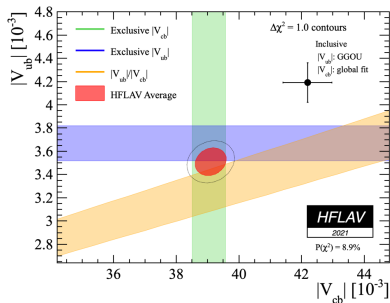
- Theory (Weak interaction): Transitions between **quarks/partons**
- Observation: Transitions between **hadrons**

## Challenge:

- Dealing with QCD at large distances/small scales
- Parametrize fundamental mismatch in non-perturbative objects
  - Calculable: Lattice or Light-cone sumrules
  - Measurable: from data

# Longstanding Puzzle

2021 compilations



# Inclusive $B \rightarrow X_c$ decays or the power of the heavy quark

## Inclusive $B \rightarrow X_c \ell \nu$ : Heavy Quark Expansion (HQE)

- $b$  quark mass is large compared to  $\Lambda_{\text{QCD}}$
- Setting up the HQE: momentum of  $b$  quark:  $p_b = m_b v + k$ , expand in  $k \sim iD$
- Optical Theorem  $\rightarrow$  (local) Operator Product Expansion (OPE)

$$d\Gamma = d\Gamma_0 + \frac{d\Gamma_1}{m_b} + \frac{d\Gamma_2}{m_b^2} + \dots \quad d\Gamma_i = \sum_k C_i^{(k)} \langle B | \mathcal{O}_i^{(k)} | B \rangle$$

- $C_i^{(k)}$  perturbative Wilson coefficients
- $\langle B | \dots | B \rangle$  non-perturbative matrix elements  $\rightarrow$  string of  $iD$
- operators contain chains of covariant derivatives  
 $\langle B | \mathcal{O}_i^{(n)} | B \rangle = \langle B | \bar{b}_v (iD_\mu) \dots (iD_{\mu_n}) b_v | B \rangle$



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- HQE parameters extracted from **lepton energy** and **hadronic mass** moments

$\Gamma_i$  are power series in  $\mathcal{O}(\alpha_s)$

$$\Gamma = \Gamma_0 + \frac{1}{m_b} \Gamma_1 + \frac{1}{m_b^2} \Gamma_2 + \frac{1}{m_b^3} \Gamma_3 \dots$$

- $\Gamma_0$ : decay of the free quark (partonic contributions),  $\Gamma_1 = 0$
- $\Gamma_2$ :  $\mu_\pi^2$  kinetic term and the  $\mu_G^2$  chromomagnetic moment

$$2M_B \mu_\pi^2 = - \langle B | \bar{b}_\nu iD_\mu iD^\mu b_\nu | B \rangle$$

$$2M_B \mu_G^2 = \langle B | \bar{b}_\nu (-i\sigma^{\mu\nu}) iD_\mu iD_\nu b_\nu | B \rangle$$

- $\Gamma_3$ :  $\rho_D^3$  Darwin term and  $\rho_{LS}^3$  spin-orbit term

$$2M_B \rho_D^3 = \frac{1}{2} \langle B | \bar{b}_\nu [iD_\mu, [ivD, iD^\mu]] b_\nu | B \rangle$$

$$2M_B \rho_{LS}^3 = \frac{1}{2} \langle B | \bar{b}_\nu \{ iD_\mu, [ivD, iD_\nu] \} (-i\sigma^{\mu\nu}) b_\nu | B \rangle$$

- $\Gamma_4$ : 9 parameters Mannel, Turczyk, Uraltsev, JHEP 1010 (2011) 109
- $\Gamma_5$ : 18 parameters Mannel, Turczyk, Uraltsev, JHEP 1010 (2011) 109

# Moments of the spectrum

BABAR, PRD 68 (2004) 111104; BABAR, PRD 81 (2010) 032003; Belle, PRD 75 (2007) 032005

Non-perturbative matrix elements obtained from moments of differential rate

## Charged lepton energy

$$\langle E^n \rangle_{\text{cut}} = \frac{\int_{E_\ell > E_{\text{cut}}} dE_\ell E_\ell^n \frac{d\Gamma}{dE_\ell}}{\int_{E_\ell > E_{\text{cut}}} dE_\ell \frac{d\Gamma}{dE_\ell}}$$

## Hadronic invariant mass

$$\langle (M_X^2)^n \rangle_{\text{cut}} = \frac{\int_{E_\ell > E_{\text{cut}}} dM_X^2 (M_X^2)^n \frac{d\Gamma}{dM_X^2}}{\int_{E_\ell > E_{\text{cut}}} dM_X^2 \frac{d\Gamma}{dM_X^2}}$$

$$R^*(E_{\text{cut}}) = \frac{\int_{E_\ell > E_{\text{cut}}} dE_\ell \frac{d\Gamma}{dE_\ell}}{\int_0 dE_\ell \frac{d\Gamma}{dE_\ell}}$$

- Moments up to  $n = 3, 4$  and with several energy cuts available
- Experimentally necessary to use lepton energy cut

# State-of-the-art in inclusive $b \rightarrow c$

Ježabek, Kuhn, NPB 314 (1989) 1; Melnikov, PLB 666 (2008) 336; Pak, Czarnecki, PRD 78 (2008) 114015; Becher, Boos, Lunghi, JHEP 0712 (2007) 062; Alberti, Gambino, Nandi, JHEP 1401 (2014) 147; Mannel, Pivovarov, Rosenthal, PLB 741 (2015) 290; Fael, Schonwald, Steinhauser, Phys Rev. D 104 (2021) 016003; Fael, Schonwald, Steinhauser, Phys Rev. Lett. 125 (2020) 052003; Fael, Schonwald, Steinhauser, Phys Rev. D 103 (2021) 014005,

$$\Gamma \propto |V_{cb}|^2 m_b^5 \left[ \Gamma_0 + \Gamma_0^{(1)} \frac{\alpha_s}{\pi} + \Gamma_0^{(2)} \left( \frac{\alpha_s}{\pi} \right)^2 + \Gamma_0^{(3)} \left( \frac{\alpha_s}{\pi} \right)^3 + \frac{\mu_\pi^2}{m_b^2} \left( \Gamma^{(\pi,0)} + \frac{\alpha_s}{\pi} \Gamma^{(\pi,1)} \right) + \frac{\mu_G^2}{m_b^2} \left( \Gamma^{(G,0)} + \frac{\alpha_s}{\pi} \Gamma^{(G,1)} \right) + \frac{\rho_D^3}{m_b^3} \left( \Gamma^{(D,0)} + \Gamma_0^{(1)} \left( \frac{\alpha_s}{\pi} \right) \right) + \mathcal{O} \left( \frac{1}{m_b^4} \right) + \dots \right]$$

- Include terms up to  $1/m_b^3$ \* see also Gambino, Healey, Turczyk [2016]
- **Recent progress:**  $\alpha_s^3$  to total rate and kinetic mass Fael, Schonwald, Steinhauser [2020, 2021]
- **Recent progress:**  $\alpha_s \rho_D^3$  for total rate Mannel, Pivovarov [2020]
- Includes all known  $\alpha_s$ ,  $\alpha_s^2$  and  $\alpha_s^3$  corrections!

**Recent update:**

$$|V_{cb}|_{\text{incl}} = (42.16 \pm 0.51) \times 10^{-3}$$

Gambino, Schwanda, PRD 89 (2014) 014022;  
Alberti, Gambino et al, PRL 114 (2015) 061802;  
Bordone, Capdevila, Gambino, Phys.Lett.B 822 (2021) 136679

$$\Gamma \propto |V_{cb}|^2 m_b^5 \left[ \Gamma_0 + \Gamma_0^{(1)} \frac{\alpha_s}{\pi} + \Gamma_0^{(2)} \left( \frac{\alpha_s}{\pi} \right)^2 + \Gamma_0^{(3)} \left( \frac{\alpha_s}{\pi} \right)^3 + \frac{\mu_\pi^2}{m_b^2} \left( \Gamma(\pi,0) + \frac{\alpha_s}{\pi} \Gamma(\pi,1) \right) \right. \\ \left. + \frac{\mu_G^2}{m_b^2} \left( \Gamma(G,0) + \frac{\alpha_s}{\pi} \Gamma(G,1) \right) + \frac{\rho_D^3}{m_b^3} \left( \Gamma(D,0) + \Gamma_0^{(1)} \left( \frac{\alpha_s}{\pi} \right) \right) + \mathcal{O} \left( \frac{1}{m_b^4} \right) + \dots \right]$$

## Challenge:

- Include higher-order  $1/m_b$  and  $\alpha_s$  corrections
- Proliferation of non-perturbative matrix elements
  - 4 up to  $1/m_b^3$
  - 13 up to  $1/m_b^4$  Dassinger, Mannel, Turczyk, JHEP 0703 (2007) 087
  - 31 up to  $1/m_b^5$  Mannel, Turczyk, Uraltsev, JHEP 1011 (2010) 109

# Alternative $V_{cb}$ determination

Mannel, KKV, JHEP 1806 (2018) 115; Fael, Mannel, KKV, JHEP 02 (2019) 177

- Setting up the HQE: momentum of  $b$  quark:  $p_b = m_b v + k$ , expand in  $k \sim iD$
- Choice of  $v$  not unique: Reparametrization invariance (RPI)

$$v_\mu \rightarrow v_\mu + \delta v_\mu$$

$$\delta_{RP} v_\mu = \delta v_\mu \quad \text{and} \quad \delta_{RP} iD_\mu = -m_b \delta v_\mu$$

- links different orders in  $1/m_b \rightarrow$  reduction of parameters
- up to  $1/m_b^4$ : 8 parameters (previous 13)

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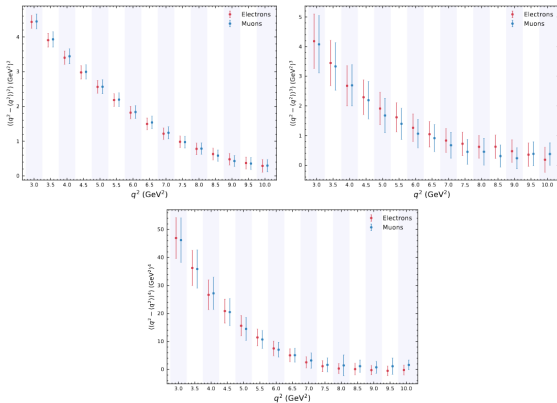
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- Caveat: standard **lepton energy** and **hadronic mass** moments are not RPI quantities
- Alternative determination using only RPI  $q^2$  moments including  $1/m_b^4$
- **First measurements of  $q^2$  moments available** Belle [2109.01685], Belle II [2205.06372]



Belle Collaboration [2109.01685, 2105.08001]



Centralized moments as function of  $q_{cut}^2$

# New $V_{cb}$ Determination

$$\begin{aligned} & R^*(q_{\text{cut}}^2) \quad \langle (q^2)^n \rangle_{\text{cut}} \\ & \downarrow \\ & \mu_3, \mu_G^2, \tilde{\rho}_D^3, r_E^4, r_G^4, s_E^4, s_B^4, s_{qB}^4, m_b, m_c \\ & \downarrow \\ & \text{Br}(\bar{B} \rightarrow X_c \ell \bar{\nu}) \propto \frac{|V_{cb}|^2}{\tau_B} \left[ \Gamma_{\mu_3} \mu_3 + \Gamma_{\mu_G} \frac{\mu_G^2}{m_b^2} + \Gamma_{\tilde{\rho}_D} \frac{\tilde{\rho}_D^3}{m_b^3} \right. \\ & \quad \left. + \Gamma_{r_E} \frac{r_E^4}{m_b^4} + \Gamma_{r_G} \frac{r_G^4}{m_b^4} + \Gamma_{s_B} \frac{s_B^4}{m_b^4} + \Gamma_{s_E} \frac{s_E^4}{m_b^4} + \Gamma_{s_{qB}} \frac{s_{qB}^4}{m_b^4} \right] \\ & \downarrow \\ & V_{cb} = (41.69 \pm 0.63) \cdot 10^{-3} \end{aligned}$$

Bernlochner, Welsch, Fael, Olschewsky, Persson, van Tonder, KKV [2205.10274]

# New $V_{cb}$ determination

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- Agreement at the  $1 - 2\sigma$  level
- First pure data extraction of  $1/m_b^4$  terms
- Important to check convergence of the HQE

$$r_E^4 = (0.02 \pm 0.34) \cdot 10^{-1} \text{GeV}^4 \quad r_G^4 = (-0.21 \pm 0.69) \text{GeV}^4$$

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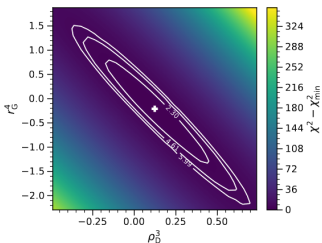
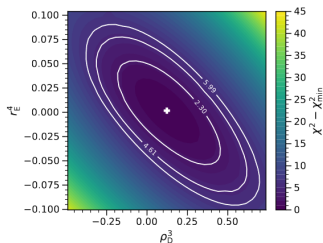
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- Inputs for  $B \rightarrow X_u \ell \nu$  Next,  $B$  lifetimes Alex's project and  $B \rightarrow X_s \ell \ell$  KKV, Huber, et al.
- Extraction of  $\rho_D^3 = 0.03 \pm 0.02$  much smaller than previous!
- **In progress:** New analysis including all the available data
- **In progress:**  $1/m_c^2 1/m_b^3$  contributions

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## $B \rightarrow D$ and $B \rightarrow D^*$

- Form factors extracted from lattice, LC sumrules (+data)
- Knowledge on the  $q^2$  dependence crucial
- BGL Boyd, Grinstein, Lebed or CLN/HQE Caprini, Lellouch, Neubert parametrization
  - Start of many discussions Gambino, Jung, Schacht, Bordone, van Dyck, Gubernari, ...
  - BGL: model independent parametrization using analyticity
  - CLN\*: uses HQE at  $1/m_b$  + assumptions \*justified at time of introduction
- Improved HQE treatment including  $1/m_c^2$  corrections Bordone, van Dyk, Jung [1908.09398]

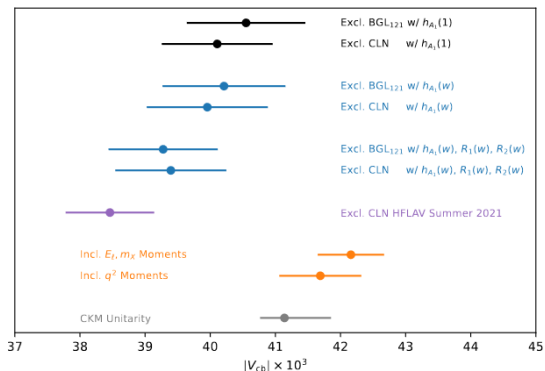
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$$|V_{cb}|_{\text{excl}} = (40.3 \pm 0.8) \times 10^{-3}$$

- $B \rightarrow D^*$  form factors at nonzero recoil Fermilab/MILC [2105.14019]
  - tension between the slope of the lattice and experimental data
- Same form factors determine SM predictions for  $R_{D^{(*)}}$
- **New experimental and lattice data needed!**



- Using form factors without shape information:

$$|V_{cb}|_{\text{excl}} = (40.6 \pm 0.9) \times 10^{-3}$$

- Also quotes CLN values → shows the 2023  $V_{cb}$  puzzle



# The challenge of inclusive $B \rightarrow X_u$ decays

# The challenge of $V_{ub}$

## Exclusive $B \rightarrow \pi \nu$

- Only one form factor
- Combining Lattice QCD [FNAL/MILC, RBC/UKQCD] and QCD sum rules

Recent update:

Lejnak, Melic, van Dyk [2102.07233]

$$|V_{ub}|_{\text{excl}} = (3.77 \pm 0.15) \cdot 10^{-3}$$

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Leljak, Melic, van Dyk [2102.07233]

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## Inclusive $B \rightarrow X_u l \nu$

- Experimental cuts necessary to remove charm background
- Local OPE as in  $b \rightarrow c$  cannot work
- Switch to different set-up using light-cone OPE
- Introduce non-perturbative shape functions ( $\sim$  parton DAs in DIS)
- Different frameworks: **BLNP**, **GGOU**, **DGE**, **ADFR**

Recent update:

Belle [2102.00020]

$$|V_{ub}|_{\text{incl}} = (4.10 \pm 0.28) \cdot 10^{-3}$$

## Update of BLNP approach

- Systematic framework: Soft Collinear Effective Theory (SCET)
- Separates the different scales in the problem

$$d\Gamma = H \otimes J \otimes S$$

- H: Hard scattering kernel at  $\mathcal{O}(m_b)$
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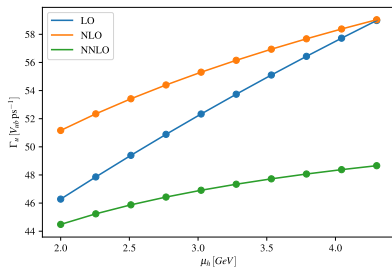
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# Shape function parametrization

Preliminary! Olschewsky, Lange, Mannel, KKV [2304.xxxx]



- $\alpha_s^2$  corrections give large corrections [see also Pezsjak 2019]
- Required to make precision predictions

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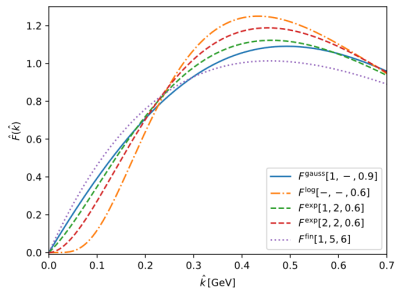
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- Shape function is non-perturbative and cannot be computed
  - **In progress:** new flexible parametrization

# Shape function parametrization

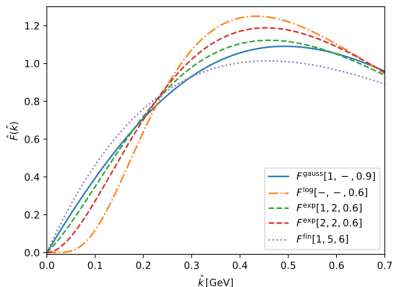
Olschewsky, Lange, Mannel, KKV [2304.xxxx]



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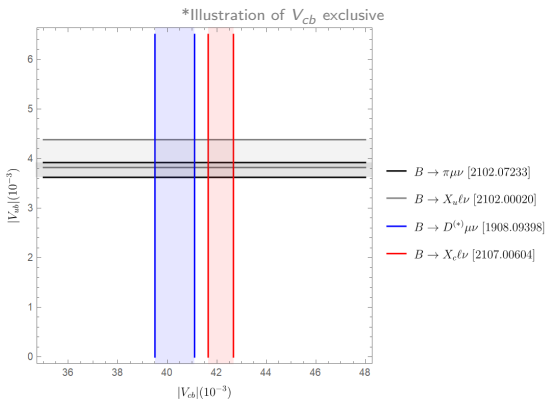
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In progress:

Gunawardana, Lange, Mannel, Paz, Olschewsky, KKV [in progress]

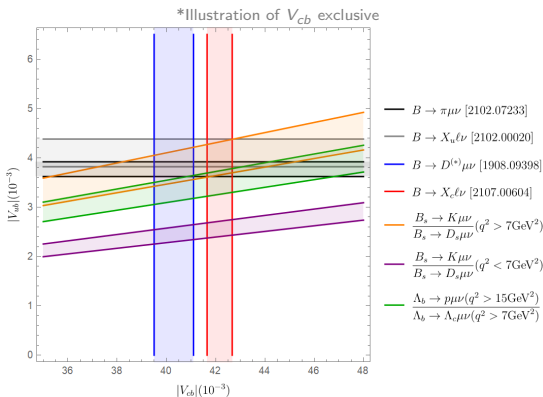
$$|V_{ub}|_{\text{incl}} = \text{Stay Tuned!}$$

# Inclusive versus Exclusive semileptonic decays



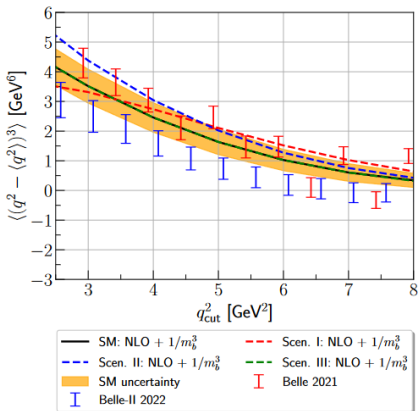
- Recently a lot of attention for the  $V_{cb}$  puzzle! [Bigi, Schacht, Gambino, Jung, Straub, Bernlochner, Bordone, van Dyk, Gubernari]

# Inclusive versus Exclusive semileptonic decays



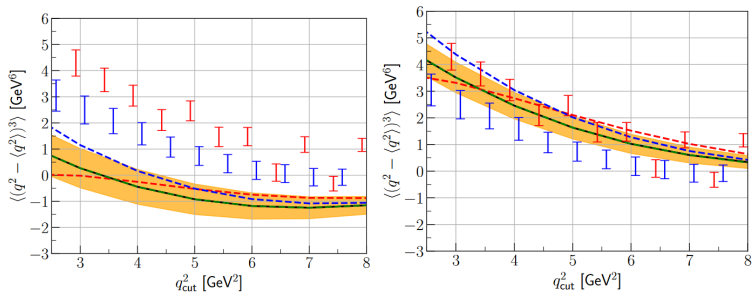
- Recently a lot of attention for the  $V_{cb}$  puzzle! [Bigi, Schacht, Gambino, Jung, Straub, Bernlochner, Bordone, van Dyk, Gubernari]
- **Recent progress:**  $B_s \rightarrow K \mu \nu$  [LHCb [2012.05143], Khodjamirian, Rusov [2017]]
- Unlikely to be due to NP Jung, Straub [2018]
- **New data necessary: stay tuned!**

Rahimi, Fael, Vos [2208.04282]



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- **In progress:** Requires a simultaneous fit of hadronic parameters and NP

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# Heavy quark expansion for charm?





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- Lifetimes? → [Job of Alex and co.](#)
- Constrain Weak Annihilation (WA) contributions
  - $B_d \rightarrow s\ell\ell$  [Huber, Hurth, Lunghi, Jenkins, KKV, Qin]
  - $V_{ub}$
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- Scale for radiative corrections
- **Charm mass definition**

In short: how to handle the charm mass?

# The HQE for charm

**I:**  $m_Q \sim m_q \gg \Lambda_{\text{QCD}}$  OPE for  $b \rightarrow c l \bar{\nu}$

- $q$  is treated as a heavy degree of freedom
- two-quarks operators:  $\bar{Q}_v(iD^\alpha \cdots iD^\beta)Q_v$
- IR sensitivity to mass  $m_q$

$$\Gamma \Big|_{1/m_Q^3} = \left[ \frac{34}{3} + 8 \log \rho + \dots \right] \frac{\rho_D^3}{m_Q^3}, \quad \text{with } \rho = (m_q/m_Q)^2$$

**II:**  $m_Q \gg m_q \gg \Lambda_{\text{QCD}}$  start with  $q$  dynamical

- four-quark operators  $(\bar{Q}_v \Gamma q)(q \bar{\Gamma} Q_v)$
- removed when matching onto two-quark operators
- RGE running gives  $\log(m_q/m_Q)$

**III:**  $m_Q \gg m_q \sim \Lambda_{\text{QCD}}$  OPE for  $c \rightarrow s l \bar{\nu}$

- $q$  dynamical degree of freedom
- four-quark operators remain in OPE
- no explicit  $\log(m_q/m_Q)$ : hidden inside new non-perturbative HQE parameters

**IV:**  $m_Q \gg \Lambda_{\text{QCD}} \gg m_q$  for  $b \rightarrow u$  and  $c \rightarrow d$  transitions

$$\rho = m_s^2/m_c^2$$

Fael, Mannel, KKV [ 1910.05234 ]

$$\begin{aligned} \frac{\Gamma(D \rightarrow X_s \ell \nu)}{\Gamma_0} &= (1 - 8\rho - 10\rho^2) \mu_3 + (-2 - 8\rho) \frac{\mu_G^2}{m_c^2} + 6 \frac{\tilde{\rho}_D^3}{m_c^3} \\ &+ \frac{16}{9} \frac{r_G^4}{m_c^4} + \frac{32}{9} \frac{r_E^4}{m_c^4} - \frac{34}{3} \frac{s_B^4}{m_c^4} + \frac{74}{9} \frac{s_E^4}{m_c^4} + \frac{47}{36} \frac{s_{qB}^4}{m_c^4} + \frac{\tau_0}{m_c^3} \end{aligned}$$

- RPI quantities ( $q^2$  moments) depend on reduced set
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Key question: HQE indeed applicable to inclusive charm decays?

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# How to handle the charm mass?

---

# Short-Distances Masses

Bigi, Shifman, Uraltsev, Vainshtein, hep-ph/9704245, hep-ph/9405410; Czarnecki, Melnikov, Uraltsev, hep-ph/9708372.

- Renormalon issues require short-distance mass
- $\overline{\text{MS}}$  for scales  $\mu$  above heavy quark mass
- Kinetic mass: relating hadron versus quark mass  
QCD corrections using hard cut off  $\mu$

$$m_Q(\mu)^{\text{kin}} = m_Q^{\text{Pole}} - [\overline{\Lambda}]_{\text{pert}} + \left[ \frac{\mu_\pi^2}{2m_Q} \right]_{\text{pert}} + \dots$$

$$[\overline{\Lambda}]_{\text{pert}} = \frac{4}{3} C_F \frac{\alpha_s(m_c)}{\pi} \mu \quad [\mu_\pi^2]_{\text{pert}} = C_F \frac{\alpha_s(m_c)}{\pi} \mu^2$$

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- Higher-order terms in the HQE generate corrections  $(\alpha_s/\pi)\mu^n/m_Q^n$ .
- $\Lambda_{\text{QCD}} < \mu < m_Q$ : expansion parameters  $\mu/m_Q$ 
  - Well established for  $m_B$ :  $\mu/m_B \simeq 0.2$
  - Charm??
    - $\mu = 1 \text{ GeV} \rightarrow \mu/m_c \simeq 1$
    - $\mu = 0.5 \text{ GeV} \rightarrow \mu/m_c \simeq 0.4$

- $m_c^{\text{kin}}(1 \text{ GeV}) = 1.16 \text{ GeV}$  ( $m_s \rightarrow 0$  limit)

$$\Gamma(c \rightarrow sl\nu)^{\text{kin}} = \Gamma_0 \left[ 1 + 7.7 \frac{\alpha_s(m_c)}{\pi} + 69 \left( \frac{\alpha_s(m_c)}{\pi} \right)^2 \right]$$

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$\mu = 0.5 \text{ GeV}$  touches upon the non-perturbative regime?

# Spectral-Density Mass

Chetyrkin, Kuehn, Steinhauser hep-ph/9705254, Penin, Pivovarov hep-ph/9805344

- $m_c$  not observable  $\rightarrow$  no physical meaning
- Extracted from data: moments of the spectral density in  $e^+e^- \rightarrow$  hadrons

$$R(s) = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$

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- Start from vacuum correlator

$$\int d^4x e^{-iqx} \langle 0 | T [j_\mu(x) j_\nu(0)] | 0 \rangle = (g_{\mu\nu} q^2 - q_\mu q_\nu) \Pi(q^2)$$

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$$R(s) = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$

- Expand around  $q^2 = 0$ : ( $\bar{C}_n = \bar{C}_n^{(0)} + \frac{\alpha_s(\mu)}{\pi} \bar{C}_n^{(1)} + \dots$ )

$$\Pi(q^2) = \Pi(0) + \frac{4}{9} \frac{3}{16\pi^2} \sum_{n=1}^{\infty} \bar{C}_n \left( \frac{q^2}{4m_c^2} \right)$$



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$$\bar{C}_n = (4m_c^2)^n M_n \quad \text{with} \quad M_n = \int \frac{ds}{s^{n+1}} R(s)$$

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- Replace  $m_c$ :

$$m_c = \frac{1}{2} \left( \frac{\bar{C}_n}{M_n} \right)^{1/(2n)}$$

Chetyrkin, Kuehn, Steinhauser hep-ph/9705254, Penin, Pivovarov hep-ph/9805344 Boushmelev, Mannel, KKV [2301.05607]

$$\begin{aligned}\Gamma(b \rightarrow u\ell\nu) &= \frac{G_F^2 |V_{ub}|^2}{192\pi^3} \left( \frac{1}{2} \left( \frac{\bar{C}_n}{M_n} \right)^{1/2} \right)^5 \left( 1 + \frac{\alpha_s(\mu)}{\pi} a_1 + \left( \frac{\alpha_s(\mu)}{\pi} \right)^2 a_2 + \dots \right) \\ &= \frac{G_F^2 |V_{cs}|^2}{6144\pi^3} \left( \frac{\bar{C}_n^{(0)}}{M_n} \right)^{5/2} \left( 1 + \frac{\alpha_s(\mu)}{\pi} \left[ a_1 + \frac{5}{2n} \frac{\bar{C}_n^{(1)}}{\bar{C}_n^{(0)}} \right] \right. \\ &\quad \left. + \left( \frac{\alpha_s(\mu)}{\pi} \right)^2 \left[ a_2 + \frac{5}{2n} a_1 \frac{\bar{C}_n^{(1)}}{\bar{C}_n^{(0)}} + \frac{5}{2n} \frac{\bar{C}_n^{(2)}}{\bar{C}_n^{(0)}} + \frac{5}{4n} \left( \frac{5}{4n} - 1 \right) \left( \frac{\bar{C}_n^{(1)}}{\bar{C}_n^{(0)}} \right)^2 \right] + \dots \right)\end{aligned}$$

- Conclusion: pert. series improves a bit
- Scale at which  $\alpha_s^2$  vanishes rather low:  $0.7 m_b$
- In progress: Similar approach for the charm + power corrections

# Solving Beautiful Puzzles

---

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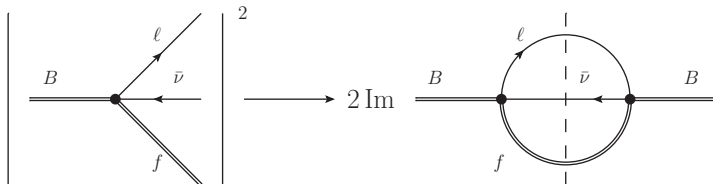
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Close collaboration between theory and experiment necessary!

# Backup

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## Optical Theorem

$$\begin{aligned}
 \Gamma &\propto \sum_X (2\pi)^4 \delta^4(P_B - P_X) |\langle X | \mathcal{H}_{\text{eff}} | B(v) \rangle|^2 \\
 &= \int d^4x \langle B(v) | \mathcal{H}_{\text{eff}}(x) \mathcal{H}_{\text{eff}}^\dagger(0) | B(v) \rangle \\
 &= 2 \text{Im} \int d^4x e^{-iq \cdot x} \langle B(v) | T \left\{ \mathcal{H}_{\text{eff}}(x) \mathcal{H}_{\text{eff}}^\dagger(0) \right\} | B(v) \rangle
 \end{aligned}$$

where  $\mathcal{H}_{\text{eff}} = J_c^\mu L_\mu$ ,  $J_c^\mu = \bar{b} \gamma^\mu P_L c$

## Heavy Quark Expansion

- B meson:  $p_B = m_B v$
- Split the momentum  $b$  quark:  $p_b = m_b v + k$ , expand in  $k \sim iD Q_v$
- Field-redefinition of the heavy field  $Q(x) = \exp(-im(v \cdot x))Q_v(x)$

$$\begin{aligned}\Gamma &= 2 \operatorname{Im} \int d^4x e^{-iq \cdot x} \langle B(v) | T \left\{ \mathcal{H}_{\text{eff}}(x) \mathcal{H}_{\text{eff}}^\dagger(0) \right\} | B(v) \rangle \\ &= 2 \operatorname{Im} \int d^4x e^{i(m_b v - q) \cdot x} \langle B(v) | T \left\{ \tilde{\mathcal{H}}_{\text{eff}}(x) \tilde{\mathcal{H}}_{\text{eff}}^\dagger(0) \right\} | B(v) \rangle\end{aligned}$$

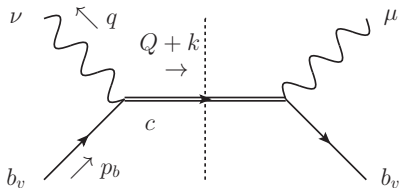
where  $\tilde{\mathcal{H}}_{\text{eff}} = \tilde{J}_c^\mu L_\mu$ ,  $\tilde{J}_c^\mu = \bar{b}_v \gamma^\mu P_L c$ ,  $\Gamma \propto 2 \operatorname{Im} T^{\mu\nu} L_{\mu\nu}$

# Inclusive Decays: the OPE

$$\Gamma(B \rightarrow X_c \ell \nu_\ell) \propto 2\text{Im} T^{\mu\nu} L_{\mu\nu}$$

$$T^{\mu\nu} = i \int d^4x e^{i(m_b v - q) \cdot x} T \{ \bar{b}_\nu(x) \gamma^\mu P_L c(x), \bar{c}(0) \gamma^\nu P_L b_\nu(0) \}$$

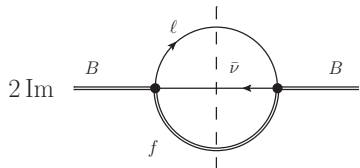
$$Q = m_b v - q$$



$$= \bar{b}_\nu \gamma_\mu P_L \left[ \frac{i}{\not{Q} + i\not{D} - m_c} \right] \gamma_\nu P_L b_\nu$$

$$\frac{i}{\not{Q} + i\not{D} - m_c} = \frac{i}{\not{Q} - m_c} + \frac{i}{\not{Q} - m_c} (-i\not{D}) \frac{i}{\not{Q} - m_c} + \frac{i}{\not{Q} - m_c} (-i\not{D}) \frac{i}{\not{Q} - m_c} (-i\not{D}) \frac{i}{\not{Q} - m_c} + \dots$$

## Operator Product Expansion (OPE)


$$2 \operatorname{Im} \left[ \text{Diagram} \right] = \sum_{n,i} \frac{C_i^{(n)}(\mu, \alpha_s)}{m_b^i} \langle B | \mathcal{O}_i^{(n)} | B \rangle_\mu$$

- $C_i(\mu)$ : short distance, perturbative coefficients
- $\langle B | \mathcal{O}_i | B \rangle_\mu$ : non-perturbative forward matrix elements of local operators
- operators contain chains of covariant derivatives

$$\langle B | \mathcal{O}_i^{(n)} | B \rangle = \langle B | \bar{b}_\nu (iD_\mu) \dots (iD_{\mu_n}) b_\nu | B \rangle$$

- The general structure of the expansion for  $D \rightarrow X_s \ell \bar{\nu}$ :

$$d\Gamma = d\Gamma_0 + d\Gamma_{(2,1)} \left( \frac{\Lambda_{\text{QCD}}}{m_c} \right)^2 + d\Gamma_{(2,2)} \left( \frac{m_s}{m_c} \right)^2 \\ + d\Gamma_3 \left( \frac{\Lambda_{\text{QCD}}}{m_c} \right)^3 + d\Gamma_{(4,1)} \left( \frac{\Lambda_{\text{QCD}}}{m_c} \right)^4 + d\Gamma_{(4,2)} \left( \frac{m_s}{m_c} \right)^4 + \dots$$

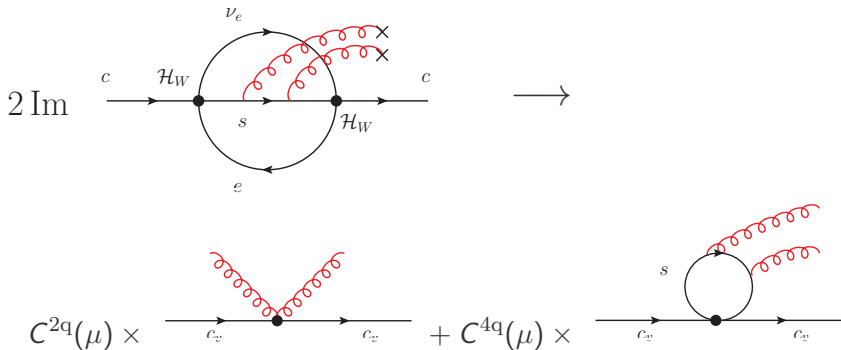
- Expansion parameters:
  - $1/m_c$
  - $\alpha_s$
  - $m_s/m_c$

Fael, Mannel, KKV, hep-ph/1910.05234



# HQE for Charm revisited

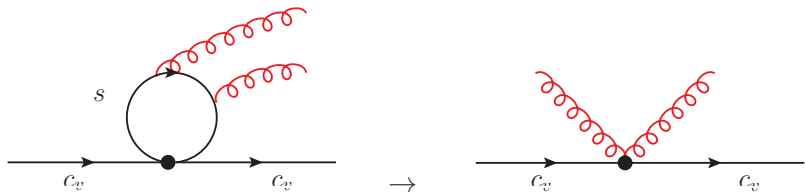
- Systematic treatment of four-quark operators order by order in  $1/m_Q$
- Set up OPE directly for  $\Gamma_{\text{tot}}$  and  $\langle M^{(n)} \rangle$   
following the idea in [Bauer, Falk, Luke hep-ph/9604290](#)



# HQE for Charm revisited

- $\log(m_c/m_b)$  in  $B \rightarrow X\ell\nu$  corresponds to  $\log(\mu/m_c)$  in  $D \rightarrow X\ell\nu$
- caused by mixing of four-quark operators into two-quark operators:

$$C_i^{2q}(\mu) = C_i^{2q}(m_c) + \log\left(\frac{\mu}{m_c}\right) \sum_j \hat{\gamma}_{ij}^T C_j^{4q}(m_c)$$



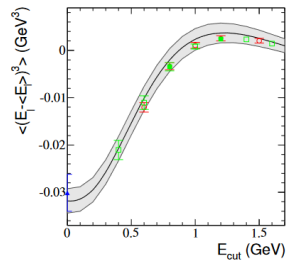
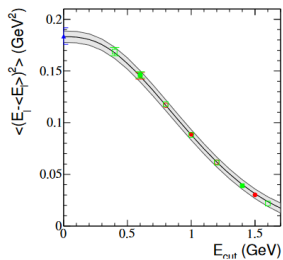
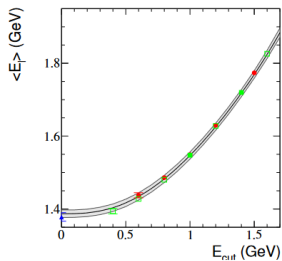
- Additional HQE parameters for  $c \rightarrow q$ :  $T_i \equiv \frac{1}{2m_D} \langle D | O_i^{4q} | D \rangle$
- Up to  $1/m_c^3$  only one extra HQE param:

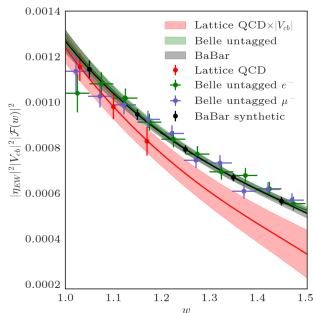
$$\begin{aligned} \tau_0 = & 128\pi^2 \left( T_1(\mu) - T_2(\mu) - 2\frac{T_3(\mu)}{m_c} + \frac{T_4(\mu)}{m_c} \right) \\ & + \log \left( \frac{\mu^2}{m_c^2} \right) \left[ 8\tilde{\rho}_D^3 + \frac{1}{m_c} \left( \frac{16}{3}r_G^4 - \frac{16}{3}r_E^4 + \frac{8}{3}s_E^4 - \frac{1}{3}s_{qB}^4 - 12m_s^4 \right) \right] \end{aligned}$$

- Up to  $1/m_c^4$  only two extra HQE params:  $\tau_m$  and  $\tau_\epsilon$ .

# Moments of the spectrum

Gambino, Schwanda Phys. Rev. D 89, 014022 (2014)





- Tension between the slope of the lattice and experimental data
- Same form factors determine SM predictions for  $R_{D^{(*)}}$
- **New experimental and lattice data needed!**

Beneke, Boer, Toelstede, KKV, JHEP 11 (2020) 081 [2008.10615]

- QED gives sub-percent corrections to Branching ratios

- Beneficial to consider ratios in which QCD is suppressed

$$R_L = \frac{2\text{Br}(\pi^0 K^0) + 2\text{Br}(\pi^0 K^-)}{\text{Br}(\pi^- K^0) + \text{Br}(\pi^+ K^-)} = R_L^{\text{QCD}} + \cos \gamma \text{Re } \delta_E + \delta_U$$

- new structure dependent QED corrections enter **linearly**, QCD only quadratically

$$\delta_E = (-1.12 + 0.16i) \cdot 10^{-3}$$

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- Combined QED effect larger than QCD uncertainty!**

# The $V_{cb}$ puzzle: Inclusive versus Exclusive decays

## Exclusive $B \rightarrow D^{(*)} l \bar{\nu}$

- Form factor required (only for  $B \rightarrow D$  available at different kinematic points)
- Different parametrizations for form factors: CLN Caprini, Lellouch, Neubert [1997] and BGL Boyd, Grinstein, Lebed [1995]
  - BGL: model independent based on unitarity and analyticity
  - CLN: Simple parametrization using HQE relations
- Some inconsistencies in the Belle data were pointed out see e.g. van Dyk, Jung, Bordone, Gubernari [2104.02094]

## Inclusive $B \rightarrow X_c l \nu$

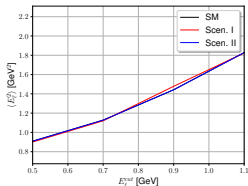
- Determined fully data driven including  $1/m_b$  power corrections

Recently a lot of attention for the  $V_{cb}$  puzzle! Bigi, Schacht, Gambino, Jung, Straub, Bernlochner, Bordone, van Dyk, Gubernari

Stay tuned!

## NP in the $\tau$ sector

- Affects also inclusive  $B \rightarrow X_c \tau \nu$  Rusov, Mannel, Shahriaran [2017]
- Lepton and hadronic moments challenging to measure
- Recently moments of the five-body decay  $B \rightarrow X_c \tau (\rightarrow \mu \nu \nu) \nu$  investigated Mannel, Rahimi, KKV [2105.02163]
- Would also be influenced by NP [in progress]
- Specific NP scenarios from global fit Mandal, Murgui, Penuela, Pich [2004.06726]



Preliminary!

Contribution from five-body charm decay to  $b \rightarrow c \ell \nu$  via

$$B(p_B) \rightarrow X_c(p_{X_c})(\tau(q_{[\tau]} \rightarrow \mu(q_{[\mu]})\nu_\mu(q_{[\bar{\nu}_\mu]})\nu_\tau(q_{[\nu_\tau]}))\bar{\nu}_\tau(q_{[\bar{\nu}_\tau]}))$$

:

- Phase space suppressed:

$$\frac{\Gamma_{\text{tot}}(b \rightarrow c\tau(\rightarrow \ell\bar{\nu}_\ell\nu_\tau)\bar{\nu}_\tau)}{\Gamma_{\text{tot}}(b \rightarrow c\ell\bar{\nu})} \sim 4.0\%$$

- Experimentally effects diminished by cutting on the invariant mass of the  $B$
- Can be calculated exactly in the HQE

$$\frac{d^8\Gamma}{dq^2 dq_{\nu\bar{\nu}}^2 dp_{X_c}^2 d^2\Omega d\Omega^* d^2\Omega^{**}} = - \frac{3G_F^2 |V_{cb}|^2 \sqrt{\lambda}(q^2 - m_\tau^2)(m_\tau^2 - q_{\nu\bar{\nu}}^2) \mathcal{B}(\tau \rightarrow \mu\nu\nu)}{2^{17} \pi^5 m_\tau^8 m_b^3 q^2} W_{\mu\nu} L^{\mu\nu}$$

- $L_{\mu\nu}$  five-body leptonic tensor (narrow-width limit for  $\tau$ )
- $W_{\mu\nu}$  standard hadronic tensor including HQE parameters

- Interesting to search for new physics! Mannel, Rusov, Shahriaran (2017); Mannel, Rahimi, KKV [in progress]

- Leading order shape functions

$$2m_B f(\omega) = \langle B(v) | \bar{b}_v \delta(\omega + i(n \cdot D)) b_v | B(v) \rangle$$

- Charged Lepton Energy Spectrum (at leading order)

$$\frac{d\Gamma}{dy} \sim \int d\omega \theta(m_b(1-y) - \omega) f(\omega)$$

- Moments of the shapefunction are related to HQE ( $b \rightarrow c$ ) parameters:

$$f(\omega) = \delta(\omega) + \frac{\mu_\pi^2}{6m_b^2} \delta''(\omega) - \frac{\rho_D^3}{m_b^3} \delta'''(\omega) + \dots$$

- Shape function is non-perturbative and cannot be computed

- Systematic framework: Soft Collinear Effective Theory (SCET)
- Separates the different scales in the problem

$$d\Gamma = H \otimes J \otimes S$$

- H: Hard scattering kernel at  $\mathcal{O}(m_b)$
- J: universal Jet function at  $\mathcal{O}(\sqrt{m_b\Lambda_{\text{QCD}}})$
- S: Shape function at  $\mathcal{O}(\Lambda_{\text{QCD}})$
- Framework to include radiative corrections (+ NNLL resummation)
- Introduces 3 subleading shape functions

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- Other approach: OPE with hard-cutoff  $\mu$  Gambino, Giordano, Ossola, Uraltsev
  - Use pert. theory above cutoff and parametrize the infrared
  - Different definition of the shape functions
- Shape functions have to be parametrized and obtained from data

# New Physics explanation?

- Too many to count: exclusive  $B \rightarrow D^{(*)}$  in combination with

$$R_{D^{(*)}} = \frac{B \rightarrow D^{(*)} \tau \nu}{B \rightarrow D^{(*)} \mu \nu}$$

- For inclusive  $b \rightarrow c$  less analyses
  - RH-current, scalar and tensor NP contributions to rate Jung, Straub [2018]
  - RH-current to moments Feger, Mannel, et. al. [2010]
  - NP for moments KKV, Fael, Rahimi [in progress]

