

THE BOREXINO RECENT RESULTS AND SOX MEASUREMENT PERSPECTIVES

Alessio Porcelli on behalf of the Borexino/SOX Collaborations
– 27th October, 2017





Borexino and SOX Collaborations



UNIVERSITÀ
DEGLI STUDI
DI MILANO



PRINCETON
UNIVERSITY



NATIONAL RESEARCH CENTER
"KURCHATOV INSTITUTE"



St. Petersburg
Nuclear Physics Inst.



Technische Universität
München



GRAN SASSO
SCIENCE INSTITUTE
CENTRO NAZIONALE DI FISICA NUCLEARE



JAGIELLONIAN
UNIVERSITY
IN KRAKÓW



JÜLICH
FORSCHUNGSZENTRUM



POLITECNICO
MILANO 1863



Joint Institute for
Nuclear Research



UNIVERSITÀ DEGLI STUDI
DI GENOVA

EBERHARD KARLS
UNIVERSITÄT
TÜBINGEN

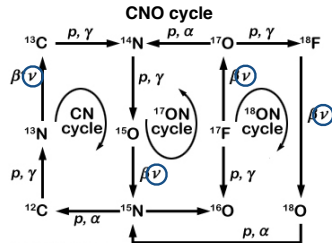
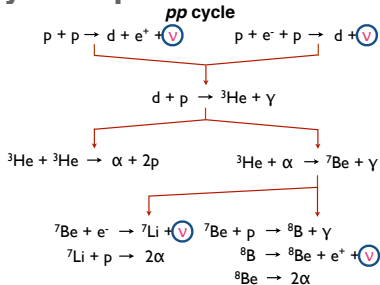


TECHNISCHE
UNIVERSITÄT
DRESDEN



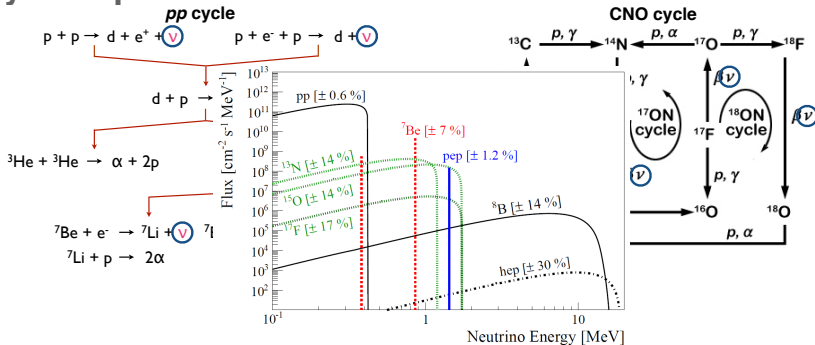
167 among scientists and students, and 291 produced articles

Physics questions...

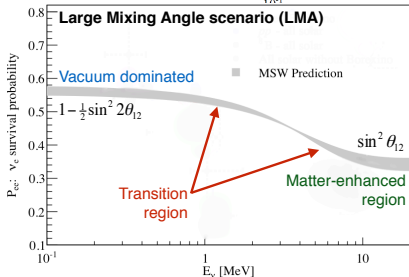
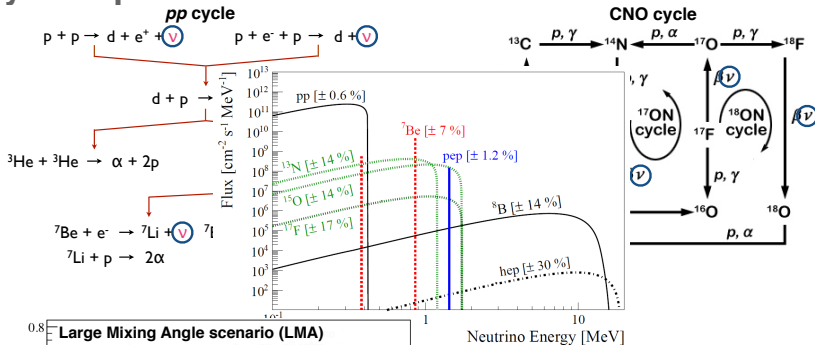


© 2003 Stuart J. Robbins

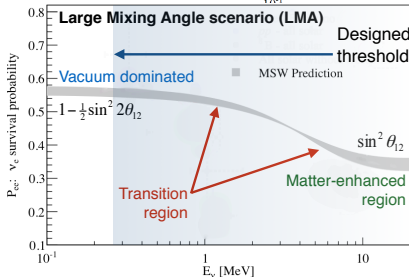
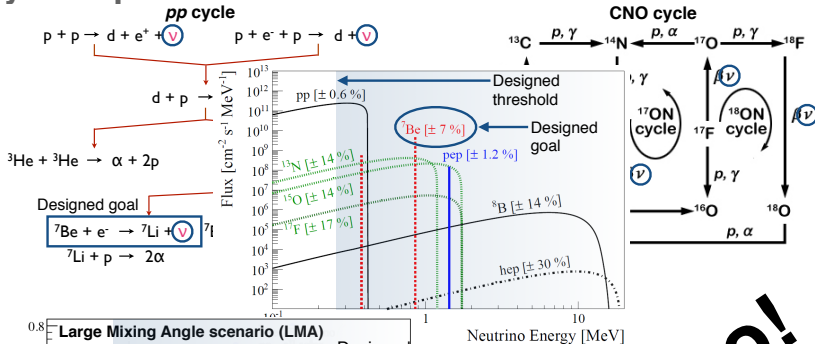
Physics questions...



Physics questions...



Physics questions...

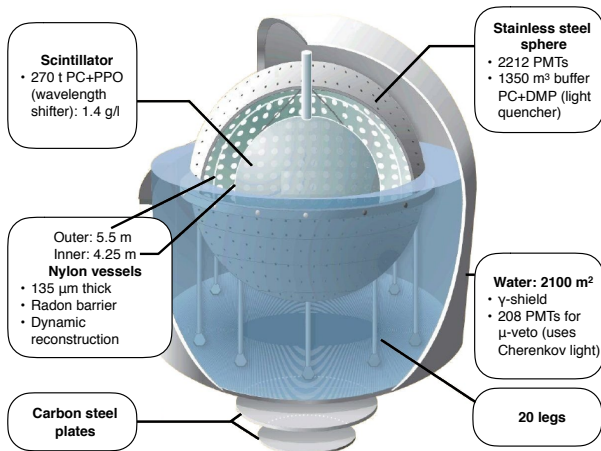


Borexino!

Borexino Detector



Sited beneath Gran Sasso mountain (1400m of rock shielding), Italy.



Borexino core is the most radio-clean spot on Earth with over 10 orders of magnitude below typical radioactivity levels

- Very low background
 - Nitrogen stripping
 - Distillation
 - Water extraction
- 25% Coverage
- Light Yield (LY): 500 p.e./MeV
- Continuous temperature and contamination monitoring

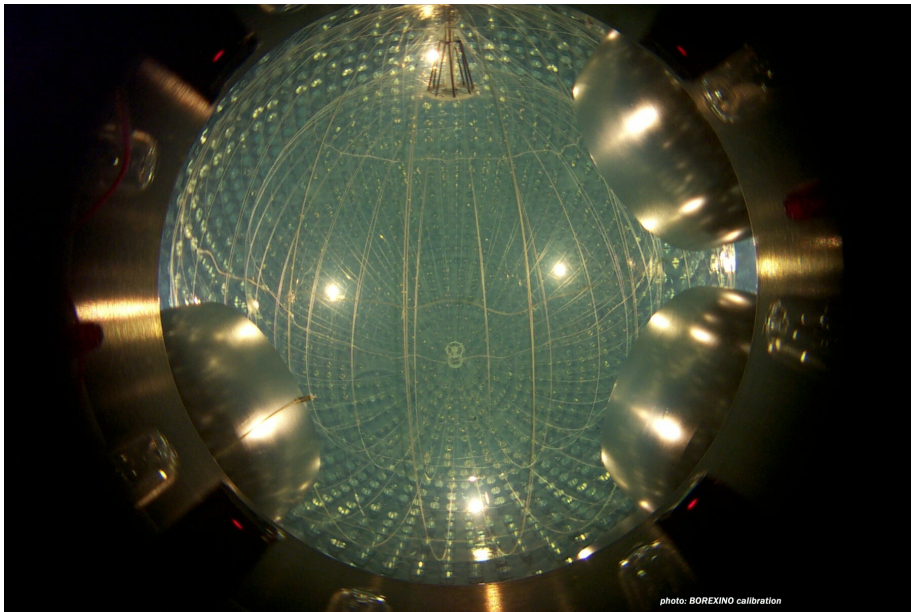
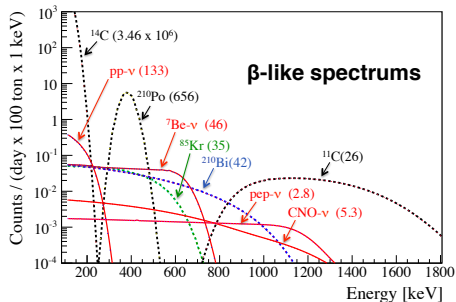
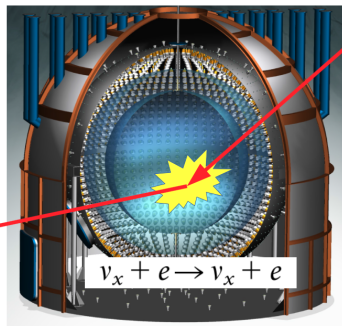


photo: BOREXINO calibration

Borexino signature

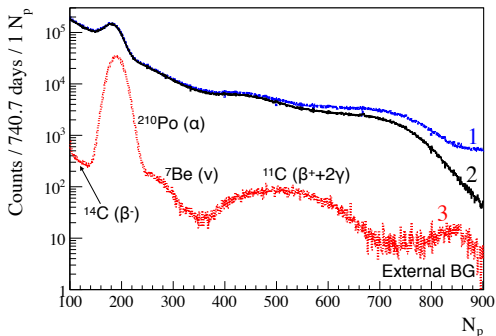


Indistinguishable from the natural radioactivity (β^-/γ components)



⇒ Extreme low background required!!!

Data selection



- 1 Raw spectrum
- 2 Muon cut
- 3 Fiducial Volume cut
(every goal has an optimised FV)

(x-axis: number of PMTs triggered in the event cluster $\sim E \cdot LY$)

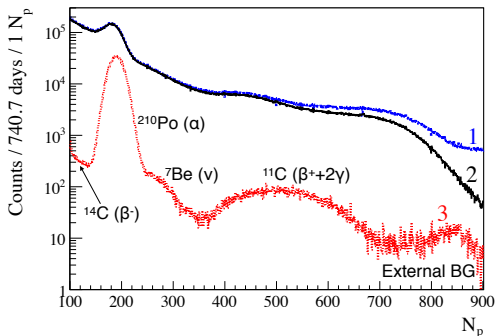
Thorough statistical subtraction of cosmogenics, such as:

α/β Gatti's parameter and neural network discrimination (trained on ^{214}Bi - ^{214}Po coincidence)

^{11}C Three Fold Coincidence (TFC): space-time veto applied on $\mu - n$ pairs coincidences. Average decay time of ^{11}C is 30 min.

... and more [arXiv:1308.0443]

Data selection



- 1 Raw spectrum
- 2 Muon cut
- 3 Fiducial Volume cut
(every goal has an optimised FV)

(x-axis: number of PMTs triggered in the event cluster $\sim E \cdot LY$)

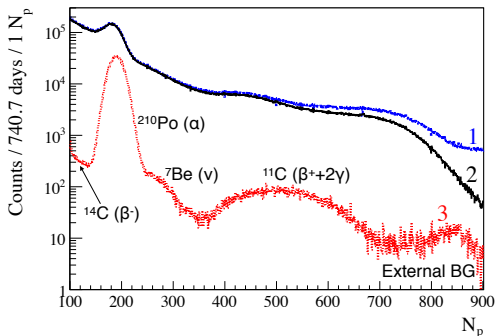
Thorough statistical subtraction of cosmogenics, such as:

α/β Gatti's parameter and neural network discrimination (trained on ^{214}Bi - ^{214}Po coincidence)

^{11}C Three Fold Coincidence (TFC): space-time veto applied on $\mu - n$ pairs coincidences. Average decay time of ^{11}C is 30 min.

... and more [[arXiv:1308.0443](https://arxiv.org/abs/1308.0443)]

Data selection



- 1 Raw spectrum
- 2 Muon cut
- 3 Fiducial Volume cut
(every goal has an optimised FV)

(x-axis: number of PMTs triggered in the event cluster $\sim E \cdot LY$)

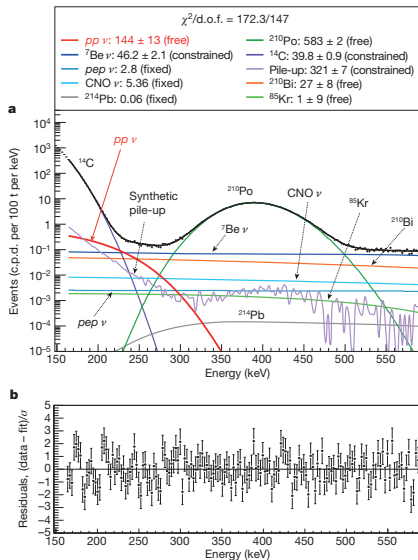
Thorough statistical subtraction of cosmogenics, such as:

α/β Gatti's parameter and neural network discrimination (trained on ^{214}Bi - ^{214}Po coincidence)

^{11}C Three Fold Coincidence (TFC): space-time veto applied on $\mu - n$ pairs coincidences. Average decay time of ^{11}C is 30 min.

... and more [[arXiv:1308.0443](https://arxiv.org/abs/1308.0443)]

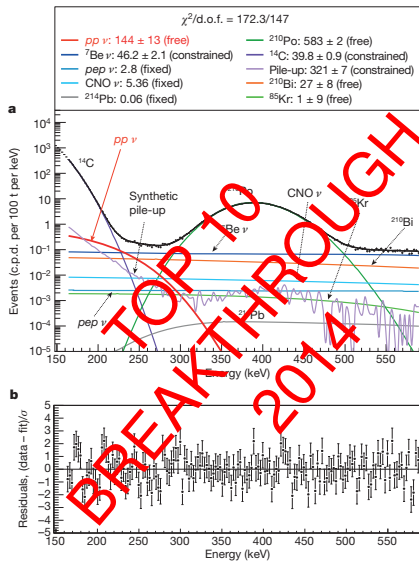
pp chain result



After first measurements
of ν s from ^7Be (862 keV)
[PRL107(2011)141302], pep (1440
keV) [PRL108(2012)051302] and
 CNO (most stringent upper limit)
[PRL108(2012)051302]

**First direct observation of the low
energy neutrinos coming from the
 pp fusion in the core of the Sun
exposure of 408 days \times 71.3 ton**

pp chain result



After first measurements
of ν s from ^7Be (862 keV)

[PRL107(2011)141302], pep (1440 keV) [PRL108(2012)051302] and CNO (most stringent upper limit) [PRL108(2012)051302]

First direct observation of the low energy neutrinos coming from the pp fusion in the core of the Sun exposure of 408 days \times 71.3 ton

Expected: 131 ± 2 cpd/100 t

Rate: $144 \pm 12|_{\text{stat}} \pm 10|_{\text{syst}}$ cpd/100 t

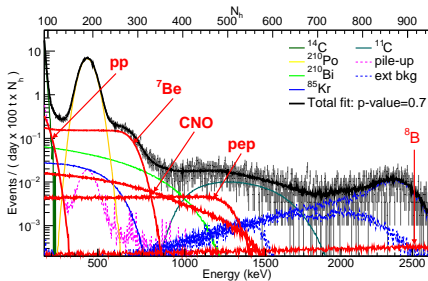
Null hypothesis rejection: 10σ

[Nature512(2014)383]

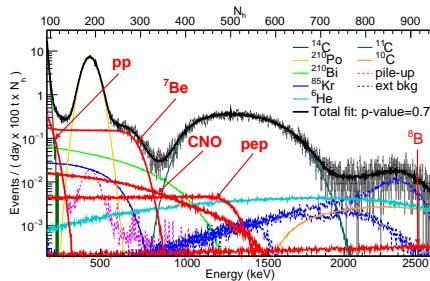
Simultaneous spectroscopy pp , ${}^7\text{Be}$, pep



All spectrum fitted simultaneously;
exposure of 71.3 ton \times 1291.51 days



TFC subtracted



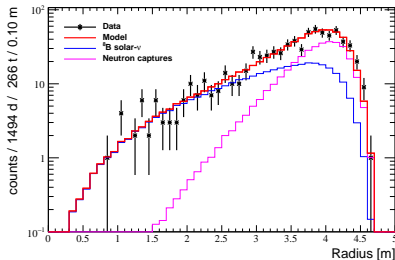
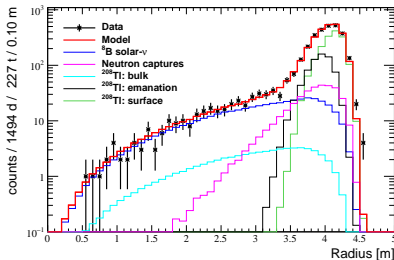
TFC complementary

[[arXiv:1707.09279](https://arxiv.org/abs/1707.09279)]

Measurement of ^8B solar neutrinos



radial fit; exposure of $1.5 \text{ kton} \times \text{year}$



Low Energy (LE) range:

$3.2 \div 5.8 \text{ MeV}$; $\langle E_\nu \rangle \sim 7.9 \text{ MeV}$

Background: fraction of μ and n , fast cosmogenics and ^{214}Bi , ^{11}Be , ^{208}Tl , external γ from (n, γ) reactions

High Energy (HE) range:

$5.8 \div 16.7 \text{ MeV}$; $\langle E_\nu \rangle \sim 9.9 \text{ MeV}$

Background: fraction of μ , fast cosmogenics, ^{11}Be , external γ from (n, γ) reactions

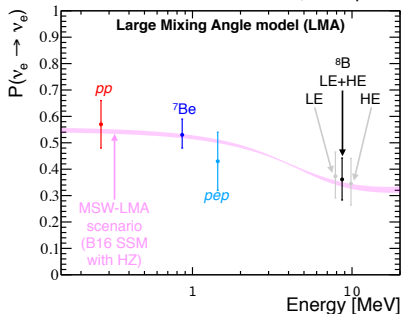
Combining distribution gives $\langle E_\nu \rangle \sim 8.7 \text{ MeV}$

[[arXiv:1709.00756](https://arxiv.org/abs/1709.00756)]

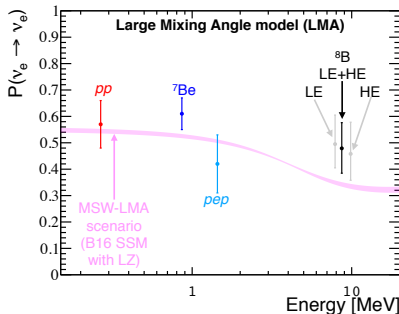
Implication on the neutrino physics



Survival probability $\Phi_{\text{meas}}/\Phi_{\text{exp}} \Rightarrow$ Solar Standard Model (SSM) depended



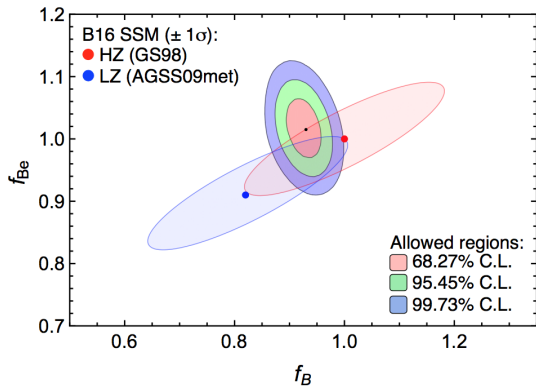
High metallicity model (HZ). p -value 0.998 (0.956 with all experiments)



Low metallicity model (LZ). p -value 0.362 (0.465 with all experiments)

- ✓ confirms MSW-LMA (Mikheyev-Smirnov-Wolfenstein effect with Large Mixing Angle scenario)
- High metallicity favoured

Implication on the physics of the sun



Borexino-KamLand Data
combined
HZ/LZ contour are 1σ
theoretical prediction

$f_X = \Phi(X)/\Phi(X)_{\text{HZ}}$ in the axis are the
reduced fluxes

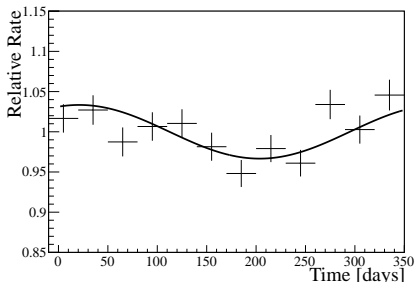
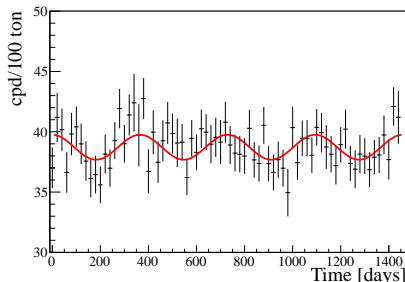
- ✓ confirms SSM (Solar Standard Model)
- ✓ confirms Sun's stability in the past 10^5 years
- ✓ discrimination between the HZ and LZ is now largely dominated by theoretical uncertainties (towards an high metallicity model -HZ-?)

^7Be Modulation



Periodical fluctuation on β -like signal from ^7Be [arXiv:1701.07970]:

■ Sinusoidal Fit to the Event Rate



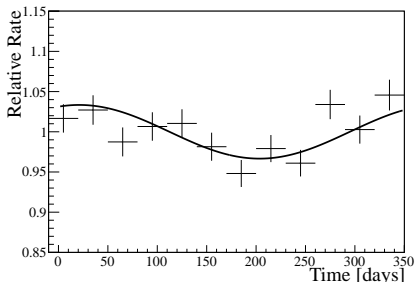
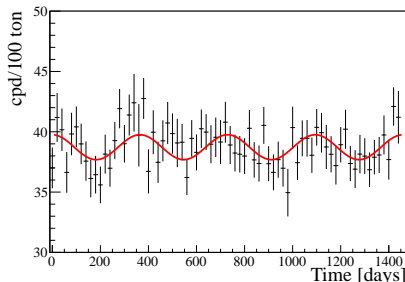
- Lomb-Scargle (spectral analysis with periodic signal assumption)
- Empirical Mode Decomposition (no periodic signal assumption)

Results: $T = 1$ y modulation and eccentricity $\epsilon = (1.66 \pm 0.45)\%$
(null hypothesis rejection: CL 99.99%), compatible with Earth revolution

^7Be Modulation

Periodical fluctuation on β -like signal from ^7Be [[arXiv:1701.07970](https://arxiv.org/abs/1701.07970)]:

■ Sinusoidal Fit to the Event Rate



■ Lomb-Scargle (spectral analysis with periodic signal assumption)

■ Empirical Mode Decomposition (no periodic signal assumption)

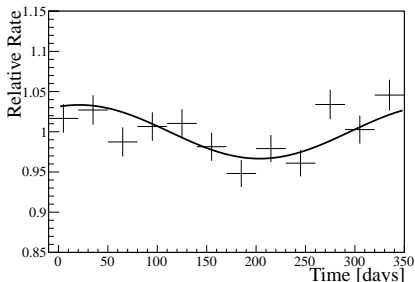
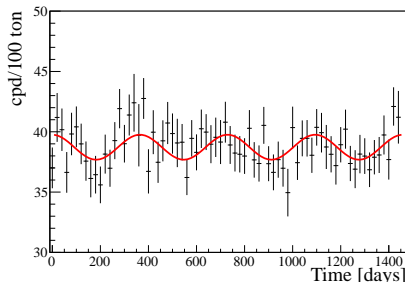
Results: $T = 1$ y modulation and eccentricity $\epsilon = (1.66 \pm 0.45)\%$
 (null hypothesis rejection: CL 99.99%), compatible with Earth revolution

^7Be Modulation



Periodical fluctuation on β -like signal from ^7Be [arXiv:1701.07970]:

■ Sinusoidal Fit to the Event Rate



■ Lomb-Scargle (spectral analysis with periodic signal assumption)

■ Empirical Mode Decomposition (no periodic signal assumption)

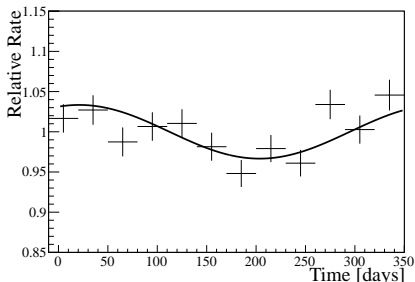
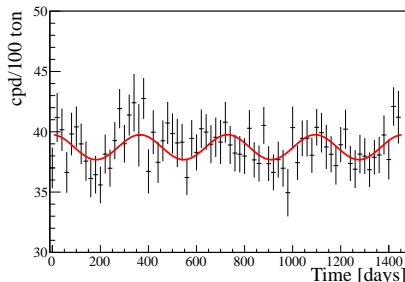
Results: $T = 1$ y modulation and eccentricity $\epsilon = (1.66 \pm 0.45)\%$
(null hypothesis rejection: CL 99.99%), compatible with Earth revolution

^7Be Modulation



Periodical fluctuation on β -like signal from ^7Be [arXiv:1701.07970]:

■ Sinusoidal Fit to the Event Rate



■ Lomb-Scargle (spectral analysis with periodic signal assumption)

■ Empirical Mode Decomposition (no periodic signal assumption)

Results: $T = 1$ y modulation and eccentricity $\epsilon = (1.66 \pm 0.45)\%$
(null hypothesis rejection: CL 99.99%), compatible with Earth revolution

\Rightarrow **Low energy neutrinos detected in Borexino have solar origin**



Neutrino Magnetic Moment

Neutrino oscillation $\Rightarrow m_\nu \neq 0 \Rightarrow \mu_\nu \approx 3.2 \cdot 10^{-19} \left(\frac{m_\nu}{1 \text{ eV}} \right) \mu_B$
(μ_B = electron Bohr magneton)

- Current m_ν limits: $\mu_\nu < 10^{-18} \mu_B$:
 - 7-8 order of magnitude of the current experimental limits
- Further extension of the Standard Model and New Physics:
 - $\mu_\nu \propto m_\ell$ instead m_ν
 - expectations reach the levels of the current experimental limits



Neutrino Magnetic Moment

Neutrino oscillation $\Rightarrow m_\nu \neq 0 \Rightarrow \mu_\nu \approx 3.2 \cdot 10^{-19} \left(\frac{m_\nu}{1 \text{ eV}}\right) \mu_B$
(μ_B = electron Bohr magneton)

- Current m_ν limits: $\mu_\nu < 10^{-18} \mu_B$:
 - 7-8 order of magnitude of the current experimental limits
- Further extension of the Standard Model and New Physics:
 - $\mu_\nu \propto m_\ell$ instead m_ν
 - expectations reach the levels of the current experimental limits

$e^- - \nu$ scattering has additional term proportional to μ_{eff}
(μ_ν for a mixture of neutrino mass eigenstates)

$$\frac{d\sigma_{EM}}{dT_e}(T_e, E_\nu) \propto \mu_{eff}^2 \left(\frac{1}{T_e} - \frac{1}{E_\nu}\right)$$

$\sigma_{EM} \sim 1/T_e \Rightarrow$ scattered electron spectrum influenced at low energies

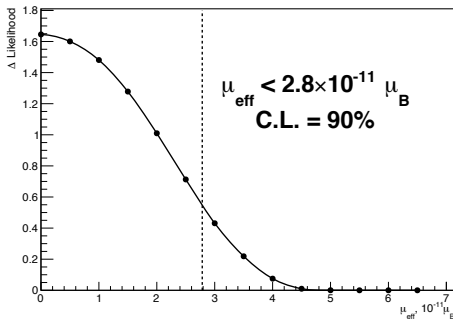
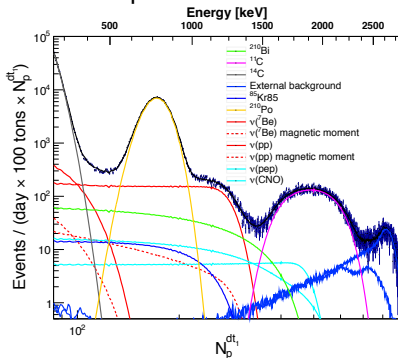
^7Be strong change of the shape: **major sensitivity to nmm**

pp change of the shape is almost equivalent to only the change of normalisation: **constraining pp flux helps!**

NMM constraining with Borexino



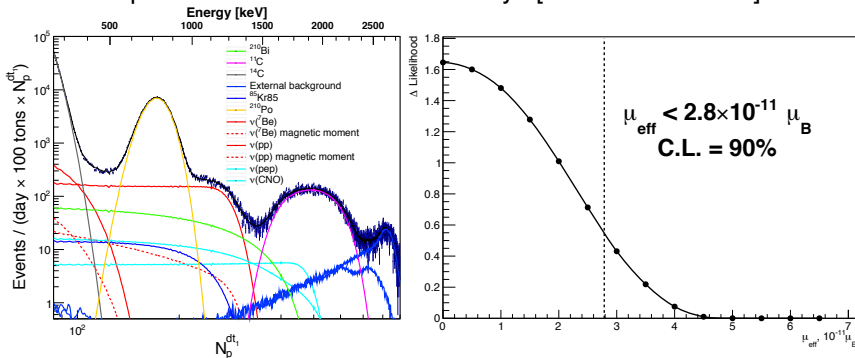
exposure of 71.3 ton \times 1270.6 days [arXiv:1707.09355]



NMM constraining with Borexino



exposure of 71.3 ton \times 1270.6 days [arXiv:1707.09355]



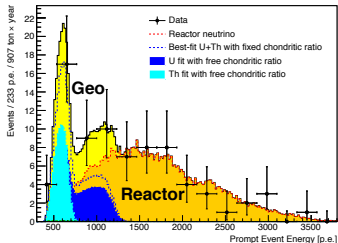
With MSW-LMA $\mu_{\text{eff}}^2 = P^{3\nu} \mu_e^2 + (1 - P^{3\nu})(\cos^2 \theta_{23} \cdot \mu_\mu^2 + \sin^2 \theta_{23} \cdot \mu_\tau^2)$, where $P^{3\nu} = \sin^4 \theta_{13} + \cos^4 \theta_{13} P^{2\nu}$ and $P^{2\nu} = \sin^2 \theta_{12} \sin^2 (\Delta m_{12}^2 L / 4E)$, and using the most conservative (i.e. the worst case) mass hierarchy, a 90% C.L. limit on each contribution can be obtained by setting other two to zero:

$$\mu_e < 3.9 \cdot 10^{-11} \mu_B \quad \mu_\mu < 5.8 \cdot 10^{-11} \mu_B \quad \mu_\tau < 5.8 \cdot 10^{-11} \mu_B$$

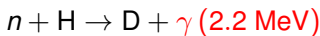
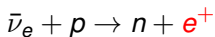
NMM constrainings comparison



	Source	$\times 10^{-11} \mu_B$ @ 90% C.L.	Reference
	(Reactor)		
GEMMA TEXONO	$\bar{\nu}_e$	$\mu_{\nu e} < 2.9$ $\mu_{\nu e} < 7.4$	Phys.Part.Nucl.Lett.10(2013)139 PRD75(2007)012001
	(Astrophysical)		
Raffelt & Dearborn Arcea-Díaz <i>et al.</i>	red giant cooling	$\mu_{\nu e} < 0.3$ $\mu_{\nu e} < 0.22$	Phys.Rept.320(1999)319 Astropart.Phys.70(2015)1
	(Solar)		
Super-Kamiokande	solar ${}^8\text{B}-\nu$ above 5 MeV combining solar+KamLAND	$\mu_{eff} < 36$ $\mu_{eff} < 11$	PRL93(2004)021802
Borexino (old)	solar ${}^7\text{Be}-\nu$ (192 days)	$\mu_{eff} < 5.4$	PRL101(2008)091302
Borexino (new)	solar ${}^7\text{Be}-\nu$ and $pp-\nu$	$\mu_{eff} < 2.8$	arXiv:1707.09355

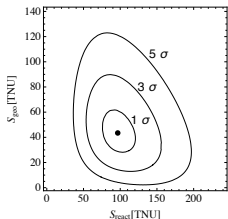


Detection through inverse β -decay



Exposure: 2056 days

[PRD92(2015)031101(R)]



Log-Likelihood fit: Geo- ν out of Reactor- ν :

■ 5.9 σ of significance out of null hypothesis

■ $S_{\text{geo}} = 43.5_{-10.4}^{+11.8} |_{\text{stat}} -2.4 |_{\text{syst}} \text{ TNU}$

■ $S_{\text{react}} = 96.6_{-14.2}^{+15.6} |_{\text{stat}} -5.0 |_{\text{syst}} \text{ TNU}$

1 TNU (Terrestrial Neutrino Unit) = 1 event/year/ 10^{32} protons

Real time spectroscopy of geo- ν is possible with larger exposure

... it is also possible to distinguish between different geological models



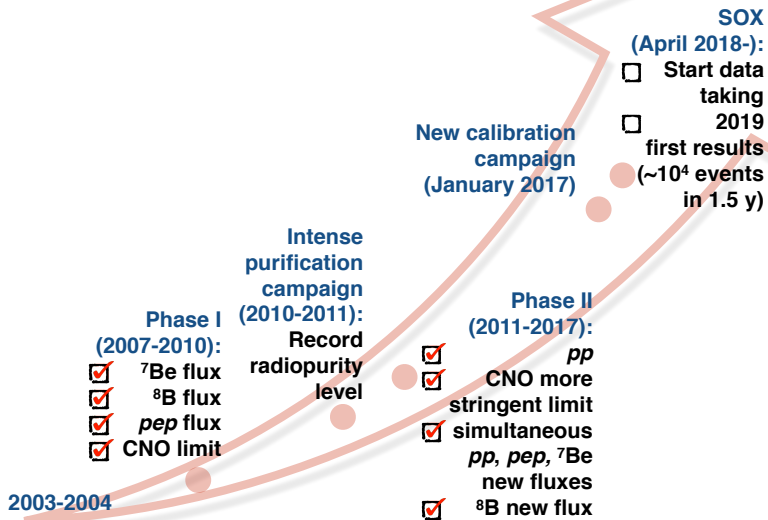
... and more physics is achieved

- ☺ Testing ν excess from LIGO and VIRGO events (Gravitational Waves) [arXiv:1706.10176]
- ☺ ν -GRB correlation: best limits on the neutrino fluence of all flavours below 7 MeV [Astro.Phys.86(2017)11]
- ☺ Limits on rare processes: i.e. $\tau_{e^- \rightarrow \gamma \nu} > 6.6 \cdot 10^{28}$ y @ 90% CL [PRL115(2015)231802]
- ☺ Muon seasonal modulation: $\phi = 179 \pm 6$ days, correlated to atmospheric temperature with $\alpha_T = 0.93 \pm 0.04$ [JCAP05(2012)015]
- ☺ Detailed studies of the cosmogenics in liquid scintillator [arXiv:1308.0443]
- ☺ $\nu_e \rightarrow \bar{\nu}_e$ oscillation: transition probability $< 1.3 \cdot 10^{-4}$ @ 90% CL for $E_{\bar{\nu}} > 1.8$ MeV [Phys.Lett.B696(2011)191]



... and more physics is achieved (and will be)

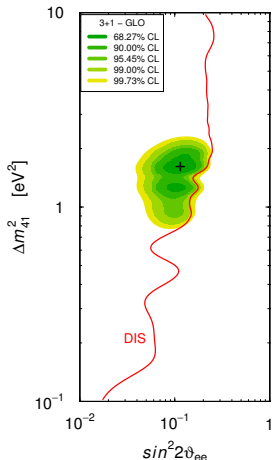
- ☺ Testing ν excess from LIGO and VIRGO events (Gravitational Waves) [[arXiv:1706.10176](#)]
- ☺ ν -GRB correlation: best limits on the neutrino fluence of all flavours below 7 MeV [[Astro.Phys.86\(2017\)11](#)]
- ☺ Limits on rare processes: i.e. $\tau_{e^- \rightarrow \gamma \nu} > 6.6 \cdot 10^{28} \text{ y @ 90% CL}$ [[PRL115\(2015\)231802](#)]
- ☺ Muon seasonal modulation: $\phi = 179 \pm 6 \text{ days}$, correlated to atmospheric temperature with $\alpha_T = 0.93 \pm 0.04$ [[JCAP05\(2012\)015](#)]
- ☺ Detailed studies of the cosmogenics in liquid scintillator [[arXiv:1308.0443](#)]
- ☺ $\nu_e \rightarrow \bar{\nu}_e$ oscillation: transition probability $< 1.3 \cdot 10^{-4} \text{ @ 90% CL}$ for $E_{\bar{\nu}} > 1.8 \text{ MeV}$ [[Phys.Lett.B696\(2011\)191](#)]
- ... a more stringent CNO limit (or a possible observation?)
- ... LIGO+VIRGO+IceCube+LVD+KamLand+Borexino joint collaboration for multimessenger observation of next galactic Supernova



Sterile neutrino



ν_s : 4th neutrino eigenstate that doesn't interact weak (only gravitationally)



[PRD88(2013)073008]

experimental hints

■ $\nu_e/\bar{\nu}_e$ disappearance:

- reactor anomaly (solved with recent Daya Bay results [PRL118(2017)251801])
- GALLEX/SAGE anomaly ($\approx 2.8\sigma$)

■ $\nu_e/\bar{\nu}_e$ appearance:

- miniBooNE and LSND accelerator anomalies ($\approx 3.8\sigma$)

⇒ sterile neutrino in eV mass range?

A global fit gives $0.82 < \Delta m_{41}^2 < 2.14 \text{ eV}^2$ (3σ)
(not yet updated after Daya Bay results)

⇒ more experimental data with a short-baseline:

☺ SOX (CeSOX now, CrSOX in the future?)

... further reactor experiments

SOX (Short distance Oscillation with boreXino)

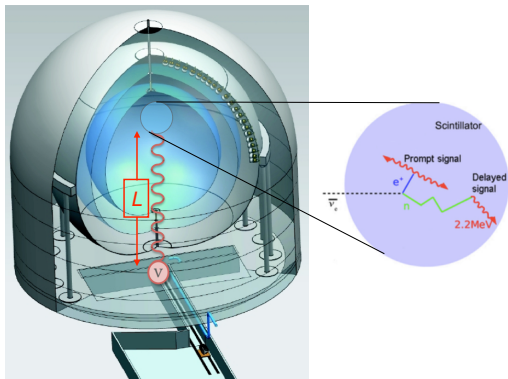


A $\bar{\nu}_e$ source (^{144}Ce , 100÷150 kCi of activity) is placed underground, 8.5 m beneath the Borexino scintillator center (CeSOX)

Signature: $\bar{\nu}_e + p \rightarrow e^+ + n$ (inverse β -decay)

e^+ Prompt: E and L info of $\bar{\nu}_e$ (resolution: 5% and 10 cm @ 1 MeV)

n Delayed: time-space-energy coincidence (almost background free)



SOX (Short distance Oscillation with boreXino)

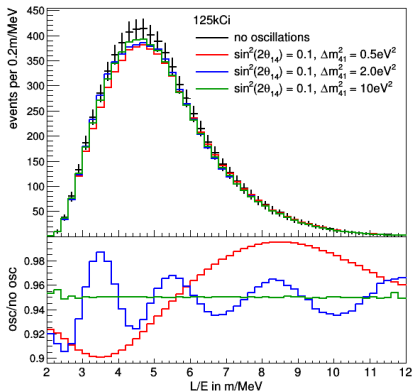


A $\bar{\nu}_e$ source (^{144}Ce , 100÷150 kCi of activity) is placed underground, 8.5 m beneath the Borexino scintillator center (CeSOX)

Signature: $\bar{\nu}_e + p \rightarrow e^+ + n$ (inverse β -decay)

e^+ Prompt: E and L info of $\bar{\nu}_e$ (resolution: 5% and 10 cm @ 1 MeV)

n Delayed: time-space-energy coincidence (almost background free)

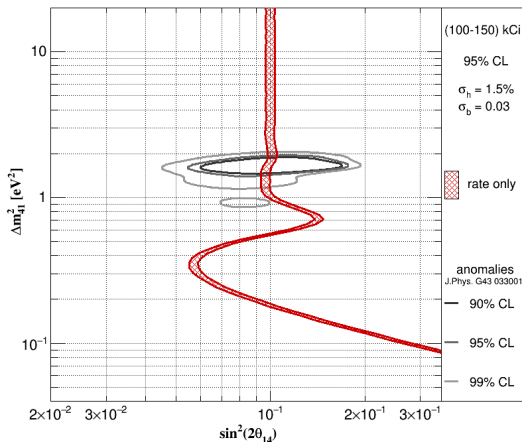


Observables (as a function of E & L):

- 1 Rate: counted/predicted w/o oscillation (disappearance)
- 2 Shape: periodic distribution

Examples:

- ▶ Disappearance + Periodic Oscillation (Rate+Shape)
- ▶ Disappearance + Periodic Oscillation (Rate+Shape)
- ▶ Disappearance only (Rate)



■ Rate: knowledge of

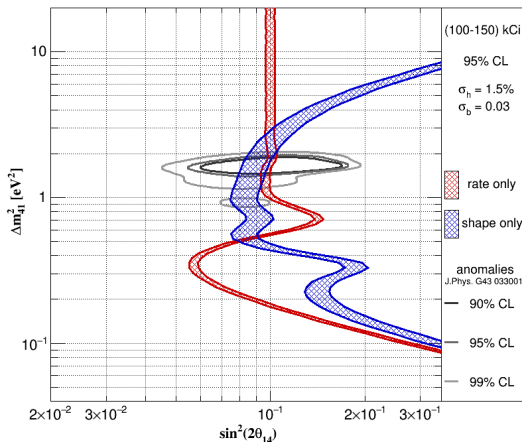
- source activity monitoring
- neutrino spectrum
- fiducial volume

■ Shape:

- no dependence on systematics in scale
- direct evidence of oscillation

■ Rate+Shape: exclude great part of 99% region!

Grey contours: preferred region of the anomalous neutrino experiments @ CLs of 90%, 95% and 99% [J.Phys.G43(2016)033001]



■ Rate: knowledge of

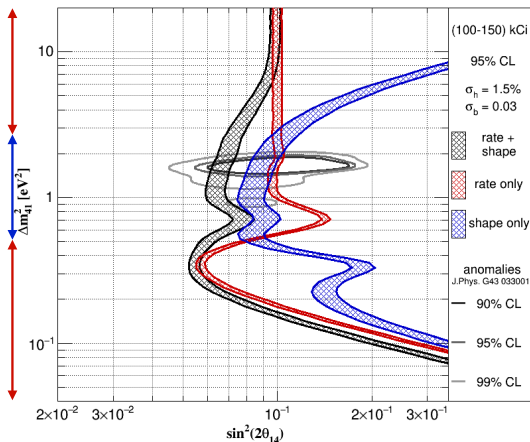
- source activity monitoring
- neutrino spectrum
- fiducial volume

■ Shape:

- no dependence on systematics in scale
- direct evidence of oscillation

■ Rate+Shape: exclude great part of 99% region!

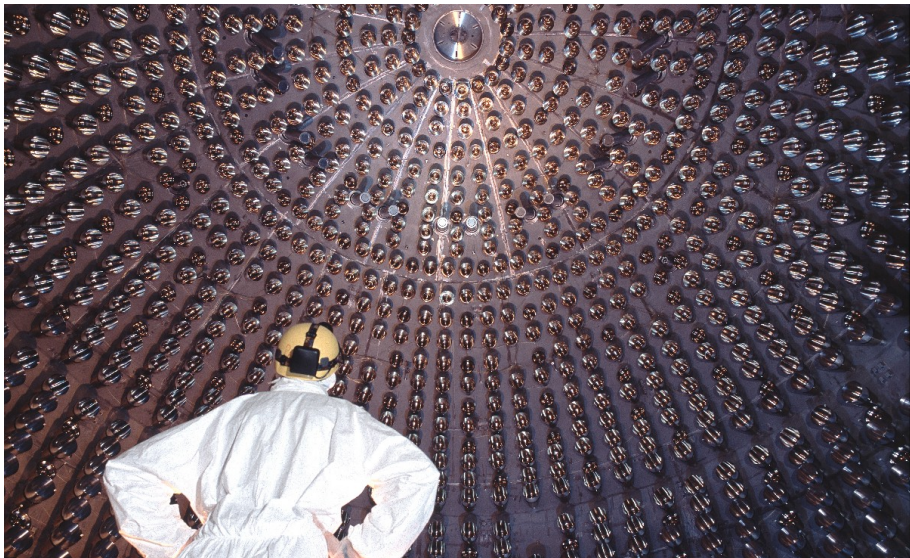
Grey contours: preferred region of the anomalous neutrino experiments @ CLs of 90%, 95% and 99% [J.Phys.G43(2016)033001]



- **Rate:** knowledge of
 - source activity monitoring
 - neutrino spectrum
 - fiducial volume
- **Shape:**
 - no dependence on systematics in scale
 - direct evidence of oscillation
- **Rate+Shape:** exclude great part of 99% region!

Grey contours: preferred region of the anomalous neutrino experiments @ CLs of 90%, 95% and 99% [[J.Phys.G43\(2016\)033001](#)]

Stay tuned and Thank you!



Motivations

Borexino

ν -sol

Modulation

NMM

Geo- ν

... and more

SOX

Outlook

Backups

Backups

Purification phase



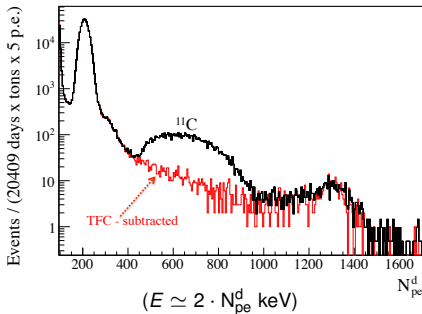
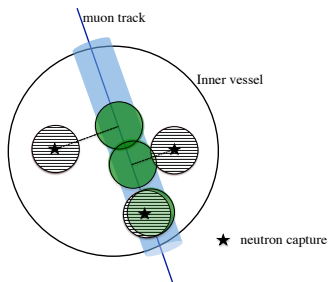
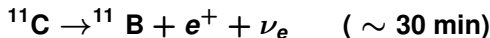
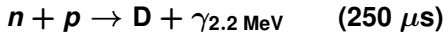
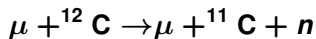
Between Phase I and Phase II, 1 year of purification occurred:
6 cycles of water extraction reduced drastically the background contaminants!

Contaminants summary:

Isotope	Typical	Required	Phase I	Phase II
$^{14}\text{C}/^{12}\text{C}$	10^{-12} (cosmogenic)	$\leq 10^{-18}$	$(2.69 \pm 0.06) \cdot 10^{-18}$	unchanged
^{85}Kr	1 Bq/m ³ (air)	≤ 1 cpd/100 t	(30 ± 5) cpd/100 t	≤ 5 cpd/100 t
^{210}Bi		not specified	~ 40 cpd/100 t	(20 ± 5) cpd/100 t
^{210}Po		not specified	~ 20 cpd/100 t	unchanged
^{222}Rn	100 atoms/cm ³ (air)	≤ 10 cpd/100 t	~ 1 cpd/100 t	unchanged
^{39}Ar	17 mBq/m ³ (air)	≤ 1 cpd/100 t	$\ll ^{85}\text{Kr}$	$\ll ^{85}\text{Kr}$
^{40}K	$2 \cdot 10^{-6}$ (dust)	$\leq 10^{-18}$ g/g	$\leq 0.4 \cdot 10^{-18}$ g/g	unchanged
^{232}Th	$2 \cdot 10^{-5}$ (dust)	$\leq 10^{-16}$ g/g	$(3.8 \pm 0.8) \cdot 10^{-18}$ g/g	$< 1.0 \cdot 10^{-19}$ g/g
^{238}U	$2 \cdot 10^{-5}$ (dust)	$\leq 10^{-16}$ g/g	$(5.3 \pm 0.5) \cdot 10^{-18}$ g/g	$< 0.8 \cdot 10^{-19}$ g/g

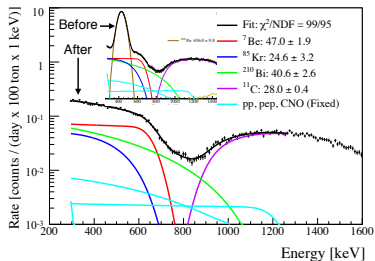
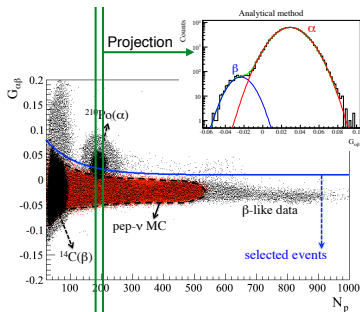
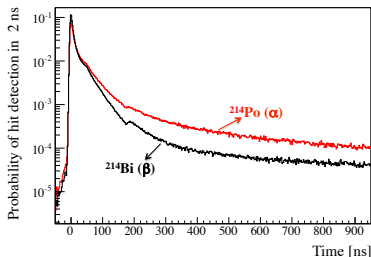
N.B.: Borexino core is the most radio-clean spot on Earth
 with over 10 orders of magnitude below typical radioactivity levels

Three Fold Coincidence (TFC)



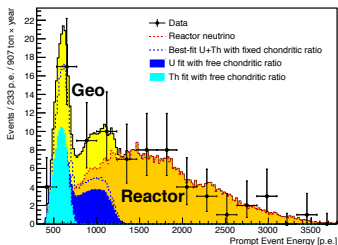
- Association of neutrons to a given μ track
- vetoing region in space and time to exclude decay signatures from ${}^{11}\text{C}$ s associated to $\mu - n$ pairs

α/β Pulse-Shape Discrimination

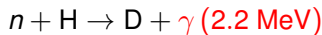
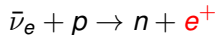


- Gatti's parameter $G_{\alpha\beta}$ is trained on ^{214}Bi - ^{214}Po coincidences
- Current improvement with Multi-Layer-Perceptron (MLP) algorithm, based on neural network

Geo neutrinos: geological models

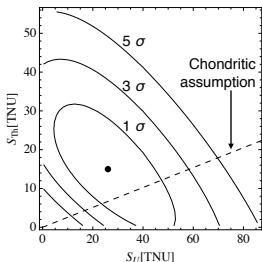


Detection through inverse β -decay



Exposure: 2056 days

[PRD92(2015)031101(R)]



Log-Likelihood fit: Geo- ν out of Reactor- ν :

- ^{232}Th and ^{238}U left free parameters
- Chondritic assumption:
 $m(^{238}\text{U})/m(^{232}\text{Th}) = 1/3.9$
- Real time spectroscopy of geo neutrinos is possible with larger exposure

1 TNU (Terrestrial Neutrino Unit) = 1 event/year/ 10^{32} protons