New Avenues to Axions

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The Future of Particle Physics: A Quest for Guiding Principles

KIT, Karlsruhe, October 1-2 2018





Why?

Is the Higgs the only (fundamental?) scalar in nature?

Or simply the first one discovered?

The spin 0 window



The SM Higgs is a ~ doublet of SU(2)∟

The spin 0 window



The SM Higgs is a ~ doublet of SU(2)∟ What about a singlet (pseudo) scalar?

Strong motivation from fundamental problems of the SM



Rocio del Rey

The nature of DM is unknown

It may be a (SM singlet) scalar S the "Higgs portal"

$\delta \mathcal{L} = \Phi^+ \Phi S^2$

S has polynomial couplings

Silveira+Zee; Veltman+Yndurain; Patt+Wilczek...



Rocio del Rey

Many small unexplained SM parameters

Hidden symmetries can explain small parameters

If spontaneously broken: Goldstone bosons a

-> derivative couplings to SM particles

(Pseudo)Goldstone Bosons appear in many BSM theories

* e.g. Extra-dim Kaluza-Klein: 5d gauge field compactified to 4d the Wilson line around the circle is a GB, which behaves as an axion in 4d



- * Majorons, for dynamical neutrino masses
- * From string models
- * The Higgs itself may be a pGB ! ("composite Higgs" models)
- * Axions *a* that solve the strong CP problem, and ALPs (axion-like particles)

The nature of DM is unknown

The strong CP problem

Why is the QCD θ parameter so small?

 $\mathcal{L}_{QCD} \supset \theta \ G_{\mu\nu} \widetilde{G}^{\mu\nu}$

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STRONG CP PROBLEM



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The strong CP problem Why is the QCD θ parameter so small? $\mathcal{L}_{QCD} \supset \theta \ G_{\mu\nu} \widetilde{G}^{\mu\nu}$ A dynamical $U(1)_A$ solution \rightarrow the axion a It is a pGB: ~only derivative couplings $\partial_{\mu} a$ Also excellent DM candidate

Peccei+Quinn; Wilczek...

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The strong CP problem

Why is the QCD θ parameter so small?

 $\mathcal{L}_{QCD} \supset \frac{a}{f_a} G_{\mu\nu} \widetilde{G}^{\mu\nu}$

A dynamical $U(1)_A$ solution

 \rightarrow the axion *a*

It is a pGB: ~only derivative couplings

 $\partial_{\mu} a$ Also excellent DM candidate

Peccei+Quinn; Wilczek...

An axion *a* is any Goldstone Boson of a global U(1) symmetry which is exact at classical level but is explicitly broken <u>only</u> by instantons An axion *a* is any Goldstone Boson of a global U(1) symmetry which is exact at classical level but is explicitly broken <u>only</u> by instantons

pseudo-

An axion a is any Goldstone Boson of a global U(1)

symmetry which is exact at classical level

but is explicitly broken only by instantons

a can be elementary or composite (= dynamical)

 $\mathbf{m}_a \mathbf{f}_a = \text{cte.}$



m_a

 $\mathbf{m}_a \mathbf{f}_a = \text{cte.}$





 $\mathbf{m}_a \mathbf{f}_a = \text{cte.}$



 $\mathbf{m}_a \mathbf{f}_a = \text{cte.}$



The value of the constant is determined by the strong gauge group





In QCD-like theory $m_a^2 \neq 0$ because of explicit U(1)_A breaking at quantum level (instantons, Λ)



The "invisible axion" mass versus the η'_{QCD} mass

ANY model with only the SM QCD gauge group has to obey:

$$m_a^2 f_a^2 \sim m_\pi^2 f_\pi^2 \frac{m_u m_d}{(m_u + m_d)^2}$$

which in the limit $m_q \rightarrow 0$ vanishes

The reason is that there is only one anomalous current $G_c \tilde{G}_c \quad \text{(of QCD)} \quad \longleftrightarrow \quad \Lambda_{\text{QCD}}$

for two singlet (pseudo) Golsdtone bosons coupling to it:

Ŋ'QCD α
—> one must remain (almost) massless
and that one is the "Invisible axion" as f_a ~1/m_a



Intensely looked for experimentally...



https://arxiv.org/pdf/1611.04652.pdf

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* Territory to explore for 'true" axions and for ALPs



The field is **BLOOMING**

in Experiment ... and Theory



Most efforts focus on axion and ALP couplings to fermions, gluons, and especially photons





Mimasu+Sanz 2015, Jaeckel and Spannowsky 2015, Brivio et al. 2017, Bauer et al. 2017.....

Experiment: new experiments and new detection ideas

- * Helioscopes: axions produced in the sun. CAST, Baby-IAXO, TASTE, SUMICO
- * Haloscopes: assume that all DM are axions ADMX, HAYSTACK, QUAX, CASPER, Atomic
- * Traditional DM direct detection: axion/ALP DM XENON100
- * Lab. search: LSW (light shining through wall,ALPS, OSQAR) PVLAS (vacuum pol.)..... and LHC!

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- * Traditional DM direct detection: axion/ALP DM

ALP decaying outside detector: mono-W, -Z, -H, H->inv first proposed

ALPs at colliders:

Mimasu+Sanz 2015, Jaeckel and Spannowsky 2015, Brivio et al. 2017, Bauer et al. 2017.....

Experiment: new experiments and new detection ideas

e.g. in Haloscopes



C. Braggio talk at Invisibles18

plus LHC !

Intensely looked for experimentally...



https://arxiv.org/pdf/1611.04652.pdf

Advances on Haloscopes



Irastorza and Redondo, arXiv:1801.08127
Intensely looked for experimentally...



Intensely looked for experimentally...



Much activity in estimating the value of the "cte."= m_a f_a with lattice QCD since 2015: Cortona et al. https://ar. ;Trunin et al.; 2016: Borsanyi et al., Petreczky et al., Taniguhi et al., Frison et al.







The field is **BLOOMING**





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* Models enlarging the strong SM gauge sector, with scale Λ'?







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Dimopoulos+Susskind 79, Tye 81, **Rubakov 97,** Berezhiani+Gianfagna+Gianotti 01, Hsu+Saninno 04 Fukuda, Hariyaga, Ibe, Yanagida 15, Gherghetta+Nagata+Shifman 16,

Hook and many collaborators: Dimopoulos, Hook, Huang, Marques-Tavares 16, Hook 17

2017: Agrawal+Howe, 2018 M.K. Gaillard et al.







* Models enlarging the strong SM gauge sector, with scale Λ '? $m_a^2 f_a^2 = m_\pi^2 f_\pi^2 + extra$, \uparrow extra source of instantons $G'\tilde{G'}$







* Models enlarging the strong SM gauge sector, with scale Λ' ? $m_a^2 f_a^2 = m_\pi^2 f_\pi^2 + \Lambda'^4$, $\Lambda' \gg \Lambda_{\rm QCD}$ \uparrow extra source of instantons $G'\tilde{G}'$







* Models enlarging the strong SM gauge sector, with scale Λ '? $m_a^2 f_a^2 = LARGE NUMBER$

relaxes the true axion parameter space

A heavy QCD axion?

Heavy axions

 $m_a \neq 0$ due to explicit U(1)_{PQ} breaking at QCD confinement scale Λ



To know how heavy are the axion(s) of your BSM theory

Compare the number of pseudoscalars-coupled to anomalous currents:

 η' α_1 α_2 α_3

with how many sources of (instanton) masses



* Much territory to explore for heavy 'true" axions and for ALPs



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BLOOMING Theory

for a true (heavy) axion



BLOOMING Theory

for a true (heavy) axion

- * With elementary axions: Agrawal and Howe, 2017
- * With dynamical axions, via a massless coloured quark:
 - —> A Z₂ model, Hook 2015
 - —> With flavour, Agrawal and Howe 2017
 - —> First color-unified axion model, M. K. Gaillard et al. 2018

Massless Quarks

A QCD-colored massless quark has a U(1)_A symmetry: it solves the strong CP problem

$$\psi \to e^{ilpha\gamma_5}\psi$$

 $heta \to heta + rac{lpha_s}{8\pi}\phi$

 $m_q = 0$ U(1)_A classically exact

+ only broken by anomalies

e.g. $m_u=0 \rightarrow \eta'_{QCD}$ is the axion

.... this SM solution does not seem to be realised (?)

Massless Quarks

A new QCD-colored <u>massless</u> quark has a U(1)_A symmetry: it solves the strong CP problem

$$\psi \to e^{ilpha\gamma_5}\psi$$

 $heta \to heta + rac{lpha_s}{8\pi}\phi$

Hide the massless coloured quark in heavy states bound by the new strong force



K. Choi, J.E. Kim 1985

K. Choi, J.E. Kim, "Dynamical axion" 1985

Axicolor

Massless quark charged under QCD and another confining group

 $SU(3)_c \times SU(\widetilde{N})$

the $\tilde{n'}$: 1

need to reabsorb θ_c and $\tilde{\theta}$

* When SU(N) confines:

 $SU(4)_L \times SU(4)_R \to SU(4)_V$

 $15 = 8 + 3 + \bar{3} + 1$

 $U(1)_L \times U(1)_R \to U(1)_V$

 $\Lambda_{QCD} \ll \tilde{\Lambda}$

two singlets + η'QCD vs. two instanton sources:

—> one m~0 invisible axion and very high f_a

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 $U(1)_L \times U(1)_R \to U(1)_V$ the $\widetilde{\eta}'$: $\Lambda_{QCD} \ll \tilde{\Lambda}$

4

Massless quark content

two singlets + η'QCD vs. two instanton sources:

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Massless quark charged under QCD and another confining group

 $SU(3)_c \times SU(\widetilde{N})$

 $\mathbf{n'_x} = (\bar{\chi}\chi)$

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* When SU(\widetilde{N}) confines: $SU(4)_L \times SU(4)_R \to SU(4)_V$

 $\mathbf{n'}_{\mathbf{\psi}} = (\bar{\psi}\psi)$

 $\Lambda_{QCD} \ll \tilde{\Lambda}$

Massless quark content sulse suli 4 two singlets + n'QCD VS. two instanton sources:

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Massless Quarks and a Z₂

- Only one massless quark
- Complete Z_2 copy of the SM
- The SU(3)₂ θ-angle doesn't introduce new CP violating effects
- → only one dynamical axion, heavy



A. Hook, "Anomalous solutions to the strong CP problem," Phys. Rev. Lett. 114 (2015)

Set up one Higgs VEV to be very large:



it requires a complete mirror of SM and strong fine-tunings

Colour Unified Dynamical Axion CUT

M.K. Gaillard, M.B. Gavela, P. Quilez, R. Houtz, R. del Rey arXiv:1805.06465

$$SU(6) \supset SU(3)_c \times SU(3)$$

Confinement scales: Λ_{QCD} $\tilde{\Lambda}$

Colour Unified Dynamical Axion

First colour-unified model with massless quarks M.K. Gaillard, M.B. Gavela, P. Quilez, R. Houtz, R. del Rey <u>arXiv:1805.06465</u>



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SU(6)
$$\supset$$
 SU(3)_c × SU($\widetilde{3}$)
Confinement scales: Λ_{QCD} $\widetilde{\Lambda}$

Solve strong CP problem with massless SU(6) fermion

* The massless quark to absorb the unified group's θ_6 If a bound the second second

We aim at $\tilde{\Lambda} \sim \text{TeV} >> \Lambda_{\text{OCD}}$

The SM fermions

There is a problem: SM quarks have now SU(6) partners

$$Q_L^{(6)} \equiv (\tilde{q}, \tilde{q})_L \qquad U_R^{(6)} \equiv (u, \tilde{u})_R \qquad D_R^{(6)} \equiv (d, \tilde{d})_R$$

1. Equal mass partners are phenomenologically forbidden

$$m_{\tilde{q}} > m_q$$
 $m_{\tilde{u}} > m_u$ $m_{\tilde{d}} > m_d$

2. The partner fields need to decouple in order to separate the running of $SU(3)_c$ and $SU(\tilde{3})$

A UV complete solution

Add a new group outside the CUT group

$$SU(6) \times SU(3') \xrightarrow{\Lambda_{\rm CUT}} SU(3)_c \times SU(3)_{\rm diag}$$

with prime fermions charged only under SU(3')

A UV complete solution

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* The role of prime fermions is to pair with the quark partners and make them heavy

* The most general Lagrangian includes Higgs-prime fermions Yukawa couplings:

$$\mathcal{L} \ni y'_u q'_L \Phi u'^c_L + y'_d q'_L \tilde{\Phi} d'^c_L + \text{h.c.}$$



• Goal: $SU(3)_{diag}$ confines at a higher scale than $SU(3)_c$

$$\frac{1}{\alpha_{\text{diag}}(\mu)} = \frac{1}{\alpha_6(\mu)} + \frac{1}{\alpha'(\mu)} \qquad \mu = \Lambda_{CUT}$$
$$\alpha_c(\Lambda_{CUT}) = \alpha_6(\Lambda_{CUT})$$

Model I: Unification and Confinement



Model I: Unification and Confinement


The axion spectrum of the CUT theory

$\begin{array}{c} SU(6) \times SU(3') \\ \mathbf{\theta}_{\mathbf{6}} & \mathbf{\theta}_{\mathbf{3}'} \end{array}$

two massless fermions so as to rebasorb both θ_6 and θ'



-> two dynamical axions with scale set by Λ_{diag}: $\eta'_{\Psi} = (\bar{\psi}\psi)$ $\eta'_{\chi} = (\bar{\chi}\chi)$

What are the masses of the two dynamical axions?

There are three pseudo scalars-coupled to anomalous currents:

$$\eta'_{QCD}$$
 η'_{ψ} η'_{χ}
axions

For how many sources of (instanton) masses? Two or three?

$$G_{\rm diag} \tilde{G}_{\rm diag} \qquad G_c \tilde{G}_c \qquad \text{and} \dots ?$$

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$$η'$$
 q $η'_{\psi}$ $η'_{\chi}$ $η'_{\chi}$ axions

For how many sources of (instanton) masses? Two or three?

$$G_{
m diag} \tilde{G}_{
m diag} \qquad G_c \tilde{G}_c \qquad \text{and} \dots ?$$

$$\mathcal{L}_{eff} = \Lambda_{\text{diag}}^4 \cos\left(\frac{2\eta_{\chi}'}{f_d} + \sqrt{6}\frac{\eta_{\psi}'}{f_d}\right) + \Lambda_{\text{QCD}}^4 \cos\left(\frac{2\eta_{\text{QCD}}'}{f_\pi} + \sqrt{6}\frac{\eta_{\psi}'}{f_d}\right) + \dots ?$$

 $\Lambda_{\rm diag} \le 4\pi f_d$

Small Size Instantons (SSI) and Axion Mass

- → Typically, at high energies (= small size) couplings are very small.
- → The instanton density has an exponential suppression:

 $D[\alpha'(\mu)] \propto e^{-2\pi/\alpha'(\mu)}$

New Physics can change the RG flow and induce a new source of axion mass → [Holdom+Peskin, 82] 0.4[Dine+Seiberg, 86] [Flynn+Randall, 87] SU(3)diag [Agrawal+Howe, 17] Running Couplings α_c 1 loop SU(3' $\alpha_c 2 \log p$ a Diagonal 1 loop Large coupling $\alpha' \sim 0.3$ → a_{Diagonal} 2 loop Large breaking scale → α_6 0.1 ď $\Lambda_{CUT} \sim 10^{14-18} \, GeV$ SM: SU(3)c SU(6) 0.01016 1010 1014 10^{12} 1018 10^{2} 10^{4} 10^{6} 10^{8} New sizable contribution RGE scale μ in GeV to the axion mass!!

Usually sizable only at

the confinement scale

 $\left(e^{-2\pi/0.1} \sim 10^{-28}\right)$

Small Size Instantons (SSI) and Axion Mass

a) for small Yukawa couplings in the prime sector:

→ Dilute Instanton Gas approximation:

[t'Hooft, 73] [Callan+Dashen+Gross, 77] [Shifman+Vainshtein+Zakharov, 80]



arXiv:1805.06465

The effective potential for the three singlet pseudoscalars: η'_{QCD} η'_{ψ} η'_{χ}

$$\mathcal{L}_{eff} = \Lambda_{\rm SSI}^4 \cos\left(2\frac{\eta_{\chi}'}{f_{\rm d}}\right) + \Lambda_{\rm diag}^4 \cos\left(2\frac{\eta_{\chi}'}{f_{\rm d}} + \sqrt{6}\frac{\eta_{\psi}'}{f_{\rm d}}\right) + \Lambda_{\rm QCD}^4 \cos\left(2\frac{\eta_{\rm QCD}'}{f_{\pi}} + \sqrt{6}\frac{\eta_{\psi}'}{f_{\rm d}}\right)$$

has three sources of mass —> two massive axions

The effective potential for the three singlet pseudoscalars: η'_{QCD} η'_{ψ} η'_{χ}



has three sources of mass —> two massive axions





b) for O(1)Yukawa couplings in the prime sector:

The prime sector instantons generate a large effective mass for the χ fermion



 $\mathcal{L}_{eff} = -m_{\chi} \bar{\chi} \chi$

 $m_{\chi} \simeq 4.1 \times 10^{-10} \Lambda_{\rm CUT}$









The low-energy observable spectrum

a) for large Yukawa couplings in the prime sector:

The U(3) flavor symmetry is broken by condensate

$$U(3)_L \times U(3)_R \longrightarrow U(3)_V$$

* This results in 9 pGB's. $9 = 1_c + 8_c$

 $\langle \psi \psi \rangle$

 The "pion" masses get pushed up to the cutoff of the theory via interactions with gluons

$$\int \int dx = \int \int dx$$

Collider accessible states are QCD colored "pions"

$$\mathcal{L} \ni D_{\mu}\pi_{d}D^{\mu}\pi_{d} + \frac{\pi_{d}^{a}}{f_{d}}\frac{\alpha_{s}}{16\pi}d_{abc}G^{b}_{\mu\nu}\tilde{G}^{c\mu\nu}$$



- Pair produced
- Anomalous production

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dominates production

dominates decay



 We have a bound on color octet scalars

 $m(\pi_d) \gtrsim 700 \text{ GeV}$

$$m^2(8_c) \approx \frac{9\alpha_c}{4\pi} \Lambda_{\rm diag}^2$$

 $\Lambda_{\rm diag} \approx 3 {\rm ~TeV}$



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$$m^2(8_c) \approx \frac{9\alpha_c}{4\pi} \Lambda_{\rm diag}^2$$

 $\Lambda_{\rm diag} \approx 3 {\rm ~TeV}$

and this is the PQ scale !

* Much territory to explore for heavy 'true" axions, solving strong CP



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Conclusions

Pseudo-Goldstone Bosons in solutions to fundamental SM problems —> derivative couplings to be hunted

Rapidly expanding experimental search attained the New solution to strong the CP problem: **Colour unification with massless quarks SU(6)** —> Axions heavy due to small-size instantons —> Colored mesons observable at colliders The {ma, fa} region that solves the strong CP problem is being amplified

Backup

The low-energy observable spectrum

a) for small Yukawa couplings in the prime sector:

* The U(4) flavor symmetry is broken by condensates: $\langle \psi \psi \rangle \ \langle \bar{\chi} \chi \rangle$

$$U(4)_L \times U(4)_R \to U(4)_V$$

- * This results in 16 pGB's. $16 = 8_c + \overline{3}_c + 3_c + 1_c + 1_c$
- The "pion" masses get pushed up to the cutoff of the theory via interactions with gluons



The low-energy observable spectrum

a) for small Yukawa couplings in the prime sector:

* The U(4) flavor symmetry is broken by condensates: $\langle \psi \psi \rangle \ \langle \bar{\chi} \chi \rangle$

 $U(4)_L \times U(4)_R \to U(4)_V \quad \text{QCD-colored "pions"}$

- * This results in 16 pGB's. $16 = 8_c + \bar{3}_c + 3_c + 1_c + 1_c$
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The θ' Issue

$$\mathcal{L} \ni \theta_6 \frac{\alpha_6}{8\pi} G_6 \tilde{G}_6 + \theta' \frac{\alpha'}{8\pi} G' \tilde{G}'$$
$$\downarrow$$
$$\mathcal{L} \ni (\theta_6 + \theta') \frac{\alpha_{\text{diag}}}{8\pi} G_{\text{diag}} \tilde{G}_{\text{diag}} + \theta_6 \frac{\alpha_c}{8\pi} G_c \tilde{G}_c$$

- $\ast\,$ The θ' can contaminate the visible sector via $\,\Delta\,$
- * θ' must be removed \implies This requires more model building
- Note that this comes from the problem of decoupling unification partners

Solution to the Strong CP problem

- → Any source of axion mass breaks the PQ symmetry, do SSI spoil the Strong CP solution?
 → Breaking pattern imposes:
 - $\mathcal{L} \supset \bar{\theta}_6 \, \frac{\alpha_6}{8\pi} G_6 \tilde{G}_6 + \bar{\theta}' \, \frac{\alpha'}{8\pi} G' \tilde{G}' \, \longrightarrow (\bar{\theta}_6 + \bar{\theta}') \, \frac{\alpha_{\text{diag}}}{8\pi} G_{\text{diag}} \tilde{G}_{\text{diag}} + \bar{\theta}_6 \, \frac{\alpha_c}{8\pi} G_c \tilde{G}_c$
- → Therefore the potential reads:

$$\mathcal{L}_{eff} = \Lambda_{\rm SSI}^4 \cos\left(-2\frac{\eta_{\chi}'}{f_{\rm d}} + \bar{\theta}'\right) + \Lambda_{\rm diag}^4 \cos\left(-2\frac{\eta_{\chi}'}{f_{\rm d}} - \sqrt{6}\frac{\eta_{\psi}'}{f_{\rm d}} + \bar{\theta}' + \bar{\theta}_6\right) + \Lambda_{\rm QCD}^4 \cos\left(-\sqrt{6}\frac{\eta_{\psi}'}{f_{\rm d}} + \bar{\theta}_6\right)$$

→ The alignment of the 3 terms in the potential result in a CP-conserving minimum

$$\left\langle \bar{\theta'} - 2 \, \frac{\eta'_{\chi}}{f_{\rm d}} \right\rangle = 0 \,, \qquad \left\langle \bar{\theta}_6 - \sqrt{6} \, \frac{\eta'_{\psi}}{f_{\rm d}} \right\rangle = 0$$

Strong CP problem solved

Pseudoscalar potential and masses

$$\mathcal{L}_{eff} = \Lambda_{\text{SSI}}^4 \cos\left(2\frac{\eta_{\chi}'}{f_{\text{d}}}\right) + \Lambda_{\text{diag}}^4 \cos\left(2\frac{\eta_{\chi}'}{f_{\text{d}}} + \sqrt{6}\frac{\eta_{\psi}'}{f_{\text{d}}}\right) + \Lambda_{\text{QCD}}^4 \cos\left(2\frac{\eta_{\text{QCD}}'}{f_{\pi}} + \sqrt{6}\frac{\eta_{\psi}'}{f_{\text{d}}}\right) + SU(3)_{\text{diag}} \cos\left(2\frac{\eta_{\chi}'}{f_{\text{d}}} + \sqrt{6}\frac{\eta_{\psi}'}{f_{\text{d}}}\right) + SU(3)_{\text{c}} \text{ Instantons at conf.}$$

$$M_{\eta'_{\chi},\,\eta'_{\psi},\,\eta'_{QCD}}^{2} = \begin{pmatrix} 4\frac{\left(\Lambda_{SSI}^{4}+\Lambda_{d}^{4}\right)}{f_{d}^{2}} & 2\sqrt{6}\frac{\Lambda_{d}^{4}}{f_{d}^{2}} & 0\\ 2\sqrt{6}\frac{\Lambda_{d}^{4}}{f_{d}^{2}} & 6\frac{\left(\Lambda_{d}^{4}+\Lambda_{QCD}^{4}\right)}{f_{d}^{2}} & 2\sqrt{6}\frac{\Lambda_{QCD}^{4}}{f_{\pi}f_{d}}\\ 0 & 2\sqrt{6}\frac{\Lambda_{QCD}^{4}}{f_{\pi}f_{d}} & 4\frac{\Lambda_{QCD}^{4}}{f_{\pi}^{2}} \end{pmatrix}$$

We also developed another UV completion

Same CUT gauge group

$$SU(6) \times SU(3') \xrightarrow{\Lambda_{\rm CUT}} SU(3)_c \times SU(3)_{\rm diag}$$

but instead of adding a second massless fermion as in

su(i)su(i)model I:
$$\frac{1}{Y}$$
 20 1 χ 1 \Box we added a second scalar Δ_2 : $\frac{su(i)}{Y}$ model II: Δ_2 $\frac{1}{D}$

 Δ , Δ_2 and the prime fermions have now PQ charges

Model II: Small Size Instanton Contribution



Model II: Small Size Instanton Contribution

The prime Yukawa couplings to the Higgs are now forbidden by PQ symmetry



$$\Lambda_{SSI}^{4} = -\int \frac{d\rho}{\rho^{5}} D[\alpha'(1/\rho)] \frac{1}{(4\pi)^{18}} \prod_{i} Y_{u_{i}}^{SM} Y_{d_{i}}^{SM} \left(\kappa_{q}^{i}\right)^{2} \kappa_{u}^{i} \kappa_{d}^{i}$$







Should we worry about effective operators from gravitational origin, which may threaten the stability of the CP- conserving minimum?

-> in model I the PQ scale is close to the TeV, so no worries at all.

-> in model II, one of the PQ scales is indeed high and near Plank scale, and it involves a scalar charged under PQ.

But even in this last case no problem, the argument has been recently desactivated:

There is an important recent result by R. Alonso and A. Urbano, in which they compute explicitly the gravitational instanton contributions and find them to be negligible ay least in the strong regime, even for very high scales: arXiv:1706.07415

The η' Pseudoscalars

The associated currents of the QCD singlets are:

$$\begin{split} j^{\mu}_{\psi_{A}} &= \overline{\psi} \gamma^{\mu} \gamma^{5} t^{9} \psi &\equiv f_{d} \partial^{\mu} \eta'_{\psi} & * t^{9} = \frac{1}{\sqrt{6}} \mathbf{1}_{3 \times 3} \\ j^{\mu}_{\chi_{A}} &= \overline{\chi} \gamma^{\mu} \gamma^{5} \chi &\equiv f_{d} \partial^{\mu} \eta'_{\chi} & * f_{d} \text{ is the pGB scale:} \\ & \Lambda_{\text{diag}} \leq 4\pi f_{d} \end{split}$$



$$\partial_{\mu} j^{\mu}_{\psi_A} = -\sqrt{6} \frac{\alpha_6}{8\pi} G_6 \tilde{G}_6$$
$$\partial_{\mu} j^{\mu}_{\chi_A} = -2 \frac{\alpha'}{8\pi} G' \tilde{G}'$$

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Matter Content Above and Below CUT Breaking

						su(3)	SU(3) diag	su(z)L	_
	su(b)	<u>su(3')</u>	SULZI	-	9L		1		
Q_{L}		1			Tur.	Ξ	1	1	
Ur		1	1		あ	Ξ	1	1	
Dr	ā	1	1	Acum	4		ā	1	Massless
g'r	1	ā		$\xrightarrow{\mathbf{n}_{\mathrm{COT}}}$	24	1	1	1	quark sector
W'L	1		1		~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	1			- \
d'L AF	1		1		$\frac{1}{2}$	1	7	1	Obtain mass
1	20				17 14	4	-		near the
Δ			11		dR	1		1	CUT
		-	-	Drimo	8°R	1	ā		breaking
				sector	u'L	1		1	scale
				360101	d'L	1	α	1	J

Small Size Instantons with Fermions

Adding fermion effects gives an instanton suppression



$$\Lambda_{SSI}^4 = -\int \frac{d\rho}{\rho^5} D[\alpha'(1/\rho)] \left(\frac{2}{3}\pi^2 \rho^3 \langle \bar{\chi}\chi \rangle\right) \frac{1}{(4\pi)^{18}} \prod_i Y_{u\,i}^{SM} Y_{d\,i}^{SM} \left(\kappa_q^i\right)^2 \kappa_u^i \kappa_d^i$$
Small Size Instantons and Axion Mass

• With the fermion suppression, the benchmark $\alpha'(\Lambda_{\rm CUT}) = .3$ gives:

$$\Lambda_{SSI}^4 \simeq 5.8 \times 10^{-11} \Lambda_{\rm diag}^3 \Lambda_{CUT} \longrightarrow \Lambda_{SSI} \sim {\rm few \ TeV}$$

 The instanton effects generate a new contribution to the effective potential

$$\delta \mathcal{L}_{eff} = \Lambda_{SSI}^4 \cos\left(2\frac{\eta_{\chi}'}{f_d}\right)$$

Colour Unified Dynamical Axion

$$SU(6) \xrightarrow{\Lambda_{\rm CUT}} SU(3)_c \times SU(3) \times U(1)$$

 \bullet The massless quark to absorb the unified group's θ_6

$$\frac{5u(1)}{\Psi_{L}}\frac{5u(1)}{20}\frac{u(1)}{10}$$

SUB

SU(3)

Below unification scale:

$$\Psi(20) \rightarrow (1,1)(-3) + (1,1)(+3)$$

+ $(3,\bar{3})(-1) + (\bar{3},3)(+1)$

Colour Unified Dynamical Axion

$$SU(6) \xrightarrow{\Lambda_{\rm CUT}} SU(3)_c \times SU(3) \times U(1)$$

* The massless quark to absorb the unified group's θ_6

$$\frac{SU(1)SU(2)}{\Psi_{L}} = \frac{U(1)}{20} = \frac{U(1)}{10}$$



Colour Unified Dynamical Axion

$$SU(6) \xrightarrow{\Lambda_{\rm CUT}} SU(3)_c \times SU(3) \times U(1)$$

 \bullet The massless quark to absorb the unified group's θ_6

$$\frac{SU(1)}{\Psi_{L}} \frac{SU(2)}{20} \frac{U(1)}{10} \frac{U(1)}{0}$$

* Below unification scale: we would have one massive dynamical axion $\eta'_{\psi} = (\bar{\psi}\psi)$

Removing the Unification Partners

- Makes things difficult $M_{SM}^{SM} = (\tilde{q}, \tilde{q})_L$
 - * Any scalar that gives $\tilde{q}, \tilde{u}, \tilde{d}$ a mass would have to be an $SU(2)_L$ doublet with a high VEV, affecting the W and Z bosons

$$\tilde{v} >> v$$

* Leaving the quarks massless and in the theory when $SU(\hat{3})$ confines would trigger EWSB at the confinement scale

$$\tilde{\Lambda} >> v$$

This requires more model building

Matter content above and below the CUT breaking

$$\begin{array}{c|c} SU(6) \xrightarrow{\Lambda_{\rm CUT}} SU(3)_c \times SU(\tilde{3}) \\ \hline \begin{array}{c} \underline{Su(i)} & \underline{Su(i)}_{L} \\ \hline \begin{array}{c} \underline{Q}_L \\ \hline \overline{U}_R \\ \hline \end{array} & \begin{array}{c} 1 \\ \underline{\Lambda_{\rm CUT}} \\ \underline{M}_R \\ \underline{T} \\ \underline{V}_R \\ 1 \\ 1 \\ \underline{V}_R \\ 1 \\ \underline{V}_R \\ 1 \\ \underline{V}_R \\ 1 \\ 1 \\ \underline{V}_R \\ 1 \\ \underline{V}_R \\ 1 \\ \underline{V}_R \\ 1 \\ \underline{V}_R \\ 1 \\ 1 \\ \underline{V}_R \\ 1 \\ 1 \\ \underline{V}_R \\ 1 \\ \underline{V}_R \\ 1 \\ \underline{V}_R \\ 1 \\ \underline{V}_R \\ 1 \\ 1 \\ \underline{V}_R \\ 1 \\ \underline{V}_R \\ 1 \\ \underline{V}_R \\ 1 \\ \underline{V}_R \\ 1 \\ 1 \\ \underline{V}_R \\ 1 \\ \underline{V$$

Matter content above and below the CUT breaking

Spoiler: we're going to introduce a new set of quarks to form mass terms with the tilde quarks

$$\kappa_q \, \overline{q'} \tilde{q}$$

$$\kappa_d \, \overline{d'} \tilde{d}$$

$$\kappa_u \, \overline{u'} \tilde{u}$$

A UV complete solution

Add a new group outside the CUT group

$$SU(6) \times SU(3') \xrightarrow{\Lambda_{\rm CUT}} SU(3)_c \times SU(3)_{\rm diag}$$

with prime fermions charged only under SU(3')



The CUT breaking

$$SU(6) \times SU(3') \xrightarrow{\Lambda_{\rm CUT}} SU(3)_c \times SU(3)_{\rm diag}$$

$$\mathcal{L} \ni \kappa_q \overline{q'_R} \,\Delta^* \, Q_L + \kappa_u u'_L \,\Delta \,\overline{U_R} + \kappa_d d'_R \,\Delta \,\overline{D_R} + \text{h.c.}$$

 $\langle \Delta \rangle = \Lambda_{\rm CUT} \left(\begin{array}{cccc} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{array} \right) \ \ \, \mbox{ This VEV pattern grabs} \\ \ \ \, \mbox{ only the tilde quarks} \\ \ \ \, \mbox{ out of the spectrum} \end{array} \right. \ \ \, \label{eq:alpha}$

$$\mathcal{L} \ni \Lambda_{\text{CUT}} \left(\kappa_q \overline{q'_R} \tilde{q}_L + \kappa_u u'_L \overline{\tilde{u}_R} + \kappa_d d'_L \overline{\tilde{d}_R} \right) + \text{h.c.}$$

* This accomplishes the task of forming mass terms for the SU(6) partner fields $\tilde{q}, \tilde{u}, \tilde{d}$

$SU(3)_1 \times SU(3)_2 \xrightarrow{M} SU(3)_{QCD}$

Agrawal and Howe



$$\mathcal{L} = -\frac{1}{4} (G_1)^a_{\mu\nu} (G_1)^{a,\mu\nu} + \frac{g_{s1}^2}{32\pi^2} \left(\frac{a_1}{f_1} - \theta_1\right) (\widetilde{G}_1)^a_{\mu\nu} (G_1)^{a,\mu\nu} - \frac{1}{4} (G_2)^a_{\mu\nu} (G_2)^{a,\mu\nu} + \frac{g_{s2}^2}{32\pi^2} \left(\frac{a_2}{f_2} - \theta_2\right) (\widetilde{G}_2)^a_{\mu\nu} (G_2)^{a,\mu\nu}$$

$$V_{\Sigma} = -m_{\Sigma}^2 \operatorname{Tr}(\Sigma_{12}\Sigma_{12}^{\dagger}) + \frac{\lambda}{2} [\operatorname{Tr}(\Sigma_{12}\Sigma_{12}^{\dagger})]^2 + \frac{\kappa}{2} \operatorname{Tr}(\Sigma_{12}\Sigma_{12}^{\dagger}\Sigma_{12}\Sigma_{12}^{\dagger})$$

$SU(3)_1 \times SU(3)_2 \xrightarrow{M} SU(3)_{QCD}$

Small Size Instantons (SSI) and Axion Mass

- → Typically, at high energies (= small size) couplings are very small.
- The instanton density has an exponential suppression:

$$D[\alpha'(\mu)] \propto e^{-2\pi/\alpha'(\mu)}$$

Usually sizable only at the confinement scale

$$\left(e^{-2\pi/0.1} \sim 10^{-28}\right)$$

→ New Physics can change the RG flow and induce a new source of axion mass

[Holdom+Peskin, 82] [Dine+Seiberg, 86] [Flynn+Randall, 87] [Agrawal+Howe, 17]



Lagrangian below the scale M

$$\begin{split} \mathcal{L}_{a} &= -\frac{1}{4} G^{a}_{\mu\nu} G^{a,\mu\nu} + \frac{g_{s}^{2}}{32\pi^{2}} \left(\left(\frac{a_{1}}{f_{1}} - \bar{\theta}_{1} \right) + \left(\frac{a_{2}}{f_{2}} - \bar{\theta}_{2} \right) \right) G\tilde{G} \\ &+ \Lambda_{1}^{4} \cos \left(\frac{a_{1}}{f_{1}} - \bar{\theta}_{1} \right) + \Lambda_{2}^{4} \cos \left(\frac{a_{2}}{f_{2}} - \bar{\theta}_{2} \right) \\ & \swarrow \\ & \downarrow \\ y_{t} & \downarrow$$

$SU(3)_1 \times SU(3)_2 \longrightarrow SU(3)_{QCD}$



ALP (axion-like particle): a generic scalar field *a*

with derivative couplings to SM particles

and free scale f_a :

$$\mathcal{L} = \mathcal{L}_{SM} + \frac{\partial_{\mu} a}{f_a} \times SM^{\mu}$$
general effective couplings

This is shift symmetry invariant: $a \rightarrow a + cte$.



ALP (axion-like particle): a generic scalar field *a*

with derivative couplings to SM particles

and free scale f_a :



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