

Baryon-number violation from the bottom up

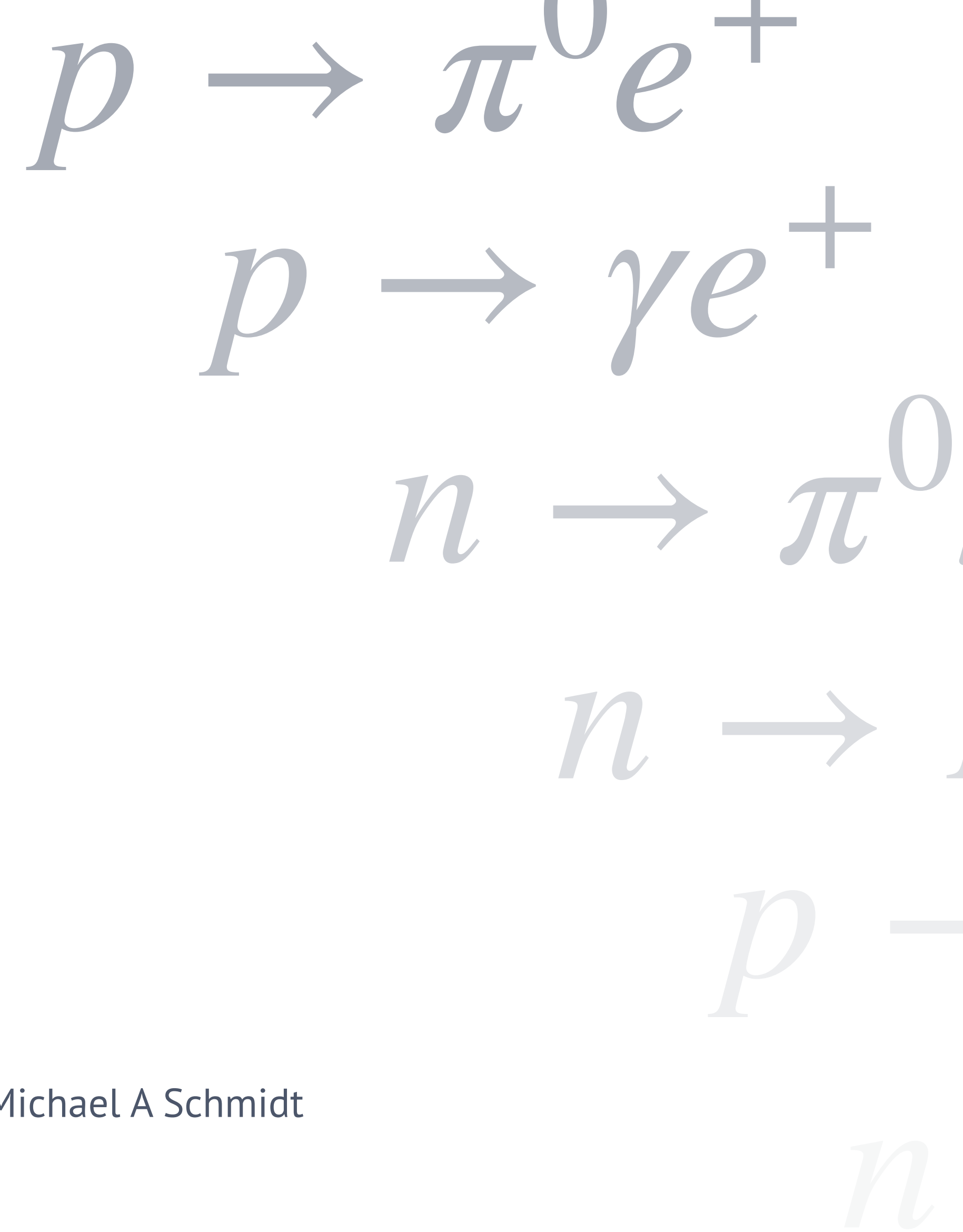
John Gargalionis

Baryon and Lepton Number Violation 2024 @ KIT

Based on 2312.13361 with Arnau Bas i Beneito, Juan Herrero-García, Arcadi Santamaria and Michael A Schmidt



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If we saw proton decay, how could we pin down the underlying model?

Bas i Beneito, JG,
Herrero-García,
Santamaria, Schmidt
2312.13361

This talk

Measurement



EFT



Simplified models

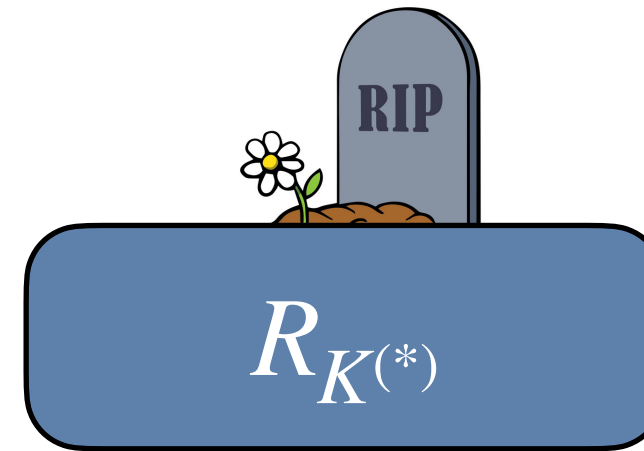


Theoretical framework

Predictions

E.g. JG,
Herrero-García,
Schmidt
2401.04768

E.g. JG, Volkas
2009.13536



$$R_{K^{(*)}}$$

$$(b^\dagger \bar{\sigma}^\mu s)(\mu^\dagger \bar{\sigma}_\mu \mu)$$

$$(Q^\dagger \bar{\sigma}^\mu Q)(L^\dagger \bar{\sigma}_\mu L)$$

$$U_1^\mu \sim (3, 1, 2/3)$$

$$SU(4) \rightarrow SU(3) \times U(1)$$

The SMEFT predicts L and B violation

$$\mathcal{L} = \mathcal{L}_{d \leq 4} + \sum_{p,q} \frac{c_{pq}^{(5)}}{\Lambda} (L_p L_q) H H + \sum_{i=1}^4 \frac{c_i^{(6)}}{\Lambda^2} \mathcal{O}_i^{d=6} + \sum_{i=1}^6 \frac{c_i^{(7)}}{\Lambda^3} \mathcal{O}_i^{d=7} + \dots$$

$$\Delta B = \Delta L = 1$$

$$\begin{aligned} \mathcal{O}_{qqql} &= (Q^i Q^j)(Q^l L^k) \epsilon_{ik} \epsilon_{jl} \\ \mathcal{O}_{qque} &= (Q^i Q^j)(\bar{u}^\dagger \bar{e}^\dagger) \epsilon_{ij} \\ \mathcal{O}_{duue} &= (\bar{d}^\dagger \bar{u}^\dagger)(\bar{u}^\dagger \bar{e}^\dagger) \\ \mathcal{O}_{duql} &= (\bar{d}^\dagger \bar{u}^\dagger)(Q^i L^j) \epsilon_{ij} \end{aligned}$$

$$d = 6$$

$$\Delta B = -\Delta L = 1$$

$$\begin{aligned} \mathcal{O}_{\bar{l}dddH} &= (L^\dagger \bar{d}^\dagger)(\bar{d}^\dagger \bar{d}^\dagger)H \\ \mathcal{O}_{\bar{l}dq\tilde{H}} &= (L^\dagger \bar{d}^\dagger)(QQ^i)H_i^\dagger & \mathcal{O}_{\bar{l}qdDd} &= (L^\dagger \bar{\sigma}_\mu Q)(\bar{d}^\dagger iD^\mu \bar{d}^\dagger) \\ \mathcal{O}_{\bar{e}qdd\tilde{H}} &= (\bar{e}Q^i)(\bar{d}^\dagger \bar{d}^\dagger)H_i^\dagger & \mathcal{O}_{\bar{e}dddD} &= (\bar{e}\sigma_\mu \bar{d}^\dagger)(\bar{d}^\dagger iD^\mu \bar{d}^\dagger) \\ \mathcal{O}_{\bar{l}dud\tilde{H}} &= (L^\dagger \bar{d}^\dagger)(\bar{u}^\dagger \bar{d}^\dagger)\tilde{H} \end{aligned}$$

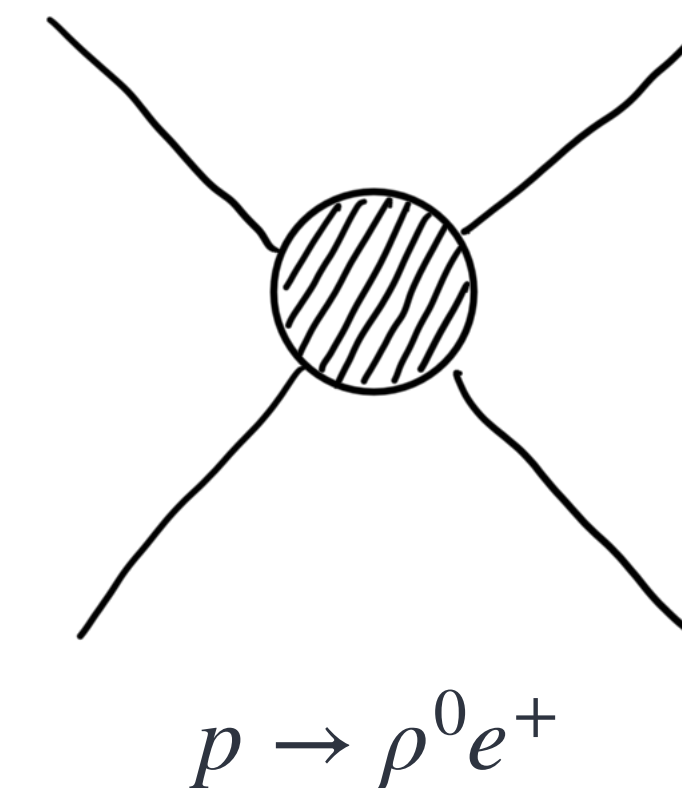
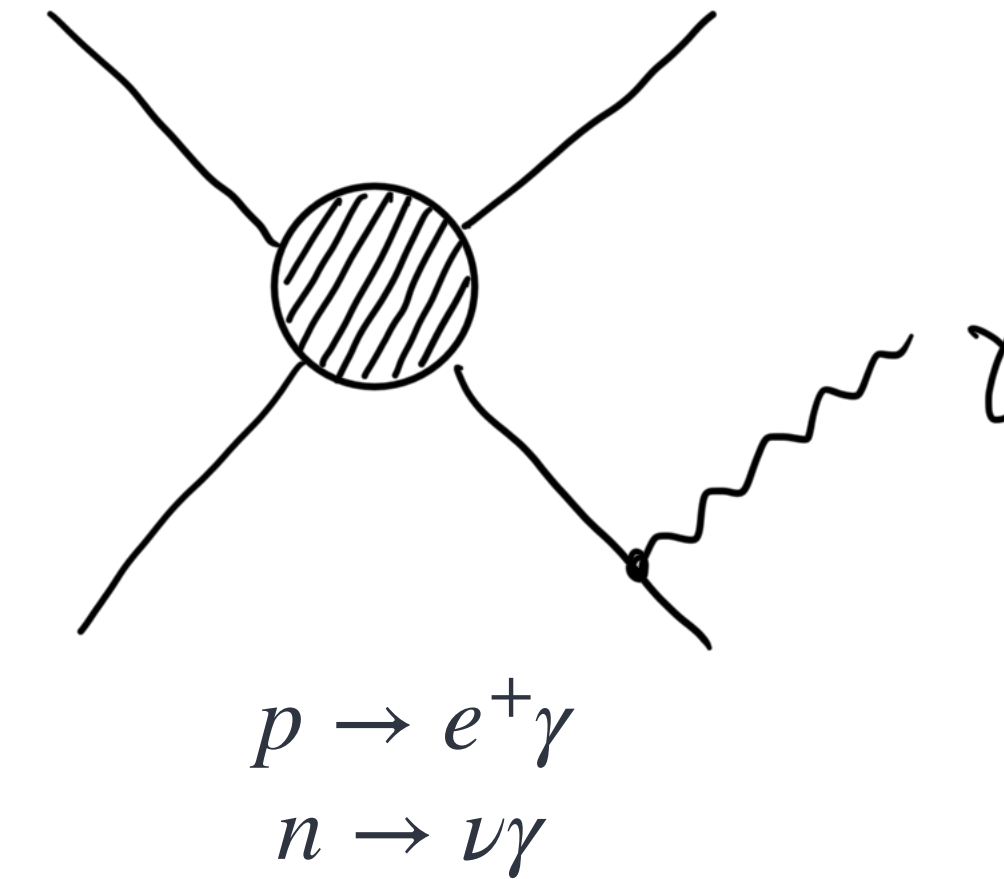
$$d = 7$$

$$H \sim (\mathbf{1}, \mathbf{2}, \frac{1}{2}), \quad Q \sim (\mathbf{3}, \mathbf{2}, \frac{1}{6}), \quad \bar{u} \sim (\bar{\mathbf{3}}, \mathbf{1}, -\frac{2}{3}), \quad \bar{d} \sim (\bar{\mathbf{3}}, \mathbf{1}, \frac{1}{3}), \quad L \sim (\mathbf{1}, \mathbf{2}, -\frac{1}{2}), \quad \bar{e} \sim (\mathbf{1}, \mathbf{1}, 1)$$

Just a handful of two-body decays generically dominate

Decay mode	Limit [10^{34} yr]	Hyper-K [10^{34} yr]	ΔI	ΔS	ΔL	
Proton channels						
$p \rightarrow \pi^0 e^+$	2.4	3.2	$-\frac{1}{2}$	0	-1	1
$p \rightarrow \pi^0 \mu^+$	1.6	7.7	$-\frac{1}{2}$	0	-1	
$p \rightarrow \eta^0 e^+$	1.0	4.3	$-\frac{1}{2}$	0	-1	
$p \rightarrow \eta^0 \mu^+$	0.47	4.9	$-\frac{1}{2}$	0	-1	
$p \rightarrow \pi^+ \nu_r$	0.039	—	$\frac{1}{2}$	0	± 1	2
$p \rightarrow K^0 e^+$	0.10	—	-1	1	-1	3
$p \rightarrow K^0 \mu^+$	0.16	—	-1	1	-1	
$p \rightarrow K^+ \nu_r$	0.59	3.2	0	1	± 1	4
$p \rightarrow \bar{K}^0 e^+$	0.10	—	0	-1	-1	5
$p \rightarrow \bar{K}^0 \mu^+$	0.16	—	0	-1	-1	
Neutron channels						
$n \rightarrow \pi^0 \nu_r$	0.11	—	$\frac{1}{2}$	0	± 1	
$n \rightarrow \eta^0 \nu_r$	0.016	—	$\frac{1}{2}$	0	± 1	
$n \rightarrow \pi^- e^+$	0.53	2.0	$-\frac{1}{2}$	0	-1	
$n \rightarrow \pi^- \mu^+$	0.35	1.8	$-\frac{1}{2}$	0	-1	
$n \rightarrow \pi^+ e^-$	0.0065	—	$\frac{3}{2}$	0	1	6
$n \rightarrow \pi^+ \mu^-$	0.0049	—	$\frac{3}{2}$	0	1	
$n \rightarrow K^+ e^-$	0.0032	1.0	1	1	1	7
$n \rightarrow K^+ \mu^-$	0.0057	—	1	1	1	
$n \rightarrow K^0 \nu_r$	0.013	—	0	1	± 1	
$n \rightarrow K^- e^+$	0.0017	—	0	-1	-1	
$n \rightarrow \bar{K}^0 \nu_r$	0.013	—	1	-1	± 1	

Decays to (anti-)leptons are generated at dimension (6) 7 in the SMEFT



Hyper-K estimates: 1805.04163

Decays predicted by operators are fixed by symmetries

Decay mode	Limit [10^{34} yr]	Hyper-K [10^{34} yr]	ΔI	ΔS	ΔL	
Proton channels						
$p \rightarrow \pi^0 e^+$	2.4	3.2	$-\frac{1}{2}$	0	-1	1
$p \rightarrow \pi^0 \mu^+$	1.6	7.7	$-\frac{1}{2}$	0	-1	
$p \rightarrow \eta^0 e^+$	1.0	4.3	$-\frac{1}{2}$	0	-1	
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$n \rightarrow \pi^+ e^-$	0.0065	—	$\frac{3}{2}$	0	1	6
$n \rightarrow \pi^+ \mu^-$	0.0049	—	$\frac{3}{2}$	0	1	
$n \rightarrow K^+ e^-$	0.0032	1.0	1	1	1	7
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Decays to (anti-)leptons are generated at dimension (6) 7 in the SMEFT

Name [42]	Operator	Flavour	ΔI	ΔS
$[\mathcal{O}_{udd}^{S,LL}]_{111r}$	$(ud)(d\nu_r)$	$(\mathbf{8}, \mathbf{1})$	$\frac{1}{2}$	0
$[\mathcal{O}_{udd}^{S,LL}]_{121r}$	$(us)(d\nu_r)$	$(\mathbf{8}, \mathbf{1})$	0	1
$[\mathcal{O}_{udd}^{S,LL}]_{112r}$	$(ud)(s\nu_r)$	$(\mathbf{8}, \mathbf{1})$	0	1
$[\mathcal{O}_{duu}^{S,LL}]_{111r}$	$(du)(ue_r)$	$(\mathbf{8}, \mathbf{1})$	$-\frac{1}{2}$	0
$[\mathcal{O}_{duu}^{S,LL}]_{211r}$	$(su)(ue_r)$	$(\mathbf{8}, \mathbf{1})$	-1	1
$[\mathcal{O}_{duu}^{S,LR}]_{111r}$	$(du)(\bar{u}^\dagger \bar{e}_r^\dagger)$	$(\bar{\mathbf{3}}, \mathbf{3})$	$-\frac{1}{2}$	0
$[\mathcal{O}_{duu}^{S,LR}]_{211r}$	$(su)(\bar{u}^\dagger \bar{e}_r^\dagger)$	$(\bar{\mathbf{3}}, \mathbf{3})$	-1	1
$[\mathcal{O}_{duu}^{S,RL}]_{111r}$	$(\bar{d}^\dagger \bar{u}^\dagger)(ue_r)$	$(\mathbf{3}, \bar{\mathbf{3}})$	$-\frac{1}{2}$	0
$[\mathcal{O}_{duu}^{S,RL}]_{211r}$	$(\bar{s}^\dagger \bar{u}^\dagger)(ue_r)$	$(\mathbf{3}, \bar{\mathbf{3}})$	-1	1
$[\mathcal{O}_{dud}^{S,RL}]_{111r}$	$(\bar{d}^\dagger \bar{u}^\dagger)(d\nu_r)$	$(\mathbf{3}, \bar{\mathbf{3}})$	$\frac{1}{2}$	0
$[\mathcal{O}_{dud}^{S,RL}]_{211r}$	$(\bar{s}^\dagger \bar{u}^\dagger)(d\nu_r)$	$(\mathbf{3}, \bar{\mathbf{3}})$	0	1
$[\mathcal{O}_{dud}^{S,RL}]_{112r}$	$(\bar{d}^\dagger \bar{u}^\dagger)(s\nu_r)$	$(\mathbf{3}, \bar{\mathbf{3}})$	0	1
$[\mathcal{O}_{ddu}^{S,RL}]_{[12]1r}$	$(\bar{d}^\dagger \bar{s}^\dagger)(u\nu_r)$	$(\mathbf{3}, \bar{\mathbf{3}})$	0	1
$[\mathcal{O}_{duu}^{S,RR}]_{111r}$	$(\bar{d}^\dagger \bar{u}^\dagger)(\bar{u}^\dagger \bar{e}_r^\dagger)$	$(\mathbf{1}, \mathbf{8})$	$-\frac{1}{2}$	0
$[\mathcal{O}_{duu}^{S,RR}]_{211r}$	$(\bar{s}^\dagger \bar{u}^\dagger)(\bar{u}^\dagger \bar{e}_r^\dagger)$	$(\mathbf{1}, \mathbf{8})$	-1	1

$$\Delta B = \Delta L = -1$$

Generated at dimension-6 in the SMEFT

Name [42]	Operator	Flavour	ΔI	ΔS
$[\mathcal{O}_{ddd}^{S,LL}]_{[12]r1}$	$(ds)(\bar{e}_r d)$	$(\mathbf{8}, \mathbf{1})$	1	1
$[\mathcal{O}_{udd}^{S,LR}]_{11r1}$	$(ud)(\nu_r^\dagger \bar{d}^\dagger)$	$(\bar{\mathbf{3}}, \mathbf{3})$	$\frac{1}{2}$	0
$[\mathcal{O}_{udd}^{S,LR}]_{12r1}$	$(us)(\nu_r^\dagger \bar{d}^\dagger)$	$(\bar{\mathbf{3}}, \mathbf{3})$	0	1
$[\mathcal{O}_{udd}^{S,LR}]_{11r2}$	$(ud)(\nu_r^\dagger \bar{s}^\dagger)$	$(\bar{\mathbf{3}}, \mathbf{3})$	0	1
$[\mathcal{O}_{ddu}^{S,LR}]_{[12]r1}$	$(ds)(\nu_r^\dagger \bar{u}^\dagger)$	$(\bar{\mathbf{3}}, \mathbf{3})$	0	1
$[\mathcal{O}_{ddd}^{S,LR}]_{[12]r1}$	$(ds)(e_r^\dagger \bar{d}^\dagger)$	$(\bar{\mathbf{3}}, \mathbf{3})$	1	1
$[\mathcal{O}_{ddd}^{S,RL}]_{[12]r1}$	$(\bar{d}^\dagger \bar{s}^\dagger)(\bar{e}_r d)$	$(\mathbf{3}, \bar{\mathbf{3}})$	1	1
$[\mathcal{O}_{udd}^{S,RR}]_{11r1}$	$(\bar{u}^\dagger \bar{d}^\dagger)(\nu_r^\dagger \bar{d}^\dagger)$	$(\mathbf{1}, \mathbf{8})$	$\frac{1}{2}$	0
$[\mathcal{O}_{udd}^{S,RR}]_{12r1}$	$(\bar{u}^\dagger \bar{s}^\dagger)(\nu_r^\dagger \bar{d}^\dagger)$	$(\mathbf{1}, \mathbf{8})$	0	1
$[\mathcal{O}_{udd}^{S,RR}]_{11r2}$	$(\bar{u}^\dagger \bar{d}^\dagger)(\nu_r^\dagger \bar{s}^\dagger)$	$(\mathbf{1}, \mathbf{8})$	0	1
$[\mathcal{O}_{ddd}^{S,RR}]_{[12]r1}$	$(\bar{d}^\dagger \bar{s}^\dagger)(e_r^\dagger \bar{d}^\dagger)$	$(\mathbf{1}, \mathbf{8})$	1	1

$$\Delta B = -\Delta L = -1$$

Generated at dimension-7 in the SMEFT

[42]: Jenkins, Manohar, Stoffer 1709.04486

Some two-body decays proceed through dimension-7 LEFT operators

Decay mode	Limit [10^{34} yr]	Hyper-K [10^{34} yr]	ΔI	ΔS	ΔL
Proton channels					
$p \rightarrow \pi^0 e^+$	2.4	3.2	$-\frac{1}{2}$	0	-1
$p \rightarrow \pi^0 \mu^+$	1.6	7.7	$-\frac{1}{2}$	0	-1
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$p \rightarrow \bar{K}^0 e^+$	0.10	—	0	-1	-1
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$n \rightarrow \pi^- e^+$	0.53	2.0	$-\frac{1}{2}$	0	-1
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$n \rightarrow \pi^+ e^-$	0.0065	—	$\frac{3}{2}$	0	1
$n \rightarrow \pi^+ \mu^-$	0.0049	—	$\frac{3}{2}$	0	1
$n \rightarrow K^+ e^-$	0.0032	1.0	1	1	1
$n \rightarrow K^+ \mu^-$	0.0057	—	1	1	1
$n \rightarrow K^0 \nu_r$	0.013	—	0	1	± 1
$n \rightarrow K^- e^+$	0.0017	—	0	-1	-1
$n \rightarrow \bar{K}^0 \nu_r$	0.013	—	1	-1	± 1

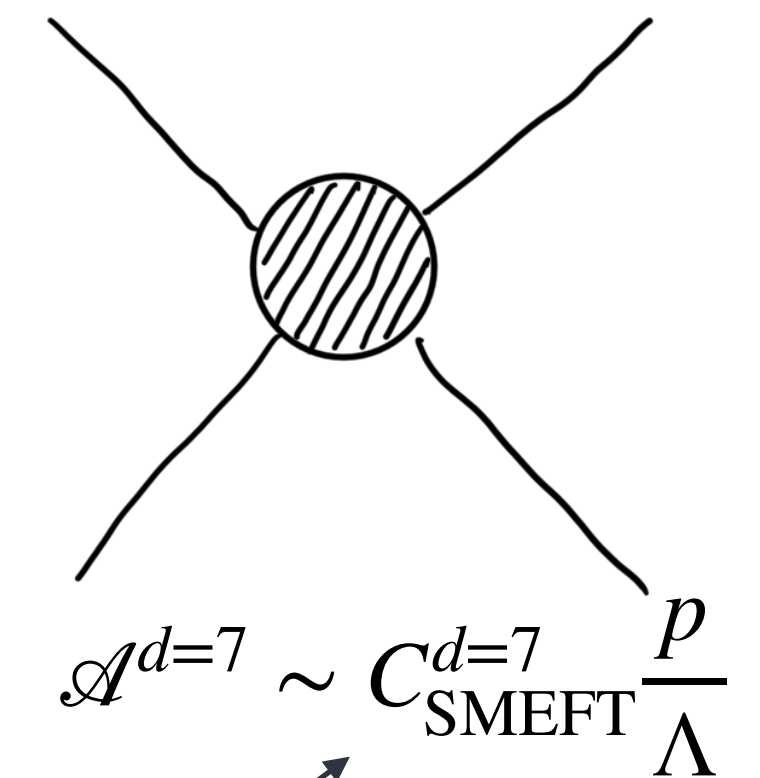
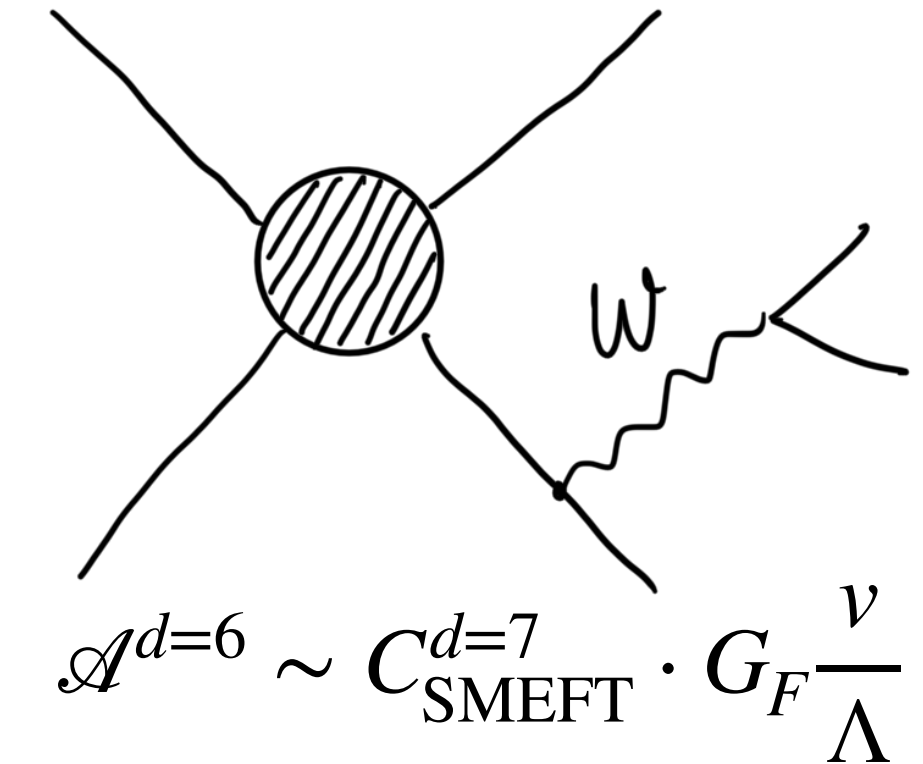
Decays to (anti-)leptons are generated at dimension (6) 7 in the SMEFT

Name	Ref. [56]	Operator	Flavour	Indices	ΔI	ΔS
$[\mathcal{O}_{dud}^{V,RL}]_{pqrs}$	$\mathcal{O}_{d\nu udD1}^*$	$(\bar{d}_p^\dagger i \overleftrightarrow{D}_\mu \bar{u}_q^\dagger)(\nu_r^\dagger \bar{\sigma}^\mu d_s)$	(3, 6)	11r1 21r1 11r2	$\frac{1}{2}$ 0 0	0 1 1
$[\mathcal{O}_{ddu}^{V,LL}]_{\{pq\}rs}$	$\mathcal{O}_{u\nu dD1}^*$	$(d_p i \overleftrightarrow{D}_\mu d_q)(\nu_r^\dagger \bar{\sigma}^\mu u_s)$	(10, 1)	11r1 12r1	$\frac{1}{2}$ 0	0 1
$[\mathcal{O}_{ddu}^{V,RL}]_{\{pq\}rs}$	$\mathcal{O}_{u\nu dD2}^*$	$(\bar{d}_p^\dagger i \overleftrightarrow{D}_\mu \bar{d}_q^\dagger)(\nu_r^\dagger \bar{\sigma}^\mu u_s)$	(3, 6)	11r1 12r1	$\frac{1}{2}$ 0	0 1
$[\mathcal{O}_{ddd}^{V,LL}]_{\square pqrs}$	\mathcal{O}_{dedD1}^*	$(d_p i \overleftrightarrow{D}_\mu d_q)(e_r^\dagger \bar{\sigma}^\mu d_s)$	(10, 1)	111r 121r	$\frac{3}{2}$ 1	0 1
$[\mathcal{O}_{ddd}^{V,RL}]_{\{pq\}rs}$	\mathcal{O}_{dedD2}^*	$(\bar{d}_p^\dagger i \overleftrightarrow{D}_\mu \bar{d}_q^\dagger)(e_r^\dagger \bar{\sigma}^\mu d_s)$	(3, 6)	11r1 12r1 11r2	$\frac{3}{2}$ 1 1	0 1 1
$[\mathcal{O}_{ddd}^{V,LR}]_{\{pq\}rs}$	\mathcal{O}_{dedD3}^*	$(d_p i \overleftrightarrow{D}_\mu d_q)(\bar{e}_r \sigma^\mu \bar{d}_s^\dagger)$	(6, 3)	11r1 12r1 11r2	$\frac{3}{2}$ 1 1	0 1 1
$[\mathcal{O}_{ddd}^{V,RR}]_{\square pqrs}$	\mathcal{O}_{dedD4}^*	$(\bar{d}_p^\dagger i \overleftrightarrow{D}_\mu \bar{d}_q^\dagger)(\bar{e}_r \sigma^\mu \bar{d}_s^\dagger)$	(1, 10)	111r 121r	$\frac{3}{2}$ 1	0 1

$$\Delta B = -\Delta L = -1$$

Dimension-7 LEFT operators generated at dimension-7 in the SMEFT

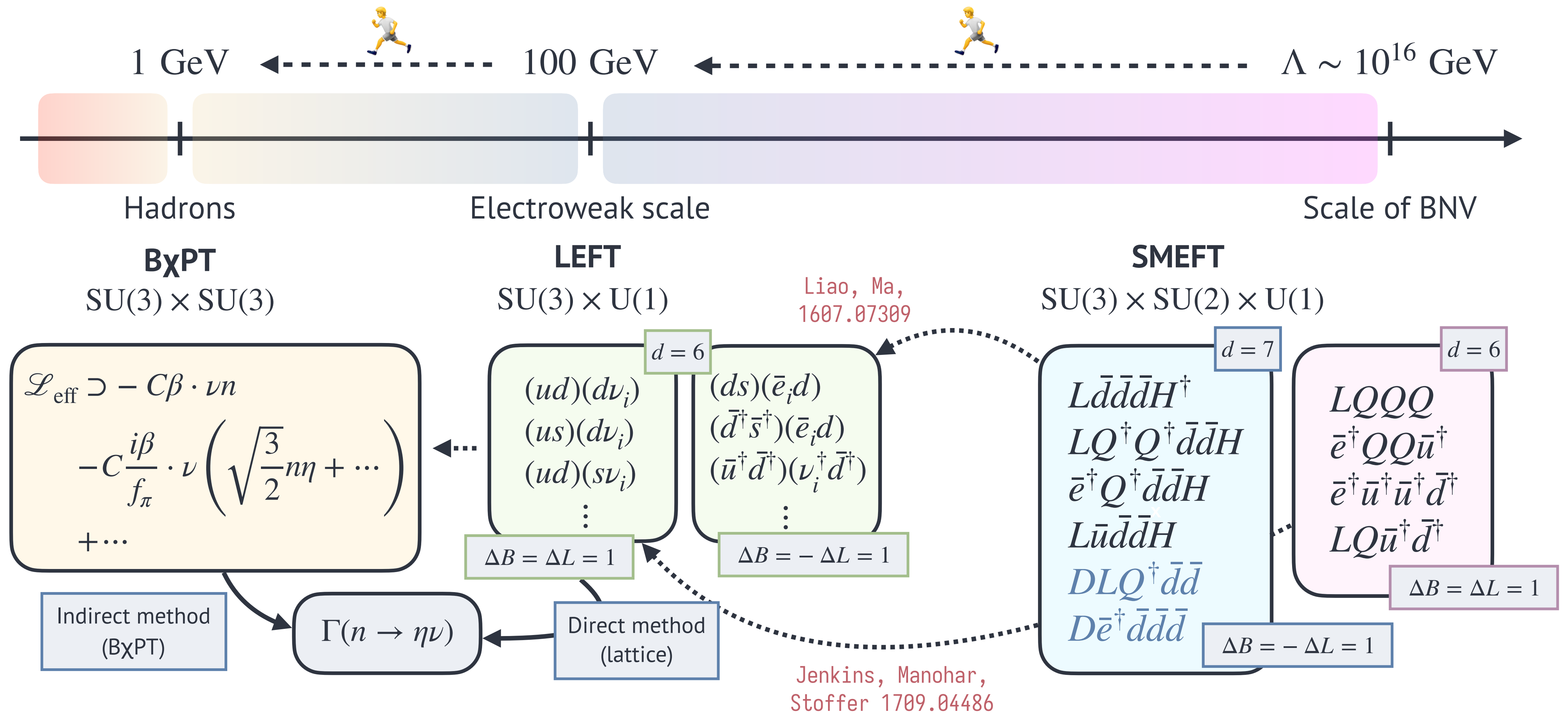
[56]: Liao, Ma, Wang 2005.08013



$$\mathcal{O}_{\bar{l}qdDd} = (L^\dagger \bar{\sigma}_\mu Q)(\bar{d}^\dagger iD^\mu \bar{d}^\dagger)$$

$$\mathcal{O}_{\bar{e}dddD} = (\bar{e} \sigma_\mu \bar{d}^\dagger)(\bar{d}^\dagger iD^\mu \bar{d}^\dagger)$$

We use a ladder of EFTs to calculate decay rates



Some LEFT operators are only generated above dimension-7

Name	SMEFT matching
$B-L=0$	$[\mathcal{O}_{udd}^{S,LL}]_{pqrs}$ $V_{qq'}V_{rr'}(C_{qqql,r'q'ps} - C_{qqql,q'r'ps} + C_{qqql,q'pr's})$
	$[\mathcal{O}_{duu}^{S,LL}]_{pqrs}$ $V_{pp'}(C_{qqql,rqp's} - C_{qqql,qrp's} + C_{qqql,qp'rs})$
	$[\mathcal{O}_{duu}^{S,LR}]_{pqrs}$ $-V_{pp'}(C_{qqqe,p'qrs} + C_{qqqe,qp'rs})$
	$[\mathcal{O}_{duu}^{S,RL}]_{pqrs}$ $C_{duql,pqrs}$
	$[\mathcal{O}_{dud}^{S,RL}]_{pqrs}$ $-V_{rr'}C_{duql,pqr's}$
	$[\mathcal{O}_{ddu}^{S,RL}]_{pqrs}$ $(C_{ddqlHH,pqrs} - C_{ddqlHH,qprs})\frac{v^2}{2\Lambda^2}$
$[\mathcal{O}_{duu}^{S,RR}]_{pqrs}$ $C_{duue,pqrs}$	
$B-L=2$	$[\mathcal{O}_{ddd}^{S,LL}]_{pqrs}$ $V_{ss'}V_{pp'}V_{qq'}(C_{eqqqHHH,rs'p'q'} - C_{eqqqHHH,rs'q'p'})\frac{v^3}{2\sqrt{2}\Lambda^3}$
	$[\mathcal{O}_{udd}^{S,LR}]_{pqrs}$ $-V_{qq'}C_{\bar{l}dq\bar{H},rspq'}\frac{v}{\sqrt{2}\Lambda}$
	$[\mathcal{O}_{ddu}^{S,LR}]_{pqrs}$ $V_{pp'}V_{qq'}(C_{luqqHHH,rs'p'q'} - C_{luqqHHH,rs'q'p'})\frac{v^3}{2\sqrt{2}\Lambda^3}$
	$[\mathcal{O}_{ddd}^{S,LR}]_{pqrs}$ $V_{pp'}V_{qq'}(C_{\bar{l}dq\bar{H},rs'p'q'} - C_{\bar{l}dq\bar{H},rs'q'p'})\frac{v}{\sqrt{2}\Lambda}$
	$[\mathcal{O}_{ddd}^{S,RL}]_{pqrs}$ $V_{ss'}(C_{\bar{e}qdd\bar{H},rs'qp} - C_{\bar{e}qdd\bar{H},rs'pq})\frac{v}{\sqrt{2}\Lambda}$
	$[\mathcal{O}_{udd}^{S,RR}]_{pqrs}$ $C_{\bar{l}dud\bar{H},rspq}\frac{v}{\sqrt{2}\Lambda}$
	$[\mathcal{O}_{ddd}^{S,RR}]_{pqrs}$ $C_{\bar{l}dddH,rs'pq}\frac{v}{\sqrt{2}\Lambda}$
	$[\mathcal{O}_{ddu}^{V,RL}]_{pqrs}$ $-C_{\bar{l}qdDd,srpq}$
	$[\mathcal{O}_{ddd}^{V,RL}]_{pqrs}$ $-V_{rr'}C_{\bar{l}qdDd,sr'pq}$
	$[\mathcal{O}_{ddd}^{V,RR}]_{pqrs}$ $-C_{\bar{e}dddD,srpq}$
$[\mathcal{O}_{ddu}^{V,LL}]_{pqrs}$ $V_{pp'}V_{qq'}(C_{qqqlqHHD,p'q'rs} + C_{qqqlqHHD,q'p'rs})\frac{v^2}{4\Lambda^2}$	
$[\mathcal{O}_{ddd}^{V,LL}]_{pqrs}$ $V_{pp'}V_{qq'}V_{ss'}(C_{qqqlqHHD,p'q'rs'} + C_{qqqlqHHD,q'p'rs'})\frac{v^2}{4\Lambda^2}$	
$[\mathcal{O}_{ddd}^{V,LR}]_{pqrs}$ $V_{pp'}V_{qq'}(C_{qqedHHD,p'q'rs} + C_{qqedHHD,q'p'rs})\frac{v^2}{4\Lambda^2}$	
$[\mathcal{O}_{dud}^{V,RL}]_{pqrs}$ $-V_{ss'}C_{udqlHHD,qps'r}\frac{v^2}{2\Lambda^2}$	

Liao, Ma, 1607.07309

Jenkins, Manohar, Stoffer 1709.04486

$$(\bar{d}_p^\dagger \bar{d}_q^\dagger)(Q_r^i L_s^j)H^k H^l \epsilon_{ik} \epsilon_{jl}$$

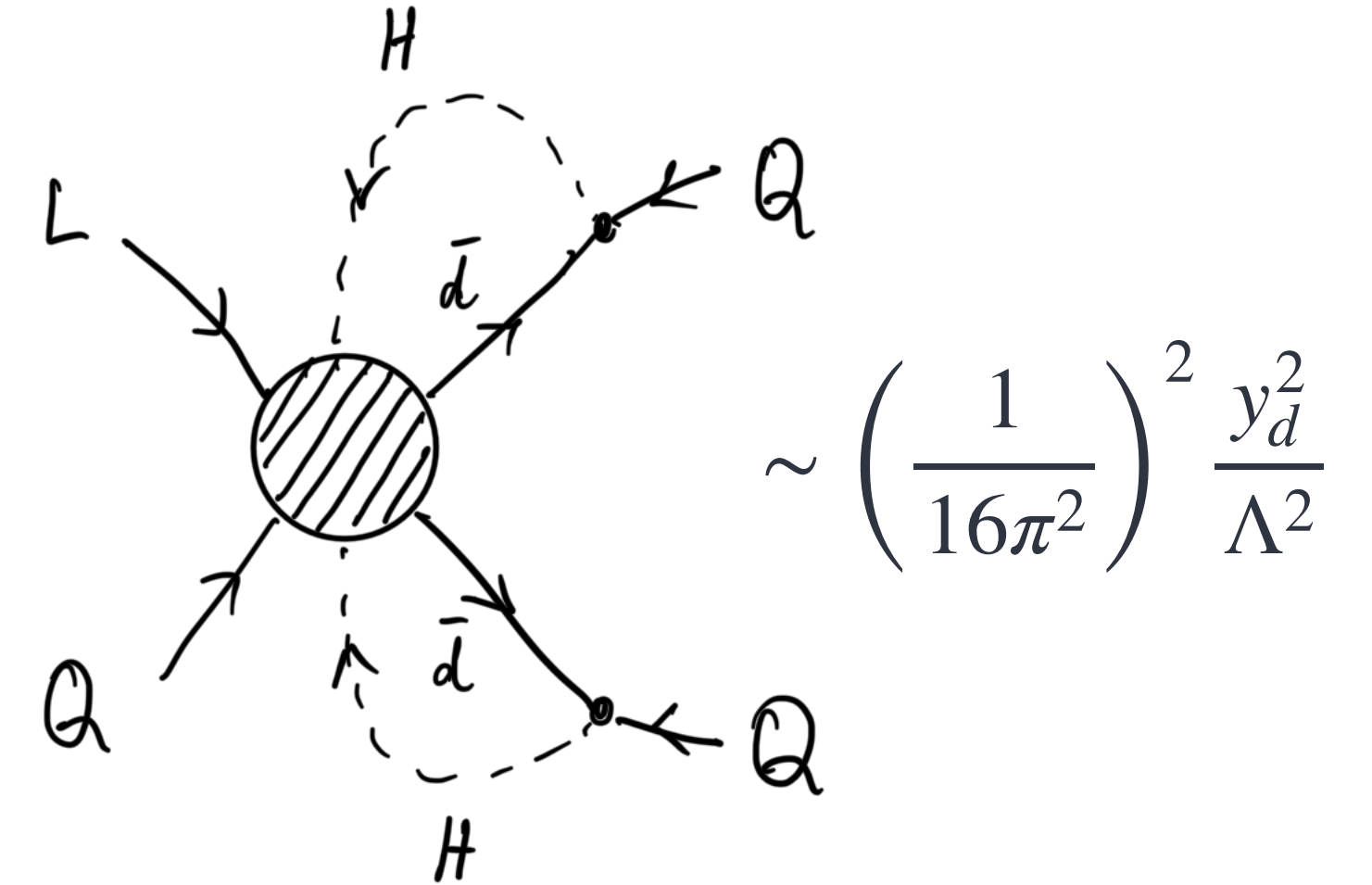
$$(\bar{e}_p^\dagger Q_{qi}^\dagger)(Q_{rj}^\dagger Q_{sk}^\dagger)H^i H^j H^k$$

$$(L_p^i \bar{u}_q)(Q_{rj}^\dagger Q_{sk}^\dagger)H^{i'} H^j H^k \epsilon_{ii'}$$

$$(Q_p^i iD^\mu Q_q^j)(\bar{e}_r \sigma^\mu \bar{d}_s^\dagger)\tilde{H}^k \tilde{H}^l \epsilon_{ik} \epsilon_{jl}$$

$$(Q_p^i iD^\mu Q_q^j)(L_r^\dagger \bar{\sigma}^\mu Q_s)\tilde{H}^k \tilde{H}^l \epsilon_{ik} \epsilon_{jl}$$

$$(\bar{u}_p iD^\mu \bar{d}_q)(Q_{ri}^\dagger \bar{\sigma}^\mu L_s^j)H^i H^k \epsilon_{jk}$$



JG, Herrero-García, Schmidt 2401.04768

Loop-induced nucleon decays often dominate because

$$\frac{v}{\Lambda} \ll \frac{1}{16\pi^2}$$

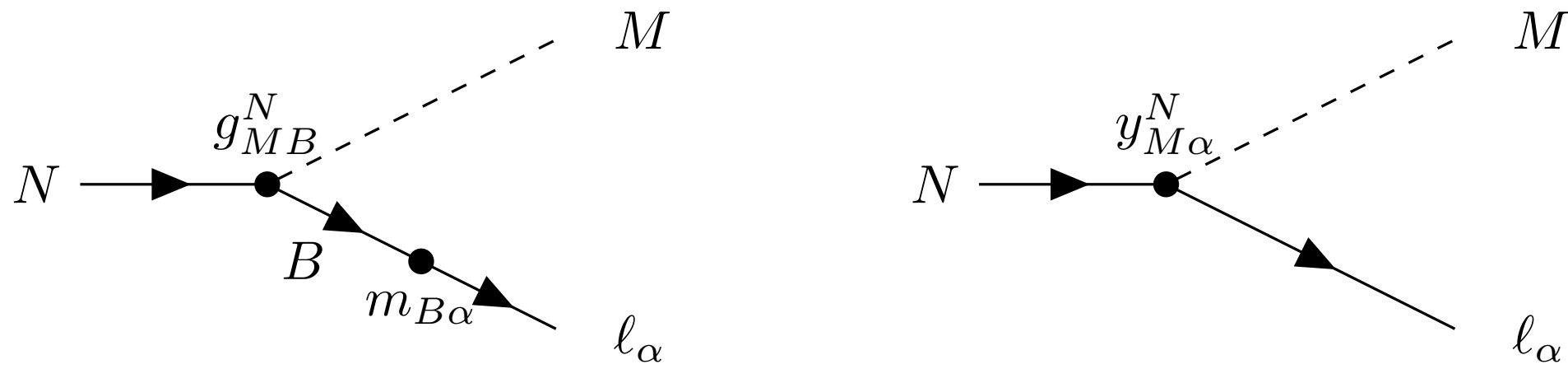
Difficult to imagine leading effects in these LEFT operators

We calculate decay rates using BχPT

Aoki, et al. 1705.01338
Yoo et al. 2111.01608

$$\mathcal{L} = g_{MB}^N \bar{B} \gamma^\mu \gamma_5 N \partial_\mu M + m_{B\alpha} \bar{\ell}_\alpha B + iy_{M\alpha}^N \bar{\ell}_\alpha N M$$

$\Delta B = 0$ $\Delta B = -1$



$$\Gamma_N^{(6)} = c_i^* \kappa_{ij} c_j \cdot \frac{m_N^5}{\Lambda^4} \quad \longrightarrow \quad \Gamma_N^{(7)} = c_i^* \kappa_{ij} c_j \cdot \frac{m_N^7}{\Lambda^6}$$

First-time calculation of dim-7 nucleon decay rates using the chiral-Lagrangian method

α, β are dominant source of uncertainty in our calculations

$$\langle 0 | \epsilon^{abc} (\bar{u}_a^\dagger \bar{d}_b^\dagger) u_c | p^{(s)} \rangle = \alpha P_L u_p^{(s)}$$

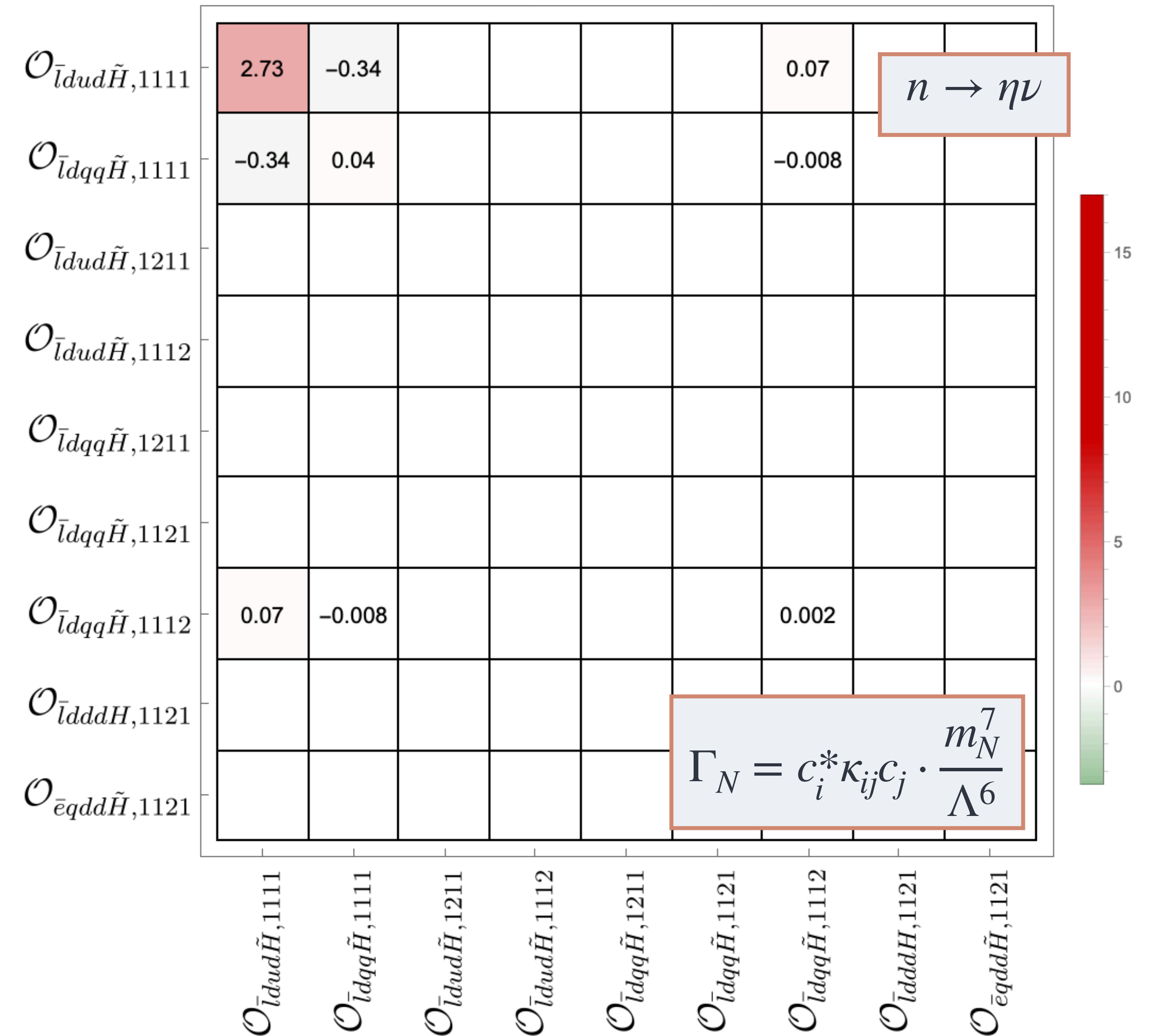
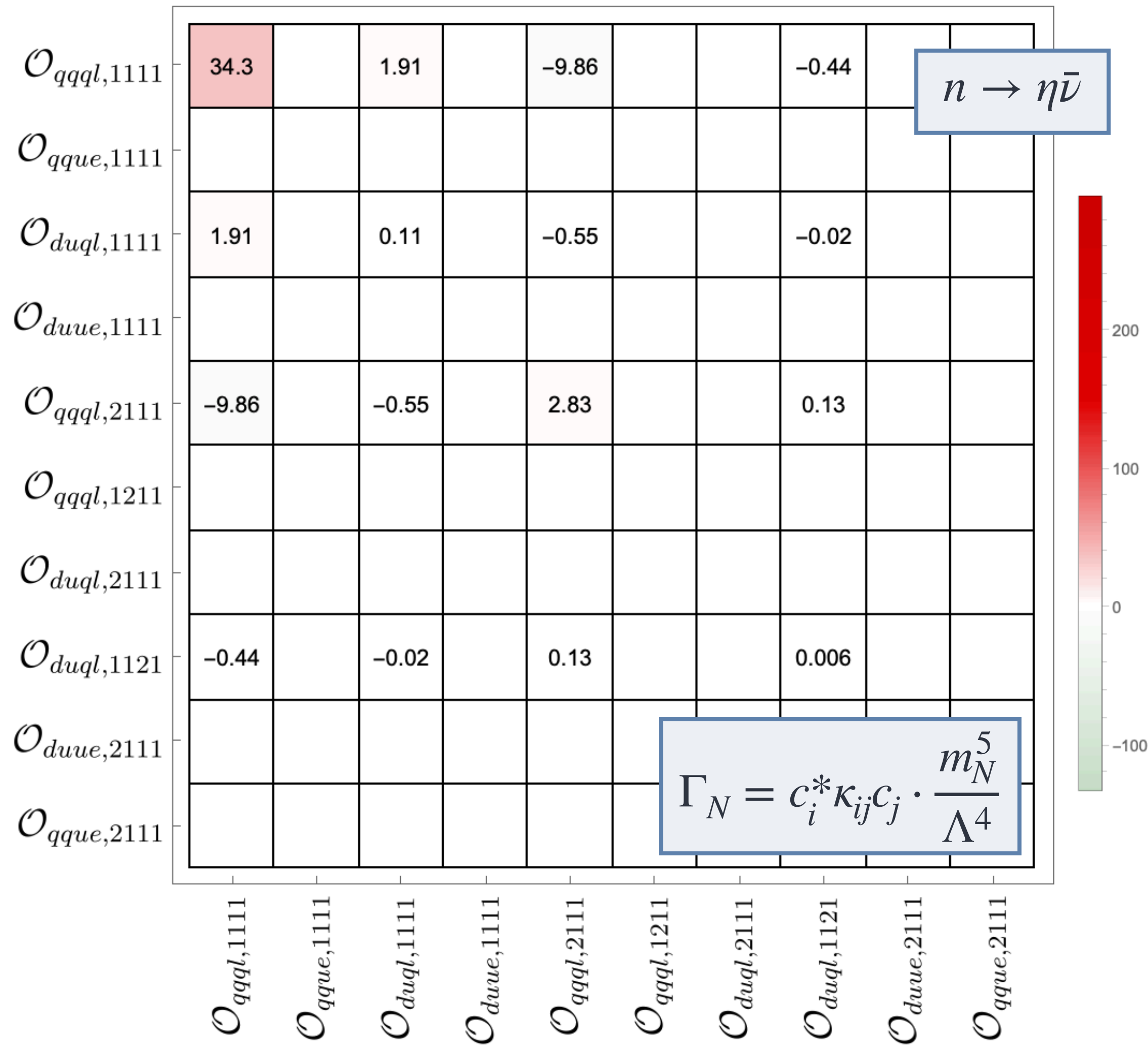
$$\langle 0 | \epsilon^{abc} (u_a d_b) u_c | p^{(s)} \rangle = \beta P_L u_p^{(s)}$$

A Matching to BχPT of BNV dimension-6 LEFT operators

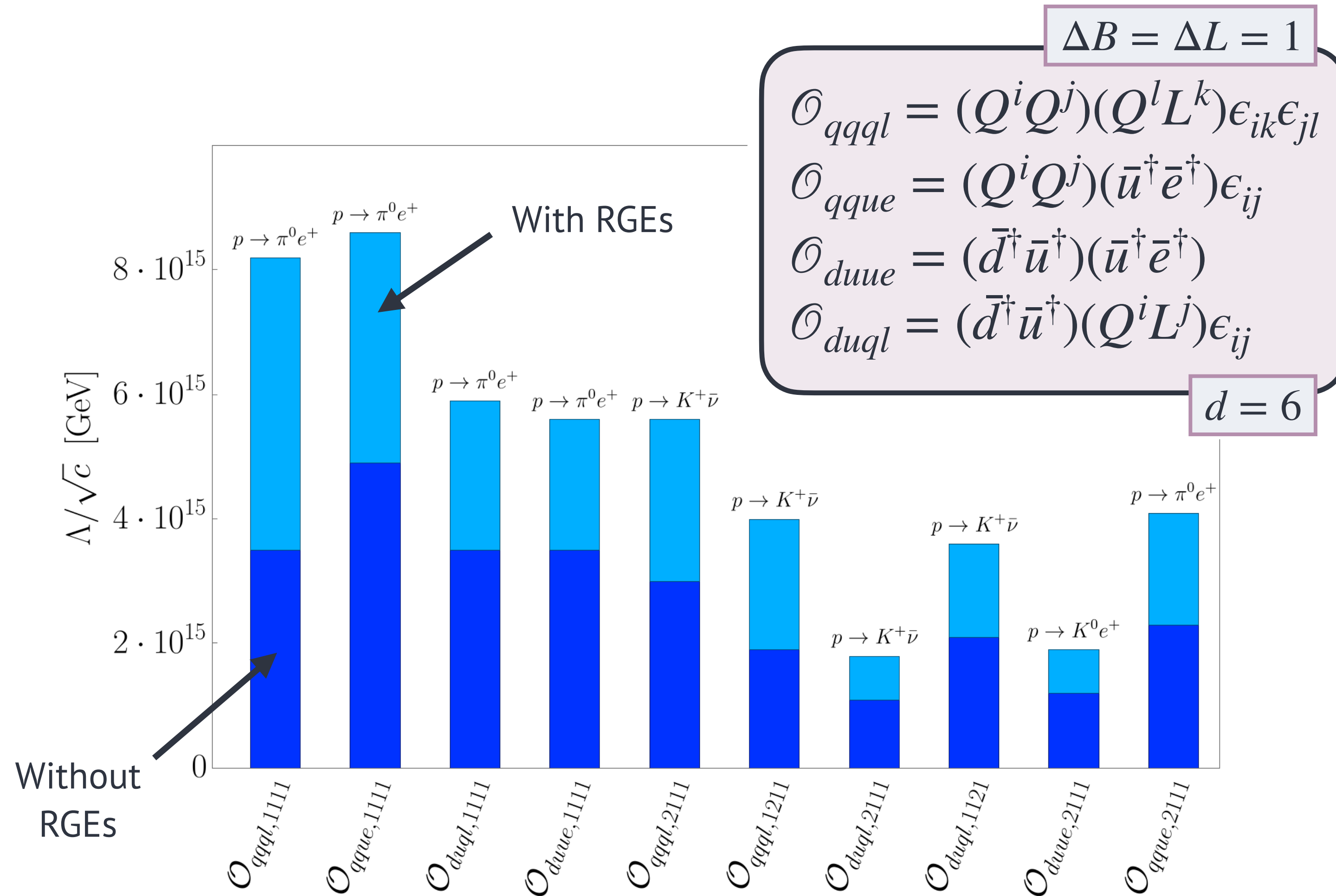
Name	LEFT	Flavour/BχPT
$[\mathcal{O}_{udd}^{S,LL}]_{rstu}$	$(u_r d_s)(d_t \nu_u)$	$(\mathbf{8}, \mathbf{1})$
$[\mathcal{O}_{udd}^{S,LL}]_{111r}$	$(ud)(d\nu_r)$	$-\beta \nu_{Lr}^c \text{tr}(\xi B \xi^\dagger P_{32}) \supset -\beta \nu_{Lr}^c n - \frac{i\beta}{f_\pi} \nu_{Lr}^c \left(\sqrt{\frac{3}{2}} n\eta - \frac{1}{\sqrt{2}} n\pi^0 + p\pi^- \right)$
$[\mathcal{O}_{udd}^{S,LL}]_{121r}$	$(us)(d\nu_r)$	$-\beta \nu_{Lr}^c \text{tr}(\xi B \xi^\dagger P_{22}) \supset -\beta \nu_{Lr}^c \left(-\frac{\Lambda^0}{\sqrt{6}} + \frac{\Sigma^0}{\sqrt{2}} \right) - \frac{i\beta}{f_\pi} \nu_{Lr}^c n K^0$
$[\mathcal{O}_{udd}^{S,LL}]_{112r}$	$(ud)(s\nu_r)$	$-\beta \nu_{Lr}^c \text{tr}(\xi B \xi^\dagger P_{33}) \supset \beta \sqrt{\frac{2}{3}} \nu_{Lr}^c \Lambda^0 - \frac{i\beta}{f_\pi} \nu_{Lr}^c (n \bar{K}^0 + p K^-)$
$[\mathcal{O}_{duu}^{S,LL}]_{rstu}$	$(d_r u_s)(u_t e_u)$	$(\mathbf{8}, \mathbf{1})$
$[\mathcal{O}_{duu}^{S,LL}]_{111r}$	$(du)(ue_r)$	$-\beta e_{Lr}^c \text{tr}(\xi B \xi^\dagger \tilde{P}_{31}) \supset \beta e_{Lr}^c p + \frac{i\beta}{f_\pi} e_{Lr}^c \left(\sqrt{\frac{3}{2}} p\eta + \frac{1}{\sqrt{2}} p\pi^0 + n\pi^+ \right)$
$[\mathcal{O}_{duu}^{S,LL}]_{211r}$	$(su)(ue_r)$	$-\beta e_{Lr}^c \text{tr}(\xi B \xi^\dagger P_{21}) \supset -\beta e_{Lr}^c \Sigma^+ + \frac{i\beta}{f_\pi} e_{Lr}^c p \bar{K}^0$
$[\mathcal{O}_{uud}^{S,LR}]_{[rs]tu}$	$(u_r u_s)(\bar{d}_t^\dagger \bar{e}_u^\dagger)$	—
$[\mathcal{O}_{duu}^{S,LR}]_{rstu}$	$(d_r u_s)(\bar{u}_t^\dagger \bar{e}_u^\dagger)$	$(\bar{\mathbf{3}}, \mathbf{3})$
$[\mathcal{O}_{duu}^{S,LR}]_{111r}$	$(du)(\bar{u}^\dagger \bar{e}_r^\dagger)$	$\alpha \bar{e}_{Rr}^c \text{tr}(\xi^\dagger B \xi^\dagger \tilde{P}_{31}) \supset -\alpha \bar{e}_{Rr}^c p + \frac{i\alpha}{f_\pi} \bar{e}_{Rr}^c \left(-\frac{1}{\sqrt{6}} p\eta + \frac{1}{\sqrt{2}} p\pi^0 + n\pi^+ \right)$
$[\mathcal{O}_{duu}^{S,LR}]_{211r}$	$(su)(\bar{u}^\dagger \bar{e}_r^\dagger)$	$\longrightarrow \alpha \bar{e}_{Rr}^c \text{tr}(\xi^\dagger B \xi^\dagger P_{21}) \supset \alpha \bar{e}_{Rr}^c \Sigma^+ - \frac{i\alpha}{f_\pi} \bar{e}_{Rr}^c p \bar{K}^0$
$[\mathcal{O}_{uud}^{S,RL}]_{[rs]tu}$	$(\bar{u}_r^\dagger \bar{u}_s^\dagger)(d_t e_u)$	—
$[\mathcal{O}_{duu}^{S,RL}]_{rstu}$	$(\bar{d}_r^\dagger \bar{u}_s^\dagger)(u_t e_u)$	$(\mathbf{3}, \bar{\mathbf{3}})$

We package the decay rates into numerical matrices that are available online

<https://zenodo.org/records/12664770>



Running can lead to large enhancements in the limits derived



- Assume **single-operator dominance**
- Running dominated by gauge interactions, can be large
 - Expressions look like

$$16\pi^2 \mu \frac{d\mu}{dc_i} = -4g_3^2 c_i + \dots$$
 - 1.6 – 2.3 factor enhancement
- Strongest lower limit

$$\Lambda/\sqrt{c} > 2 \cdot 10^{15} \text{ GeV}$$

The effect is milder at dimension 7 because of an accidental cancellation

- Assume **single-operator dominance**
- Top-quark Yukawa relevant for Higgs wave-function renormalisation

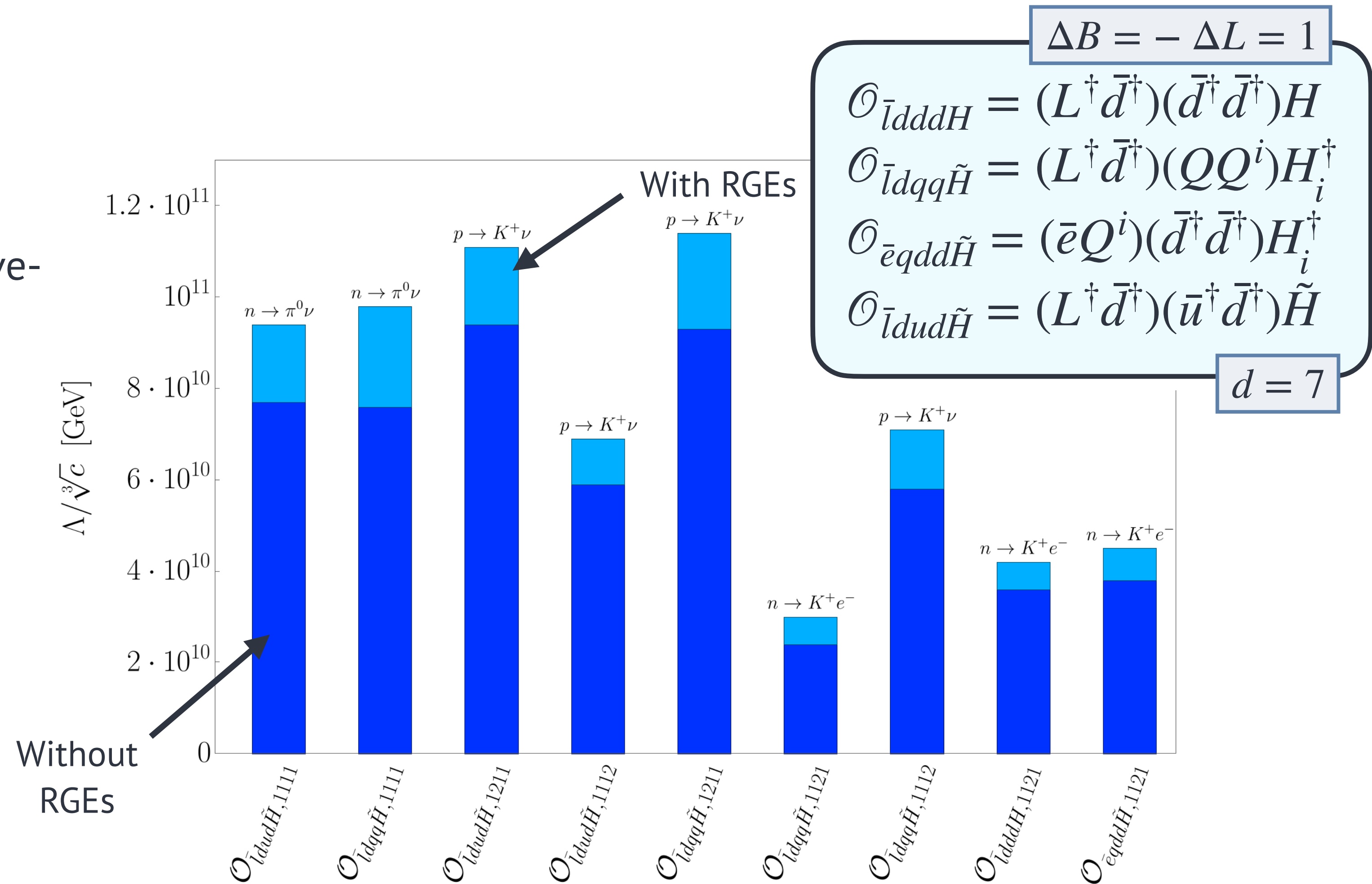
- Expressions look like

$$16\pi^2\mu\frac{d\mu}{dc_i} = (-4g_3^2 + y_t^2)c_i + \dots$$

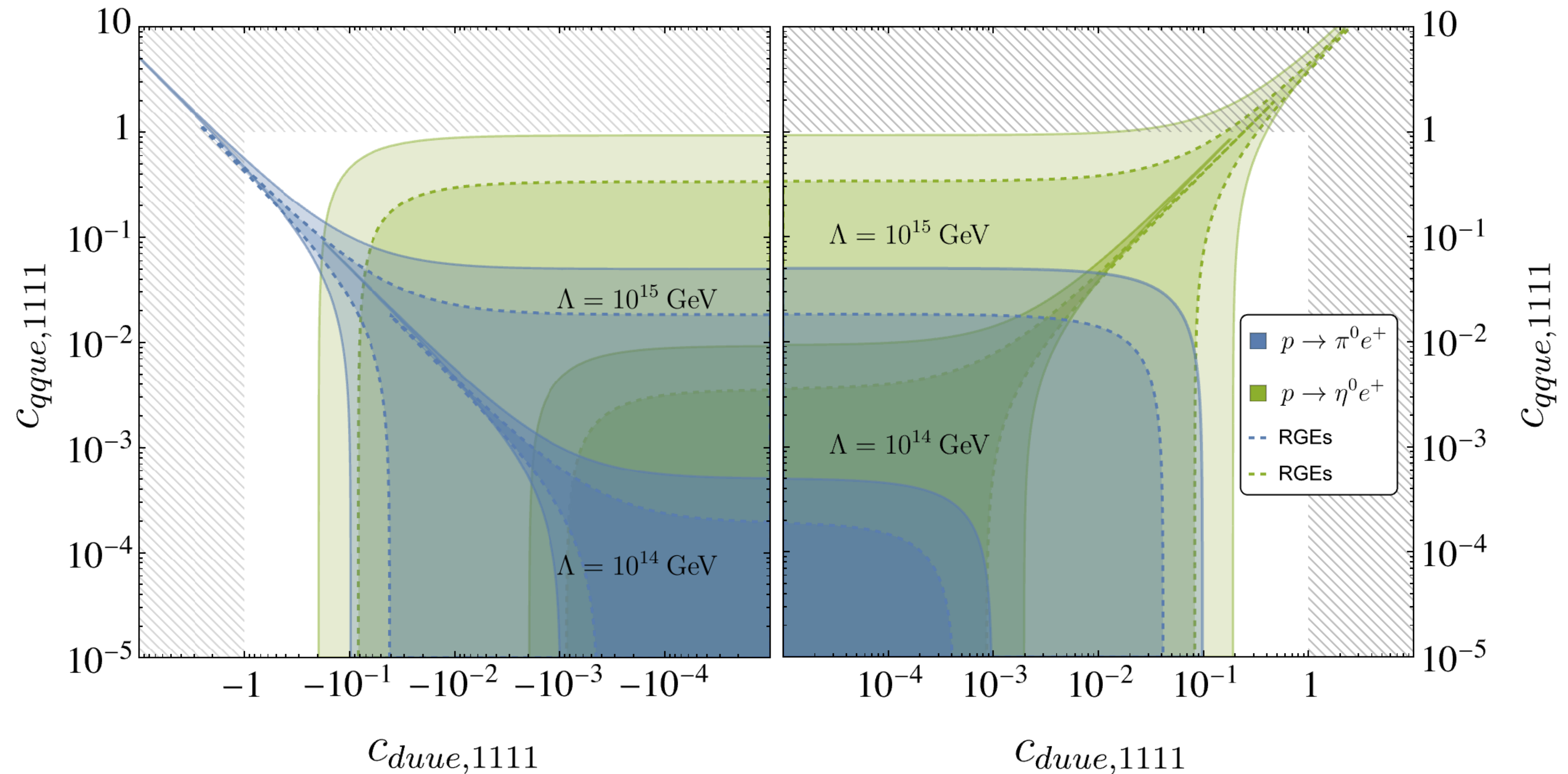
- 1.2 – 1.3 factor enhancement

- Strongest lower limit

$$\Lambda/\sqrt{c} > 2 \cdot 10^{10} \text{ GeV}$$



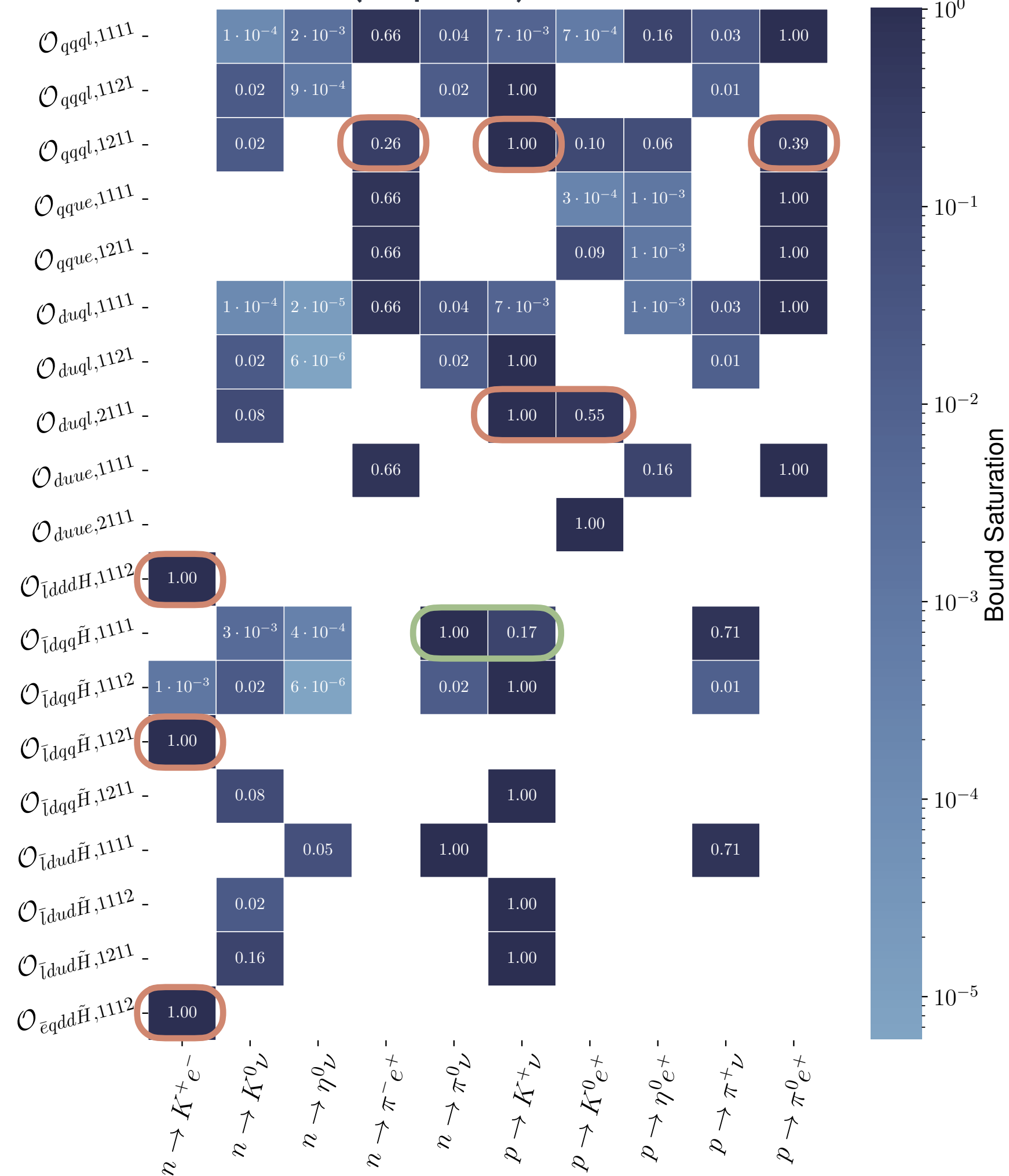
Pairs of non-zero Wilson coefficients show how different decay modes provide complementary constraints



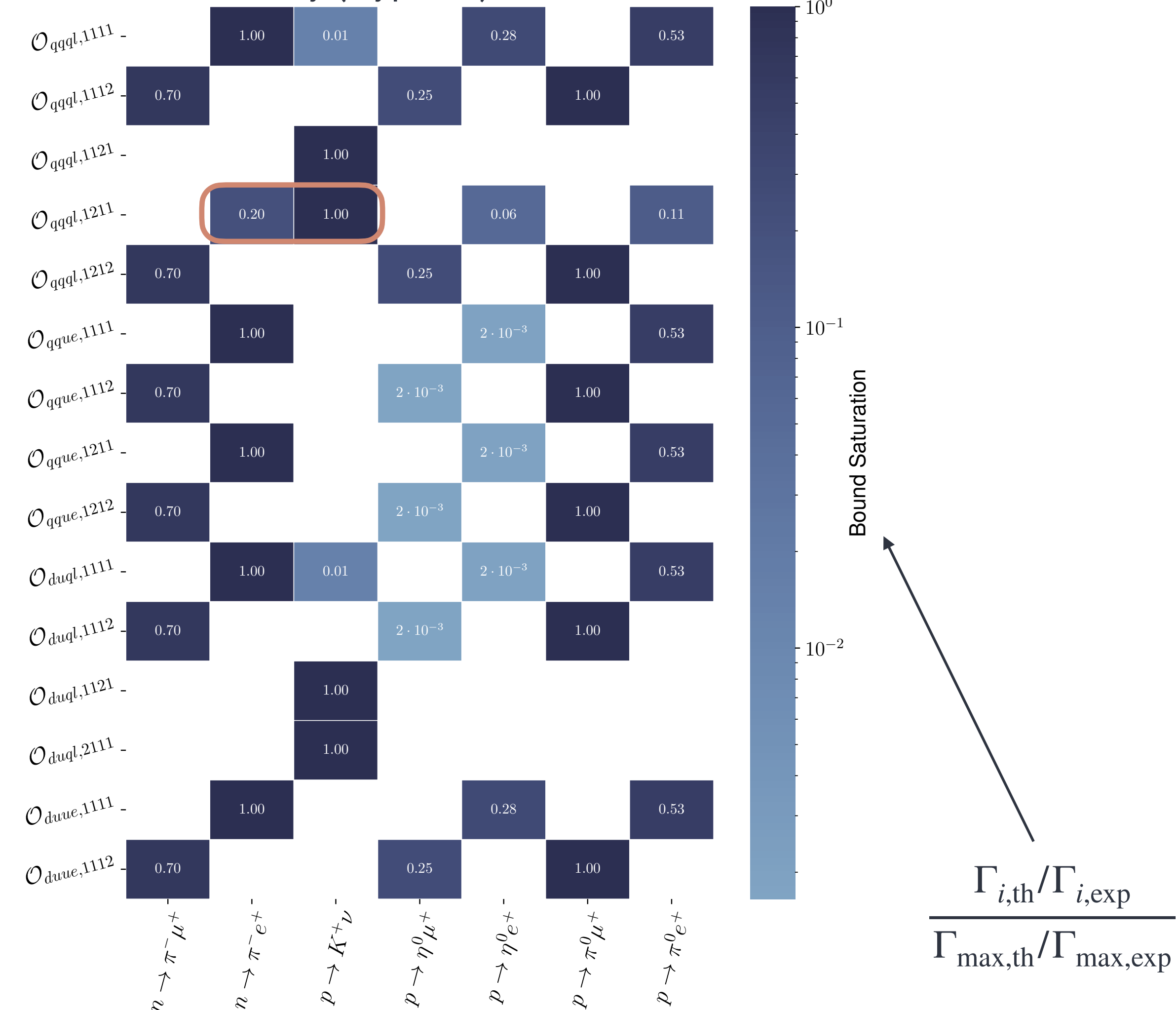
Several positive signals may allow us to exclude or determine if a single operator dominates

Recall 15% uncertainty in $\alpha, \beta!$

Current bounds (Super K)



Future sensitivity (Hyper K)



$$\frac{\Gamma_{i,\text{th}}/\Gamma_{i,\text{exp}}}{\Gamma_{\text{max,th}}/\Gamma_{\text{max,exp}}}$$

Example UV model shows flavour is important

Introduce scalar LQ and vector-like fermion

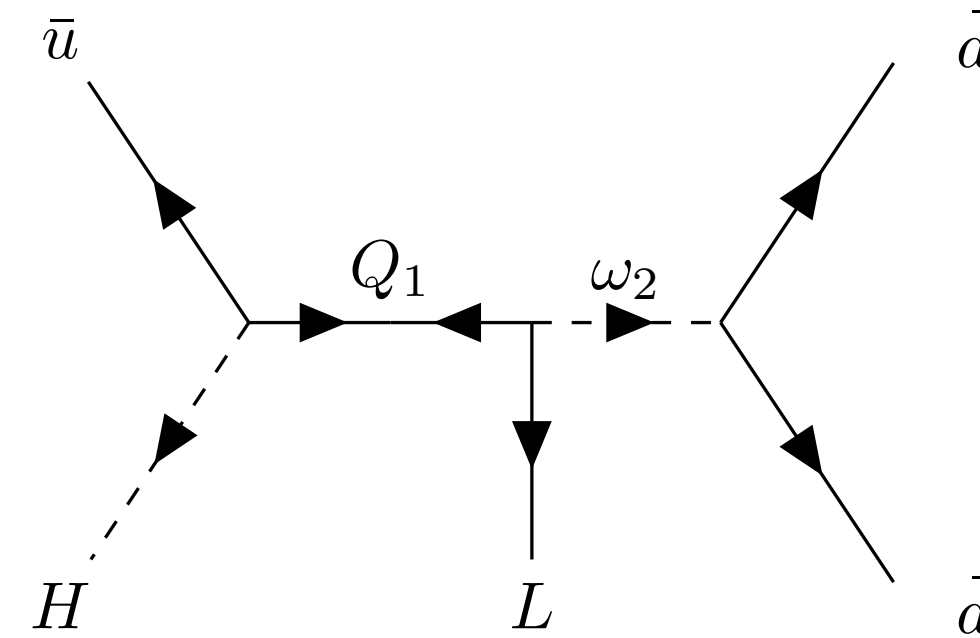
$$\omega_2 \sim (\mathbf{3}, \mathbf{1}, \frac{2}{3}) \quad Q_1 + \bar{Q}_1^\dagger \sim (\mathbf{3}, \mathbf{2}, \frac{1}{6})$$

Model generates two $d = 7$ operators at tree level

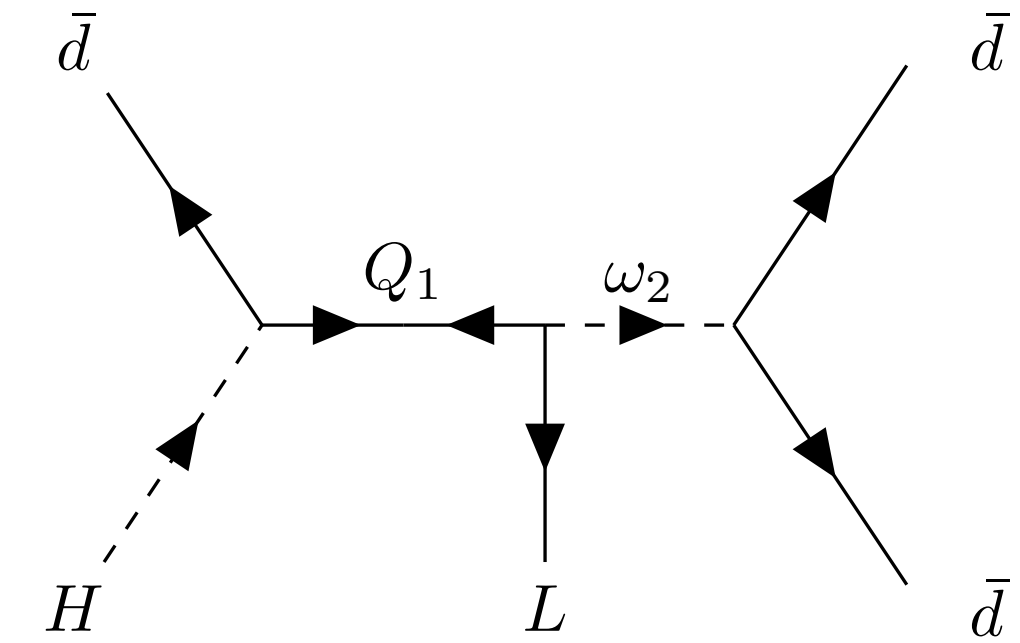
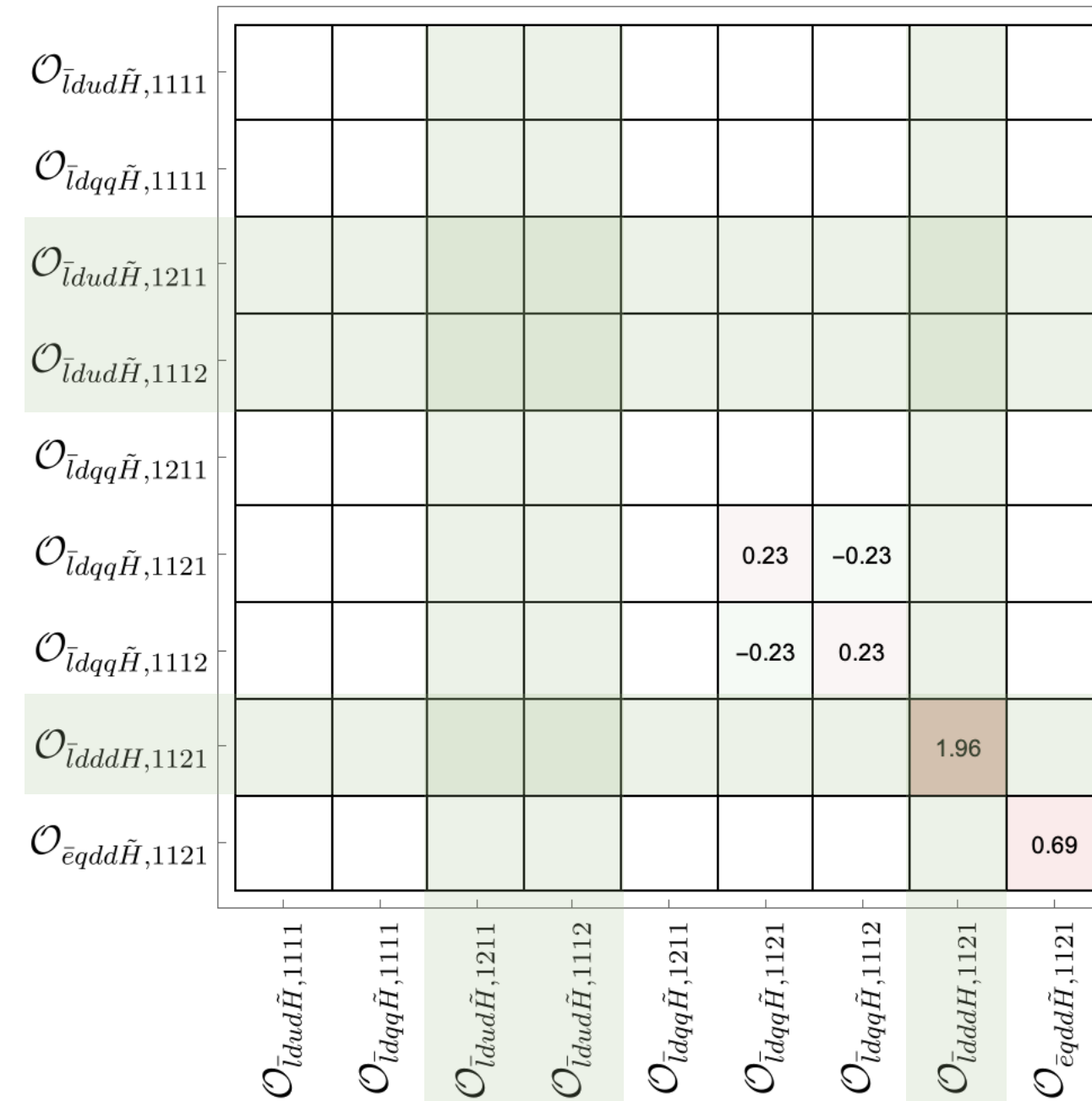
$$\frac{c_{\bar{l}dddH}^{pqrs}}{\Lambda^3} = \frac{y_{dd}^{[rs]} y_{dH}^{q*} y_{LQ_1}^{p*}}{M_{\omega_2}^2 M_Q} \quad \frac{c_{\bar{l}dud\tilde{H}}^{pqrs}}{\Lambda^3} = 2 \frac{y_{dd}^{[qs]} y_{uH}^{r*} y_{LQ_1}^{p*}}{M_{\omega_2}^2 M_Q}$$

$$\mathcal{O}_{\bar{l}dddH}^{1211} : n \rightarrow K^+ e^-$$

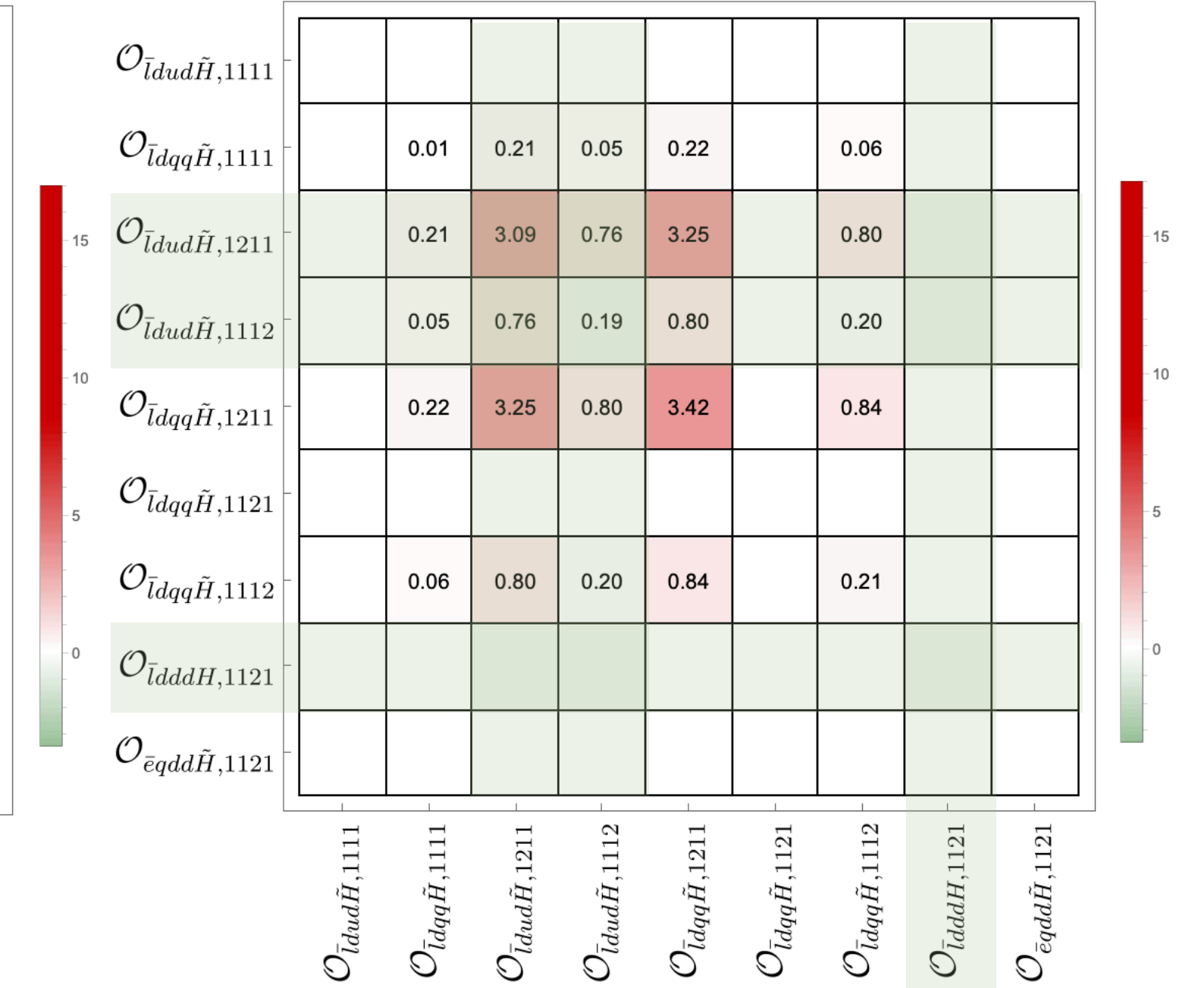
$$\mathcal{O}_{\bar{l}dud\tilde{H}}^{1211,1112} : p \rightarrow K^+ \nu, n \rightarrow K^0 \nu$$



$n \rightarrow K^+ e^-$



$p \rightarrow K^+ \nu$



Example UV model shows flavour is important

Introduce scalar LQ and vector-like fermion

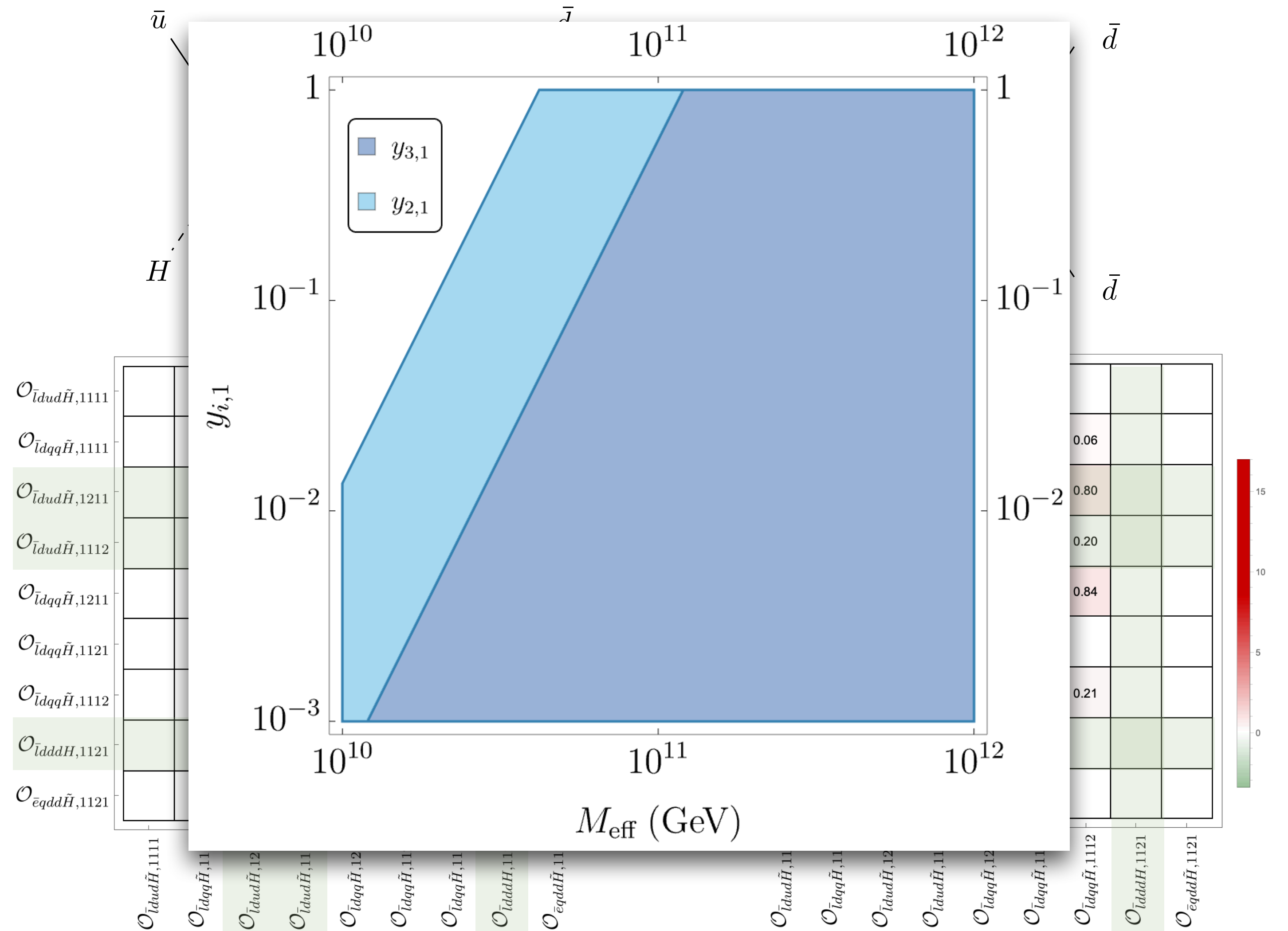
$$\omega_2 \sim (\mathbf{3}, \mathbf{1}, \frac{2}{3}) \quad Q_1 + \bar{Q}_1^\dagger \sim (\mathbf{3}, \mathbf{2}, \frac{1}{6})$$

Model generates two $d = 7$ operators at tree level

$$\frac{c_{\bar{l}dddH}^{pqrs}}{\Lambda^3} = \frac{y_{dd}^{[rs]} y_{dH}^{q*} y_{LQ_1}^{p*}}{M_{\omega_2}^2 M_Q} \quad \frac{c_{\bar{l}dud\tilde{H}}^{pqrs}}{\Lambda^3} = 2 \frac{y_{dd}^{[qs]} y_{uH}^{r*} y_{LQ_1}^{p*}}{M_{\omega_2}^2 M_Q}$$

$$\mathcal{O}_{\bar{l}dddH}^{1211} : n \rightarrow K^+ e^-$$

$$\mathcal{O}_{\bar{l}dud\tilde{H}}^{1211,1112} : p \rightarrow K^+ \nu, n \rightarrow K^0 \nu$$



Conclusions

- Depending on **symmetries**, dominant contributions from either $d = 6$ ($B - L = 0$) or $d = 7$ ($B - L = 2$)
- **RG corrections are important**, limits enhanced by up to factor of 2.3
- Complementary constraints **exclude flat directions**
- Several positive signals may allow us to **determine the origin of baryon-number violation**
- **Caution:** Uncertainty on hadronic inputs is large

$$p \rightarrow \pi^0 e^+$$

$$p \rightarrow \gamma e^+$$

$$n \rightarrow \pi^0$$

$$n \rightarrow$$

$$p -$$

$$n$$

Vielen Dank!

Backup

$$p \rightarrow \pi^0 e^+$$

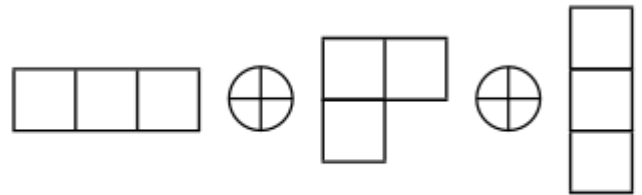

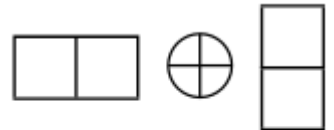
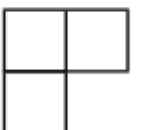
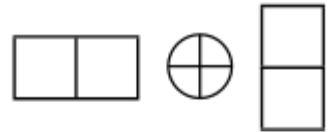

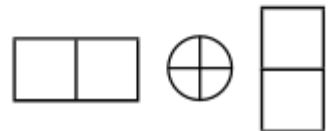


$$p \rightarrow \gamma e^+$$

$$n \rightarrow \pi^0$$

$$n \rightarrow$$

$$p -$$

$$n$$

Name	Operator	Permutation symmetry
Dimension 6		
\mathcal{O}_{qqql}	$(Q_p^i Q_q^j)(Q_r^l L_s^k)\epsilon_{ik}\epsilon_{jl}$	
\mathcal{O}_{qqqe}	$(Q_p^i Q_q^j)(\bar{u}_r^\dagger \bar{e}_s^\dagger)\epsilon_{ij}$	
\mathcal{O}_{duue}	$(\bar{d}_p^\dagger \bar{u}_q^\dagger)(\bar{u}_r^\dagger \bar{e}_s^\dagger)$	
\mathcal{O}_{duql}	$(\bar{d}_p^\dagger \bar{u}_q^\dagger)(Q_r^i L_s^j)\epsilon_{ij}$	—
Dimension 7		
$\mathcal{O}_{\bar{l}dddH}$	$(L_p^\dagger \bar{d}_q^\dagger)(\bar{d}_r^\dagger \bar{d}_s^\dagger)H$	
$\mathcal{O}_{\bar{l}dq\tilde{H}}$	$(L_p^\dagger \bar{d}_q^\dagger)(Q_r Q_s^i)\tilde{H}^j\epsilon_{ij}$	
$\mathcal{O}_{\bar{e}qdd\tilde{H}}$	$(\bar{e}_p Q_q^i)(\bar{d}_r^\dagger \bar{d}_s^\dagger)\tilde{H}^j\epsilon_{ij}$	
$\mathcal{O}_{\bar{l}dud\tilde{H}}$	$(L_p^\dagger \bar{d}_q^\dagger)(\bar{u}_r^\dagger \bar{d}_s^\dagger)\tilde{H}$	
$\mathcal{O}_{\bar{l}qdDd}$	$(L_p^\dagger \bar{\sigma}^\mu Q_q)(\bar{d}_r^\dagger i\overleftrightarrow{D}_\mu \bar{d}_s^\dagger)$	
$\mathcal{O}_{\bar{e}dddD}$	$(\bar{e}_p \sigma^\mu \bar{d}_q^\dagger)(\bar{d}_r^\dagger i\overleftrightarrow{D}_\mu \bar{d}_s^\dagger)$	

We match onto the B χ PT using operator symmetries

$$\text{SU}(3)_L \times \text{SU}(3)_R \rightarrow \text{SU}(3)_V$$

$$M = \begin{pmatrix} \frac{\pi^0}{\sqrt{2}} + \frac{\eta}{\sqrt{6}} & \pi^+ & K^+ \\ \pi^- & -\frac{\pi^0}{\sqrt{2}} + \frac{\eta}{\sqrt{6}} & K^0 \\ K^- & \bar{K}^0 & -\sqrt{\frac{2}{3}}\eta \end{pmatrix} \quad B = \begin{pmatrix} \frac{\Sigma^0}{\sqrt{2}} + \frac{\Lambda^0}{\sqrt{6}} & \Sigma^+ & p \\ \Sigma^- & -\frac{\Sigma^0}{\sqrt{2}} + \frac{\Lambda^0}{\sqrt{6}} & n \\ \Xi^- & \Xi^0 & -\sqrt{\frac{2}{3}}\Lambda^0 \end{pmatrix}$$

$$\xi \equiv e^{iM/f_\pi} \rightarrow L\xi U^\dagger = U\xi R^\dagger \quad B \rightarrow UBU^\dagger$$

$$\xi B \xi \sim (\mathbf{3}, \bar{\mathbf{3}})$$

$$\xi^\dagger B \xi^\dagger \sim (\bar{\mathbf{3}}, \mathbf{3})$$

$$\xi B \xi^\dagger \sim (\mathbf{8}, \mathbf{1})$$

$$\xi^\dagger B \xi \sim (\mathbf{1}, \mathbf{8})$$

$$[\xi B \xi^\dagger \nu_r]_l^k \sim (q_i q_j)(q_l \nu_r) \epsilon^{ijk} - \frac{1}{3}(q_i q_j)(q_m \nu_r) \epsilon^{ijm} \delta_l^k$$

$$\supset [\mathcal{O}_{udd}^{S,LL}]_{111r}, [\mathcal{O}_{udd}^{S,LL}]_{121r}, [\mathcal{O}_{udd}^{S,LL}]_{112r}$$

Projection matrix P_{ij} necessary to pick out component corresponding to single operator

Name [42]	Operator	Flavour	ΔI	ΔS
$[\mathcal{O}_{udd}^{S,LL}]_{111r}$	$(ud)(d\nu_r)$	$(\mathbf{8}, \mathbf{1})$	$\frac{1}{2}$	0
$[\mathcal{O}_{udd}^{S,LL}]_{121r}$	$(us)(d\nu_r)$	$(\mathbf{8}, \mathbf{1})$	0	1
$[\mathcal{O}_{udd}^{S,LL}]_{112r}$	$(ud)(s\nu_r)$	$(\mathbf{8}, \mathbf{1})$	0	1
$[\mathcal{O}_{duu}^{S,LL}]_{111r}$	$(du)(ue_r)$	$(\mathbf{8}, \mathbf{1})$	$-\frac{1}{2}$	0
$[\mathcal{O}_{duu}^{S,LL}]_{211r}$	$(su)(ue_r)$	$(\mathbf{8}, \mathbf{1})$	-1	1
$[\mathcal{O}_{duu}^{S,LR}]_{111r}$	$(du)(\bar{u}^\dagger \bar{e}_r^\dagger)$	$(\bar{\mathbf{3}}, \mathbf{3})$	$-\frac{1}{2}$	0
$[\mathcal{O}_{duu}^{S,LR}]_{211r}$	$(su)(\bar{u}^\dagger \bar{e}_r^\dagger)$	$(\bar{\mathbf{3}}, \mathbf{3})$	-1	1
$[\mathcal{O}_{duu}^{S,RL}]_{111r}$	$(\bar{d}^\dagger \bar{u}^\dagger)(ue_r)$	$(\mathbf{3}, \bar{\mathbf{3}})$	$-\frac{1}{2}$	0
$[\mathcal{O}_{duu}^{S,RL}]_{211r}$	$(\bar{s}^\dagger \bar{u}^\dagger)(ue_r)$	$(\mathbf{3}, \bar{\mathbf{3}})$	-1	1
$[\mathcal{O}_{dud}^{S,RL}]_{111r}$	$(\bar{d}^\dagger \bar{u}^\dagger)(d\nu_r)$	$(\mathbf{3}, \bar{\mathbf{3}})$	$\frac{1}{2}$	0
$[\mathcal{O}_{dud}^{S,RL}]_{211r}$	$(\bar{s}^\dagger \bar{u}^\dagger)(d\nu_r)$	$(\mathbf{3}, \bar{\mathbf{3}})$	0	1
$[\mathcal{O}_{dud}^{S,RL}]_{112r}$	$(\bar{d}^\dagger \bar{u}^\dagger)(s\nu_r)$	$(\mathbf{3}, \bar{\mathbf{3}})$	0	1
$[\mathcal{O}_{ddu}^{S,RL}]_{[12]1r}$	$(\bar{d}^\dagger \bar{s}^\dagger)(u\nu_r)$	$(\mathbf{3}, \bar{\mathbf{3}})$	0	1
$[\mathcal{O}_{duu}^{S,RR}]_{111r}$	$(\bar{d}^\dagger \bar{u}^\dagger)(\bar{u}^\dagger \bar{e}_r^\dagger)$	$(\mathbf{1}, \mathbf{8})$	$-\frac{1}{2}$	0
$[\mathcal{O}_{duu}^{S,RR}]_{211r}$	$(\bar{s}^\dagger \bar{u}^\dagger)(\bar{u}^\dagger \bar{e}_r^\dagger)$	$(\mathbf{1}, \mathbf{8})$	-1	1

RGEs

$$\dot{C}_{duue,prst} = (-4g_3^2 - 2g_1^2) C_{duue,prst} - \frac{20}{3} g_1^2 C_{duue,psrt}$$

$$\dot{C}_{duq\ell,prst} = \left(-4g_3^2 - \frac{9}{2}g_2^2 - \frac{11}{6}g_1^2 \right) C_{duq\ell,prst}$$

$$\dot{C}_{qqe,prst} = \left(-4g_3^2 - \frac{9}{2}g_2^2 - \frac{23}{6}g_1^2 \right) C_{qqe,prst}$$

$$\dot{C}_{qqq\ell,prst} = \left(-4g_3^2 - 3g_2^2 - \frac{1}{3}g_1^2 \right) C_{qqq\ell,prst} - 4g_2^2 \left(C_{qqq\ell,rpst} + C_{qqq\ell,srpt} + C_{qqq\ell,psrt} \right)$$

$$\dot{C}_{\bar{l}dud\tilde{H},prst} = \left(-4g_3^2 - \frac{9}{4}g_2^2 - \frac{17}{12}g_1^2 + y_t^2 \right) C_{\bar{l}dud\tilde{H},prst} - \frac{10}{3}g_1^2 C_{\bar{l}dud\tilde{H},ptsr} ,$$

$$\dot{C}_{\bar{l}dddH,prst} = \left(-4g_3^2 - \frac{9}{4}g_2^2 - \frac{13}{12}g_1^2 + y_t^2 \right) C_{\bar{l}dddH,prst} ,$$

$$\dot{C}_{\bar{e}qdd\tilde{H},prst} = \left(-4g_3^2 - \frac{9}{4}g_2^2 + \frac{11}{12}g_1^2 + y_t^2 \right) C_{\bar{e}qdd\tilde{H},prst} ,$$

$$\dot{C}_{\bar{l}dq\tilde{H},prst} = \left(-4g_3^2 - \frac{15}{4}g_2^2 - \frac{19}{12}g_1^2 + y_t^2 \right) C_{\bar{l}dq\tilde{H},prst} - 3g_2^2 C_{\bar{l}dq\tilde{H},prts} .$$

Limits compatible with gauge-coupling unification at the α_2, α_3 crossing for $c > 10^{-2}$

