

Search for LFV and Light New Physics at Belle II



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Overview

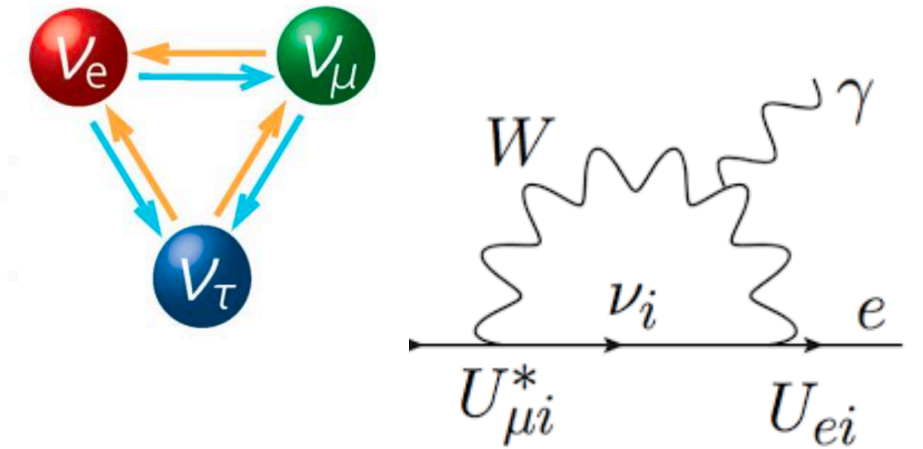
- Introduction
 - general physics motivation
 - Belle II
- Recent results
 - for LFV (and BNV)
 - for light new physics
- Closing

(C)LFV = (charged) lepton flavor violation

BNV = baryon number violation

Motivation

- lepton number, lepton flavor, baryon number
 - each, conserved in the SM (with $m_\nu = 0$ for LF) due to accidental symmetries
 - with $m_\nu \neq 0$, LFV can occur but suppressed by $(m_\nu/m_W)^4$



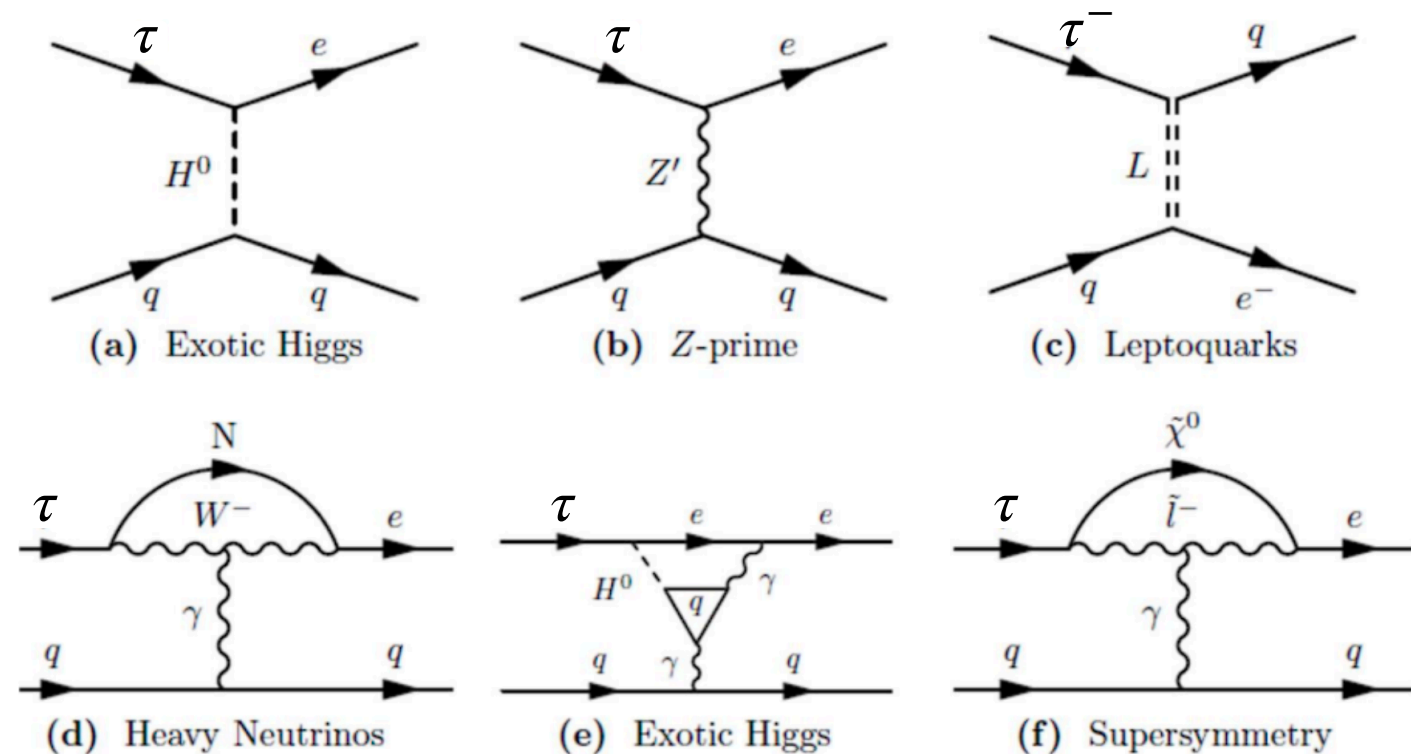
$$\mathcal{B}(\tau \rightarrow l\gamma) = \frac{3\alpha}{32\pi} \left| \sum_i U_{\tau i}^* U_{\mu i} \frac{\Delta_{3i}^2}{m_W^2} \right|^2 \leq 10^{-53} \sim 10^{-49}$$

Observation of LFV will be a clear signal of NP

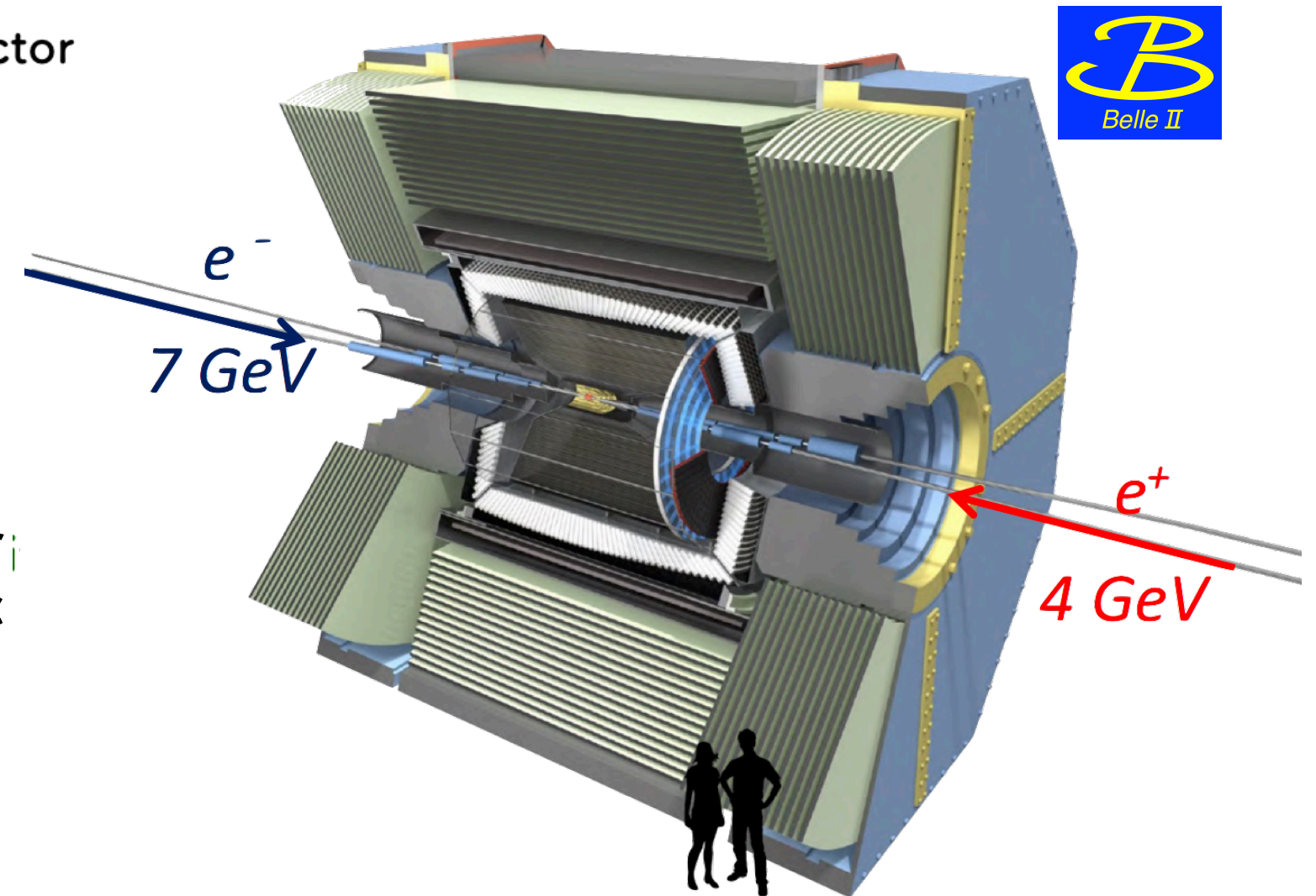
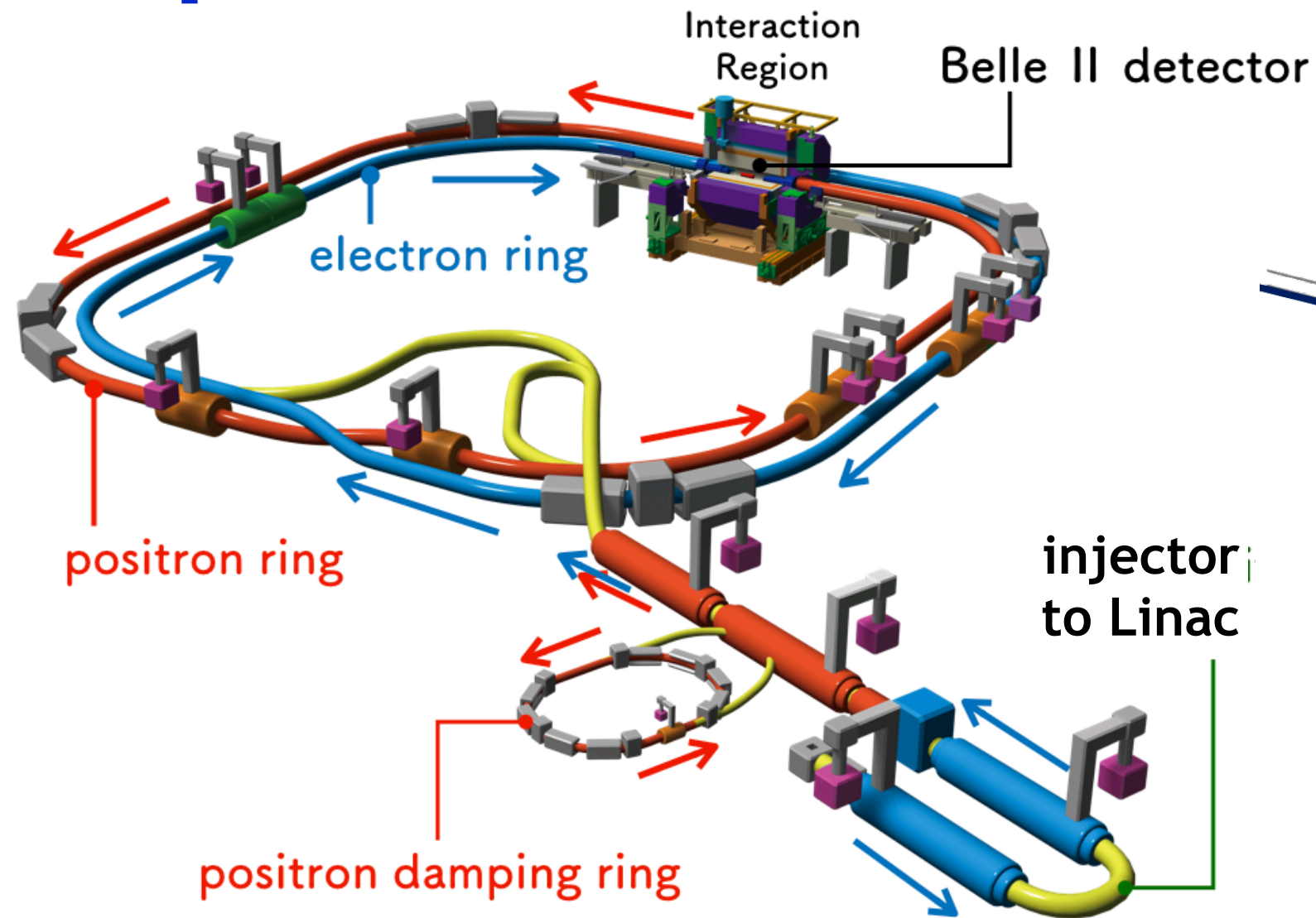
- many BSM scenarios predict CLFV with $\mathcal{B}_{\text{CLFV}} \sim (10^{-10} - 10^{-7})$

BNV

- crucial ingredient for BAO (matter-antimatter asymmetry)



SuperKEKB and Belle II



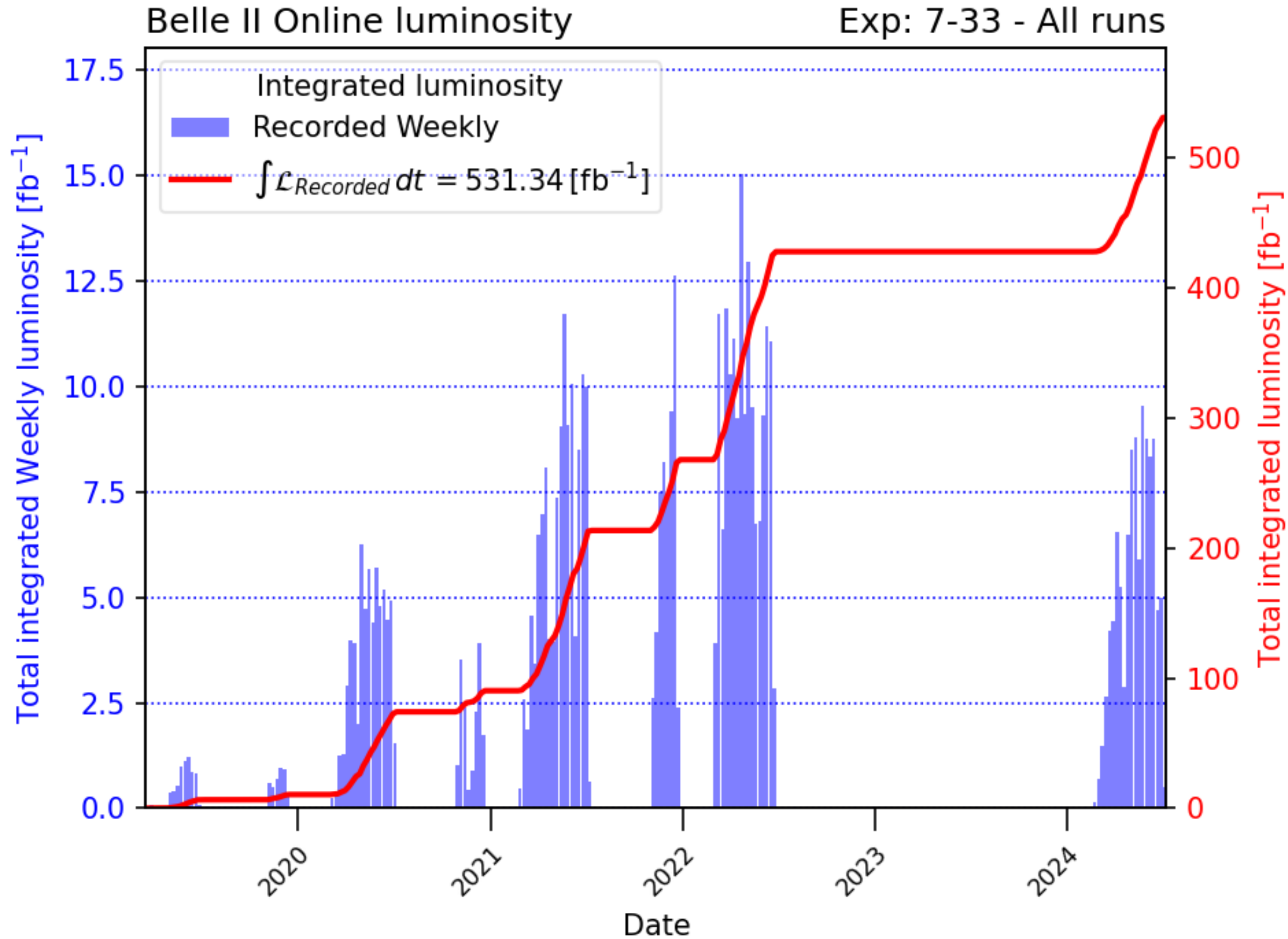
$$e^- \xrightarrow{7 \text{ GeV}} (\star) \xleftarrow{4 \text{ GeV}} e^+$$

$$\sqrt{s} = 10.58 \text{ GeV} = m_{\Upsilon(4S)} c^2$$

We also have data taken off-resonance as well as energy scan around $\Upsilon(5S)$

- Not just a “B-factory”, but tau-factory as well (charm-factory, too)
 $\sigma(e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B}) \sim \sigma(e^+e^- \rightarrow \tau^+\tau^-) \sim O(1 \text{ nb})$
- τ -tagging \rightarrow make most τ LFV analyses nearly background-free

Belle II luminosity



Belle (1999-2010)
Luminosity

$\int \mathcal{L}_{total} = 1039 fb^{-1}$

- 980 fb⁻¹ for $\tau^+\tau^-$
- 25 fb⁻¹ at $\Upsilon(2S)$

For LFV (and BNV)

$$\tau^+ \rightarrow \mu^+ \mu^- \mu^+$$



$$\tau^+ \rightarrow \ell^+ V^0$$

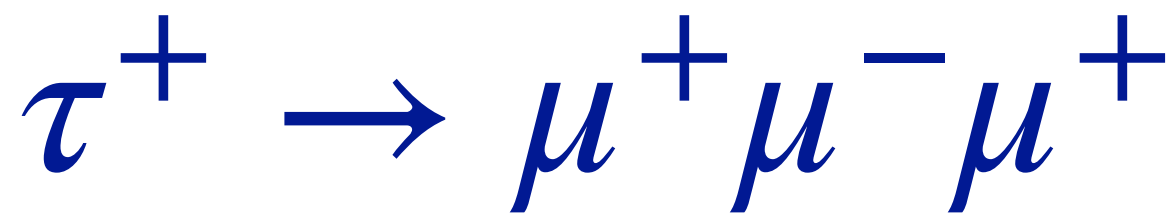


$$\tau^- \rightarrow \Lambda \pi^-, \bar{\Lambda} \pi^-$$



$$\Upsilon(2S) \rightarrow \ell^\pm \tau^\mp$$





- Belle II with 424 fb^{-1}

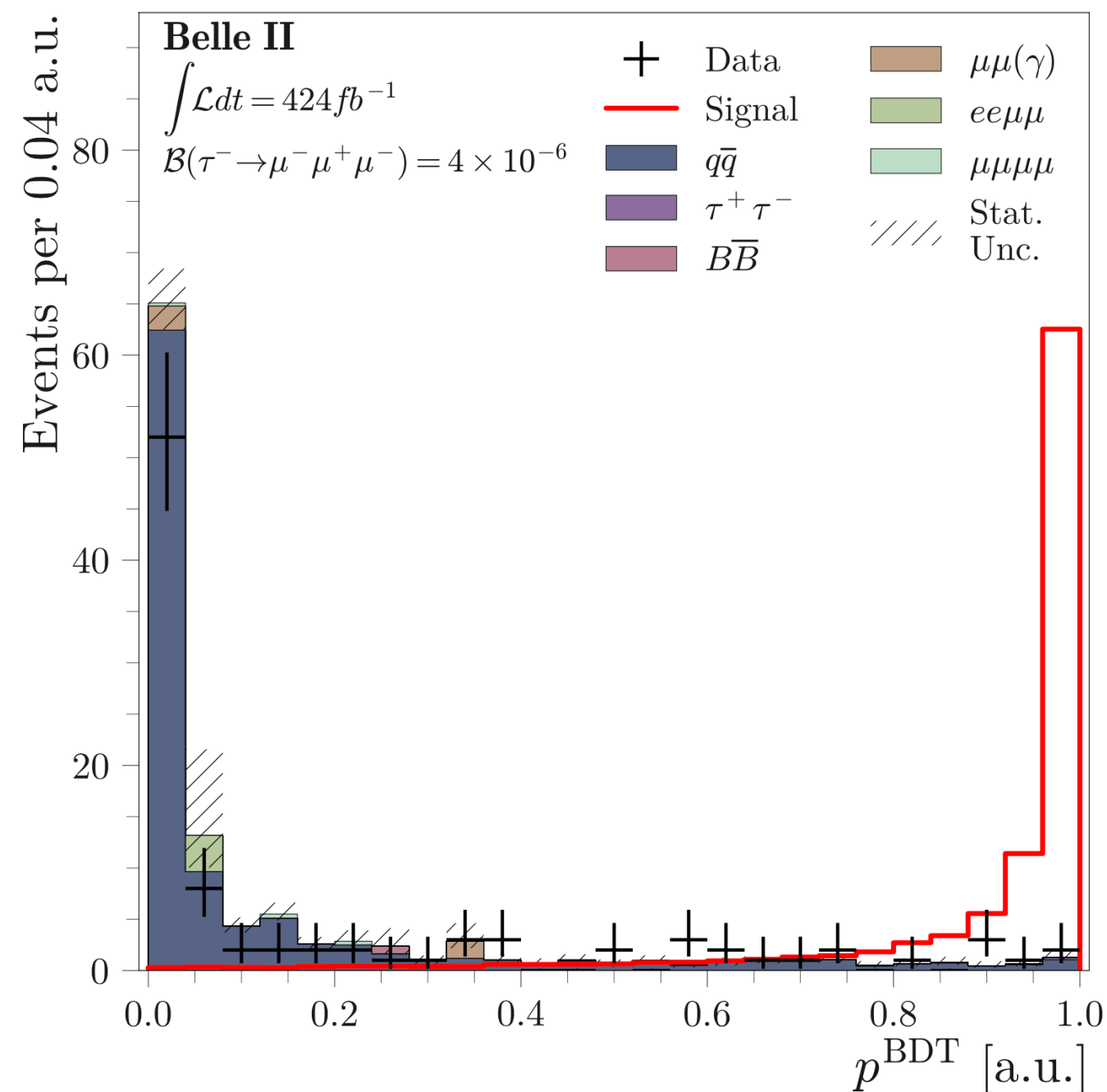
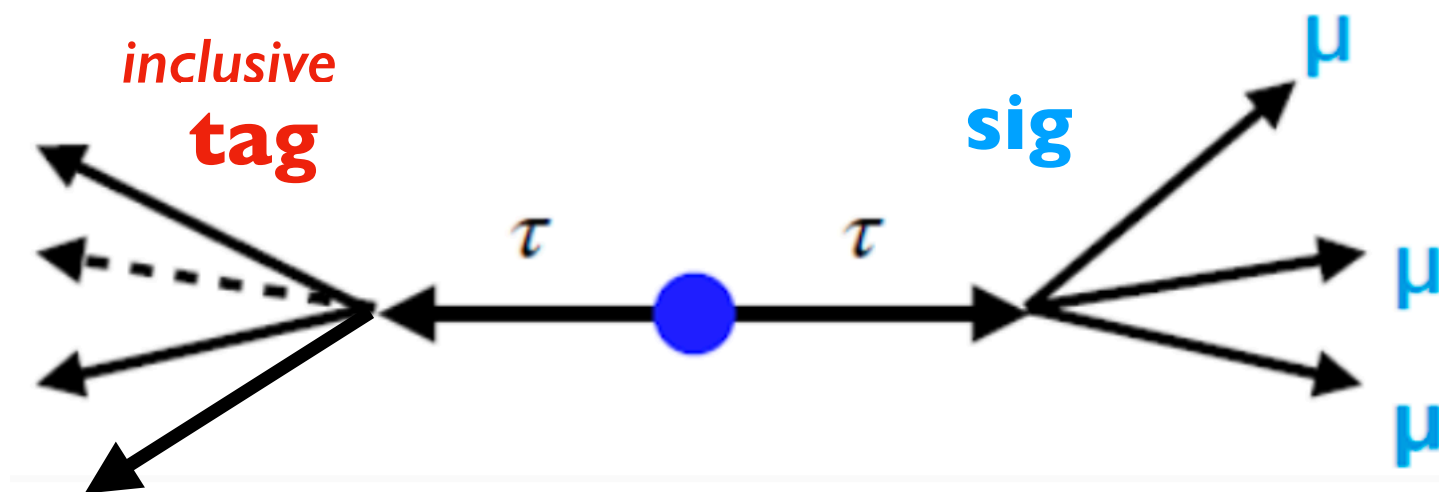
- two hemispheres

- for τ_{sig} and τ_{tag}
- separated by a plane $\perp \hat{\mathbf{n}}_T$ (thrust axis), maximizing T

$$T = \max_{\hat{\mathbf{n}}_T} \left(\frac{\sum_i |\mathbf{p}^*_i \cdot \hat{\mathbf{n}}_T|}{\sum_i |\mathbf{p}^*_i|} \right)$$

- **inclusive tagging**

- allow 3×1 and 3×3 (measure all the neutrals, too)
- signal optimization and background rejection by multi-variate analysis (BDT)



$$\tau^+ \rightarrow \mu^+ \mu^- \mu^+$$

2D analysis for signal extraction

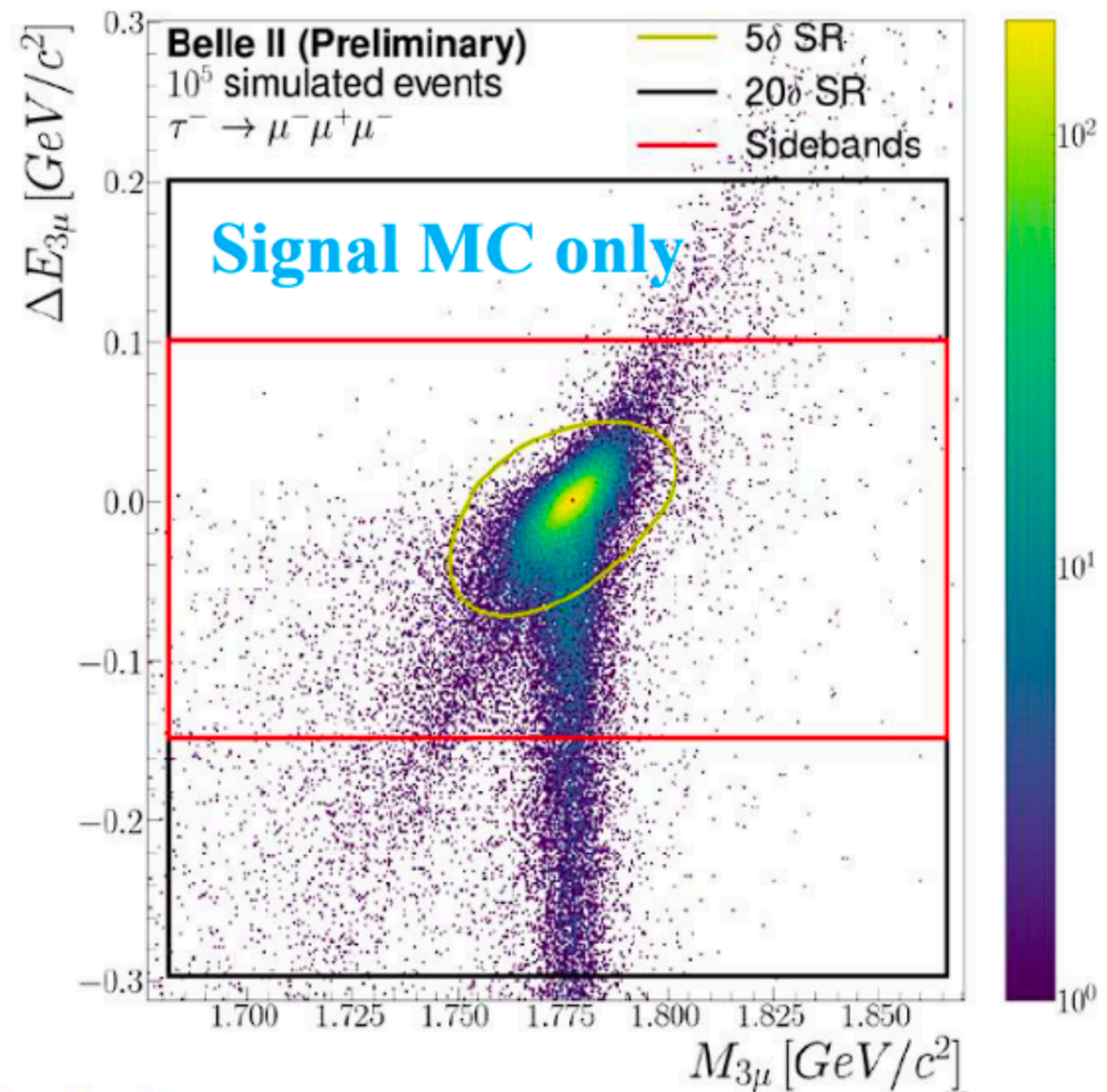
variables

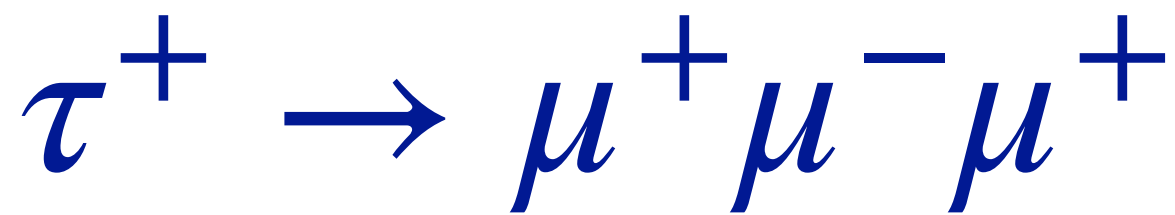
$$M_{3\mu} = \sqrt{E_{3\mu}^2 - P_{3\mu}^2}$$

$$\Delta E_{3\mu} = E_{3\mu}^{CM} - E_{\text{beam}}^{CM}$$

analysis regions

- $\pm 20\sigma$ analysis region
- sideband — for bkgd. estimation
- 5σ signal ellipse (“SR”, blinded)





● check agreement b/w data and MC for the BDT output

- [SB] $2.0^{+0.7}_{-0.5}$ (MC) vs. 3 events (data)

● expected N(background)

- data-driven method using 3 regions

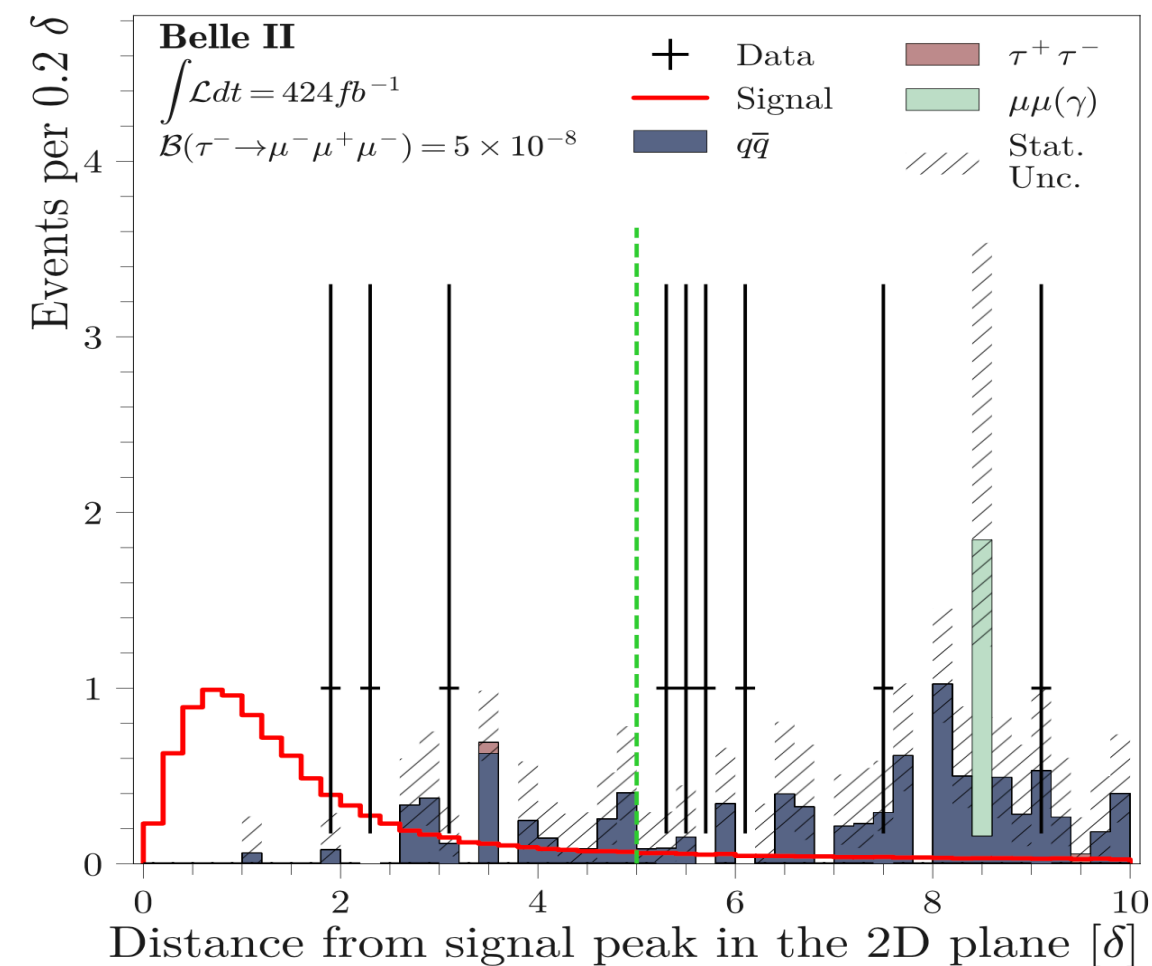
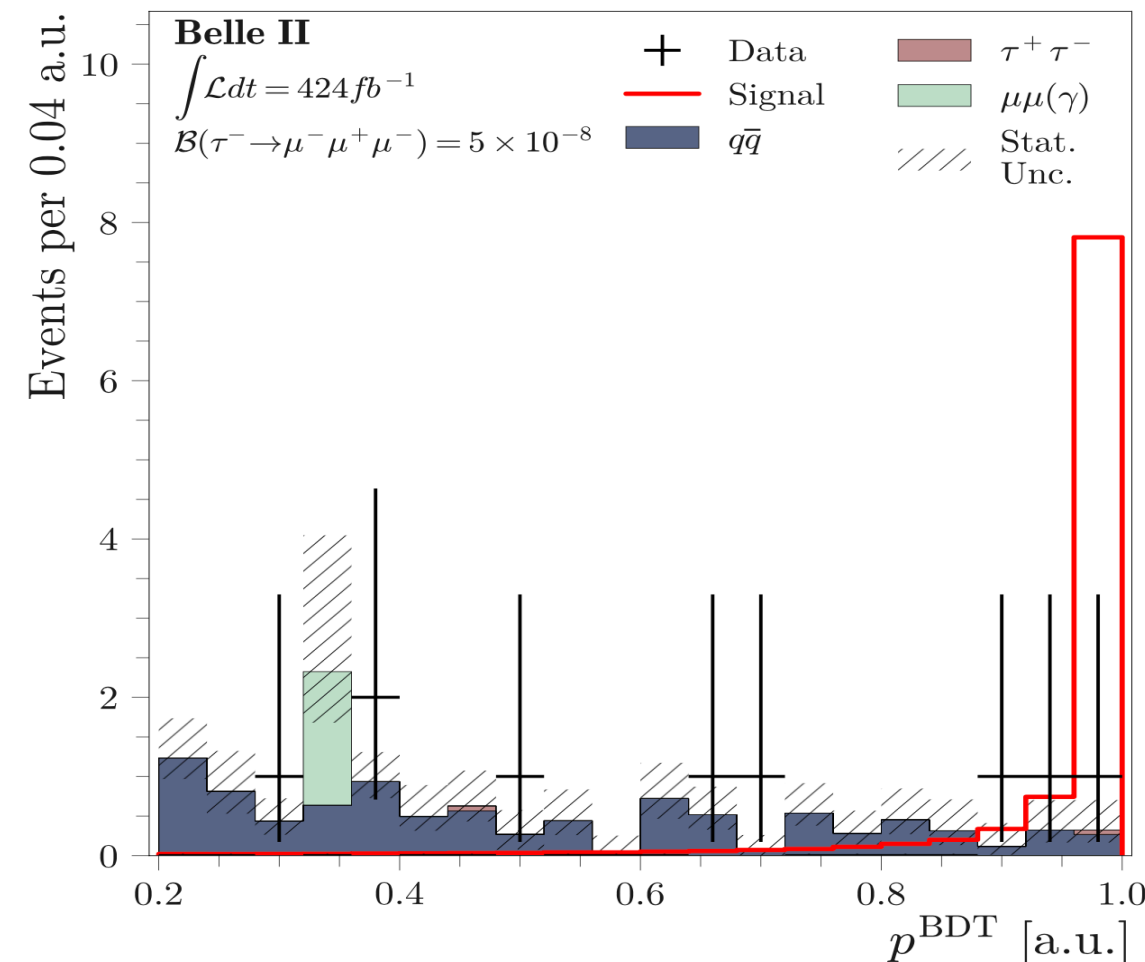
$$N_A = 4, \text{ outside SR with } 0.2 < p^{\text{BDT}} < 0.85$$

$$N_B = 2, \text{ inside SR with } 0.2 < p^{\text{BDT}} < 0.85$$

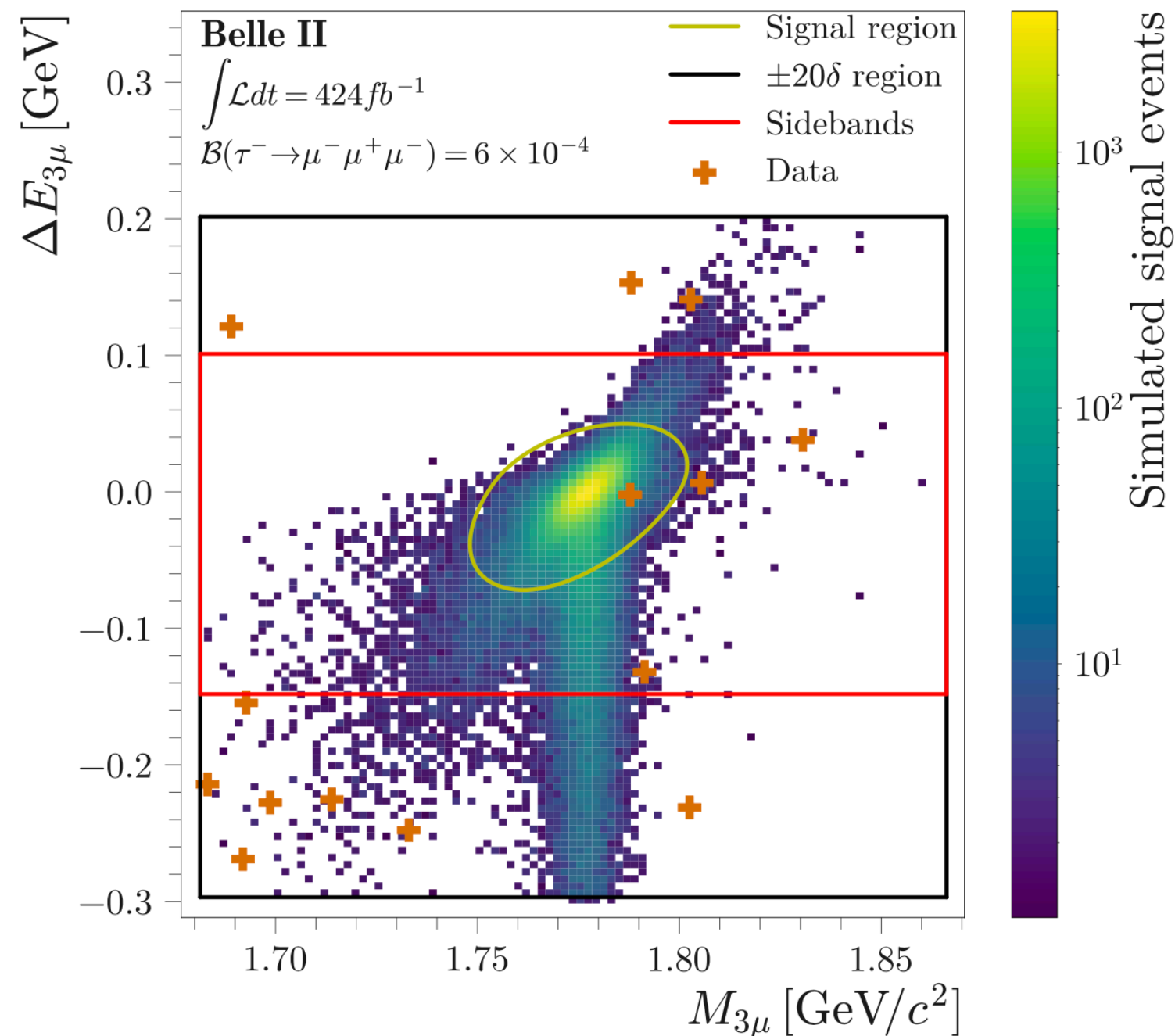
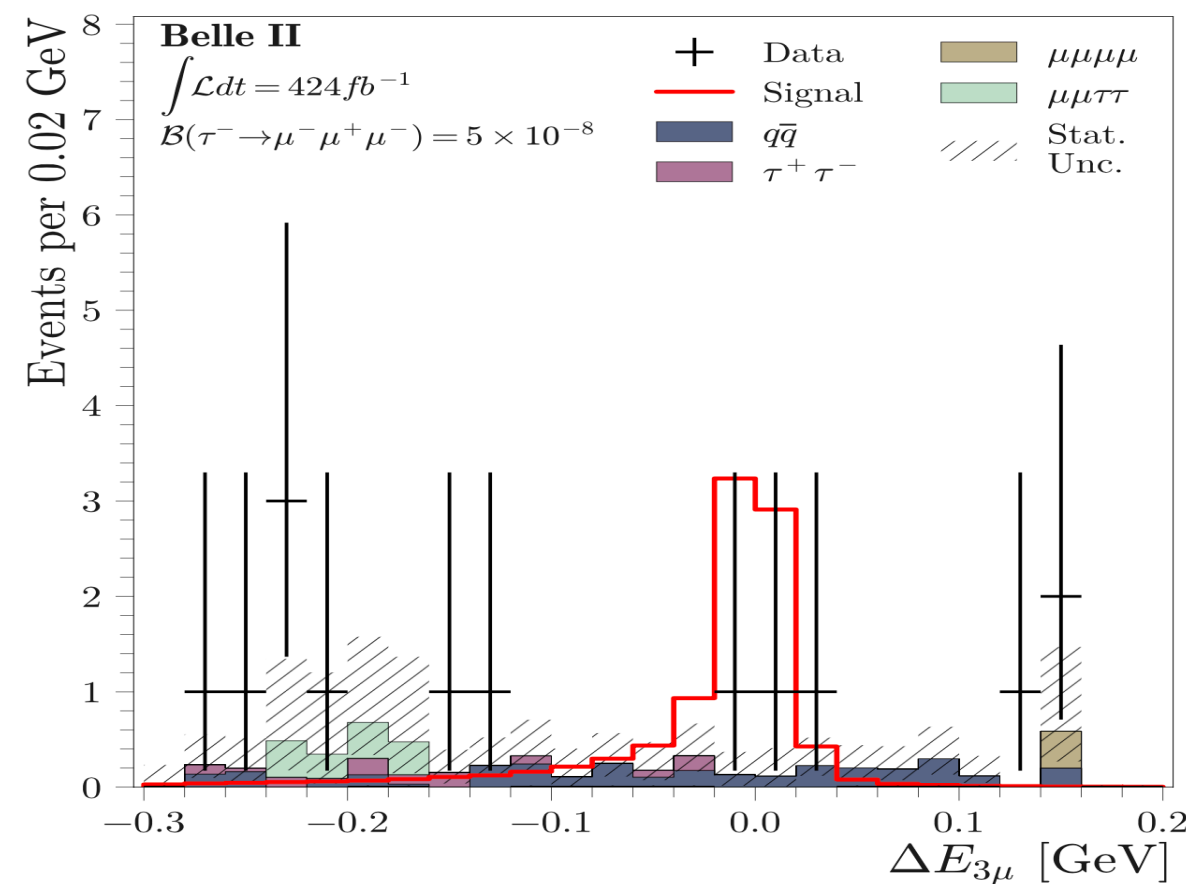
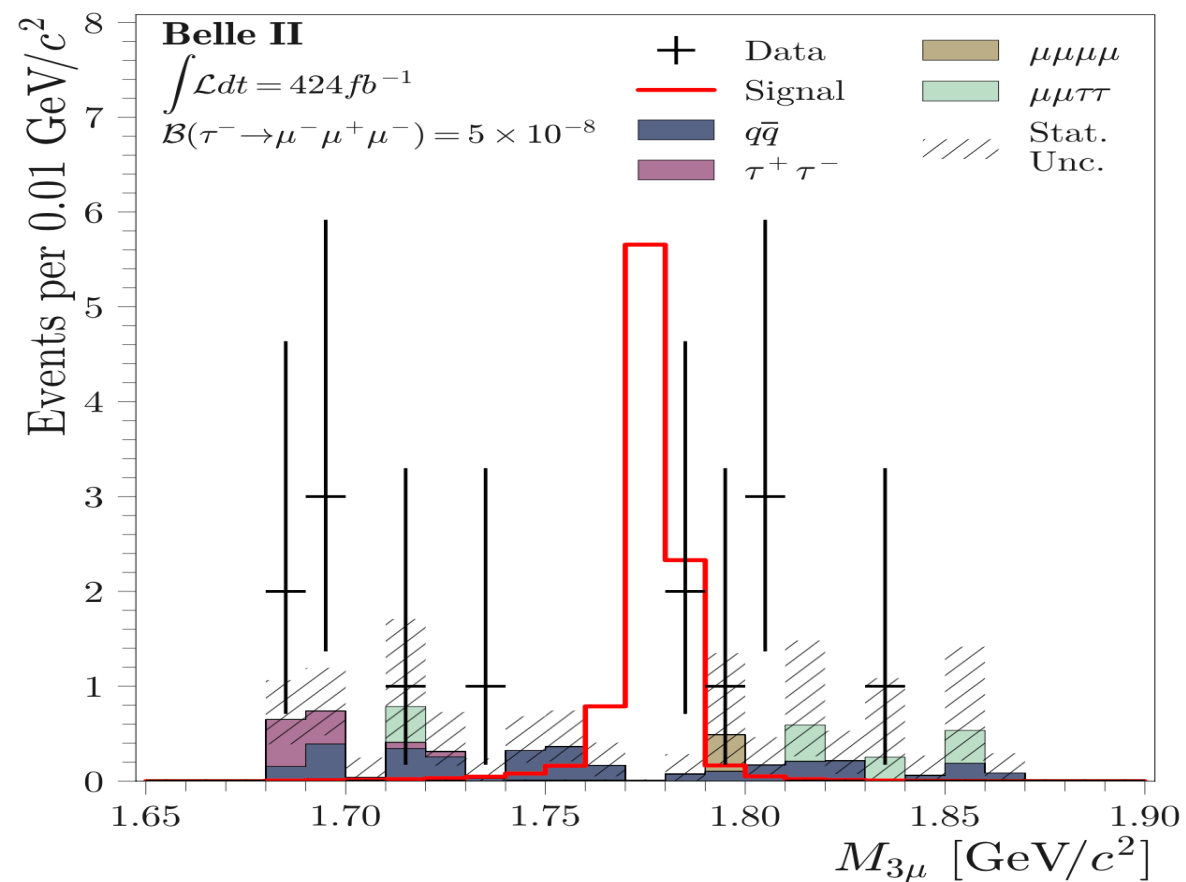
$$N_C = 1, \text{ outside SR with } p^{\text{BDT}} > 0.9$$

$$N_{\text{exp}} = N_C \times \frac{N_B}{N_A}$$

- $N_{\text{exp}} = 0.7^{+0.6}_{-0.5}$ (from pseudoexperiments assuming Poisson dist. for N_A, N_B, N_C)



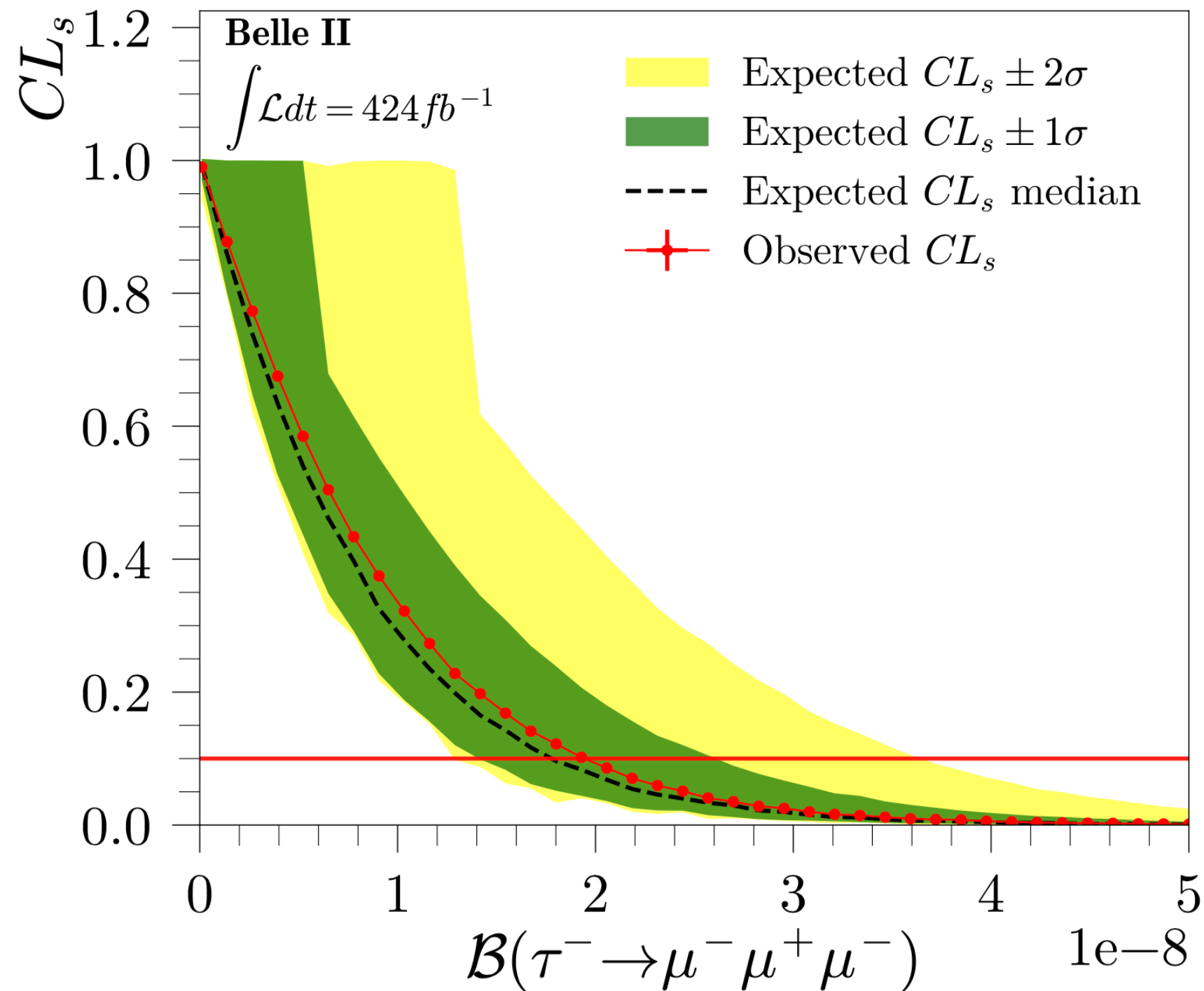
$\tau^+ \rightarrow \mu^+ \mu^- \mu^+$ Result



$$\mathcal{B}(\tau^+ \rightarrow \mu^+ \mu^- \mu^+) = (2.1_{-2.4}^{+5.1} \pm 0.4) \times 10^{-9}$$

- dominant syst. uncertainties
 from **momentum scale** (16%), **signal region** ($+2.9_{-3.9}\%$)

Upper limit of $\mathcal{B}(\tau^+ \rightarrow \mu^+ \mu^- \mu^+)$



- UL estimated with CLs method (*modified frequentist* in RooStat)
 - 5×10^4 pseudo-experiments at 40 uniform points in the BF range $(0-5) \times 10^{-8}$
- **observed (expected) limit:**
 $\mathcal{B} < 1.9 (1.8) \times 10^{-8}$
 - most stringent to date

$$\tau^+ \rightarrow \ell^+ V^0$$

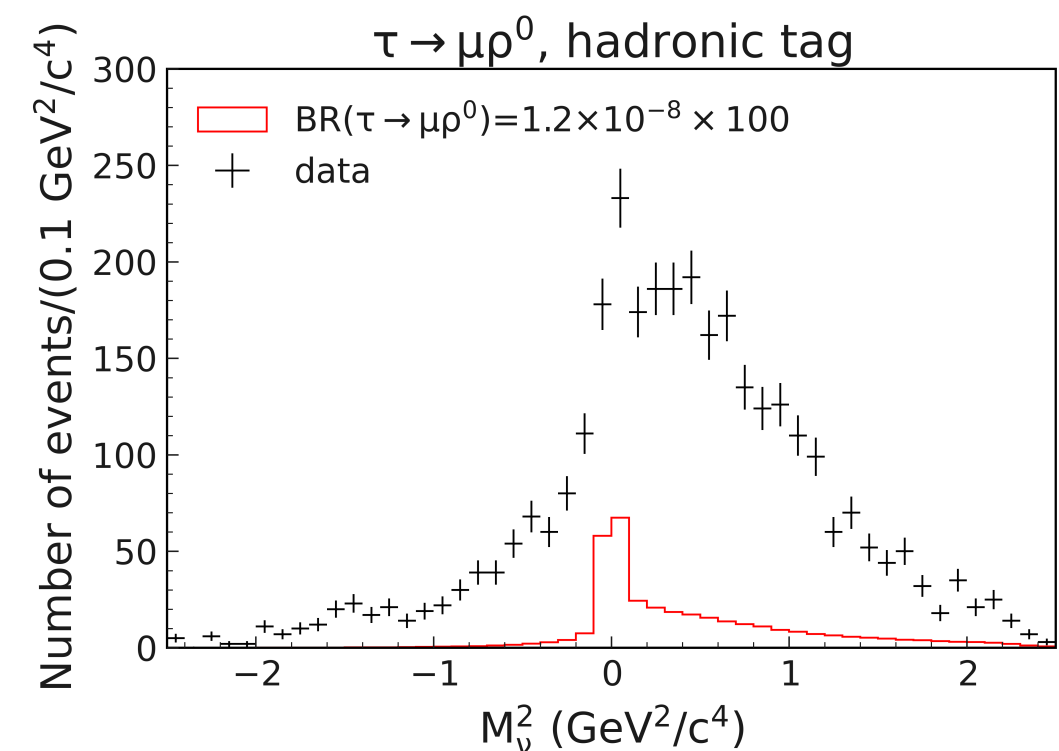
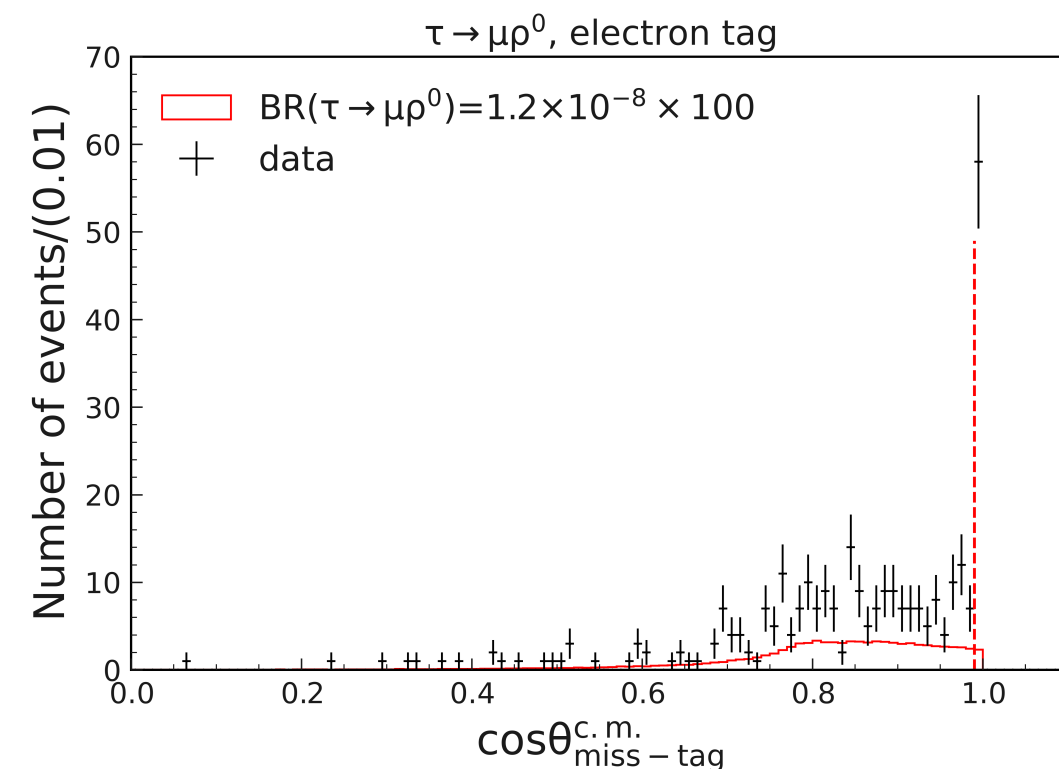
980 fb⁻¹ of Belle data (126 fb⁻¹ more than previous)

Motivation

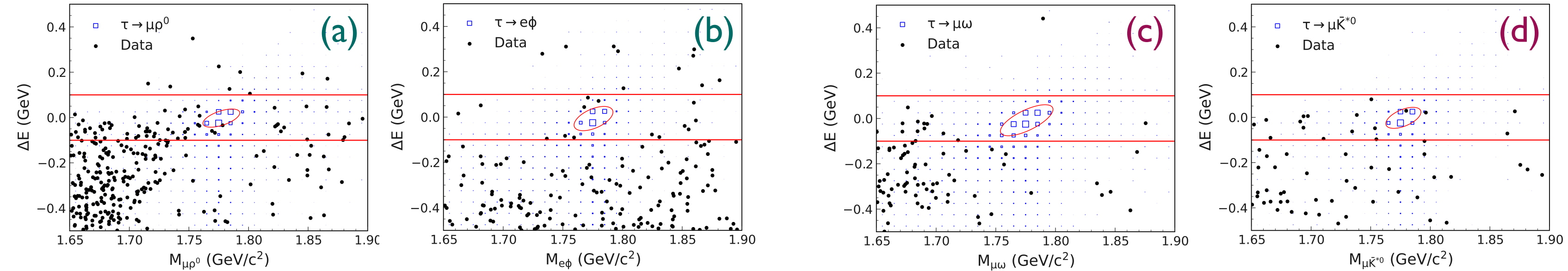
- $\tau^+ \rightarrow \mu^+ \phi$ thought to be a sensitive probe for LQ models
- some models (unparticle, type-III seesaw, littlest Higgs) predict $\mathcal{B} \sim \mathcal{O}(10^{-10} - 10^{-8})$

Analysis feature

- tag side: $\ell^\pm \nu \nu$, $\pi^\pm \nu$, $\pi^\pm \pi^0 \nu$, $\pi^\pm \pi^0 \pi^0 \nu$, $\pi^\pm \pi^\mp \pi^\pm \nu$
- signal side: $\ell = e, \mu$ and $V = \rho^0, \phi, \omega, K^{*0}, \bar{K}^{*0}$
- reject missing particle(s) (any missing particle should be in the tag side)
 - ✓ $\cos \theta_{\text{miss-tag}}^{\text{cm}} > 0$ and additional cuts depending on mode
- BDT to further reduce the remaining bkgd.
 - ✓ $M_{V^0}, M_\nu^2, P_\nu^{\text{c.m.}}, T, P_\ell^{\text{sig}}, E_{\text{tag}}^{\text{hemi}}, \cos \theta_{\text{miss-tag}}^{\text{c.m.}}$
 - ✓ (categorical) τ decay modes, collision energy
 - ✓ (additionally for $\ell^+ \omega$) $p_{\pi^0}^{\text{sig}}, E_\gamma^{\text{low}}$



$$\tau^+ \rightarrow \ell + V^0$$



Mode	ϵ (%)	N_{BG}	σ_{syst} (%)	N_{obs}	$\mathcal{B}_{\text{obs}} (\times 10^{-8})$
(a) $\tau^- \rightarrow \mu^- \rho^0$	7.78	0.95 ± 0.20 (stat.) ± 0.11 (syst.)	4.6	0	< 1.7
$\tau^- \rightarrow e^- \rho^0$	8.49	0.80 ± 0.27 (stat.) ± 0.02 (syst.)	4.4	1	< 2.2
$\tau^- \rightarrow \mu^- \phi$	5.59	0.47 ± 0.15 (stat.) ± 0.05 (syst.)	4.8	0	< 2.3
(b) $\tau^- \rightarrow e^- \phi$	6.45	0.38 ± 0.21 (stat.) ± 0.00 (syst.)	4.5	0	< 2.0
(c) $\tau^- \rightarrow \mu^- \omega$	3.27	0.32 ± 0.23 (stat.) ± 0.03 (syst.)	4.8	0	< 3.9
$\tau^- \rightarrow e^- \omega$	5.41	0.74 ± 0.43 (stat.) ± 0.01 (syst.)	4.5	0	< 2.4
$\tau^- \rightarrow \mu^- K^{*0}$	4.52	0.84 ± 0.25 (stat.) ± 0.03 (syst.)	4.3	0	< 2.9
$\tau^- \rightarrow e^- K^{*0}$	6.94	0.54 ± 0.21 (stat.) ± 0.12 (syst.)	4.1	0	< 1.9
(d) $\tau^- \rightarrow \mu^- \bar{K}^{*0}$	4.58	0.58 ± 0.17 (stat.) ± 0.06 (syst.)	4.3	1	< 4.2
$\tau^- \rightarrow e^- \bar{K}^{*0}$	7.45	0.25 ± 0.11 (stat.) ± 0.01 (syst.)	4.1	0	< 1.7

“ ... the upper limits are improved by 30% on average ... ”

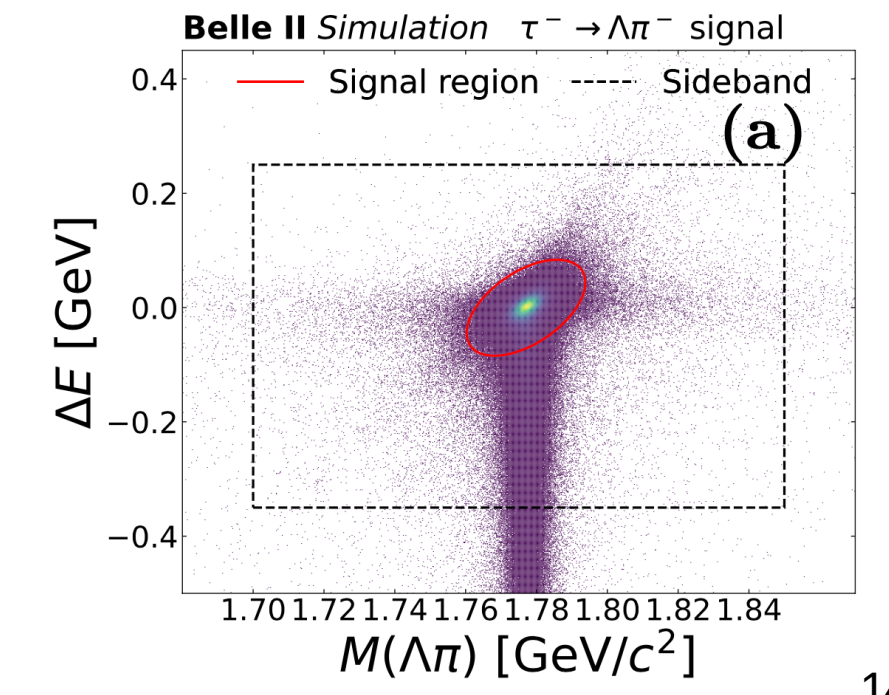
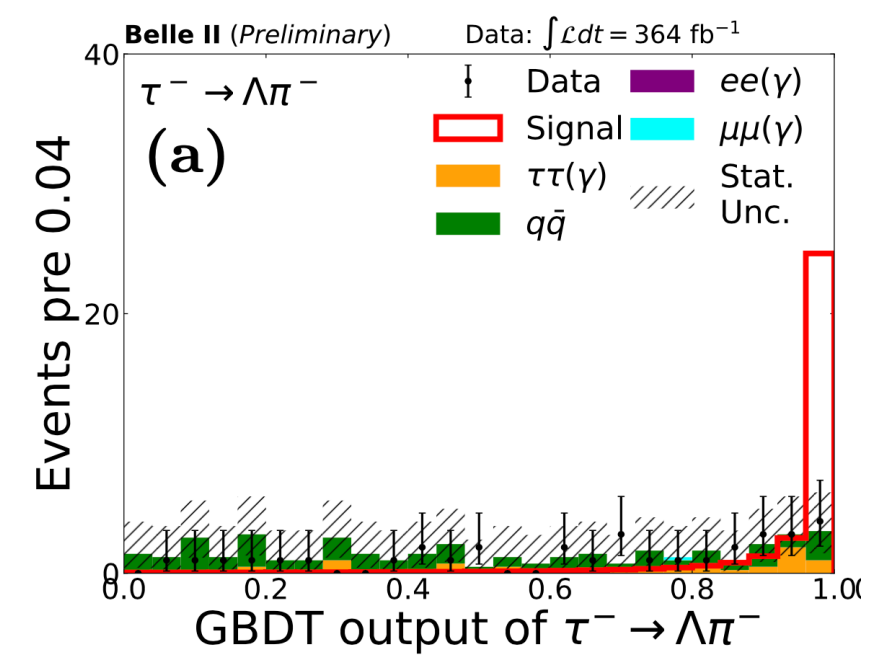
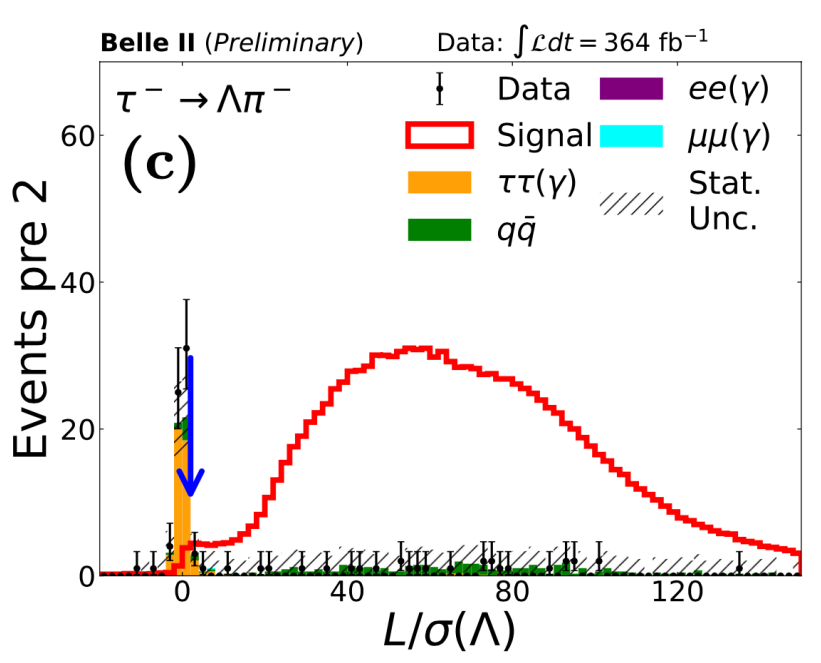
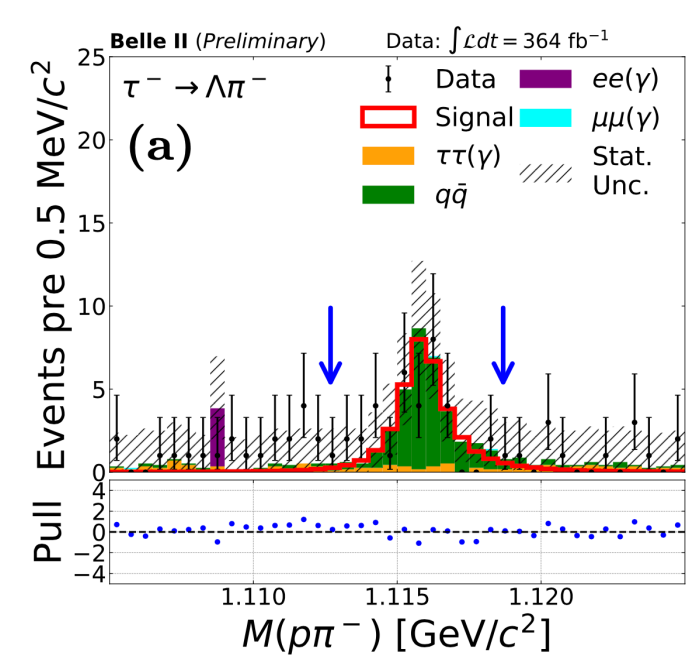
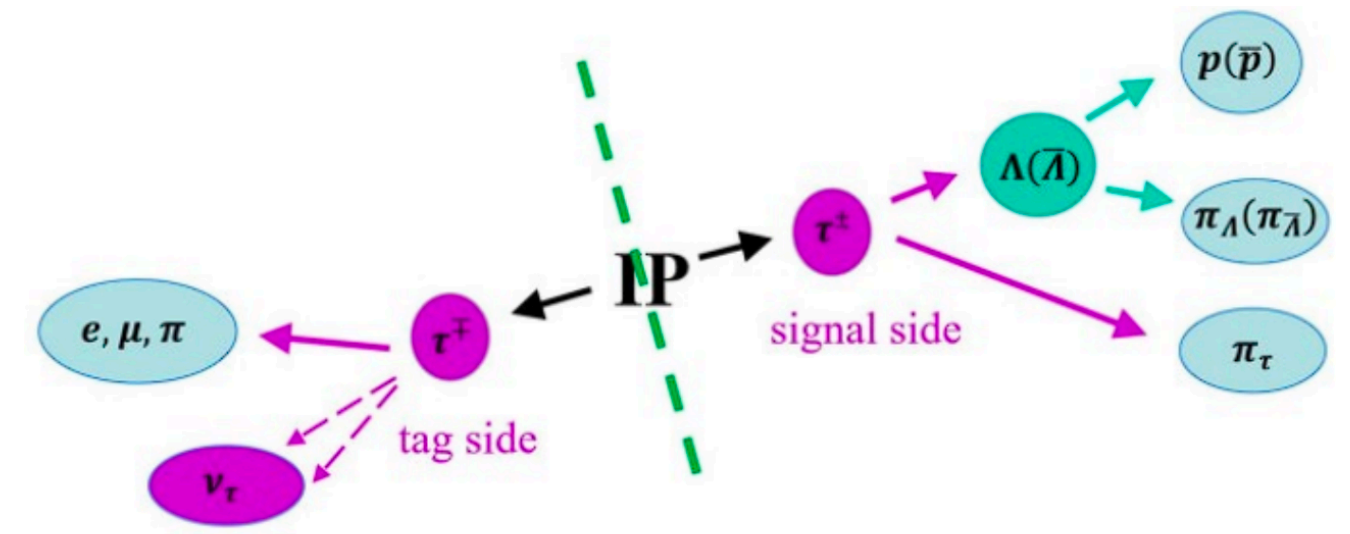
$$\tau^- \rightarrow \Lambda \pi^-, \bar{\Lambda} \pi^-$$

● **baryon-number-violating (BNV)**

- in SM, baryon # (B) and lepton # (L) conservations are *accidental*
- but sphaleron processes could result in BNV & LNV, while preserving $B - L$
- some NP models predict BNV, with $|\Delta(B - L)| = 0, 2$

● **analysis approach**

- use $\Lambda \rightarrow p\pi^-, \bar{\Lambda} \rightarrow \bar{p}\pi^+$
- require 1-prong tag, resulting in 4 charged tracks
- signal selection and optimization by Gradient-BDT
- use sideband in $(M_{\Lambda\pi}, \Delta E)$ for bkgd. assessment



$\tau^- \rightarrow \Lambda\pi^-, \bar{\Lambda}\pi^-$ Result

Signal counting in $(M_{\Lambda\pi}, \Delta E)$

- efficiency: 9.5% (9.9%) for $\tau^- \rightarrow \Lambda\pi^-$ ($\bar{\Lambda}\pi^-$)
- $N_{\text{SB}}^{\text{sim}} = 3.2_{-1.2}^{+1.7}$ ($5.5_{-1.6}^{+2.1}$) for $\tau^- \rightarrow \Lambda\pi^-$ ($\bar{\Lambda}\pi^-$)
- 7 (6) events in the SB for $\tau^- \rightarrow \Lambda\pi^-$ ($\bar{\Lambda}\pi^-$), resulting in $N_{\text{exp}} = 1.0_{-1.1}^{+1.3}$ (0.5 ± 0.6) for background
- zero event observed in each mode

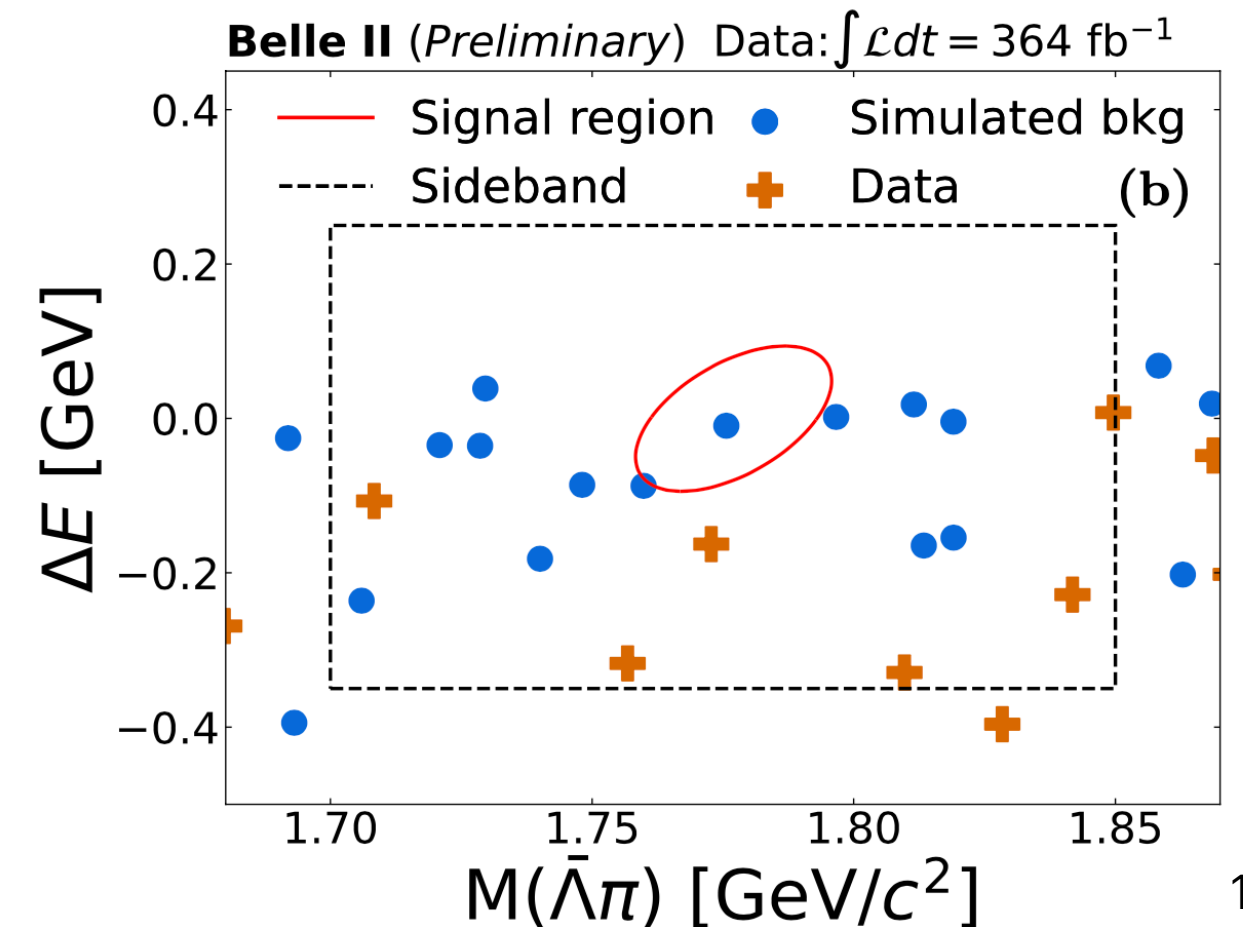
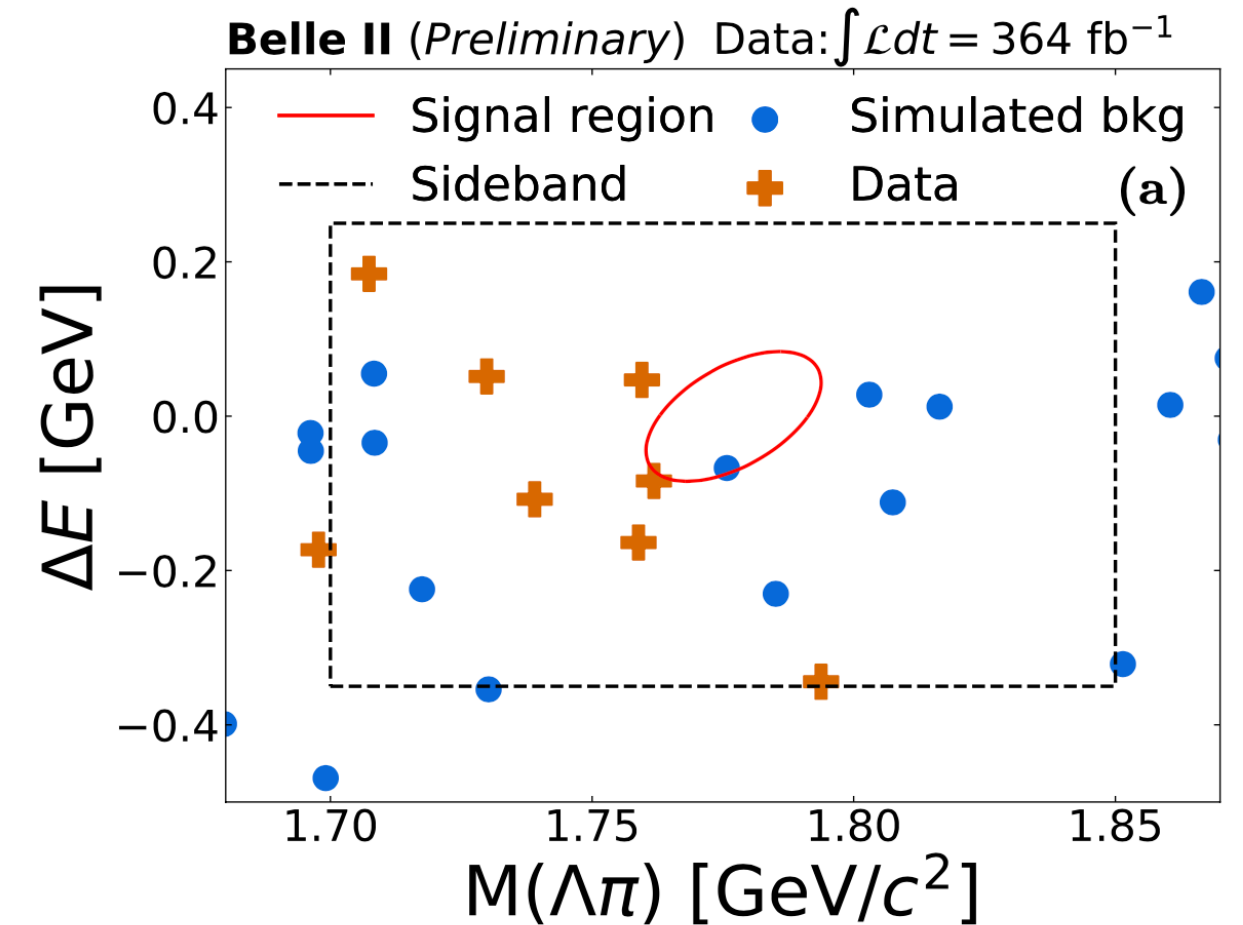
branching fractions

- dominant systematic source: hadron ID ($\sim 2.2\%$)

$$\mathcal{B}(\tau^- \rightarrow \Lambda\pi^-) = (-2.5_{-3.7}^{+4.1+1.9}) \times 10^{-8} < 4.7 \times 10^{-8}$$

$$\mathcal{B}(\tau^- \rightarrow \bar{\Lambda}\pi^-) = (-1.2 \pm 2.8_{-0.5}^{+0.9}) \times 10^{-8} < 4.3 \times 10^{-8}$$

- world's most stringent BF upper limits



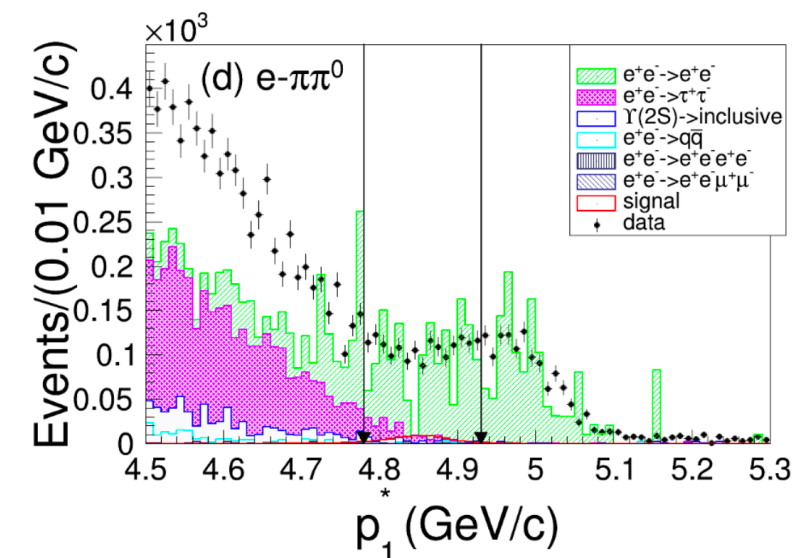
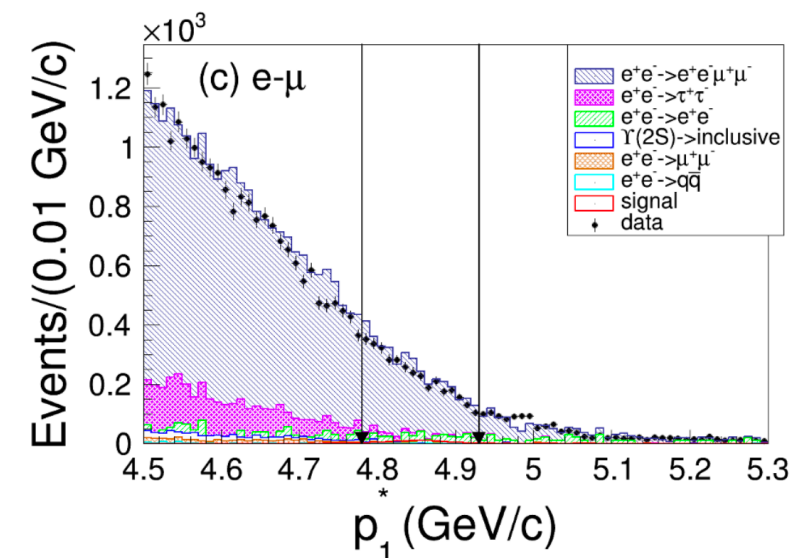
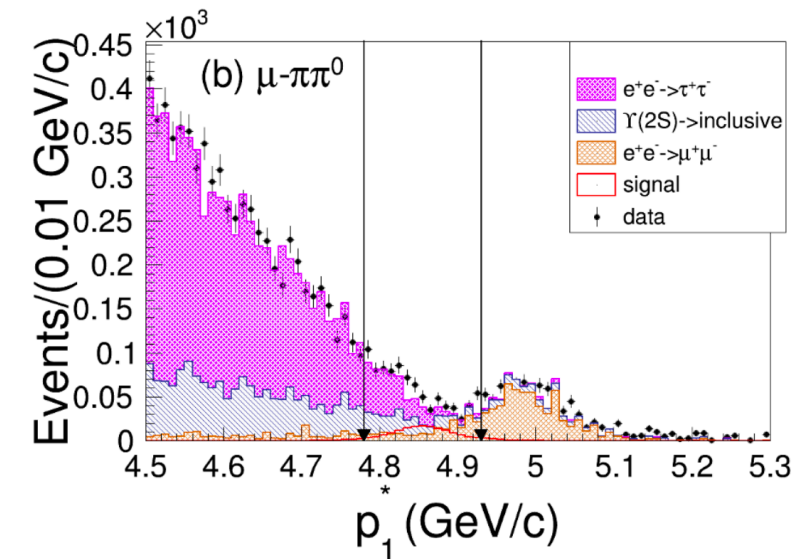
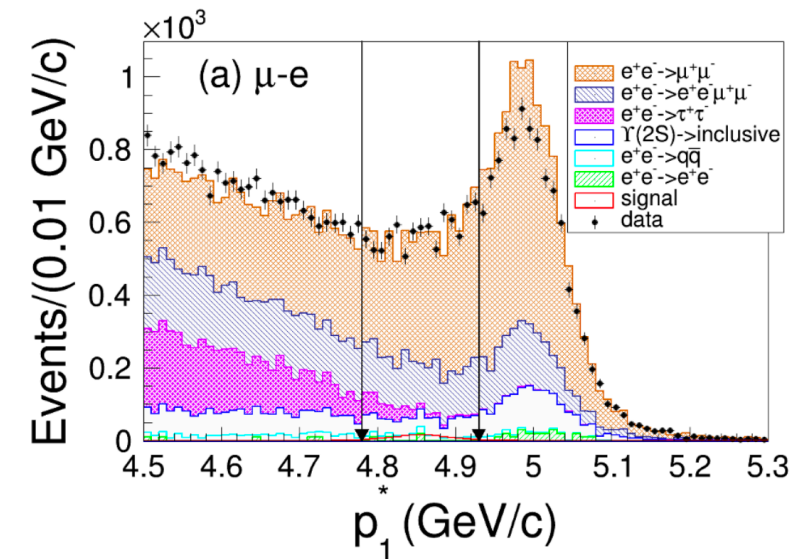
$$\Upsilon(2S) \rightarrow \ell^{\pm} \tau^{\mp}$$

Motivations

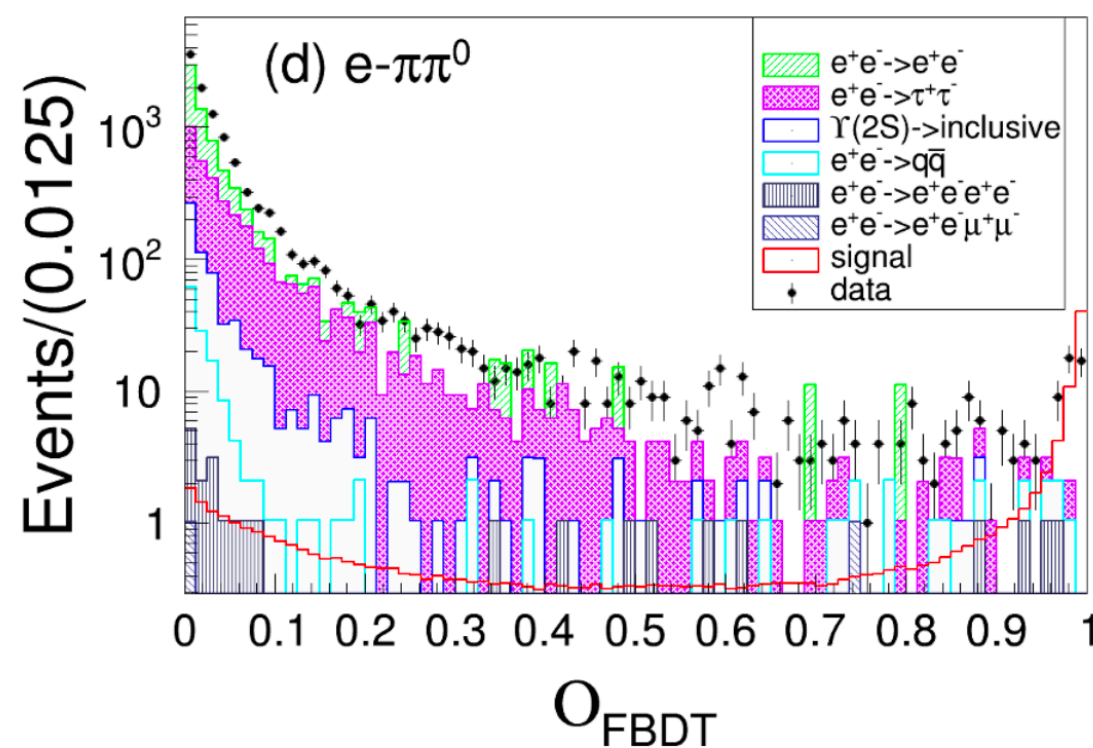
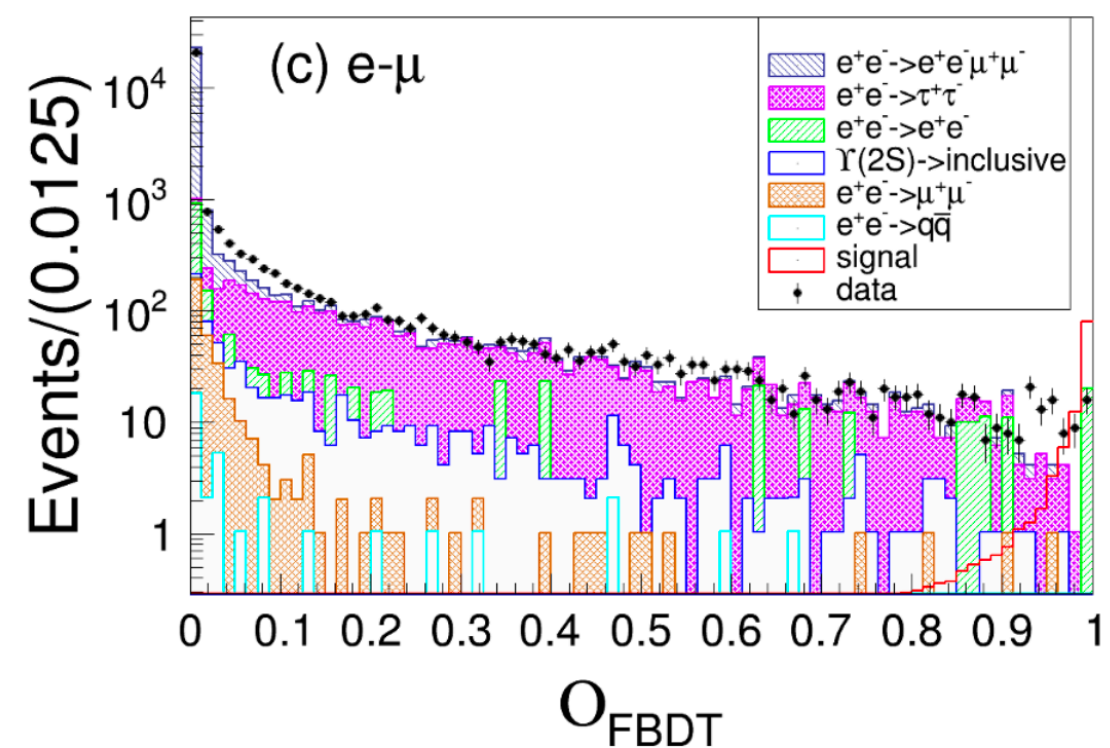
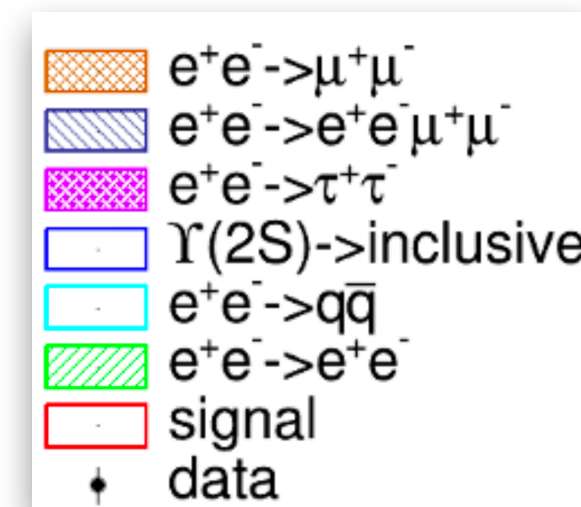
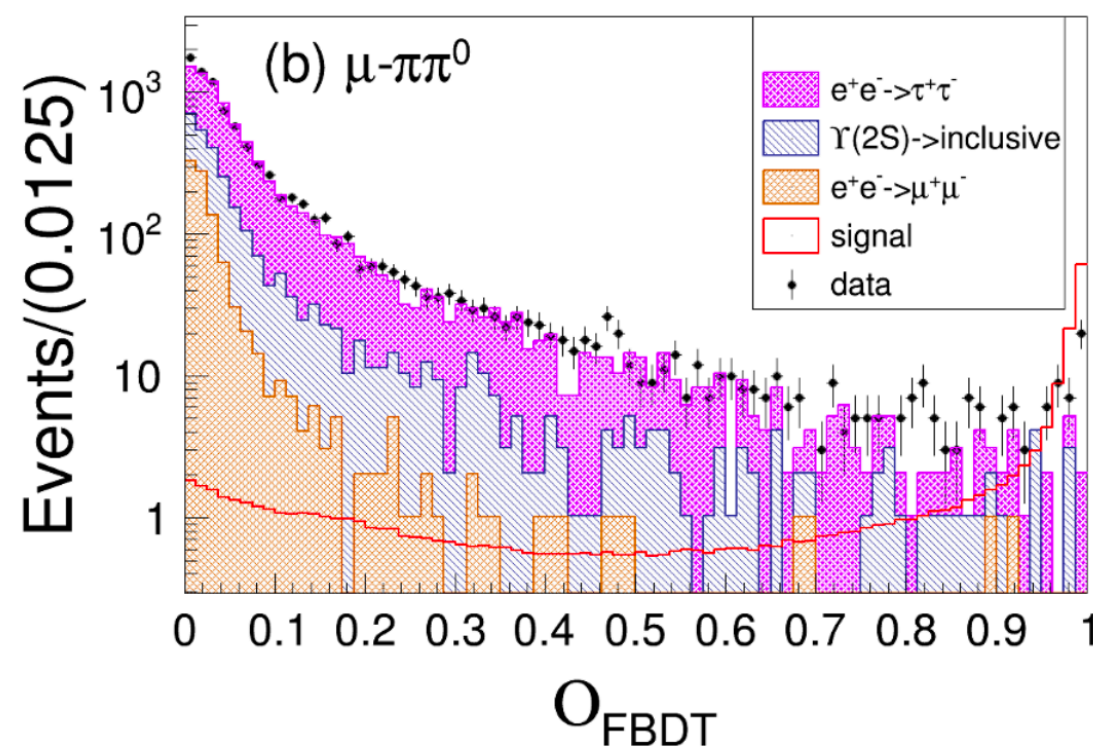
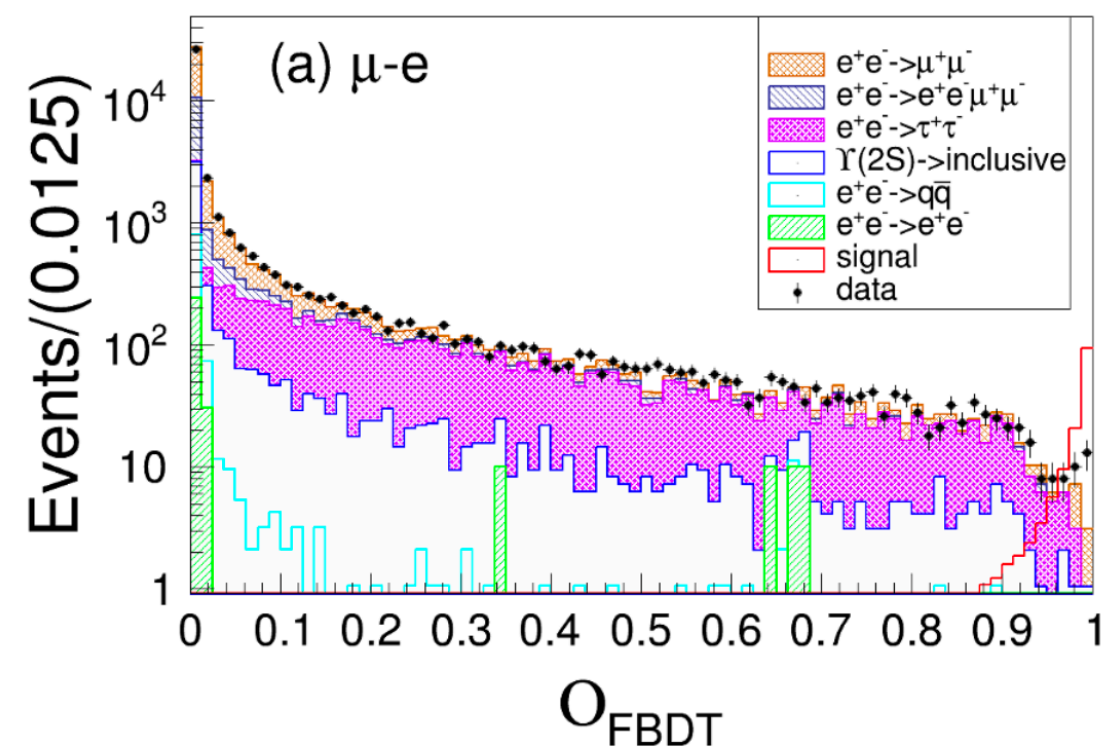
- 2-body CLFV decay of a quarkonium
- can provide complementary constraints on the Wilson coefficients of the \mathcal{L}_{eff} of new physics models (D.E. Hazard and A.A. Petrov, PRD 94 (2016) 074023)

Analysis features

- use Belle data with 25 fb^{-1} @ $\Upsilon(2S)$ in Belle II analysis framework (B2BII)
- high-momentum primary lepton (ℓ_1) from $\Upsilon(2S) \rightarrow \ell_1^{\pm} \tau^{\mp}$
- use τ^+ decays to $\ell_2^+ \nu \bar{\nu}$ or $\pi^+ \bar{\nu}$
- ℓ_2 to have different flavor w.r.t. ℓ_1 , to suppress copious bkgd. from Bhabha processes
- FastBDT for further background suppression



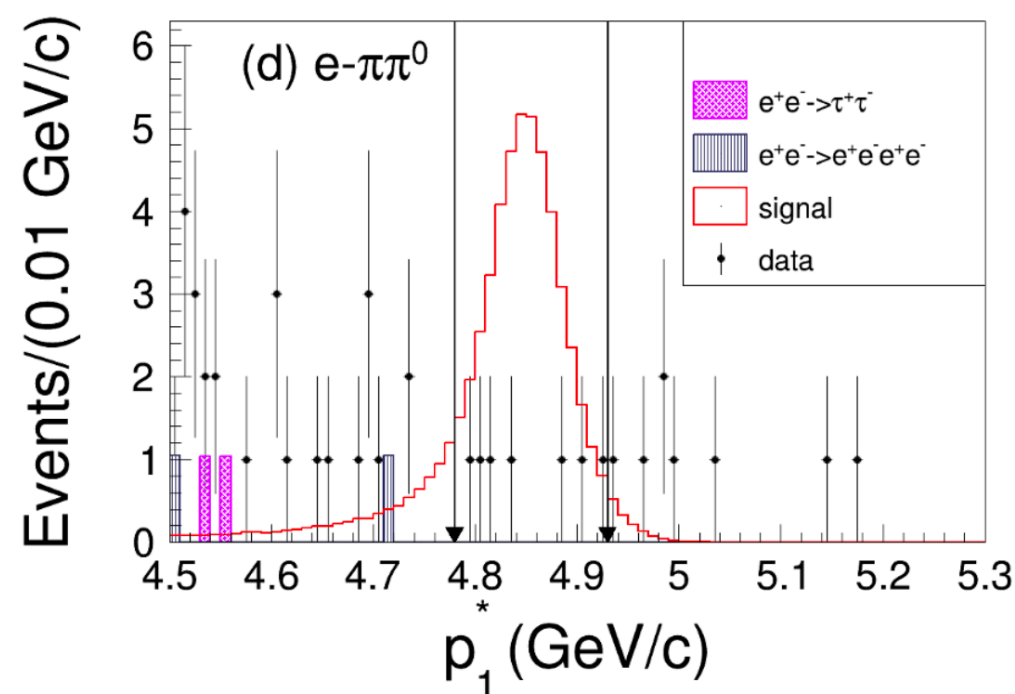
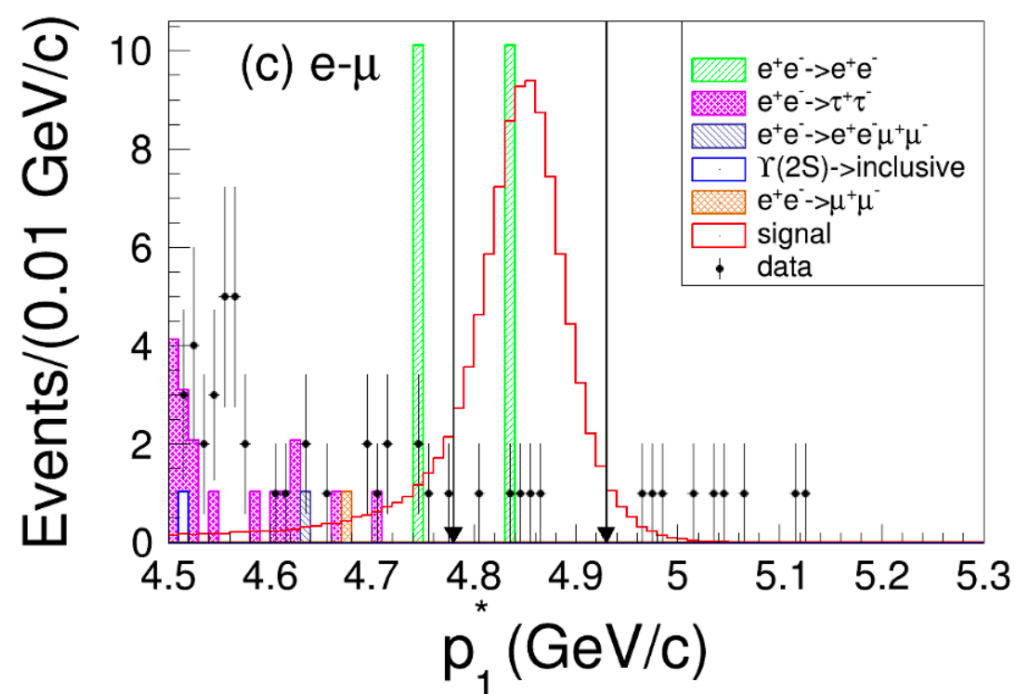
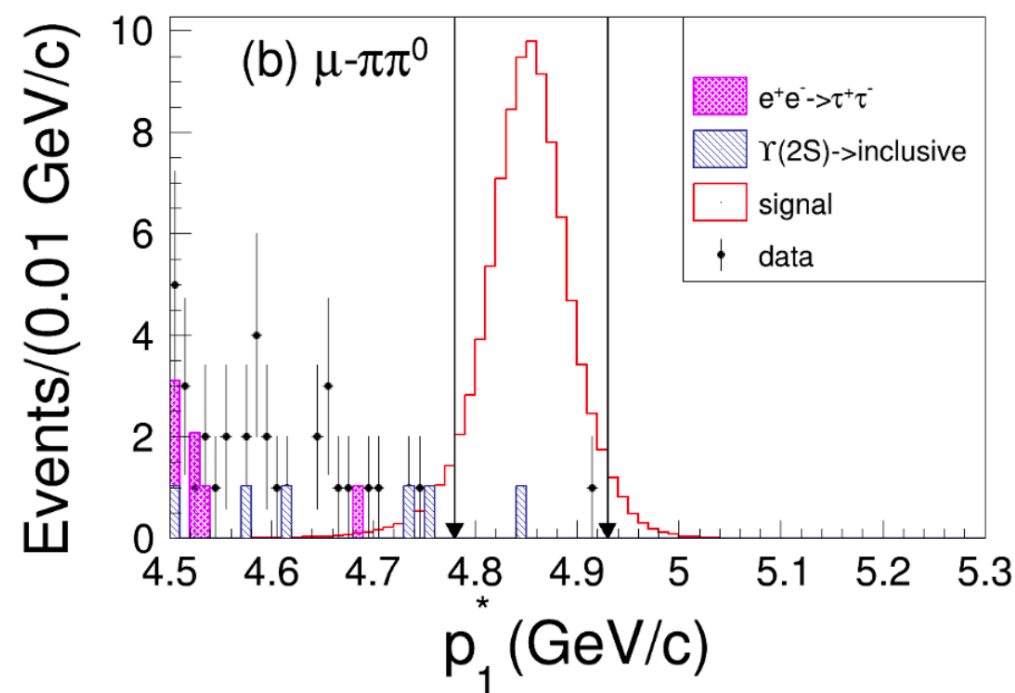
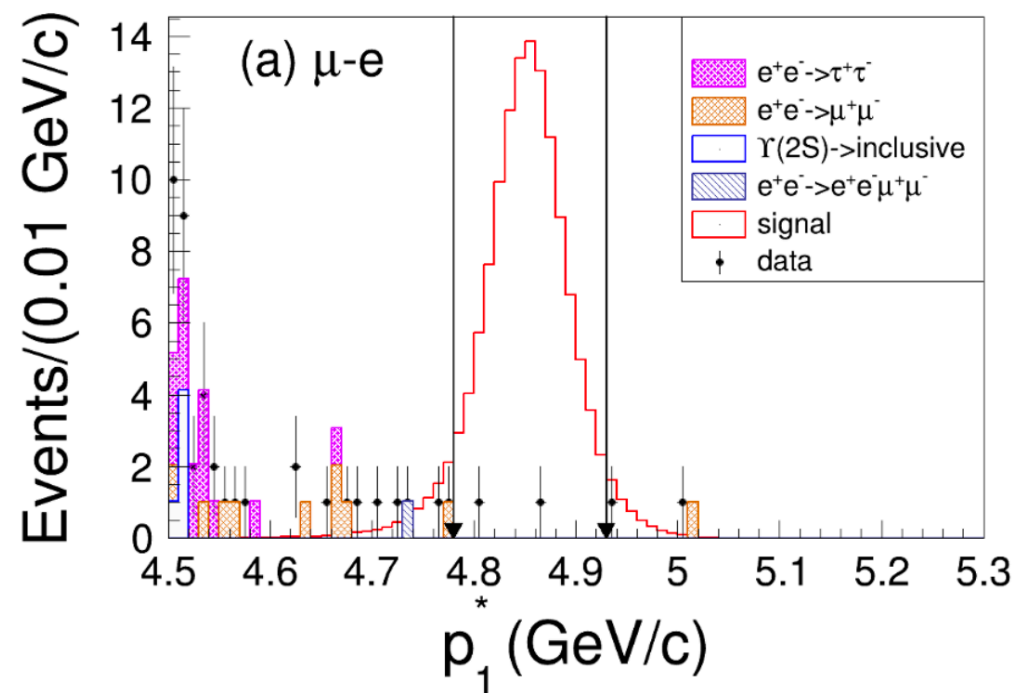
$$\Upsilon(2S) \rightarrow \ell^\pm \tau^\mp$$



O_{FBDT} distributions for the four channels; signal component assumes $\mathcal{B} = 1 \times 10^{-5}$

$\Upsilon(2S) \rightarrow \ell^\pm \tau^\mp$ Results

p_1^* distributions after $O_{\text{FBDT}} > 0.94$



Modes	ϵ_{sig} (%)	$N_{\text{exp}}^{\text{bkg}}$	N_{obs}
$\Upsilon(2S) \rightarrow \mu^\mp \tau^\pm$	12.3 ± 0.8	3.9 ± 1.8	3
$\Upsilon(2S) \rightarrow e^\mp \tau^\pm$	8.1 ± 1.1	5.9 ± 2.6	12

$$\mathcal{B}(\Upsilon(2S) \rightarrow \mu\tau) < 0.23 \times 10^{-6}$$

$$\mathcal{B}(\Upsilon(2S) \rightarrow e\tau) < 1.12 \times 10^{-6}$$

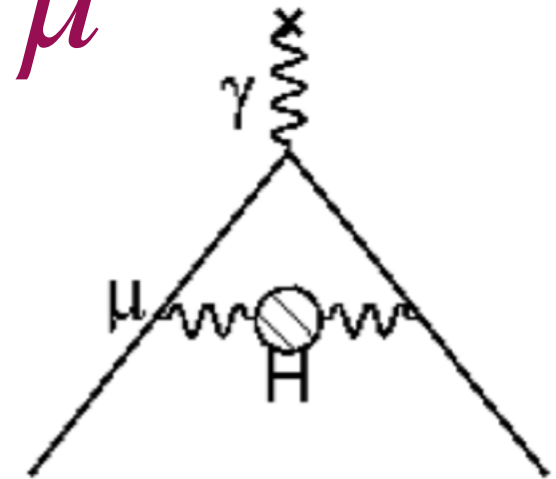
@ 90% CL

Belle (this) results are 14 (3) times more stringent than BaBar (PRL, 2010)

For 'light new physics'



$\sigma(e^+e^- \rightarrow \pi^+\pi^-\pi^0)$ for a_μ^{HVP}



connections to muon (g-2)

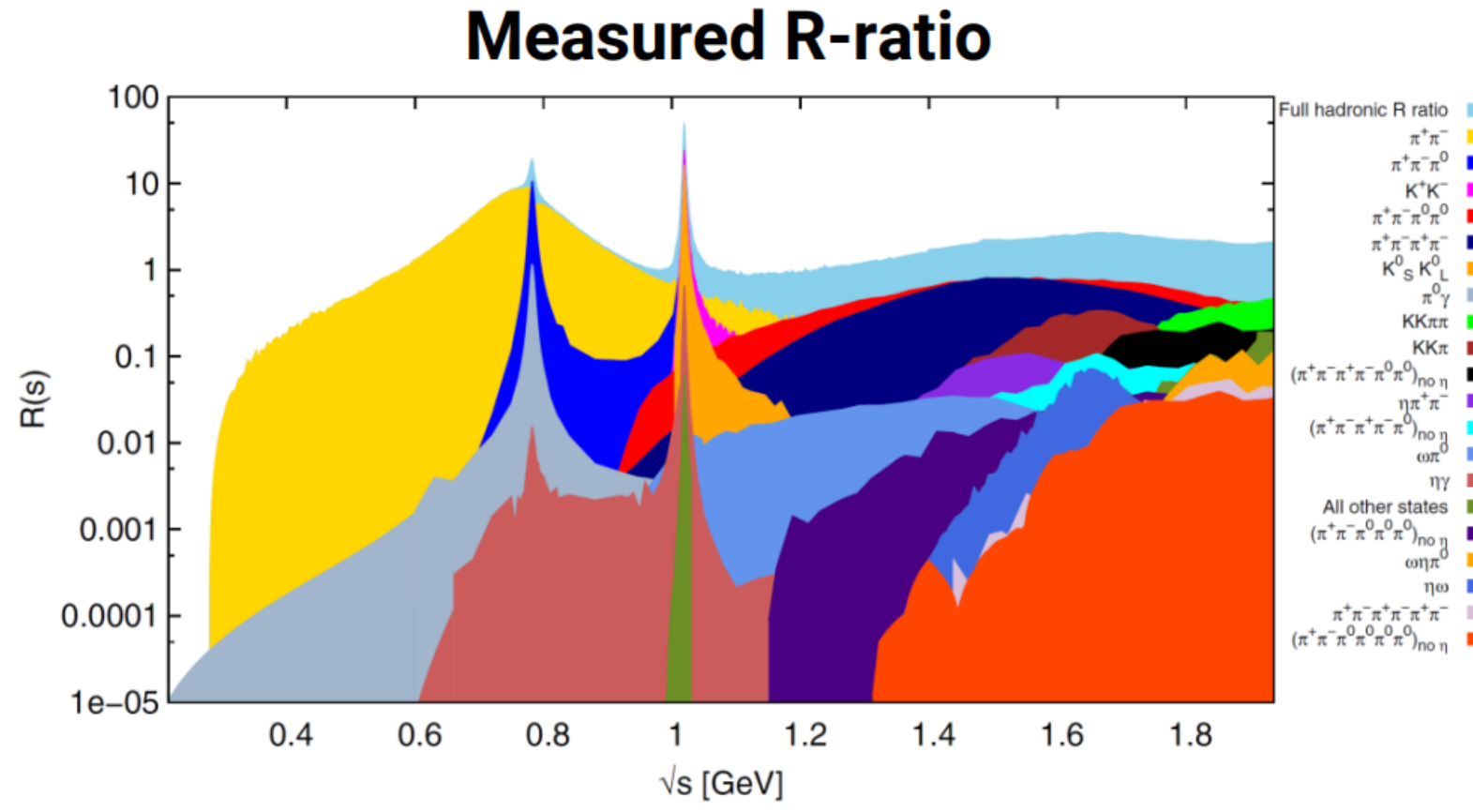
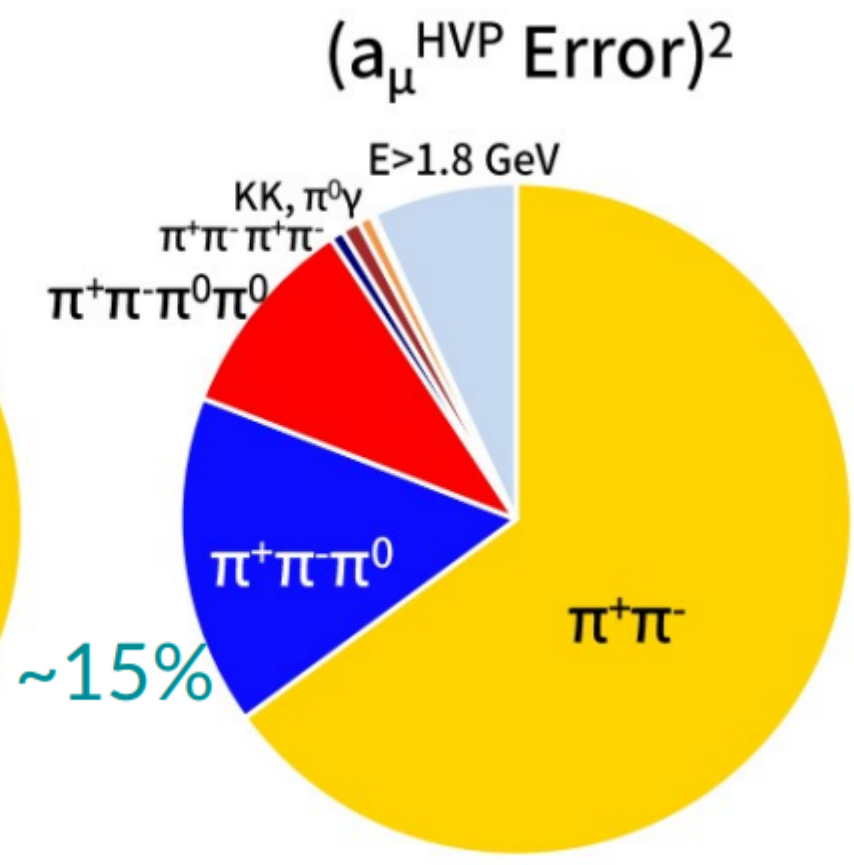
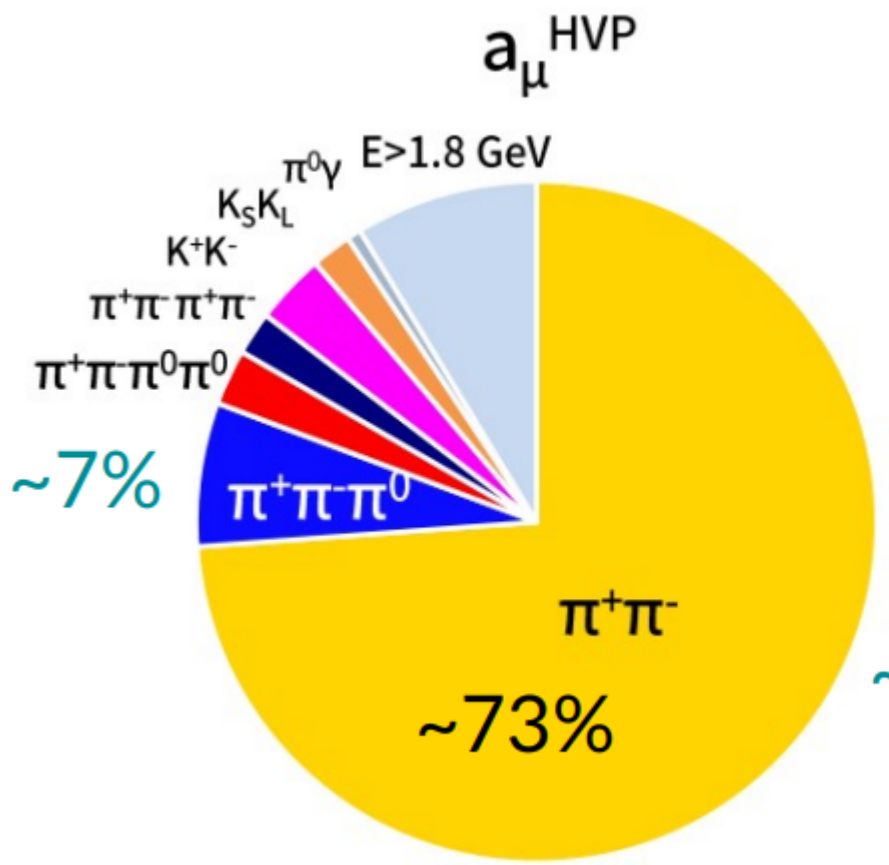
$$a_\mu = \frac{(g-2)_\mu}{2} = a_\mu^{\text{EW}} + a_\mu^{\text{QED}} + a_\mu^{\text{QCD}}$$

$$a_\mu^{\text{QCD}} = a_\mu^{\text{HVP}} + a_\mu^{\text{H,LBL}}$$

(82%) (18%)

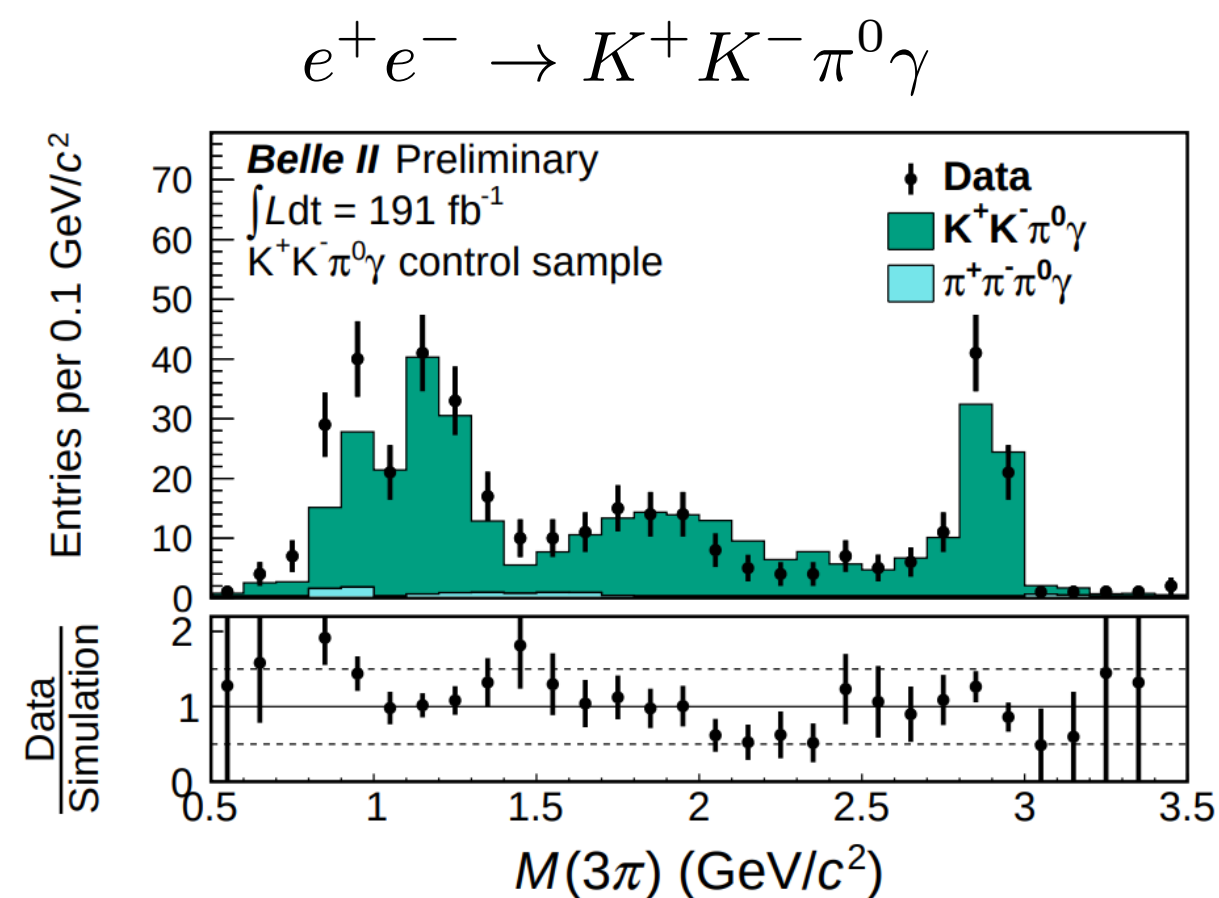
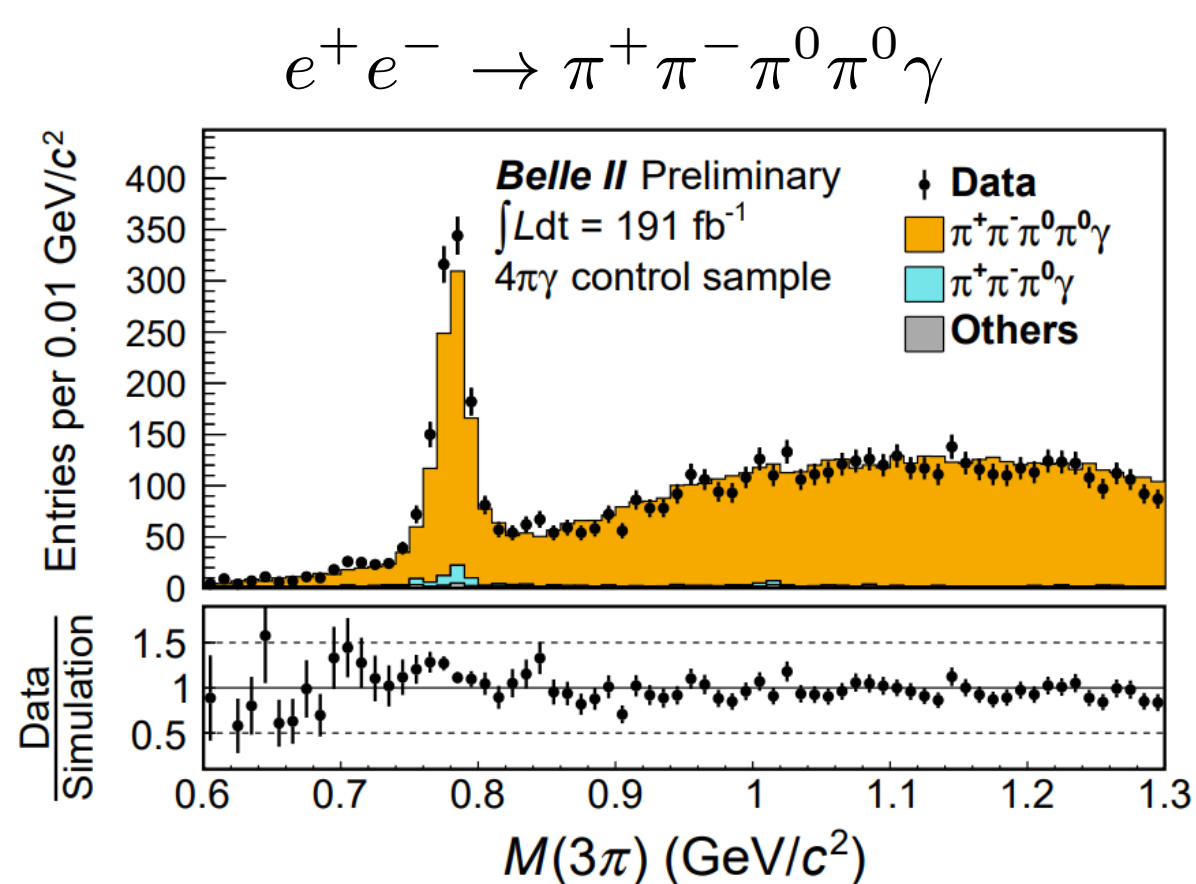
$$a_\mu^{\text{HVP,LO}} = \frac{\alpha}{3\pi^2} \int_{m_\pi^2}^{\infty} \frac{K(s)}{s} R_{\text{had}}(s) ds,$$

$$R_{\text{had}}(s) = \frac{\sigma_0(e^+e^- \rightarrow \text{hadrons})}{\sigma_{\text{pt}}(e^+e^- \rightarrow \mu^+\mu^-)};$$



$$\sigma(e^+e^- \rightarrow \pi^+\pi^-\pi^0)$$

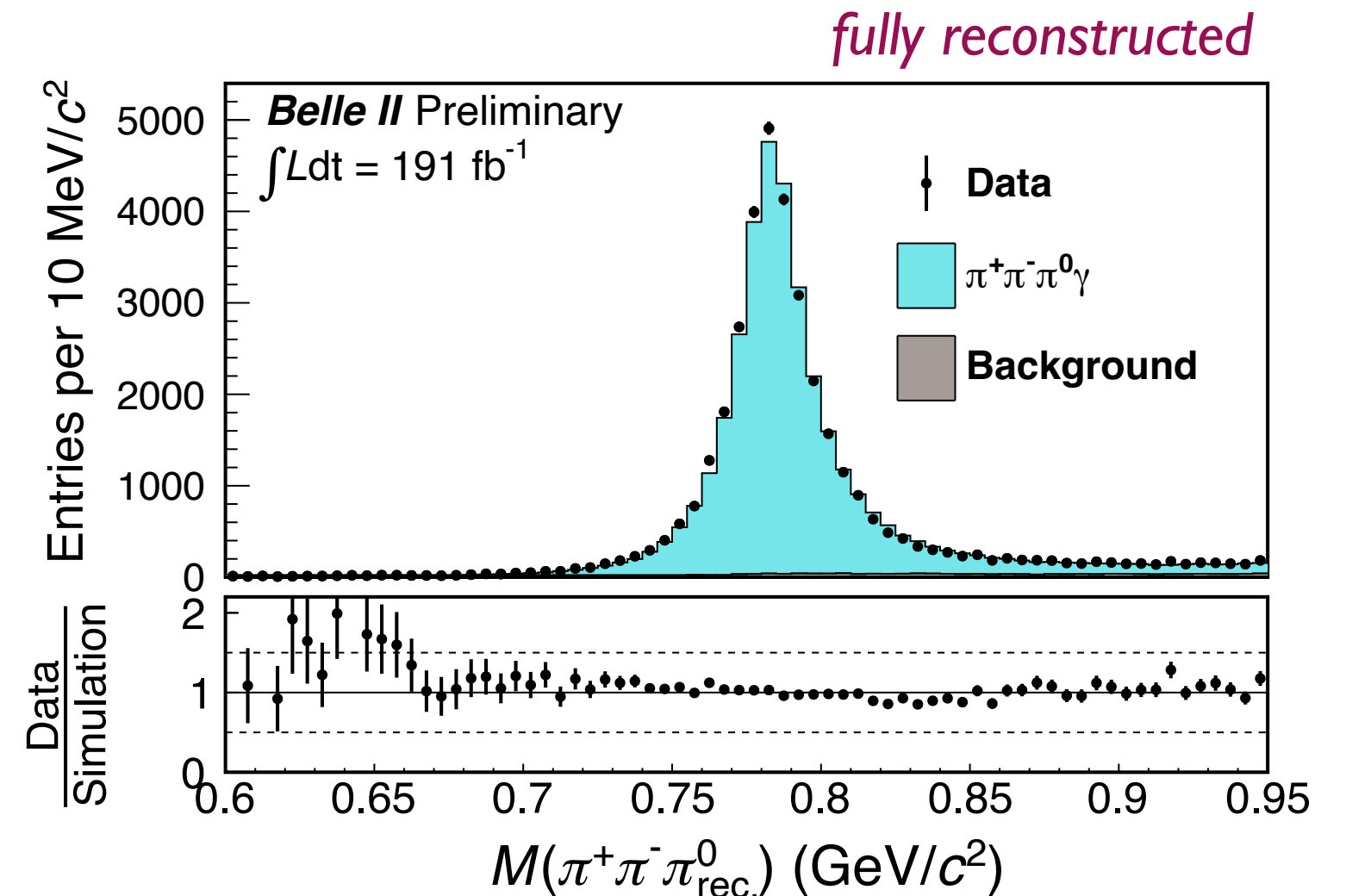
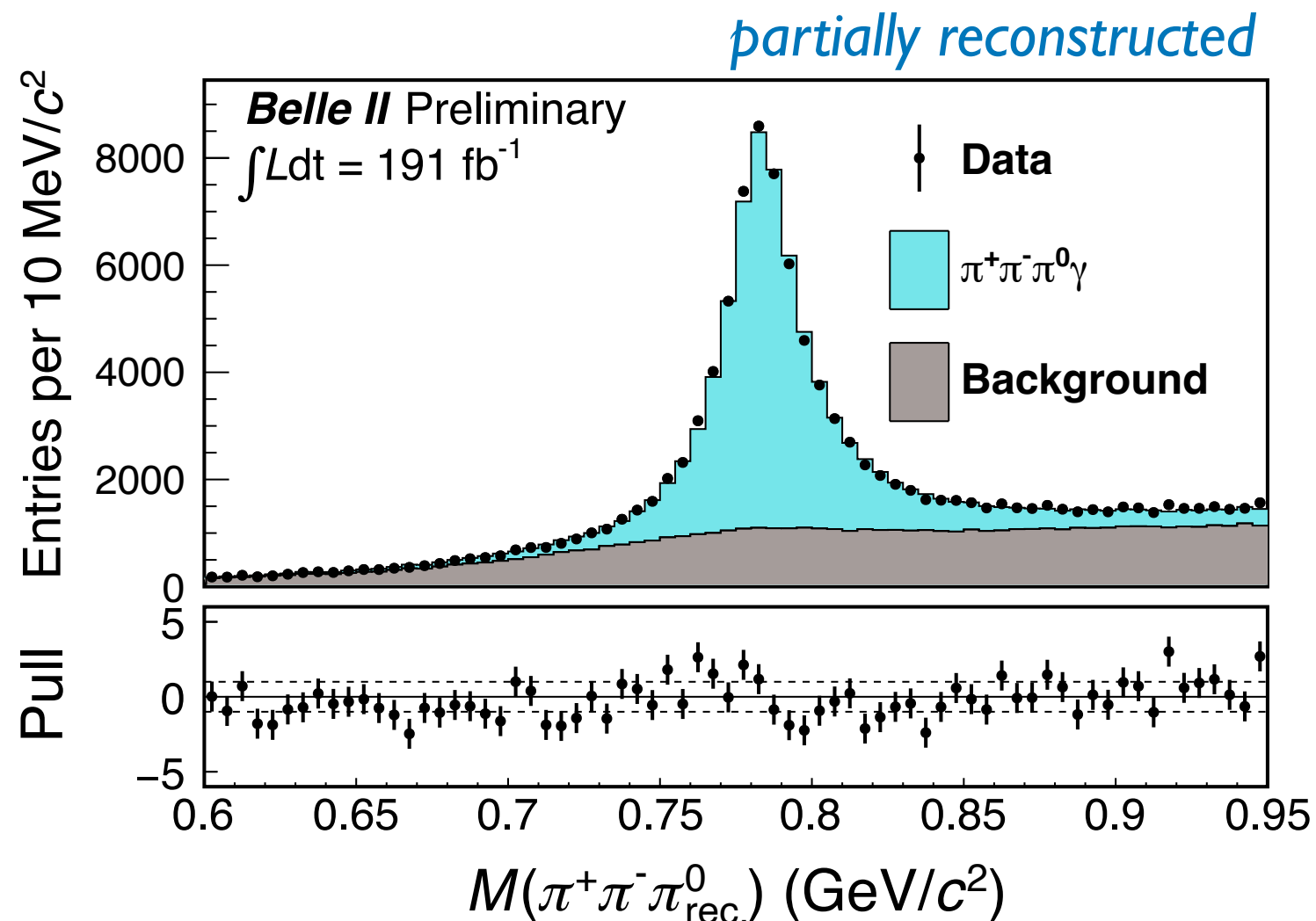
- Study $e^+e^- \rightarrow \pi^+\pi^-\pi^0$ decays in $\mathcal{L} = 191 \text{ fb}^{-1}$
- as a function of $\sqrt{s'}$ by using **ISR** technique
 - reconstruct $e^+e^- \rightarrow \pi^+\pi^-\pi^0\gamma_{\text{ISR}}$, for $0.62 < \sqrt{s'} = M(3\pi) < 3.50 \text{ GeV}$
- **Kinematic fit** for background suppression
 - constrain (E, \vec{p}) of $\pi^+\pi^-\pi^0\gamma_{\text{ISR}}$ to that of e^+e^- beams
- Validation (“**scale factor**”) of backgrounds in control samples



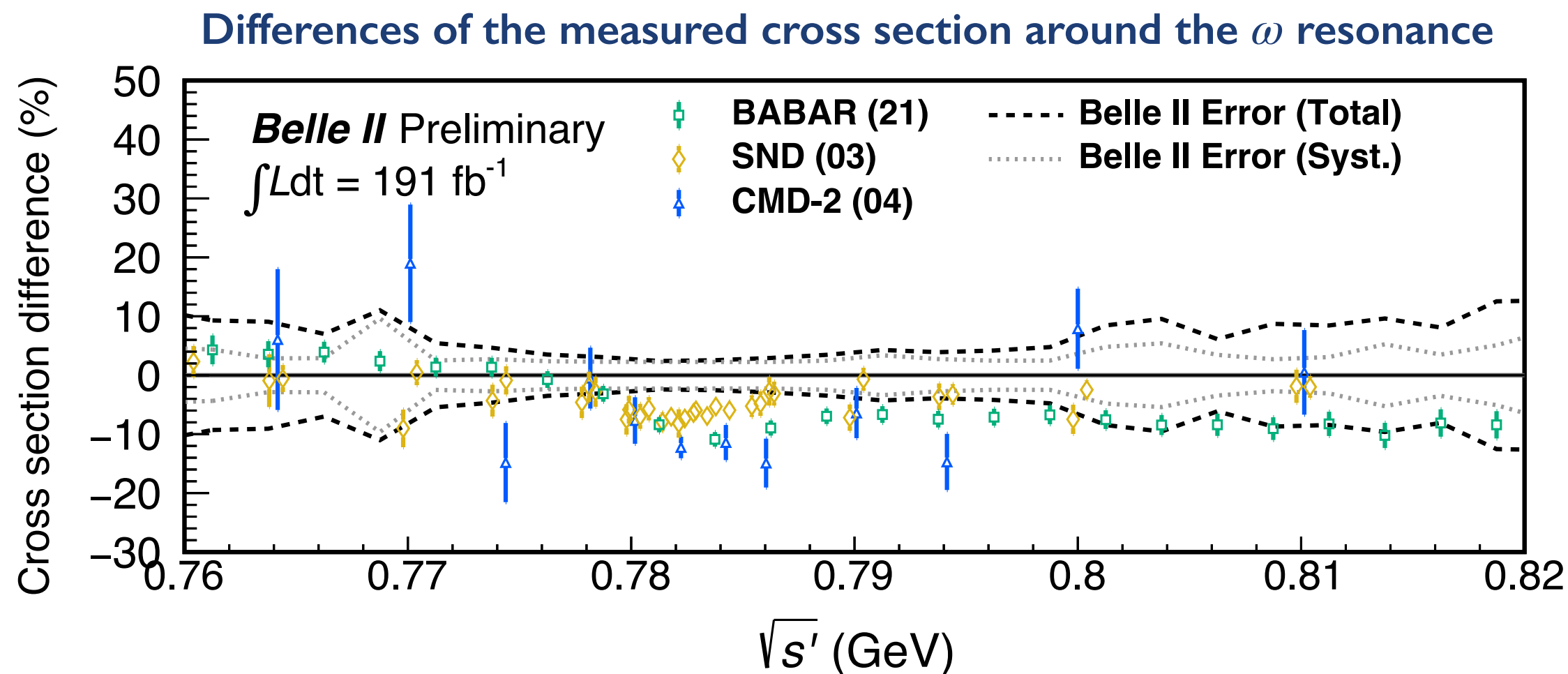
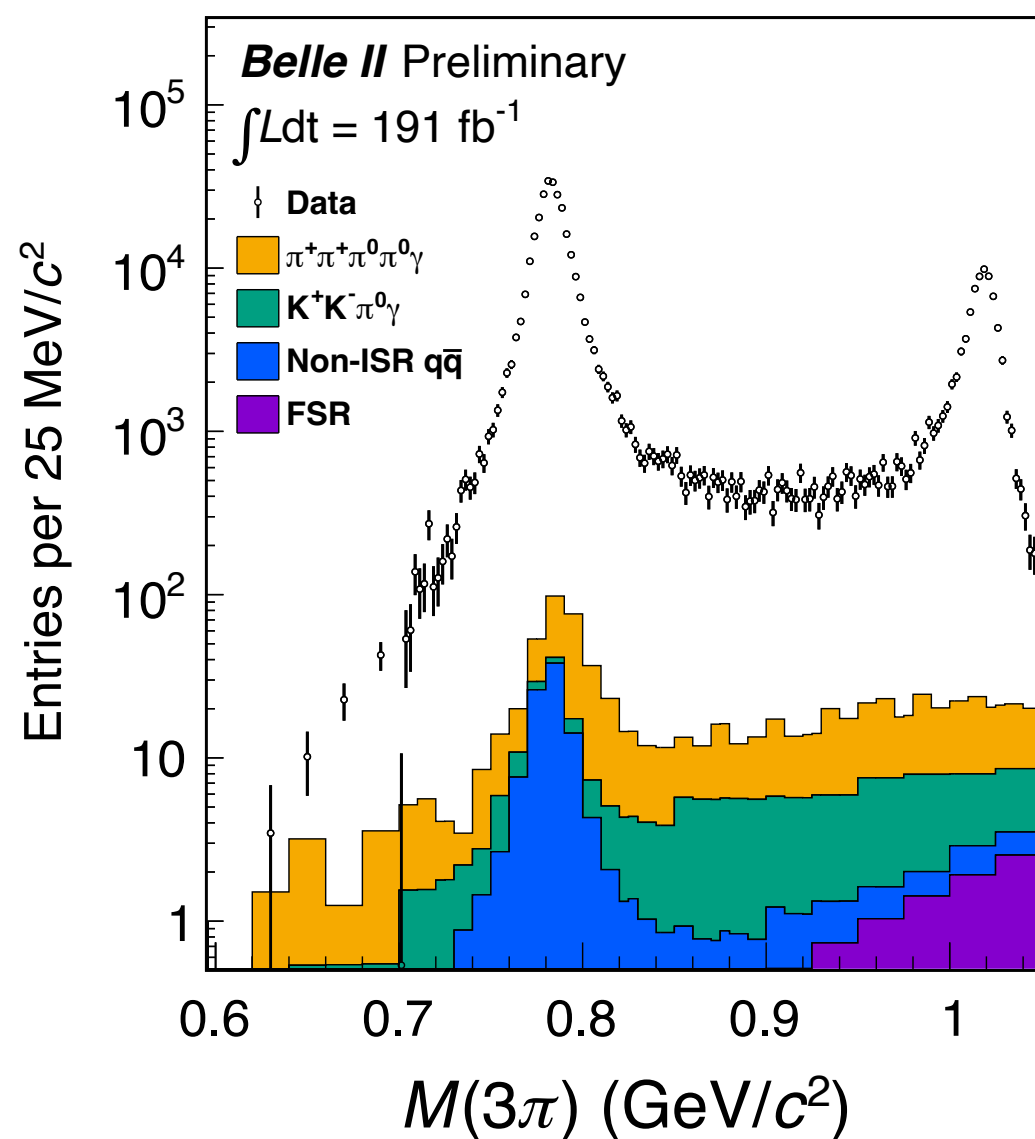
$$\sigma(e^+e^- \rightarrow \pi^+\pi^-\pi^0)$$

$$\varepsilon(\pi^0) = \frac{N_{\text{full}}(\gamma_{\text{ISR}}\pi^+\pi^-\pi^0)}{N_{\text{partial}}(\gamma_{\text{ISR}}\pi^+\pi^-)}$$

- π^0 efficiency as a major analysis challenge
- The $\varepsilon(\pi^0)$ is determined to an accuracy of $\sim 1\%$ by comparing full- and partial-reconstruction in the $\omega \rightarrow \pi^+\pi^-\pi^0$ region



$\sigma(e^+e^- \rightarrow \pi^+\pi^-\pi^0)$ Results



- $a_\mu^{3\pi}(0.62 - 1.8 \text{ GeV}) = (48.91 \pm 0.23 \pm 1.07) \times 10^{-10}$
- main syst. uncertainties from efficiency and absence of NNLO in the MC
- **6.5% higher (2.5 σ significant)** than the global fit \rightarrow **move to smaller 'anomaly'**

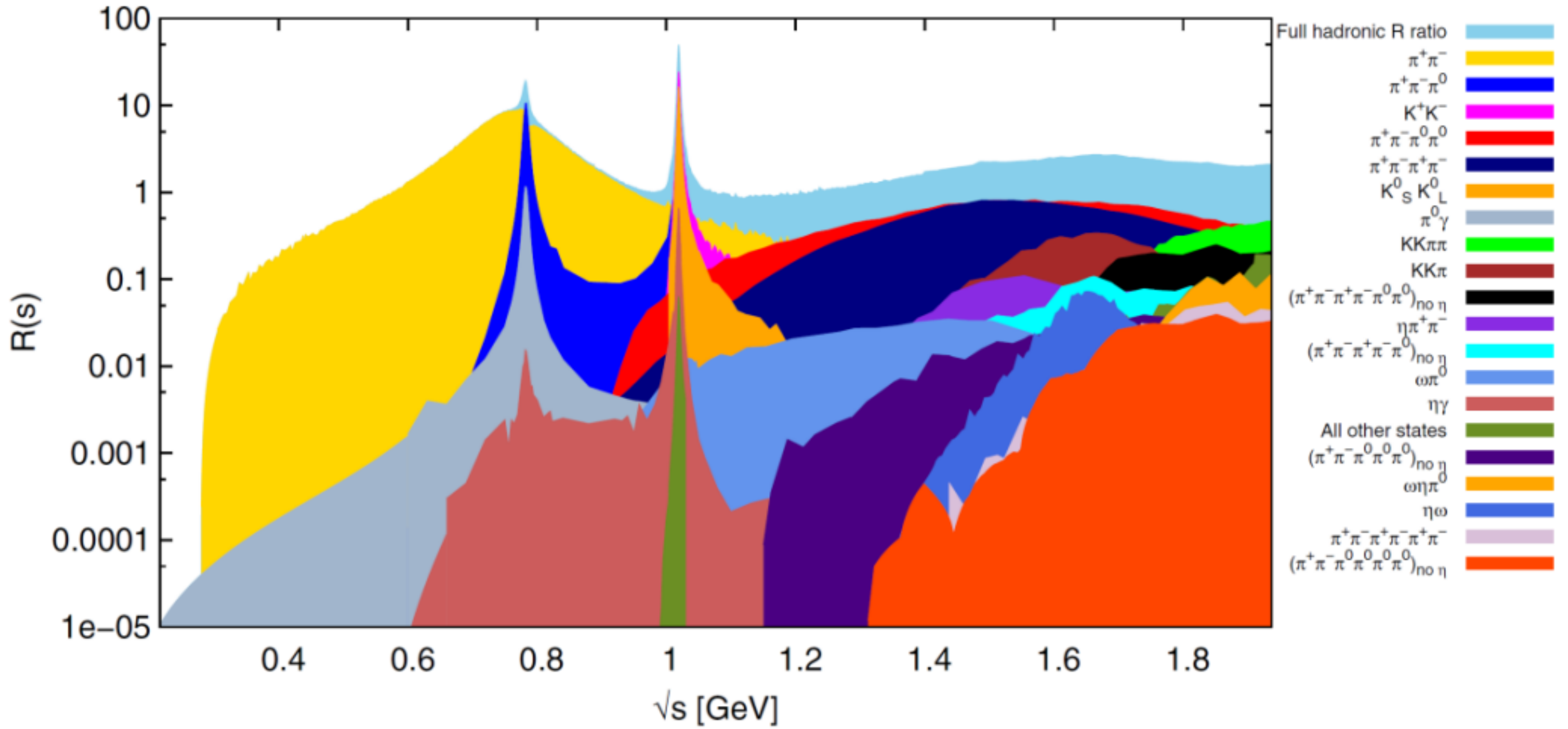
$$a_\mu^{3\pi}(0.62-1.8 \text{ GeV}) = (45.91 \pm 0.37 \pm 0.38) \times 10^{-10}.$$

Closing remarks

- Belle II has returned from LS1, and started Run 2 data taking in Feb. this year, collecting more than 0.5 ab^{-1} data sample in total.
- Belle II has searched for LFV and BNV decays of τ , and they are nearly background-free. We expect much improved results with more data to be pouring in.
- We also show recent searches for LFV processes, $\tau^- \rightarrow \ell^- V^0$ and $\Upsilon(2S) \rightarrow \ell^\pm \tau^\mp$ from Belle.
- In addition, we present Belle II measurement of $\sigma(e^+e^- \rightarrow \pi^+\pi^-\pi^0)$, which is highly relevant for muon (g-2).
- Run 2 is about to resume (in a few days) with the goal of collecting data sample of several ab^{-1} in the coming few years.

Thank you!

Measured R-ratio



(a) The hadronic R -ratio.