

# Project C3b: New Physics Models for flavour observables

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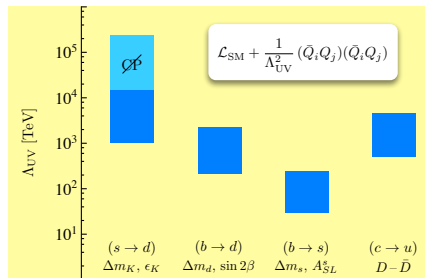
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- 1. Introduction/Motivation
- 2. Quick review of work packages
- 3. Impact of polarization observables and  $B_c \rightarrow \tau\nu$  on NP in  $b \rightarrow c\tau\nu$  anomalies

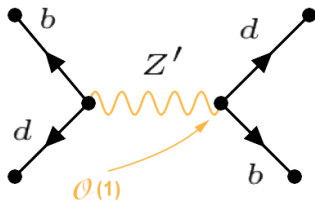
## 1. Introduction/Motivation

- What is the next layer of physics, **beyond the standard model (SM)?**  
(We know that the SM is not the final word.)
- high-pT experiments: produce and study new particles **directly**  
low energy flavour experiments: explore **virtual effects** of **new heavy particles**



- **FCNC-induced processes probe higher scales than direct searches**<sup>1</sup>

<sup>1</sup>plot by M. Neubert



- E.g.  $Z'$  model with  $\mathcal{O}(1)$  FCNC couplings:  $M_{Z'} \gtrsim 100$  TeV
- For loop-induced NP effects the probed scales are lower,  $\mathcal{O}(1 - 10)$  TeV

- Several discrepancies between experiment and SM expectations, called 'flavour anomalies'

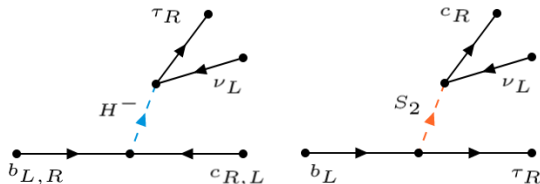
Anomaly	Main observables	Current discrepancy w.r.t. SM	Theor. cleanliness <sup>2</sup>
$b \rightarrow s\mu^+\mu^-$	$P'_5, R_K, R_{K^*} \dots$	$\sim 4 - 6\sigma$	$\star\star^3$
$b \rightarrow c\tau\nu$	$\mathcal{R}_D, \mathcal{R}_{D^*} \dots$	$\sim 4\sigma$	$\star\star\star$
$(g-2)_\mu$	$(g-2)_\mu$	$\sim 3.7\sigma$	$\star\star$
$\epsilon'_K$	$K \rightarrow \pi\pi$	$2.8\sigma$	$\star$

- Bulk of literature invokes ad-hoc explanations, often with a single new particle ( $Z'$ , leptoquarks)
- Aim: construct viable models that can put such particles into well-motivated theoretical framework(s)

<sup>2</sup>w.r.t. current measurement precision

<sup>3</sup> $R_{K,K^*}$  alone deserve 3 stars

- **Charged current processes** mediated by  $W$  at tree level. Any NP explanation involves new charged heavy particle:



- Not rare processes, but precisely measured. Hadronic uncertainties from form factors under control.
- Data point to NP that violates **lepton flavour universality (LFU)**.
- Deviations from purely left-handed couplings of  $W$  to fermions possible, giving rise to new signatures in the future (polarisation observables).

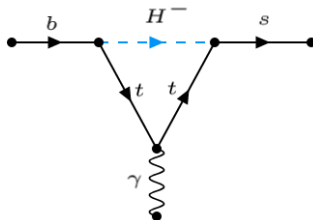
## 2. Quick review of work packages



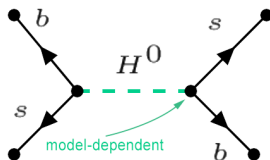
- A model-independent study of BSM effects involves 2499 dimension-6 operators.  
→ One needs **well motivated benchmark models of new physics**.
- Such models permit analyses of **non-trivial correlations between flavour observables and between flavour and collider physics**

# (1) Multi-Higgs doublet models

- Extra Higgs doublet extensions - new, charged and neutral Higgs bosons



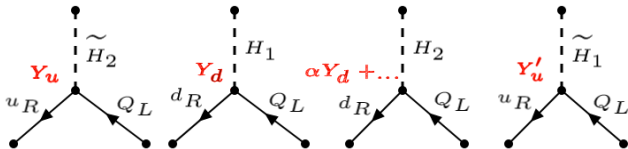
- Neutral Higgs can induce (model dependently) FCNCs transitions



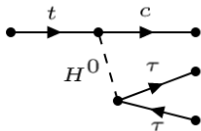
# (1) Multi-Higgs doublet models

## Symmetry approach to suppress FCNC

- Gauge-kinetic terms in SM obey a  $U(3)^5$  symmetry. Yukawa matrices  $Y_u, Y_d$  are **spurions** breaking this symmetry which may well control the NP contributions as well (Minimal Flavour Violation (MFV) ansatz).
- In multi-Higgs doublet models **more spurions** are possible, and there are many ways to suppress unwanted FCNC effects in e.g. B mixing.



- Can suppress FCNCs in down-type sector by choosing three spurions  $Y_d, Y_u, Y'_u$ , with the third Yukawa coupling expanded as  $\alpha Y_d + \beta Y_d Y_u^\dagger Y_u + \dots$ . This still permits e.g. a sizable  $tcH^0$  vertex:

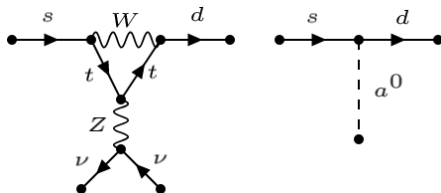


## (1) Multi-Higgs doublet models

- Solution to  $b \rightarrow c\tau\nu$  puzzle?
- Unclear if some multi-Higgs-doublet model can solve this:
- $B_c \rightarrow \tau\nu$  problem  $\rightarrow$  tension with  $\mathcal{R}(D^*)$ , more on this later

## (2) Light neutral scalar(s)

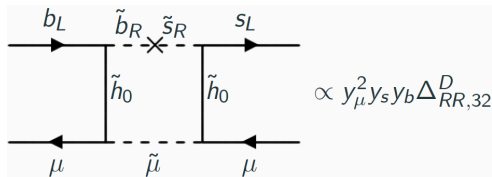
- Spontaneous breaking of global flavour symmetry - massless goldstone boson - 'familon'
- Can obtain small mass via an anomaly
- Interesting flavour patterns  $\rightarrow$  'axiflavor'
- Possible avenue:  $K \rightarrow \pi \bar{\nu} \nu$  NA62 (new results this year)



### (3) $b \rightarrow s\mu^+\mu^-$ and flavour puzzle

- Explanation of  $b \rightarrow s\mu^+\mu^-$  anomalies with new particles in loops requires  $\mathcal{O}(1)$  couplings, i.e. LFUV at  $\mathcal{O}(1)$  level.
- How does this comply with SM LFUV by tiny Yukawa couplings, e.g.  $y_\mu \sim 10^{-3}$ ?
- Could **small fermion masses** be **loop-induced**, with underlying  $\mathcal{O}(1)$  parameters breaking the SM flavour symmetry?
- First approach: MSSM with VEV  $v_d = 0$ , thus down-type fermions get VEV from other Higgs doublet  $H_u$  through SUSY loop.  
 $\Rightarrow y_\mu = \mathcal{O}(1)$  in superpotential possible

MSSM contribution to  $b \rightarrow s\mu^+\mu^-$ :



Work in progress by PhD student Mustafa Tabet

## (4) Flavour and supersymmetry

- Default SUSY scenario in collider physics: MSSM-scenarios with split squark spectrum, very heavy squarks of first two generations and lighter stops.
- Major motivation for such a scenario - reconciliation of the gauge hierarchy problem (needs not-too heavy stops) with non-observation of supersymmetry at the LHC
- But: **Sizable FCNCs** mediated by squarks and gluinos from the diagonalisation of the hierarchical squark mass matrices.
- Goal: study flavour patterns of such MSSM scenarios.

## (5) Extended gauge symmetries

- a) Can leptoquarks that can explain the flavour anomalies be accommodated in a Pati-Salam model  $SU(4)_c \times SU(2)_L \times SU(2)_R$ ? Or even  $SO(10)$ ? (challenging to simultaneously avoid proton decay)
- b)  $E_6$  - Representation **27** contains SM fermions plus additional vector-like fermions
- Explore the phenomenology of such models on LFV decays (preliminary work - master thesis by Thomas Deppisch)



3. Impact of polarization observables and  $B_c \rightarrow \tau\nu$  on NP in  $b \rightarrow c\tau\nu$  anomalies,  
based on paper *arXiv: 1811.09603 [hep-ph]* done in collaboration with Monika Blanke,  
Andreas Crivellin, Stefan de Boer, Marta Moscati, Teppei Kitahara and Ulrich Nierste  
(accepted by PRD)

- The observable ratios:

$$\mathcal{R}(D) \equiv \frac{BR(B \rightarrow D\tau\nu)}{BR(B \rightarrow D\ell\nu)}, \quad \mathcal{R}(D^*) \equiv \frac{BR(B \rightarrow D^*\tau\nu)}{BR(B \rightarrow D^*\ell\nu)}. \quad (\ell = e, \mu)$$

- Measured values higher than the SM expectations:

$$\frac{\mathcal{R}(D)}{\mathcal{R}(D)_{\text{SM}}} = 1.36 \pm 0.13_{\text{stat}}, \pm 0.08_{\text{syst}} \quad 2.4\sigma$$

$$\frac{\mathcal{R}(D^*)}{\mathcal{R}(D^*)_{\text{SM}}} = 1.186 \pm 0.050_{\text{stat}}, \pm 0.027_{\text{syst}} \quad 3.3\sigma$$

- Total discrepancy w.r.t SM predictions at the level of  $\sim 4\sigma$ .

- Use the effective description:

$$\mathcal{H}_{\text{eff}} = 2\sqrt{2}G_F V_{cb} [(1 + C_V^L)O_V^L + C_S^R O_S^R + C_S^L O_S^L + C_T O_T]$$

with **four-fermion operators**:

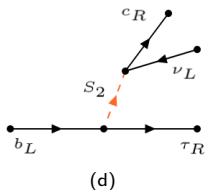
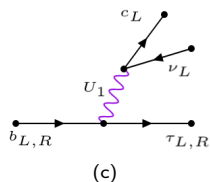
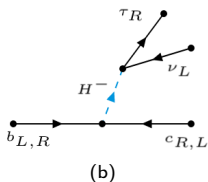
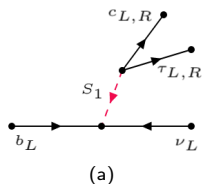
$$O_V^L = (\bar{c}\gamma^\mu P_L b) (\bar{\tau}\gamma_\mu P_L \nu_\tau)$$

$$O_S^R = (\bar{c}P_R b) (\bar{\tau}P_L \nu_\tau)$$

$$O_S^L = (\bar{c}P_L b) (\bar{\tau}P_L \nu_\tau)$$

$$O_T = (\bar{c}\sigma^{\mu\nu} P_L b) (\bar{\tau}\sigma_{\mu\nu} P_L \nu_\tau)$$

## Two-parameter scenarios



- We consider combinations of Wilson coefficients that result from exchange of a single heavy intermediate state:

(a)  $\text{real}(C_V^L, C_S^L = -4C_T)$  - scalar leptoquark  $S_1(3, 1, -1/3)$

(b)  $\text{real}(C_S^R, C_S^L)$  - charged Higgs

(c)  $\text{real}(C_V^L, C_S^R)$  - vector leptoquark  $U_1(3, 1, 2/3)$

(d)  $\text{Re}[C_S^L = 4C_T], \text{Im}[C_S^L = 4C_T]$  - scalar leptoquark  $S_2(3, 2, 7/6)$

We **perform the fit** for the Wilson coefficients of the four scenarios using the measured observables as inputs:

- In addition to  $\mathcal{R}(D^{(*)})$  use  **$\tau$ -polarization asymmetry** in  $B \rightarrow D^* \tau \nu$  (Belle 2016)
- $F_L$ , the **longitudinal polarization fraction of  $D^*$**  (Belle 2018)
- Predict (from the fits) yet unmeasured polarization observables in  $B \rightarrow D \tau \nu$  and the  $\mathcal{R}(\Lambda_c)$  - the analogous ratio for the baryonic modes

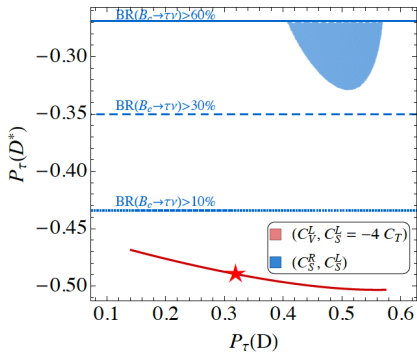
- Charged Higgs explanation under pressure from  $B_c$ -lifetime that constraints **yet unmeasured**  $BR(B_c \rightarrow \tau\nu)$ .
- $B_c \rightarrow \tau\nu$  is affected by the same **pseudoscalar Wilson coefficient**  $C_S^R - C_S^L$  that enters  $\mathcal{R}(D^*)$ .
- Total width  $\Gamma_{\text{tot}}(B_c)$  known from measured lifetime and  $\Gamma(B_c \rightarrow \tau\nu) = \Gamma_{\text{tot}} \times BR(B_c \rightarrow \tau\nu)$
- $\mathcal{R}(D^*)$  data compatible only with excessive enhancement of  $BR(B_c \rightarrow \tau\nu)$  over its SM value  $BR(B_c \rightarrow \tau\nu)_{\text{SM}} = 2\%$  **Alonso, Grinstein, Martin Camalich 2015**
- An upper bound  $BR(B_c \rightarrow \tau\nu) < 10\%$  inferred from non-observation of  $Z \rightarrow b\bar{b}[B_c \rightarrow \tau\nu]$  at LEP using the estimate of the ratio  $f_c/f_u$  of  $b \rightarrow B_c$  and  $b \rightarrow B_u$  **fragmentation probabilities** from  $pp, p\bar{p}$  data **Akeroyd, Chen 2017**.
- $pp$  and  $p\bar{p}$  collisions produce  $B_c$  through mechanisms that have **no counterpart in Z-decays**
- We chose three cases in our analysis:  $BR(B_c \rightarrow \tau\nu) < 10\%$ ,  $BR(B_c \rightarrow \tau\nu) < 30\%$ ,  $BR(B_c \rightarrow \tau\nu) < 60\%$ .

As an example, compare the two scenarios  $C_V^L, C_S^L = -4C_T$  (from leptoquark  $S_1$ ) and  $C_S^{L,R}$  (from charged Higgs)

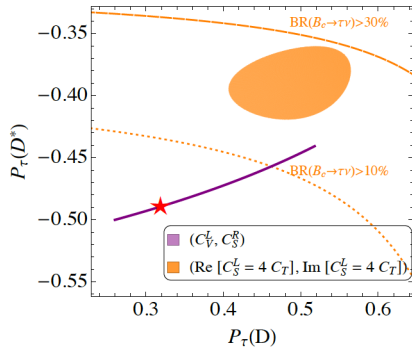
2D hyp.	best-fit	$p$ -value percent	pull <sub>SM</sub>	$\mathcal{R}(D)$	$\mathcal{R}(D^*)$	$F_L(D^*)$	$P_\tau(D^*)$	$P_\tau(D)$	$\mathcal{R}(\Lambda_c)$
$(C_V^L, C_S^L = -4C_T)$	(0.08, 0.05)	<b>22.0</b>	4.2	0.394 -0.3 $\sigma$	0.308 +0.2 $\sigma$	<b>0.45</b> -1.7 $\sigma$	<b>-0.50</b> -0.2 $\sigma$	0.40	0.41
$(C_S^R, C_S^L) _{60\%}$	(-0.19, -0.74) (0.34, -0.22)	<b>68.5</b>	4.5	0.412 +0.1 $\sigma$	0.299 -0.5 $\sigma$	<b>0.54</b> -0.7 $\sigma$	-0.27 +0.2 $\sigma$	0.50	0.40
$(C_S^R, C_S^L) _{30\%}$	(-0.30, -0.64) (0.24, -0.11)	11.8	4.1	0.423 +0.4 $\sigma$	0.280 -1.8 $\sigma$	0.51 -1.0 $\sigma$	-0.35 0.0 $\sigma$	0.51	0.39
$(C_S^R, C_S^L) _{10\%}$	(0.14, 0.00) (-0.40, -0.55)	0.6	3.4	0.433 +0.6 $\sigma$	<b>0.263</b> -2.9 $\sigma$	0.48 -1.4 $\sigma$	-0.44 -0.1 $\sigma$	0.53	0.38

- $S_1$  performs well, with  $F_L$  and the predicted value of  $P_\tau(D^*)$  SM-like
- $F_L$  favors **charged-Higgs solution**
- If this scenario is true then either  $\mathcal{R}(D^*)$  will go down towards its SM value or  $BR(B_c \rightarrow \tau\nu) \gtrsim 30\%$

Use the results of the fits to predict correlations between observables for different scenarios, e.g.



(a)



(b)

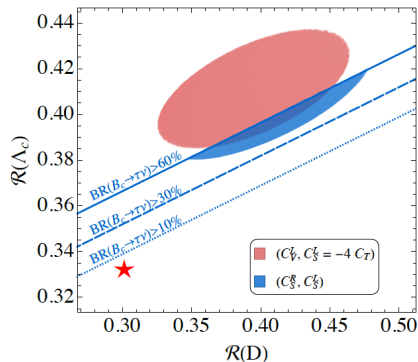
(a) leptoquark  $S_1$   
charged Higgs

(b) leptoquark  $U_1$   
leptoquark  $S_2$

Regions on the plots from  $1\sigma$  ranges of Wilson coefficients.

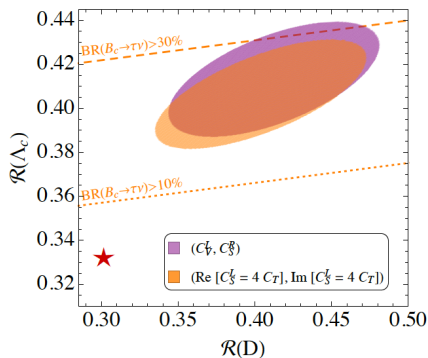


## Correlations involving $\mathcal{R}(\Lambda_c)$



(a)

(a) leptoquark  $S_1$   
charged Higgs



(b)

(b) leptoquark  $U_1$   
leptoquark  $S_2$

- In fact, in all scenarios with good p-values the  $\mathcal{R}(\Lambda_c)$  has essentially the same value
- Inspecting the formulas for the observables in terms of Wilson coefficients we find a **sum-rule**:

$$\frac{\mathcal{R}(\Lambda_c)}{\mathcal{R}_{\text{SM}}(\Lambda_c)} = 0.262 \frac{\mathcal{R}(D)}{\mathcal{R}_{\text{SM}}(D)} + 0.738 \frac{\mathcal{R}(D^*)}{\mathcal{R}_{\text{SM}}(D^*)} + x$$

The remainder  $x$  is function of Wilson coefficients  $C_i^j$  - stays small  $|x| < 0.05$  for  $C_i^j$  in their  $1\sigma$  ranges.

For the current data:

$$\begin{aligned}\mathcal{R}(\Lambda_c) &= \mathcal{R}(\Lambda_c)_{\text{SM}}(1.24 \pm 0.06) \\ &= 0.41 \pm 0.02_{\text{exp}} \pm 0.03_{\text{th}}\end{aligned}$$

in any model of NP.

- $\mathcal{R}(\Lambda_c)$  is an important 'redundant' observable whose measurement could (in)validate the  $b \rightarrow c\tau\nu$  anomalies.

- All possible **new physics** in all possible observables of  $b \rightarrow c\tau\nu$  decays can be parametrized in terms of four complex coefficients  $C_V^L, C_S^R, C_S^L, C_T$ .
- Charged-Higgs scenario (with non-zero  $C_S^{L,R}$ ) not ruled out yet.
- **Scalar leptoquark**  $S_1$  and **vector LQ**  $U_1$  provide good fits.
- Measurements of polarization observables could differentiate between scenarios.
- $\mathcal{R}(\Lambda_c)$  is an important crosscheck of the consistency of the measurements

- Find dynamics of new physics behind the flavour anomalies
- Link flavour anomalies to other puzzles like:
  - electroweak symmetry breaking ( $\rightarrow$  Higgs sector)
  - origin of gauge sector ( $\rightarrow$  gauge unification)
  - gauge hierarchy problem ( $\rightarrow$  supersymmetry)
- Correlate precision observables in models of new physics aiming at predictions for LHCb, Belle II and other experiments