

# Backend Design of the Read-Out System for Micromachined Sensors

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# Introduction

# Introduction

This thesis proposes a real-time processing backend for the read-out electronics of cryogenic particle detectors, aiming to process a high number of frequency tones for a FDM multiplexing scheme ( $\approx 1000$ ). It's focused in the design and development of a **high performance processing** approach, **high scalability** and **minimizing the used resources**.

## High performance and scalable processing scheme

- FPGA based architecture, allowing a high level of system scalability and huge processing capabilities, at reasonable costs. Custom Linux (Yocto), applications (C, assembler, Julia) matched with hardware architecture, etc..

## HDL resources optimization strategy

- No inference. Explicit declaration of BRAMs (Block RAMs), FIFOs (First In, First Out buffers), algorithms directly mapped on DSP Slices primitives. Constraints files for Place and Route step, etc..

## Projects I'm involved

- **QUBIC**, for CMB observation (**ITeDA**),
- **ECHo**, neutrino mass determination (**IPE**).

# Micromachined sensors for Cryogenic Particle Detectors

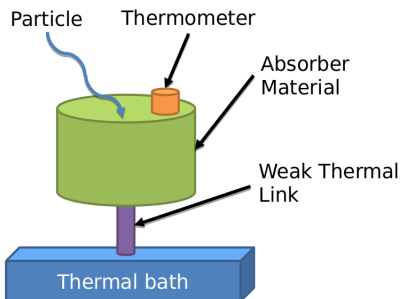


Figure: Basic detector scheme

## Possible applications

- Photons,
- Mass Spectrometry,
- Heavy Ion Physics,
- Neutrinos,
- Dark Matter (WIMPs),
- CMB observations,
- $\alpha$ ,  $\beta$ ,  $\gamma$  spectroscopy, astronomy.

## Thermometer Detector

- TES - Transition Edge Sensors,
- MKID – Microwave Kinetic Inductance Detector,
- NTD - Semiconductor Thermistors.
- MMC – Metallic Magnetic Calorimeters

# Read-Out Electronics

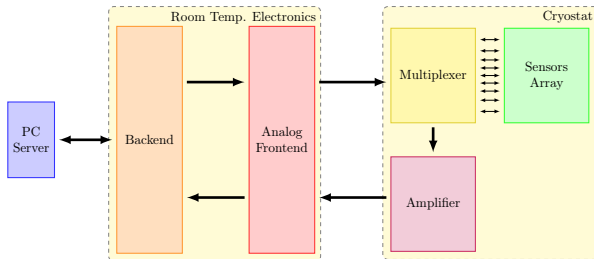


Figure: Cryogenic Particle Detectors' Read-Out System.

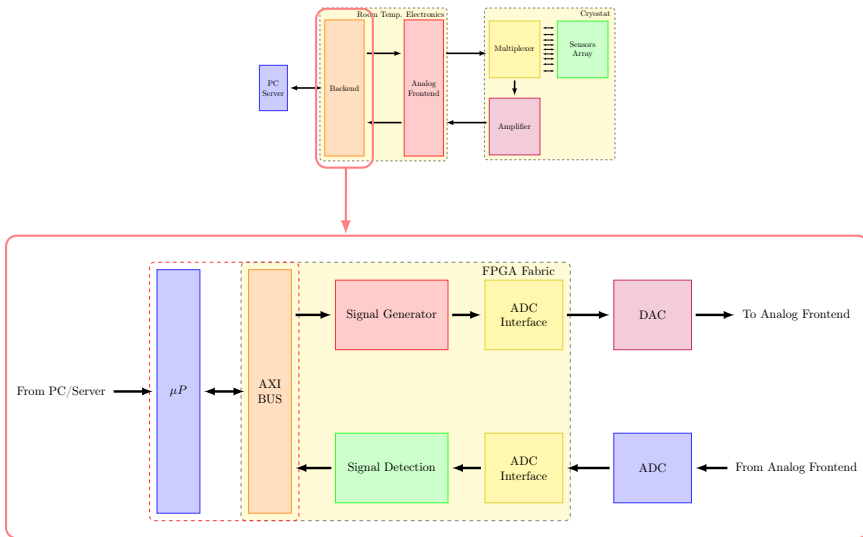


Figure: Complete proposed Backend.



# Current State of Development

# The Goertzel Algorithm

- It's a localized Discrete Fourier Transform.

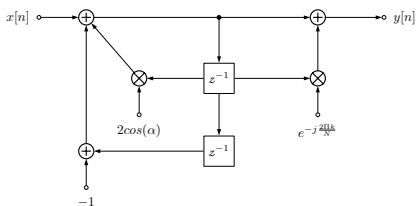


Figure: Goertzel Algorithm - Space-State of a 2<sup>nd</sup> order IIR system.

[1] "An Algorithm for the evaluation of Finite Trigonometric Series", G. Goertzel, The American Mathematical Monthly, Vol. 65, No. 1, January 1958, pp- 34-35

[2] "Goertzel algorithm generalized to non-integer multiples of fundamental frequency", P. Sysel and P. Rajmic, EURASIP, 2012, 2012:56

Transfer function:

$$H_k(z) = \frac{1 - e^{-j\frac{2\pi k}{N}} z^{-1}}{1 - 2\cos(\alpha)z^{-1} - z^{-2}}$$

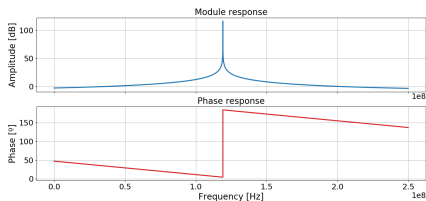


Figure: Transfer Function Bode for:  $F_s = 500\text{Mps}$ ,  $N = 256$  and  $F_{det} = 119\text{MHz}$

# Window Functions

- Spectral Analysis -> Window function.

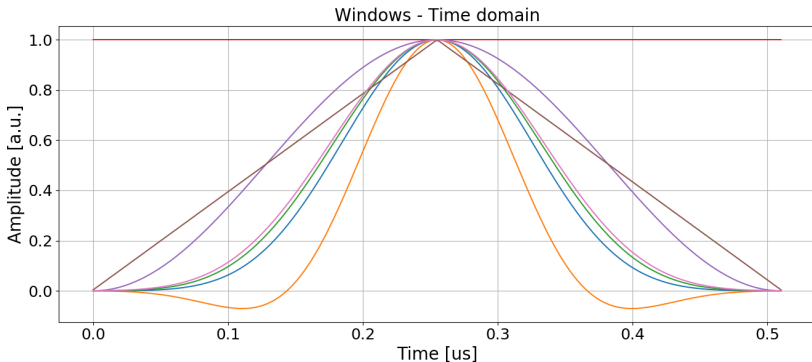


Figure: Some different windows types ( $N = 256$ ).

[3] "On the use of Windows for Harmonic Analysis with the Discrete Fourier Transform", F. J. Harris, Proceedings of the IEEE, Vol. 66, No. 1, January 1978.

# Window Functions

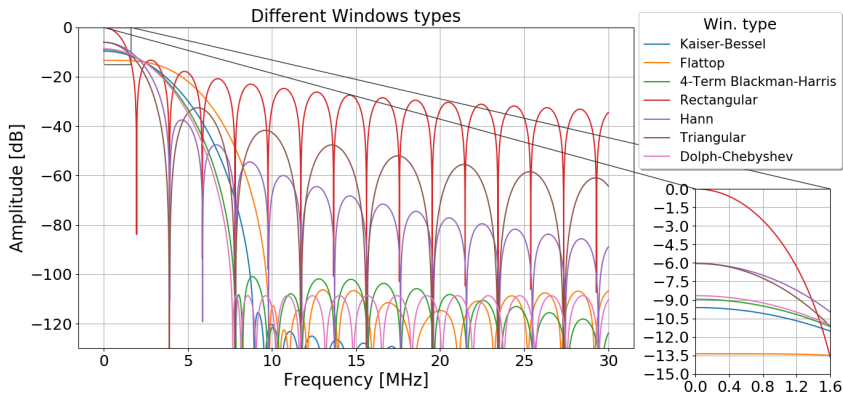
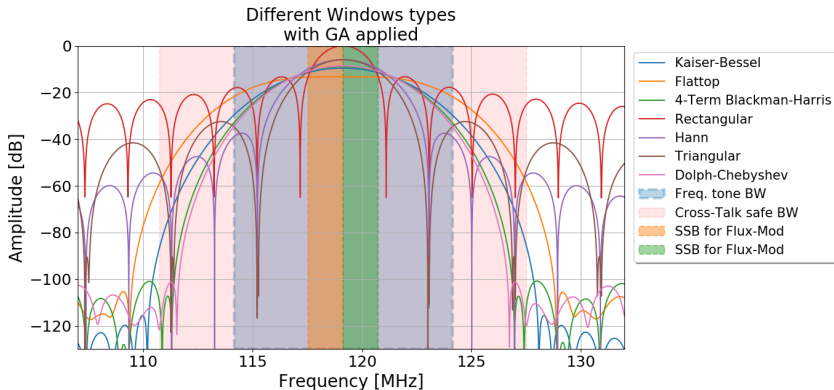


Figure: Frequency response of each window ( $N = 256$ ).

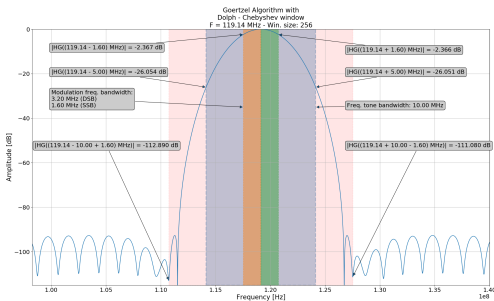
[3] "On the use of Windows for Harmonic Analysis with the Discrete Fourier Transform", F. J. Harris, Proceedings of the IEEE, Vol. 66, No. 1, January 1978.

# Goertzel Filter



**Figure:** Goertzel Filter frequency response for each window:  $F_s = 500\text{Mps}$ ,  $N = 256$  and  $F_{det} = 119\text{MHz}$  and  $N = 256$ .

# Goertzel Filter - Full Characterization



**Figure:** Goertzel Filter frequency response for a Dolph-Chebyshev window:  $F_s = 500 \text{ Mps}$ ,  $N = 256$  and  $F_{det} = 119 \text{ MHz}$  and  $N = 256$ .

\*: ECHO parameters.

Parameter	Value
Highest side lobe level [dB]	-92.66 / -92.65
Side Lobe Fall-off [dB/dec]	-42.79
Side Lobe Fall-off [dB/oct]	-12.88
Coherent Gain [dB]	8.59
ENBW [Bins]	5.42
ENBW [MHz]	10.58
3.0 dB BW [MHz]	3.6
6.0 dB BW [MHz]	5.05
60.0 dB BW [MHz]	13.72
Shape Factor	3.81
Scallop Loss [dB]	0.88
Processing Gain/Loss [dB]	7.34
Worst Case Process Loss [dB]	8.22
$ H(f_{det}) $ [dB]*	0
$ H(f_{det} \pm 5 \text{ MHz}) $ [dB]*	-26.05
$ H(f_{det} \pm 1.6 \text{ MHz}) $ [dB]*	-2.37
$ H(f_{det} + (1.6 - 10) \text{ MHz}) $ [dB]*	-111.08
$ H(f_{det} - (1.6 + 10) \text{ MHz}) $ [dB]*	-112.89

# A proof of algorithm feasibility in an FPGA implementation

- 1 Implemented a *baremetal* application running on the Zynq  $\mu P$ ,
- 2 Stored simulated modulated signal in the board's RAM,
- 3 Read and sent to the *Goertzel\_Filter\_Unit\_0* block,
- 4 Retrieved the processed data with a Python application running on a PC.

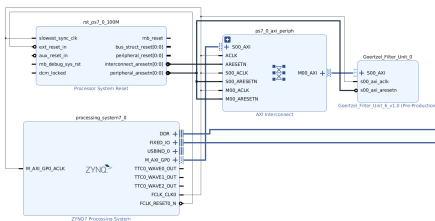


Figure: ZedBoard Vivado Block Diagrama implementation

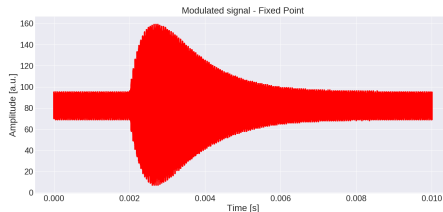


Figure: Modulated (in amplitude and phase) Bi-tonal signal

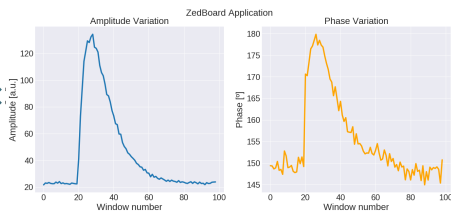


Figure: FPGA modulation signals reconstruction.

# Zynq UltraScale+ (ZCU102) Implementation

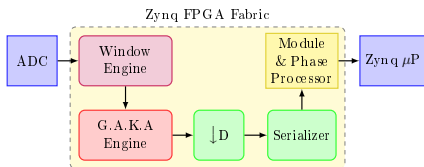


Figure: Detection stage block diagram

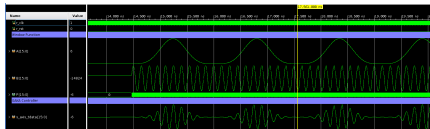


Figure: Window Function Engine Simulation

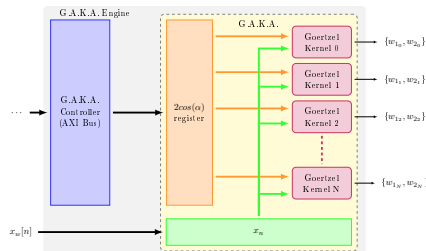


Figure: G.A.K.A.: Goertzel Algorithm Kernel Array

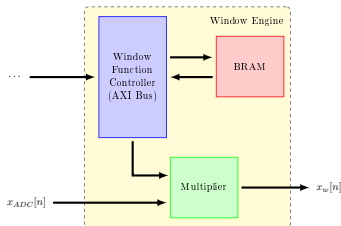


Figure: Window Function Engine



# Resources Estimation

FPGA resource estimation		
Module	DSP Slices	BRAMs
Win. Engine	$1 * N_{ADC_{ch}}$	4
G.A.K.A.	$2 * N_f$	0

Where  $N_f$  it's the number of required filters, and  $N_{ADC_{ch}}$  it's the number of channels that the ADC interface with the FPGA.

FPGA resource estimation example for I-Q modulation scheme and 400 frequency tones		
Module	DSP Slices	BRAMs
Win. Engine	$1 * 2 = 2$	4
G.A.K.A. (I Channel)	$2 * 400 = 800$	0
G.A.K.A. (Q Channel)	$2 * 400 = 800$	0
Total	1602	4

Using, for example, Zynq UltraScale+ (2520 DSP Slices) -> 918 DSP Slices left for further processing.

# Future Work

## Future Work:

- Design, develop and test the last module: **Module & Phase Processor**,
- Achieve multi-tonal signal detection with the Goertzel Filter approach,
- A cavity's S21 parameter measurement,
- Implement the approach in ECHo and QUBIC electronics,
- Implement the generation stage: store a pre-computed signal (previously optimized for low crest-factor, going from  $C_F = 20$  to  $C_F = 1.67$ .) in a BRAM, and play it back with a DAC. Then, test the whole system working (technical-demonstrator),
- Develop formal applications for signal processing using the technical-demonstrator. Also, drivers and software integration,
- Start with a fully custom electronic design approach (no evaluation boards).

## Selected electronics for prototype:

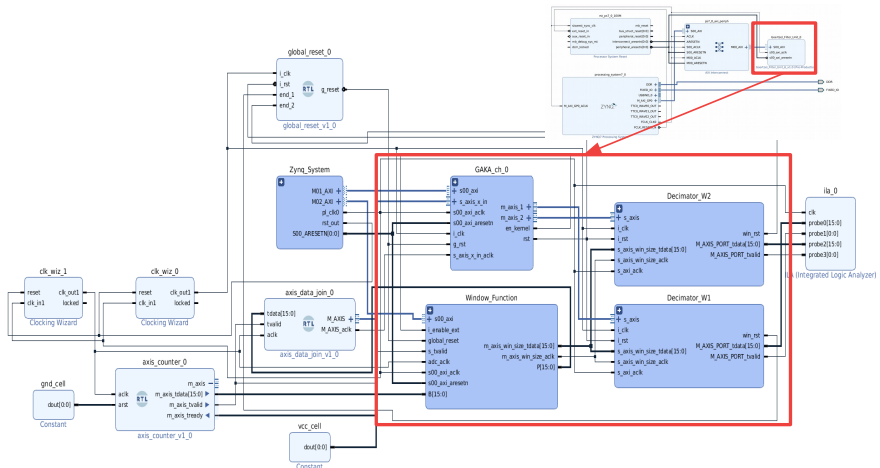
- Processor and FPGA: Zynq UltraScale+ MPSoC Evaluation Board (ZCU102),
- ADC: Texas Instruments ADS54J69 Evaluation Board (2 x 16bits, 500 Msps),
- DAC: Texas Instruments DAC39J84 Evaluation Board (4 x 16bits, 2.8 Gbps).

# In the meantime, FOOD..I mean, cultural exchange :)



**Vielen Dank!**

# Back-Up



# Back-Up

The approach can be implemented on different devices:

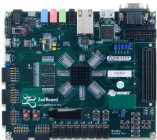


Figure: ZedBoard, Zynq 7020, 363 €



Figure: Red Pitaya, Zynq 7010, 279 €

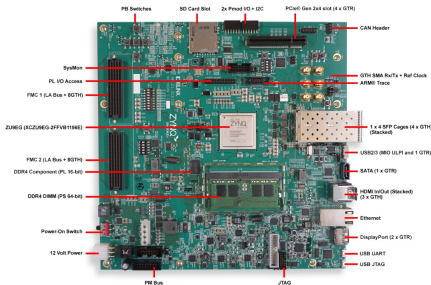


Figure: Zynq Ultrascale+ MPSoC, 2531 €

# Back-Up

Filter test (in Python) using ECHO technical demonstrator raw data

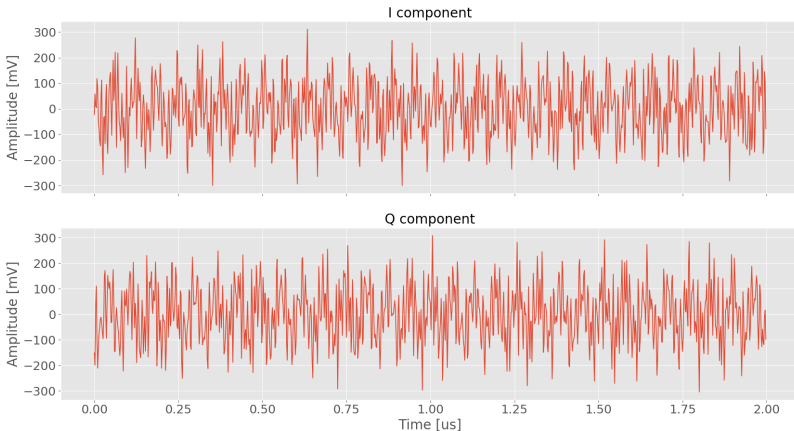


Figure: I and Q signals (raw data).

There where 5 different components: 119 MHz, -80 MHz (pilot), -15.6 MHz, -147 MHz, and 150.6 MHz.



# Back-Up

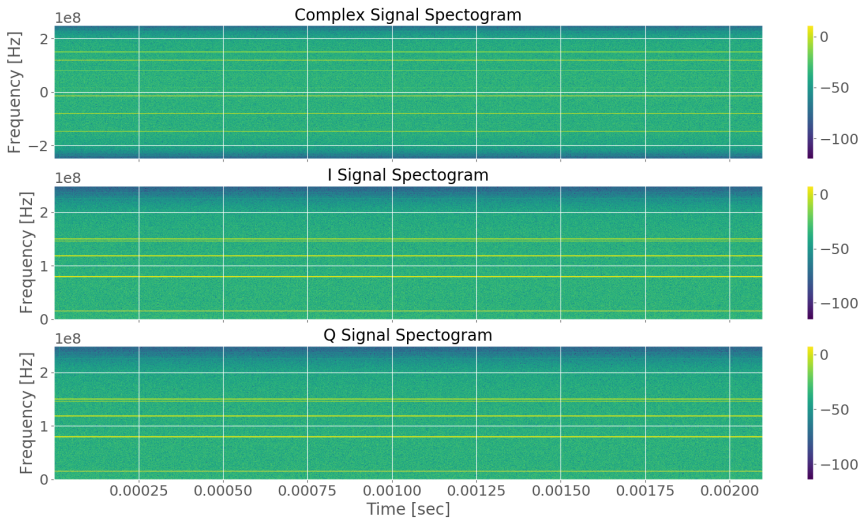


Figure: Periodogram (spectrogram) of the above I and Q signals.  $C = I + jQ$

# Back-Up

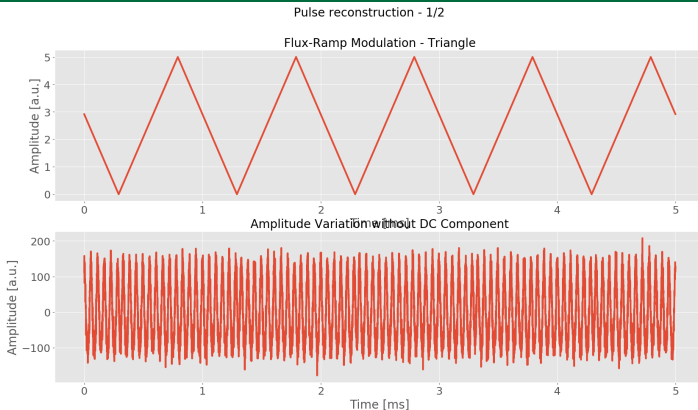


Figure: Filter applied to the raw data.

- SQUIDs are stimulated by a triangle signal -> **Flux-ramp modulation method**.
- Triangle signal: 1 kHz, 5 V. SQUID: 565 mV -> 1 flux period.
- Expected recovered period:  $F_{flux} = 2 * (5V/565mV) * 1kHz = 17.06kHz$
- Measured:  $F_{flux,m} = 17.98kHz$ .

# Back-Up

Low crest factor I/Q modulated multitonal signal. Up to N different frequency tones, spaced 10 MHz from each other:

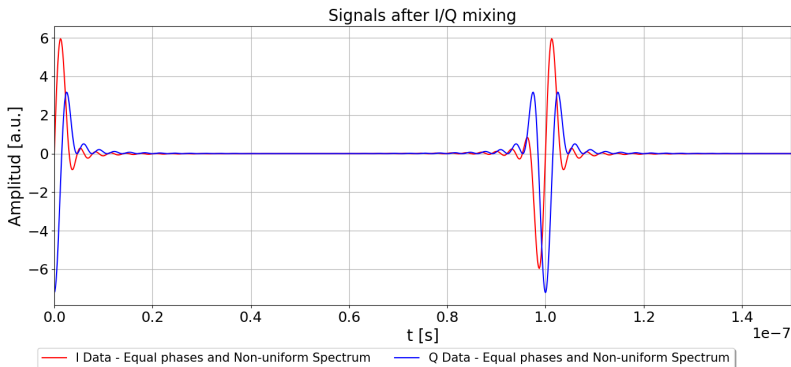


Figure: Time domain, 32 probe signals, non-optimized (equal phases).

# Back-Up

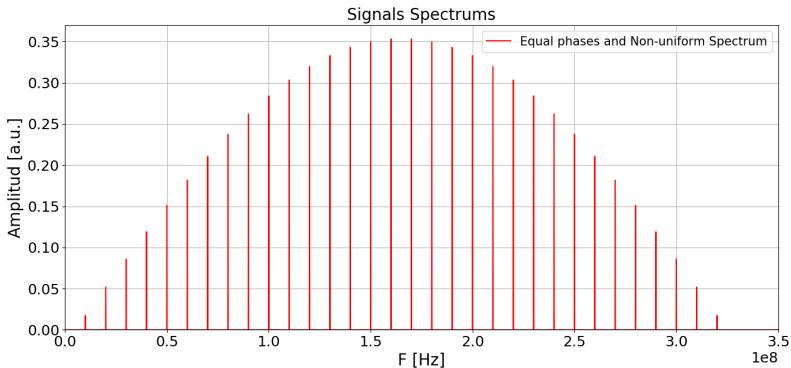
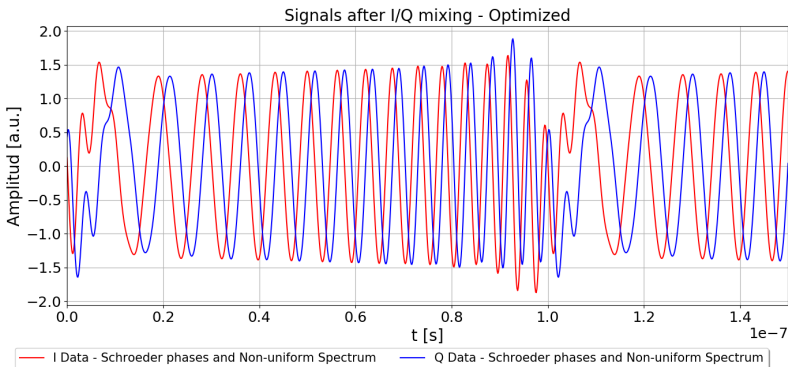


Figure: Frequency domain, 32 probe signals, non-optimized (equal phases).

# Back-Up



**Figure:** Time domain, 32 probe signals, optimized but non-uniform spectrum.

[4] “Synthesis of Low-Peak-Factor Signals and Binary Sequences with Low Autocorrelation”, M. R. Schroeder, IEEE Transactions on Information Theory, January 1970.

# Back-Up

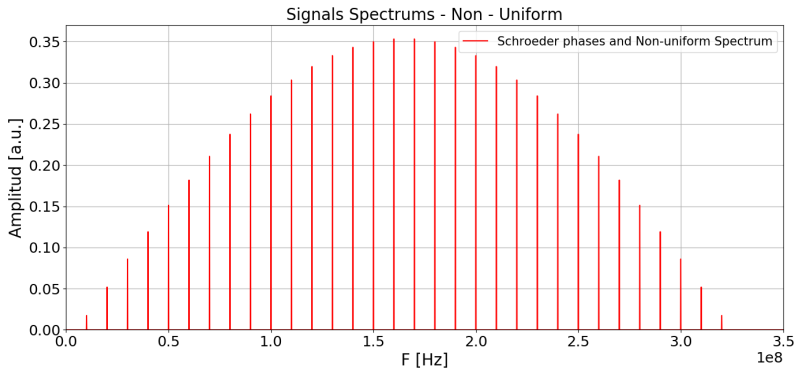
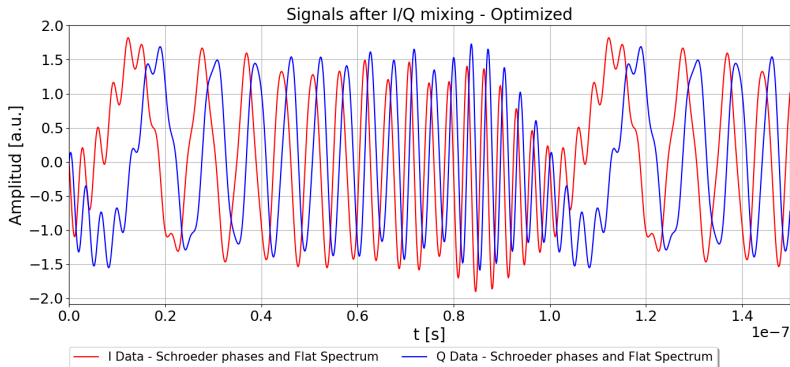


Figure: Frequency domain, 32 probe signals, optimized but non-uniform spectrum.

# Back-Up



**Figure:** Time domain, 32 probe signals, optimized with uniform spectrum.

# Back-Up

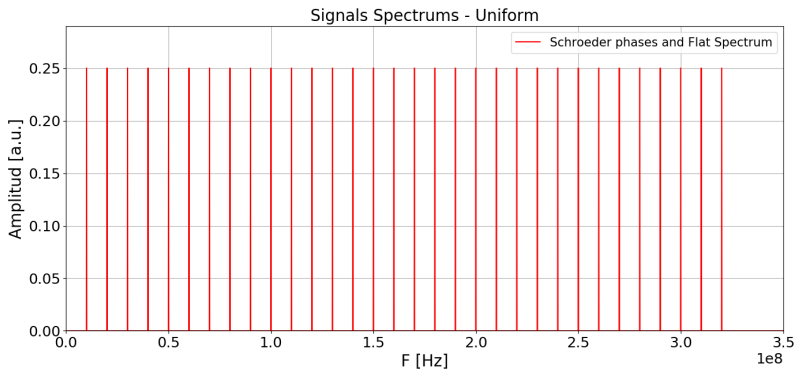


Figure: Frequency domain, 32 probe signals, non-optimized with uniform spectrum.

Crest Factor comparisons				
Crest Factor	N <sup>o</sup> Tones	No opt.	Opt. Non-U	Opt. Uniform
$\frac{V_{max}}{V_{rms}}$	32	5.95	1.87	1.90
$\frac{V_{max}}{V_{rms}}$	400	20	1.67	1.89



# Back-Up

## Other approaches

- “Synthesis of discrete-interval binary signals with specified Fourier amplitude spectra”, A. V. den Bos, Int. Journal of Control, Vol. 30, No. 5, pp. 871-884, 1979,
- “Peak Factor minimization usint Time-Frequency Domain swapping algorithm”, E. V. der Ouderaa, IEEE Transactions on Instrumentation and Measurement, Vol. 37, No. 1, March 1988,
- “Optimization of multisine excitation for bioimpedance measurement device”, J. Ojarand, IEEE Proceedings Instrumentation and Measurement, pp. 829-832, May 2014,
- “An improved crest factor minimization algorithm to synthesize multisine with arbitrary spectrum”, Y. Yang, Physiol. Meas. 36 895, April 2015.