

# CR SOURCES AND PROPAGATION MODELS

Pasquale Blasi

INAF/Osservatorio Astrofisico di Arcetri, Firenze  
Gran Sasso Science Institute (GSSI/INFN), L'Aquila

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# PROLOGUE

This workshop is dedicated to discussions on the data and physics of cosmic ray composition between the knee and the ankle in the view of theoretical models for the transition from galactic to extragalactic origin of cosmic rays.

The reason we are here is that we can't make sense of some pieces of observations

... because observations see things that require theory to go beyond the obvious

... and because observations provide conflicting info, which raises the problem of systematic uncertainties

BUT ESPECIALLY, WE REALIZED THE STRONGLY INTERCONNECTED NATURE OF THE PROBLEM (YOU CAN'T DO UHECR WITHOUT UNDERSTANDING GALACTIC CR)

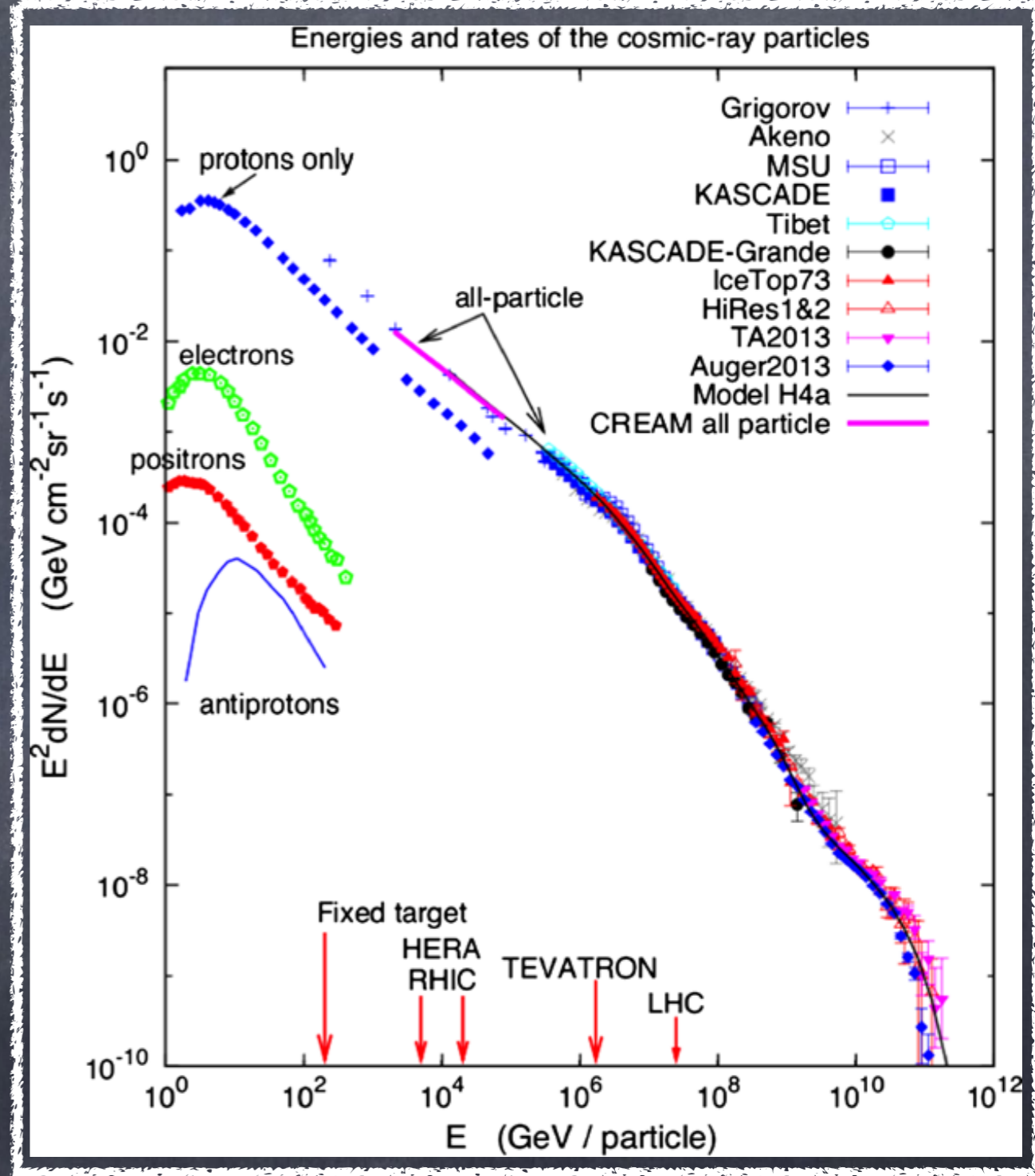
# CR Spectrum

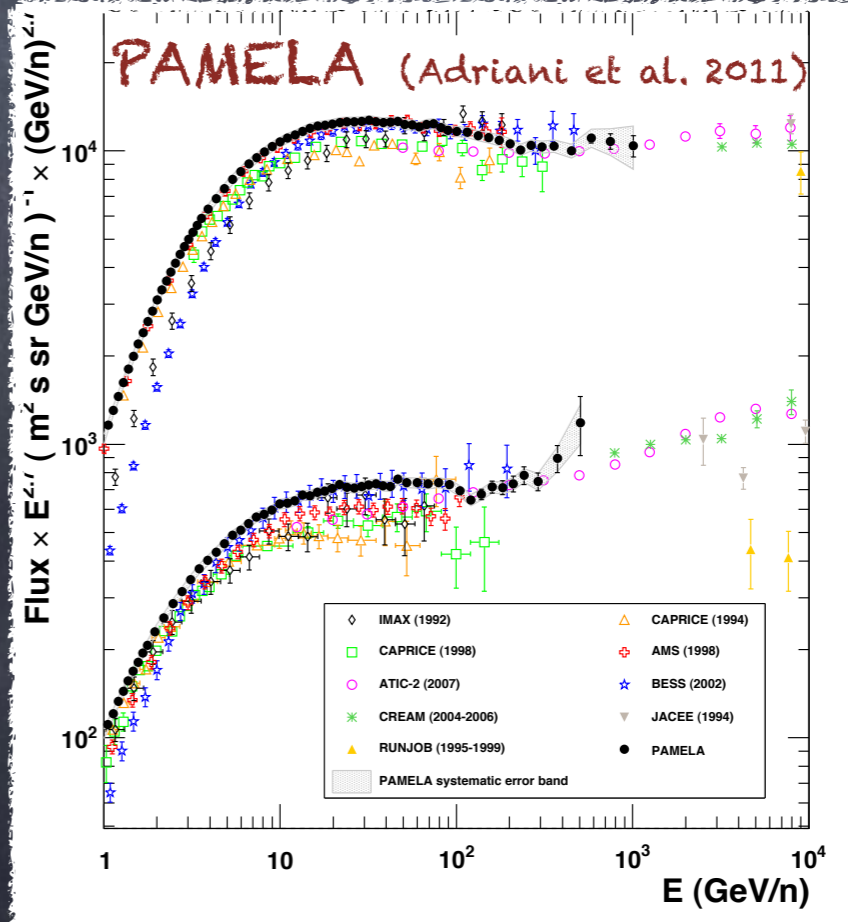
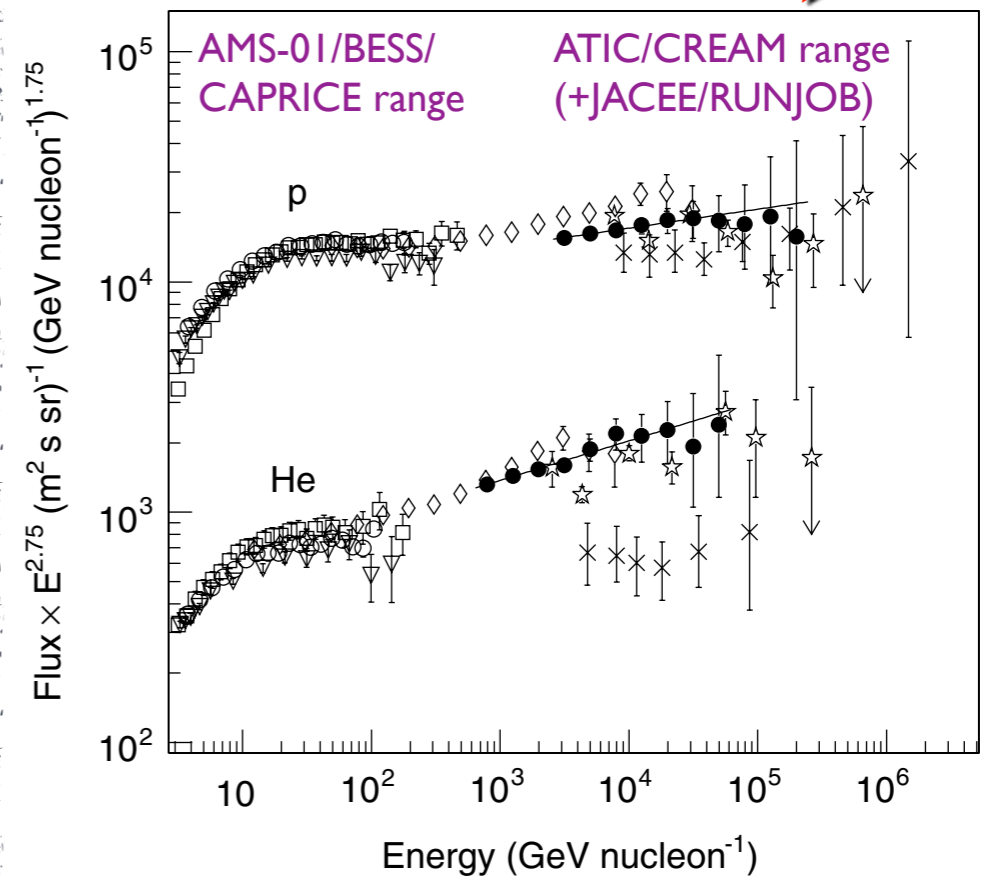
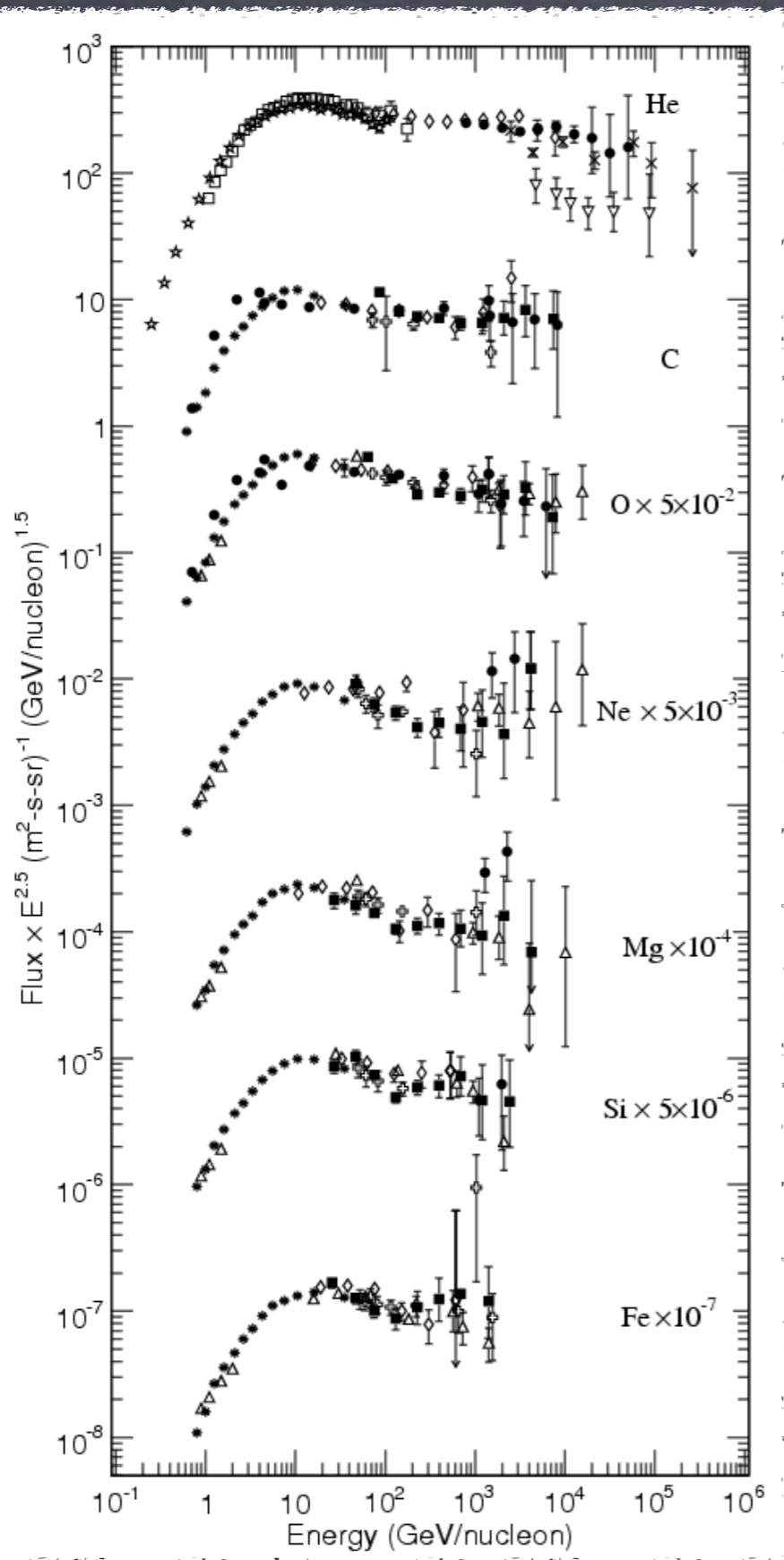
From afar the spectrum looks like a power law

Scale free physics ?

Broken power laws more interesting (scale  $\rightarrow$  physics)

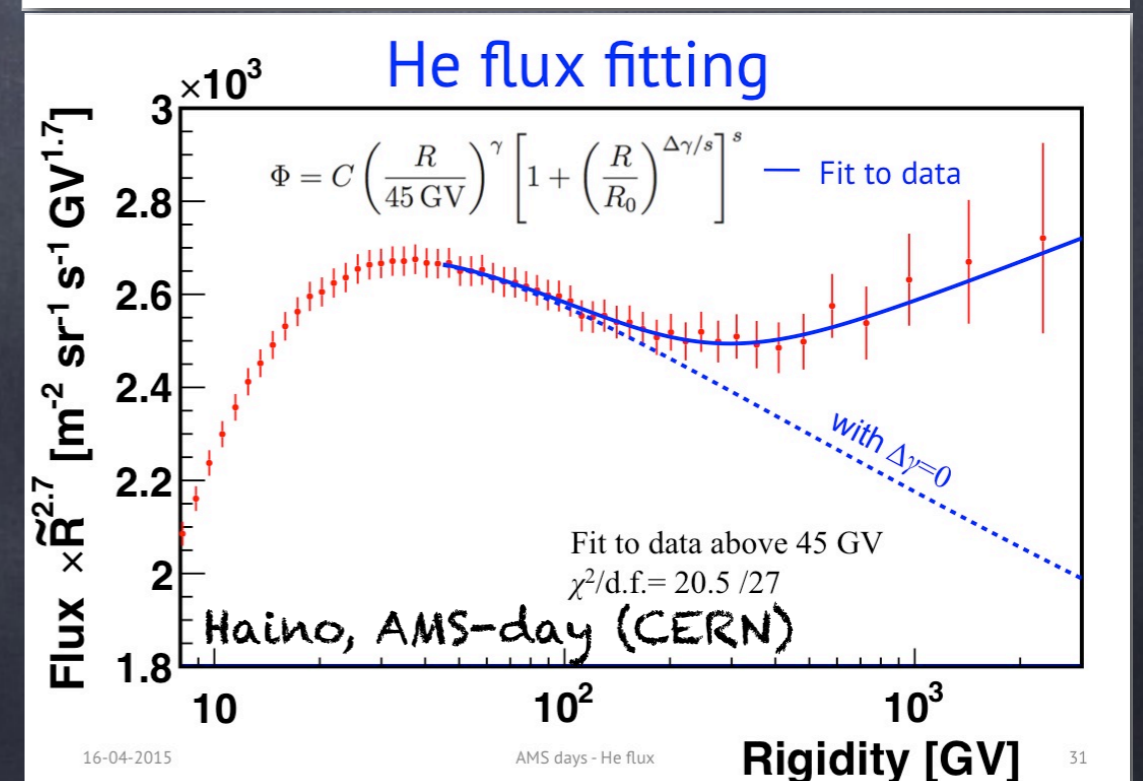
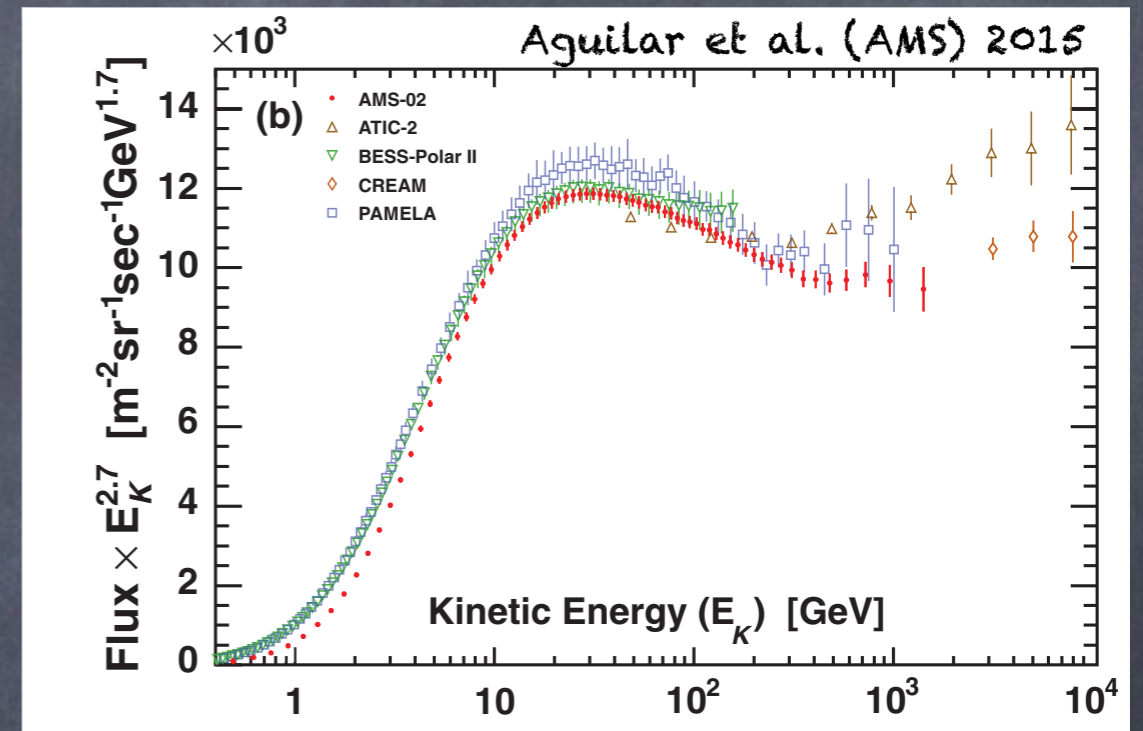
After knee and ankle, first evidence of scales also in the spectra of individual elements



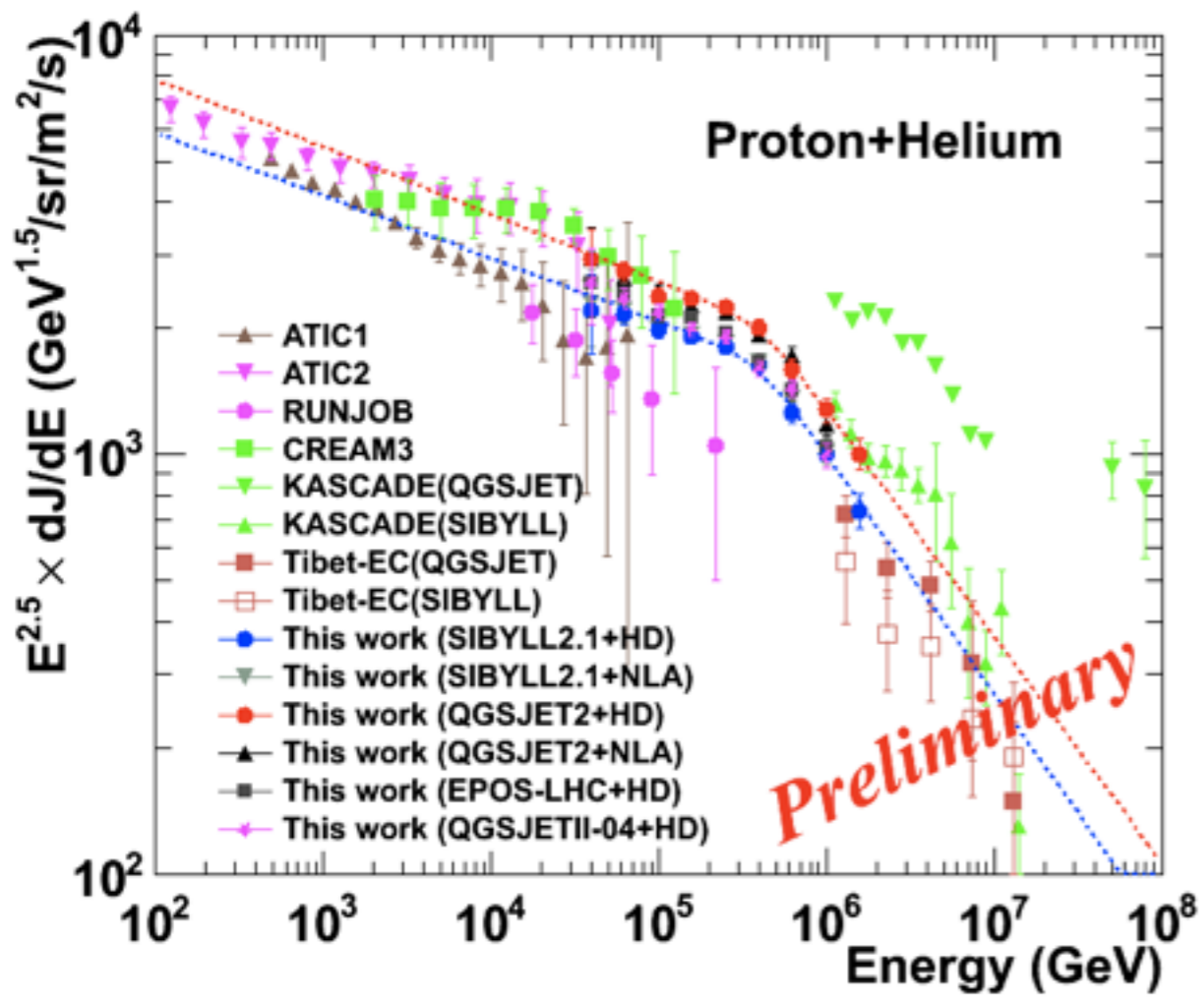


# AMS-02 confirmation

- Both protons and helium spectra show a break @ ~200-300 GV
- The He spectrum is slightly harder than that of protons
- There is some indication that a similar break exists for heavier nuclei (CREAM)

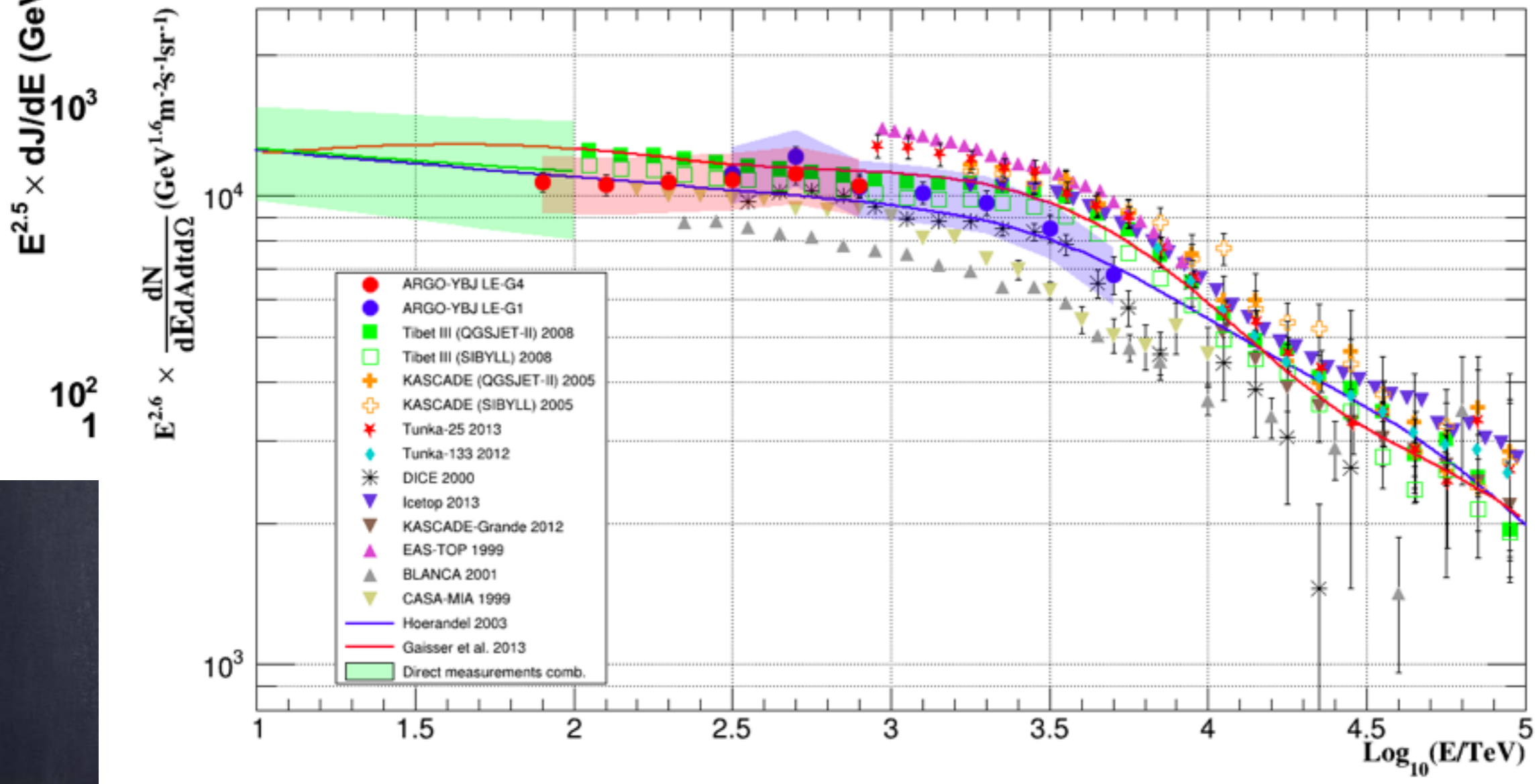
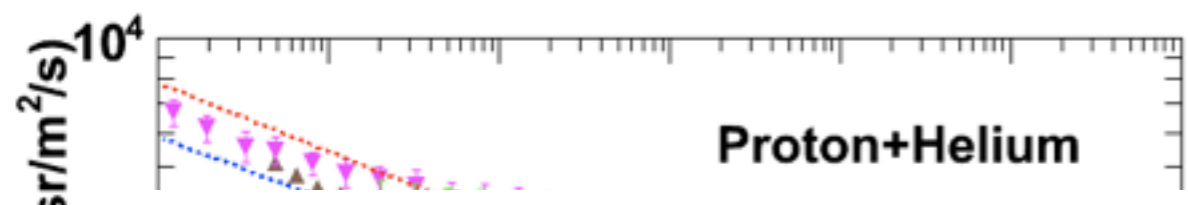


Knees...

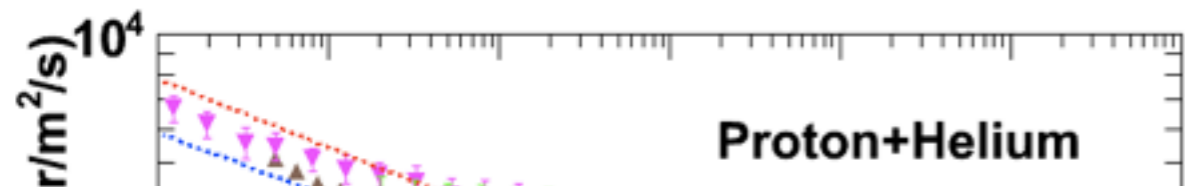


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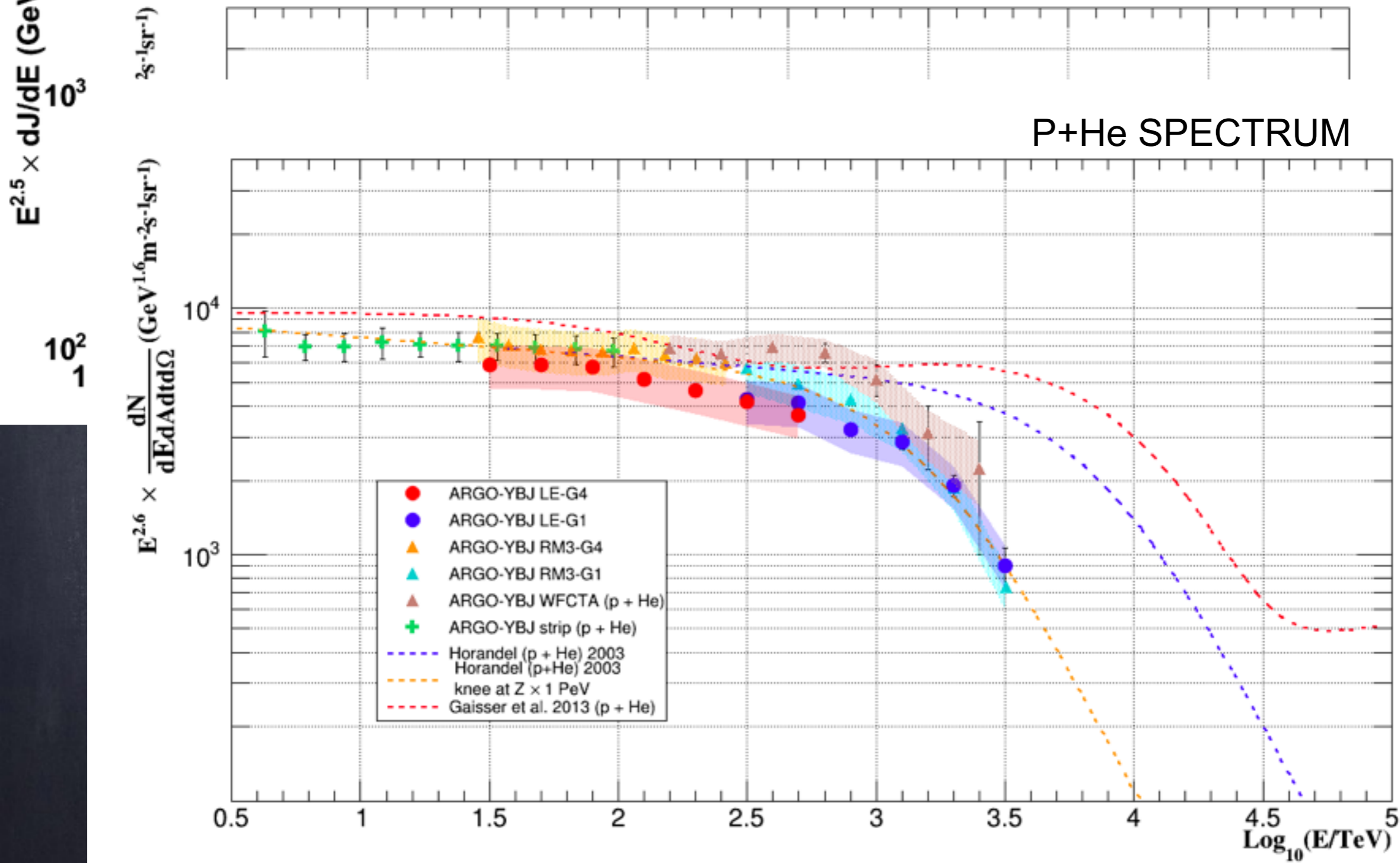
# ALL PARTICLE SPECTRUM



KNEES...

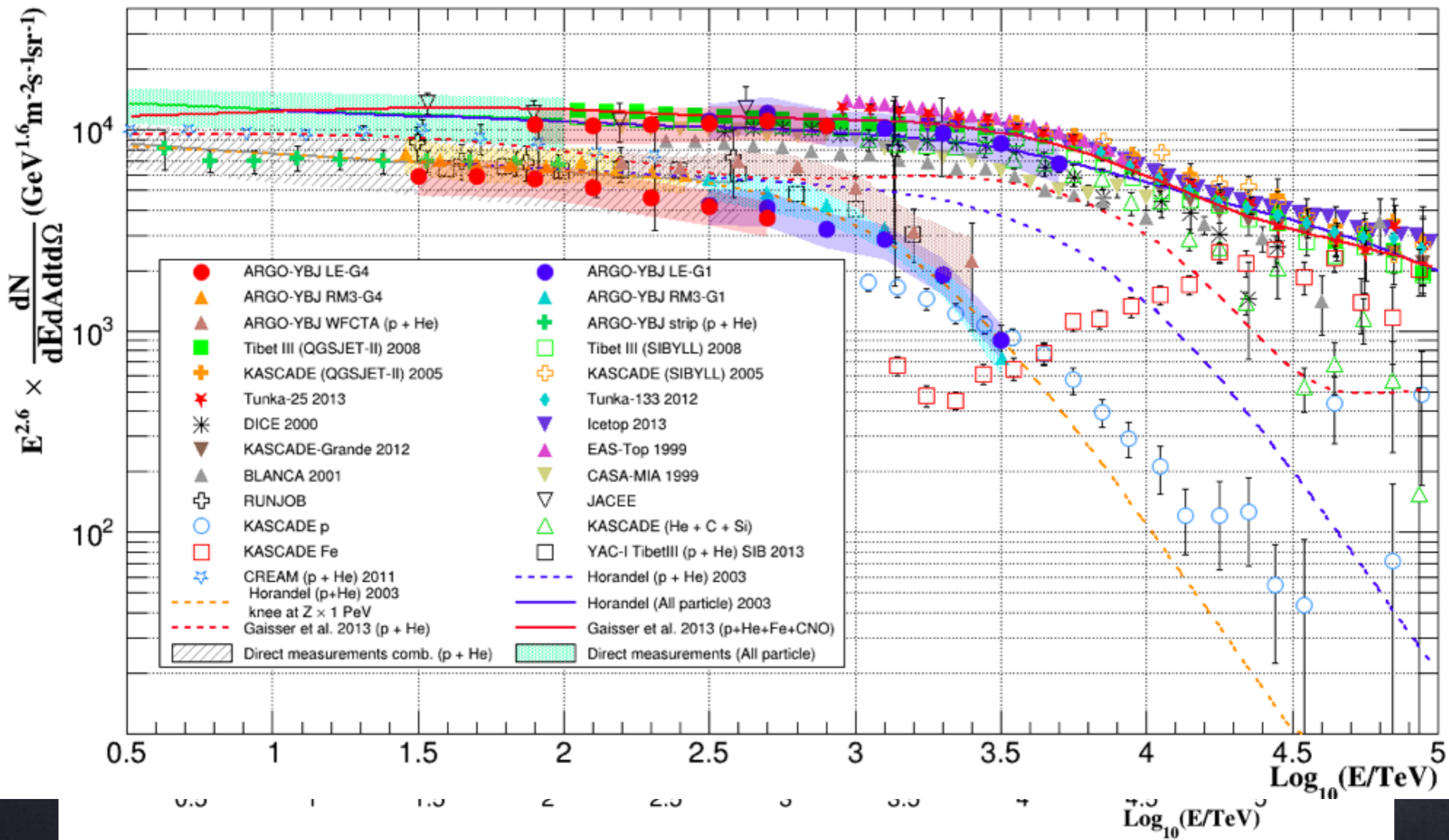
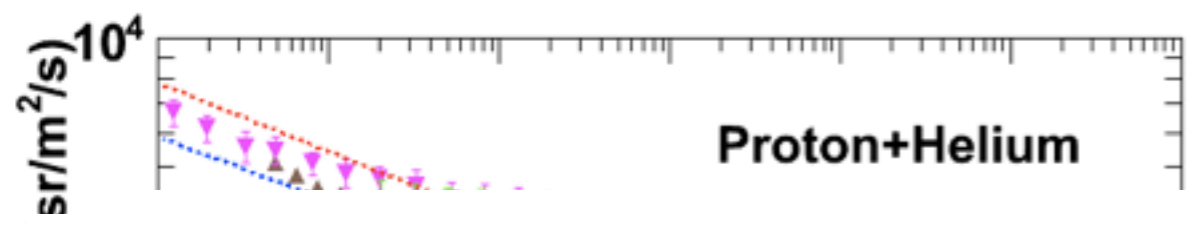


ALL PARTICLE SPECTRUM





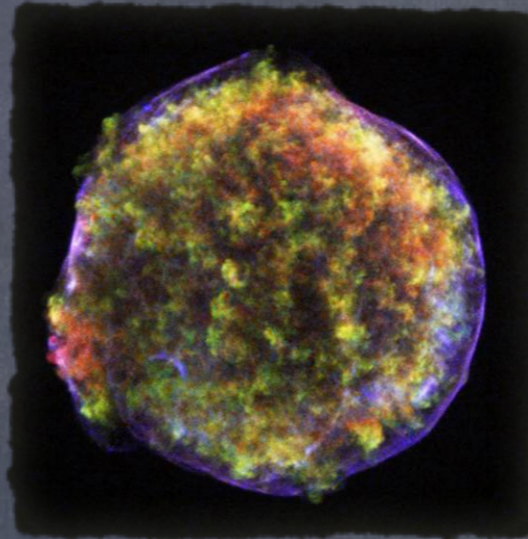
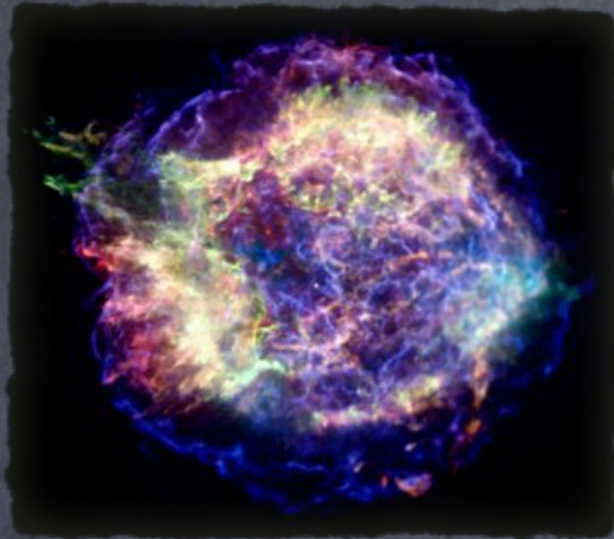
# KNEES...



# SOURCES OF GALACTIC COSMIC RAYS

- Despite some efforts to work in different directions, SNR still remain the main candidate sources of Galactic CRs
- They may be of different types, explode in different environments, have different energetics, but...
- They all lead to the formation of strong collisionless shocks
- The main process of particle acceleration is diffusive shock acceleration (DSA) at such shocks
- But... many loose ends... as for any good theory, its weaknesses are a proof of its testability

# SUPERNOVA BLAST WAVES



FREE EXPANSION VELOCITY:

$$V_s = \sqrt{\frac{2E_{ej}}{M_{ej}}} = 10^9 E_{51}^{1/2} M_{ej,\Theta}^{-1/2} \text{ cm/s}$$

THE EXPANSION SPEED DROPS DURING THE SEDOV-TAYLOR PHASE BUT THE MACH NUMBER STAYS  $>10-100$

STRONG COLLISIONLESS SHOCK WAVE

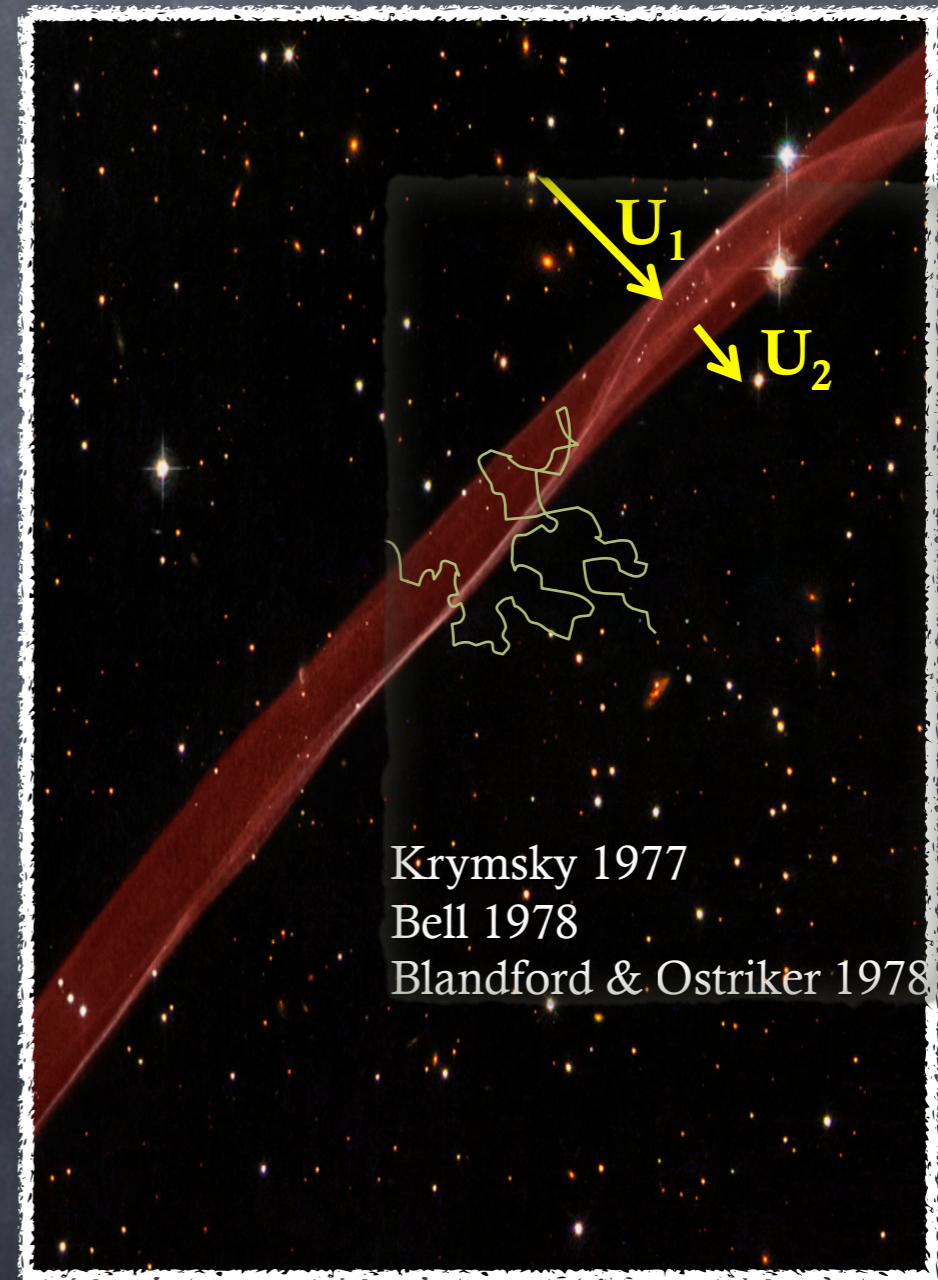
# DIFFUSIVE SHOCK ACCELERATION

## Test Particle Approach

- Diffusion of charged particles back and forth across the shock leads to

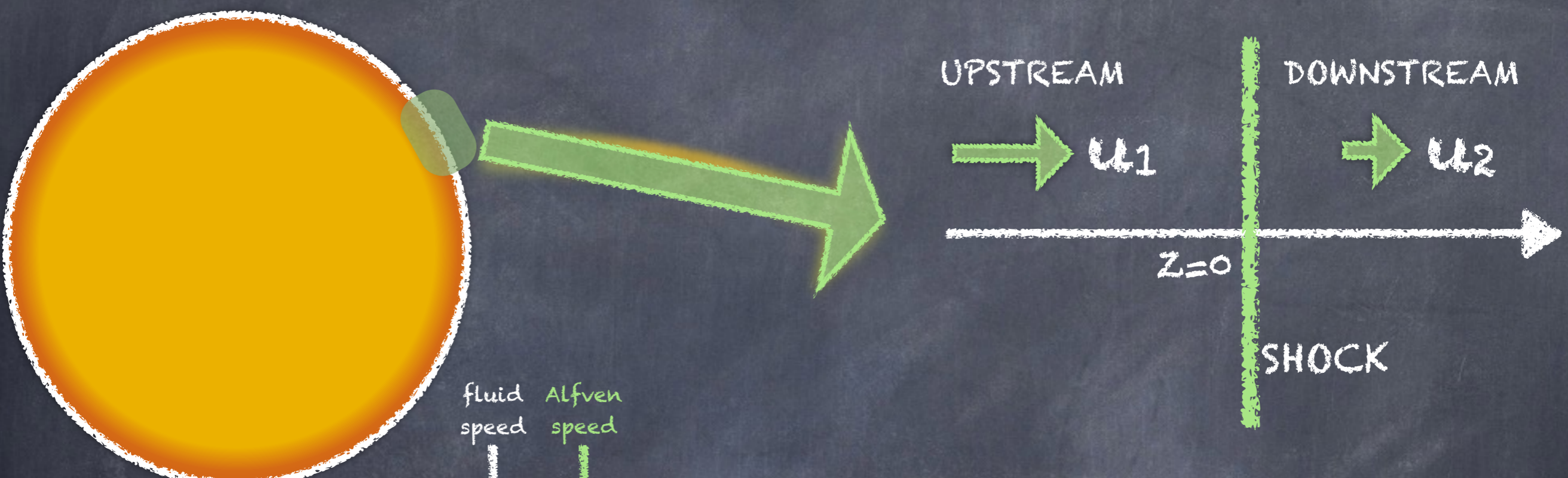
$$\frac{\Delta E}{E} = \frac{4}{3}(U_1 - U_2)$$

- POWER LAW SPECTRUM
- THE SPECTRAL SLOPE ONLY DEPENDS ON SHOCK COMPRESSION
- INDEPENDENT OF THE DIFFUSION COEFFICIENT
- FOR STRONG SHOCKS:  $E^{-2}$



THE EFFICIENCY REQUIRED PER SNR  $\sim 10\%$ : TEST PARTICLES?  
FIRST NEED FOR A NON-LINEAR THEORY

# TRANSPORT EQUATION AND DSA



fluid speed  
Alfven speed

$$-\frac{\partial}{\partial z} \left[ D(E) \frac{\partial f}{\partial z} \right] + (u + v_A) \frac{\partial f}{\partial z} + \frac{1}{3} \left( \frac{d(u + v_A)}{dz} \right) p \frac{\partial f}{\partial p} = A \delta(p - p_{inj}) \delta(z)$$

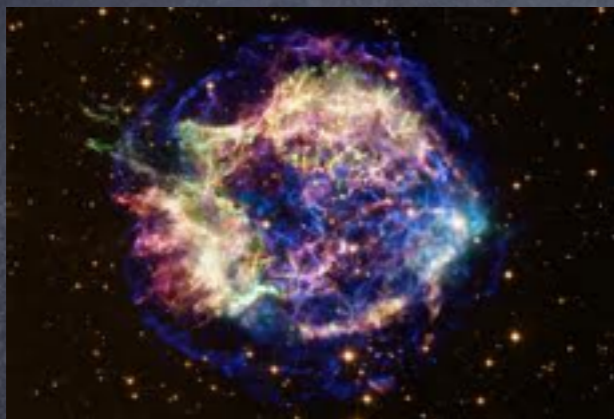
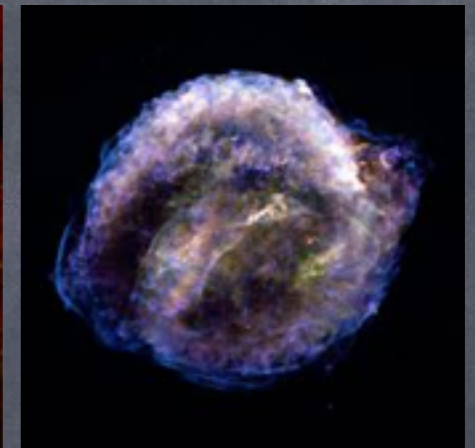
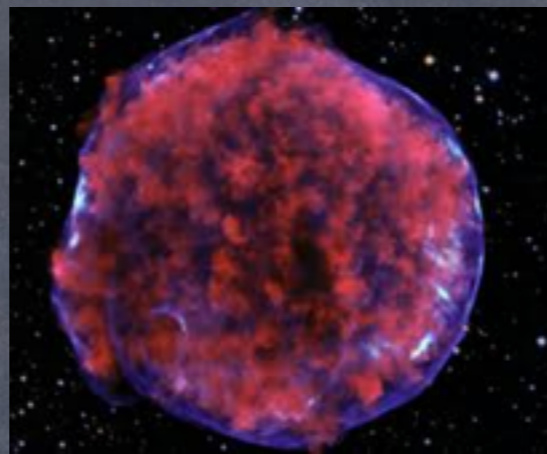
Integrating between  $0^-$  and  $0^+$  and between  $-\infty$  and  $z=0$ , one finds the usual result:

$$f_0(p) \propto p^{\frac{3r}{r-1}} \quad r = \frac{u_1 - v_{A,1}}{u_2 + v_{A,2}} \approx \tilde{r} \left( 1 - \frac{1}{M_A} \right)$$

↑  
10 IF  $v_{A2} \sim 0$

↓  
COMPRESSION OF THE SCATTERING CENTERS  
↓  
SPECTRUM

# X-ray filaments



Virtually all young SNRs show evidence of thin non-thermal X-ray filaments

They are the result of synchrotron emission of high energy electrons accelerated at the shock

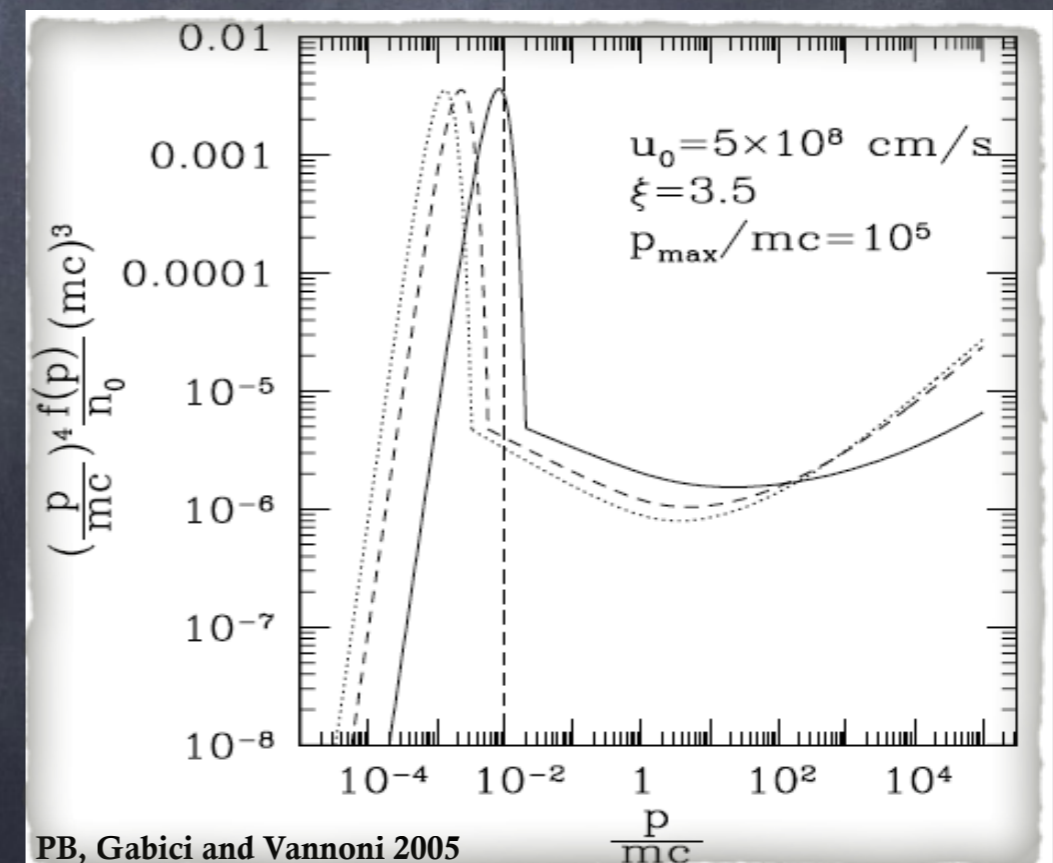
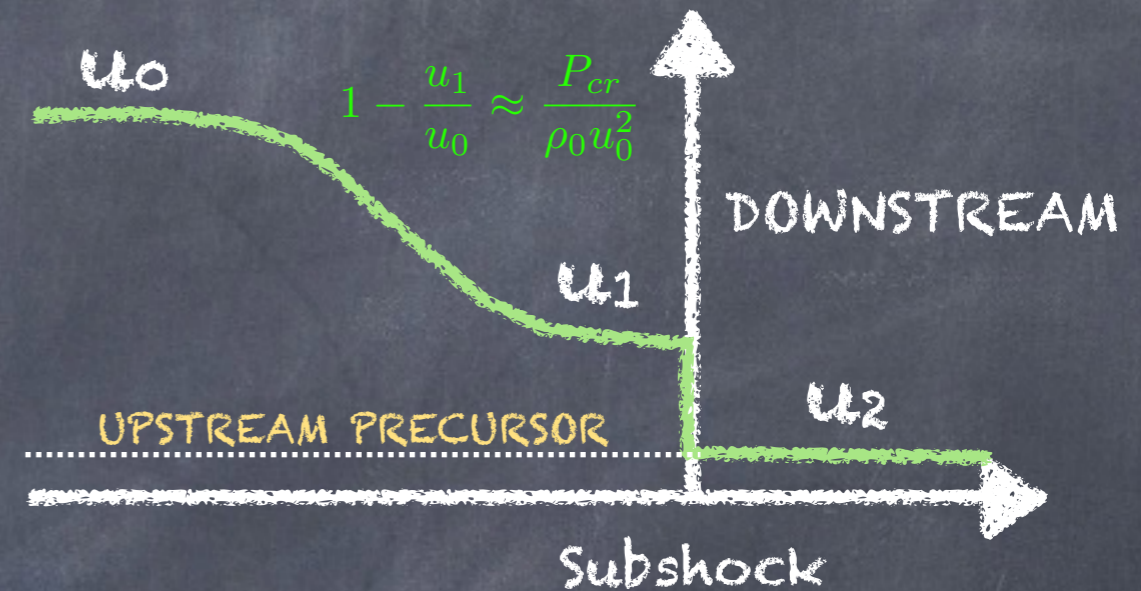
$$\Delta x \approx \sqrt{D(E_{max})\tau_{loss}(E_{max})} \approx 0.04 B_{100}^{-3/2} \text{ pc}$$

→  $B \sim 100 B_{galaxy}$

SECOND NEED FOR A NON-LINEAR THEORY

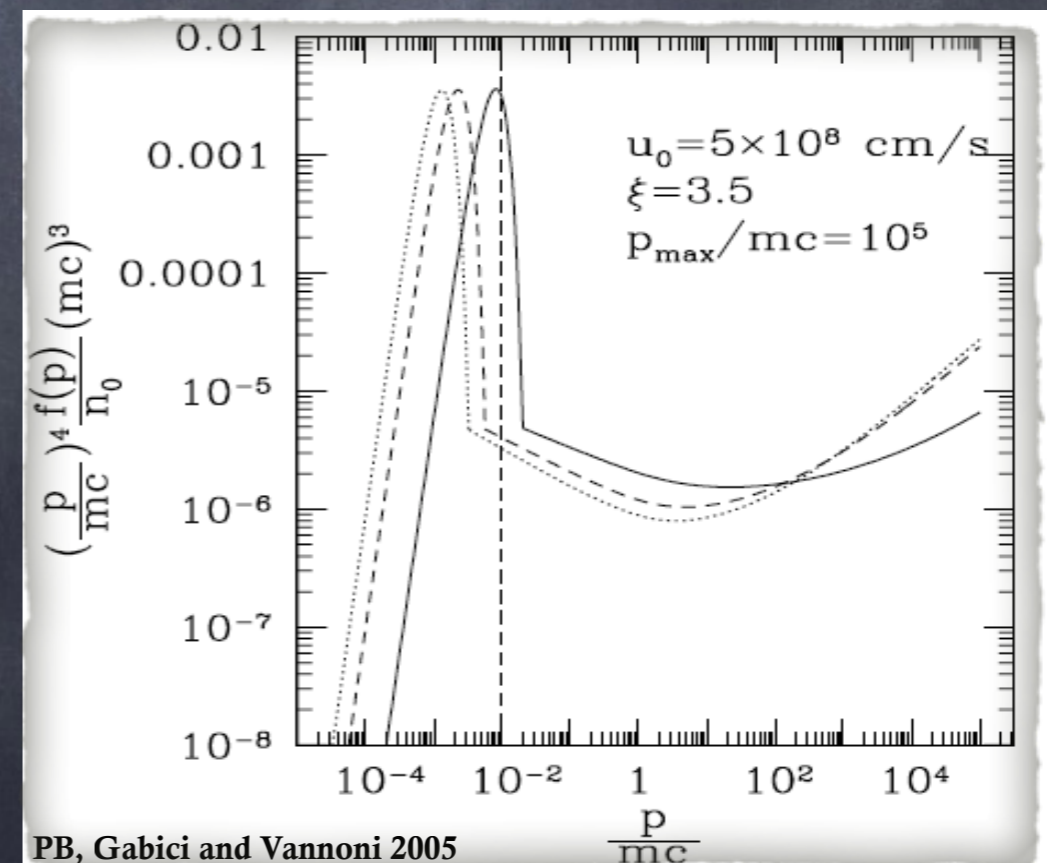
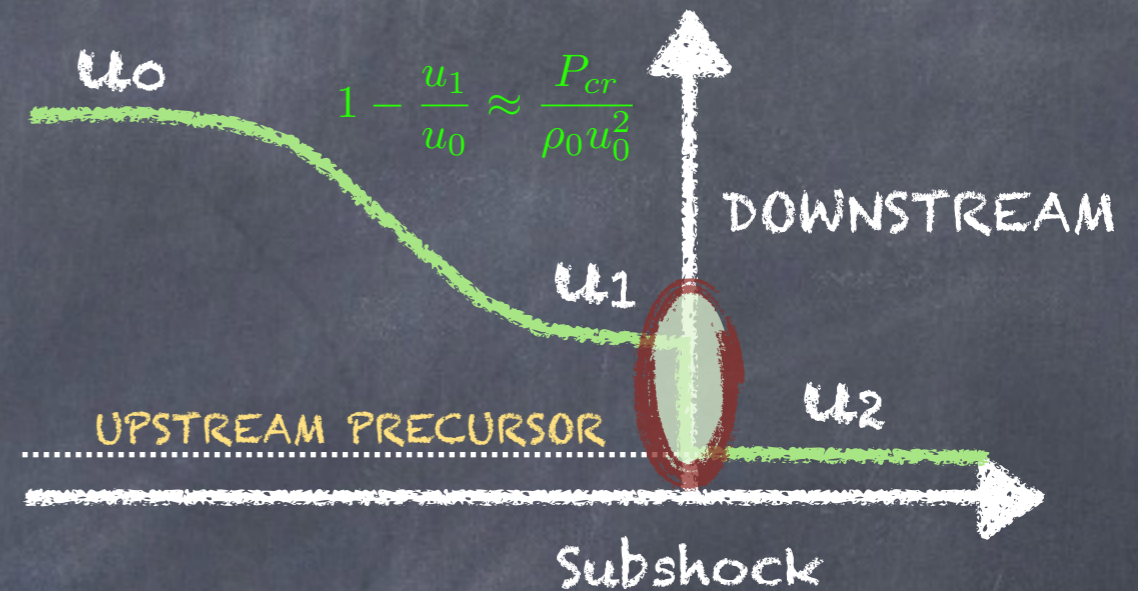
# Basic predictions of NLDSA

- COMPRESSION FACTOR BECOMES FUNCTION OF ENERGY
- SPECTRA ARE NOT PERFECT POWER LAWS (CONCAVE)
- GAS BEHIND THE SHOCK IS COOLER FOR EFFICIENT SHOCK ACCELERATION
- EFFICIENT GROWTH OF B-FIELD IF ACCELERATION EFFICIENT



# Basic predictions of NLDSA

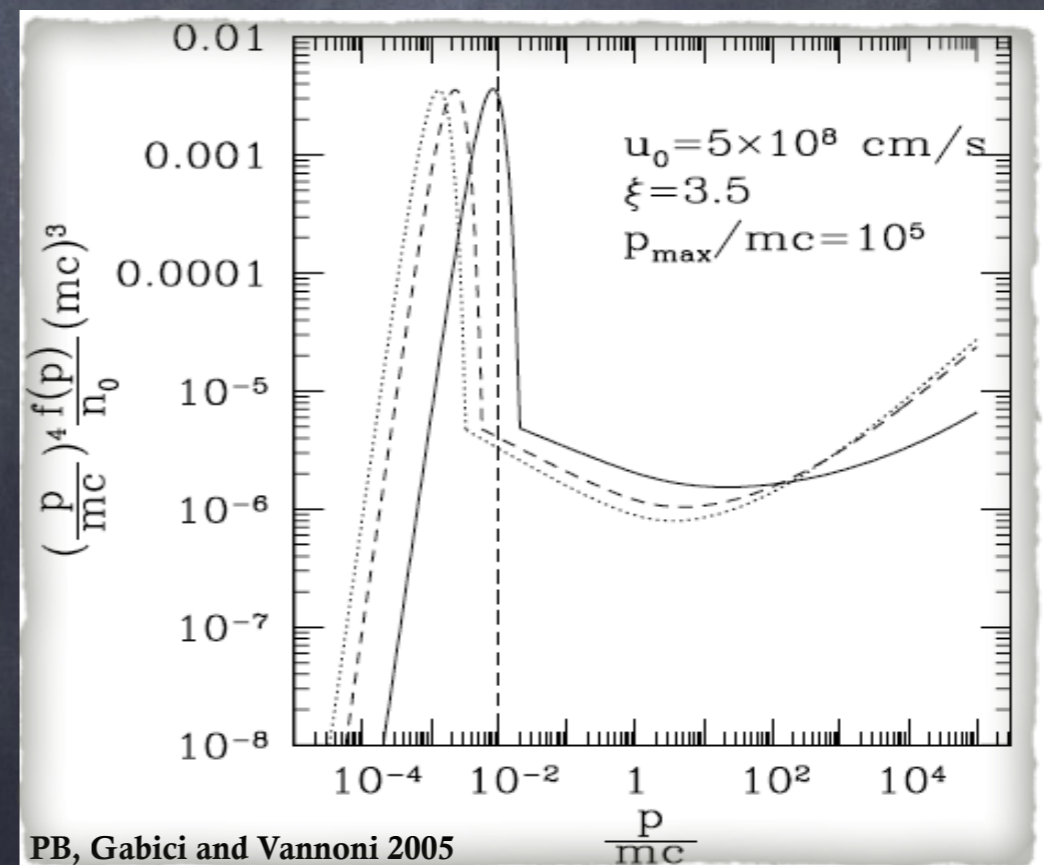
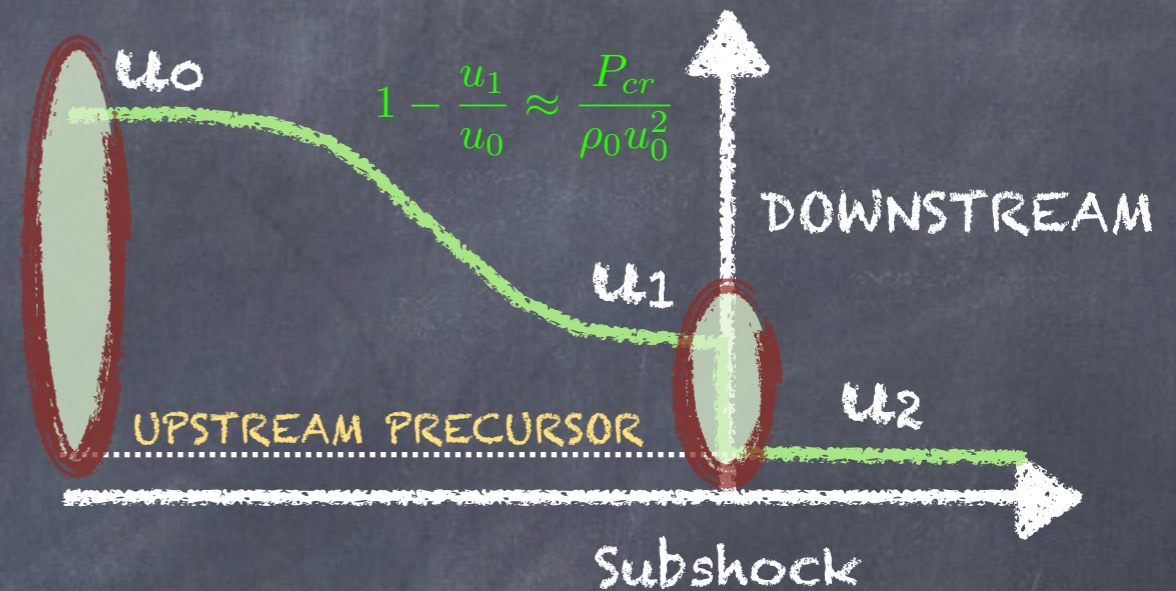
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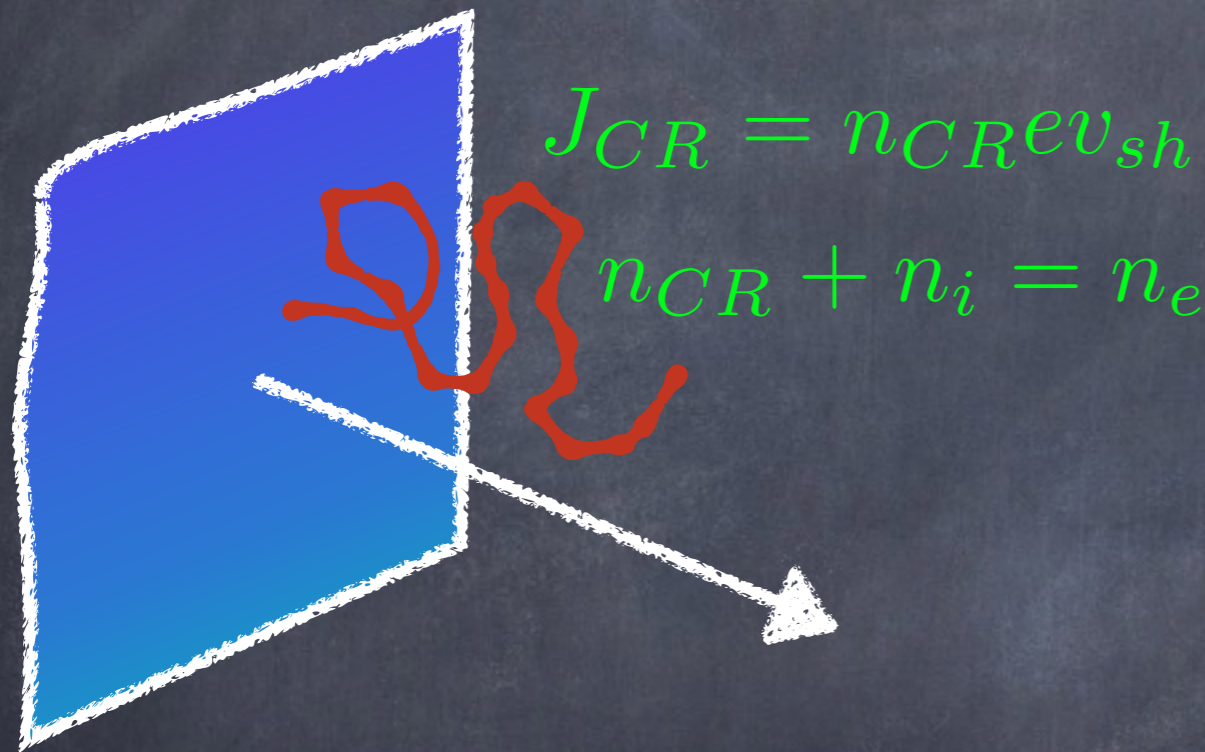
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# Basics of magnetic field amplification

*The ever-lasting quest for  $E_{max}$*

Particle acceleration at shocks is basically a problem of electrodynamics, just very complex



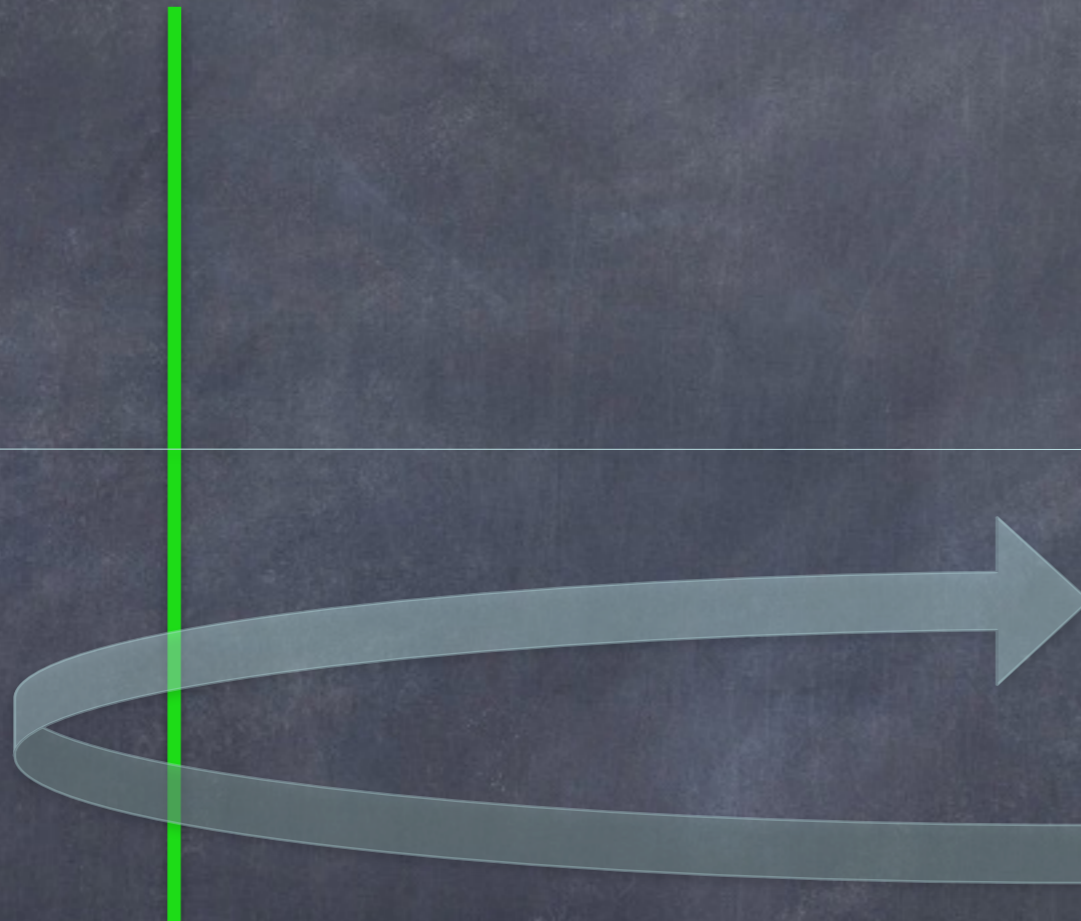
The background plasma reacts to the presence of CR by creating a return current

It is this RETURN CURRENT that induces the plasma instabilities responsible for magnetic field amplification and regulates the MAXIMUM ENERGY

# THE CRUCIAL ROLE OF ESCAPING CR

Bell & Schure 2013

Cardillo, Amato & PB 2015

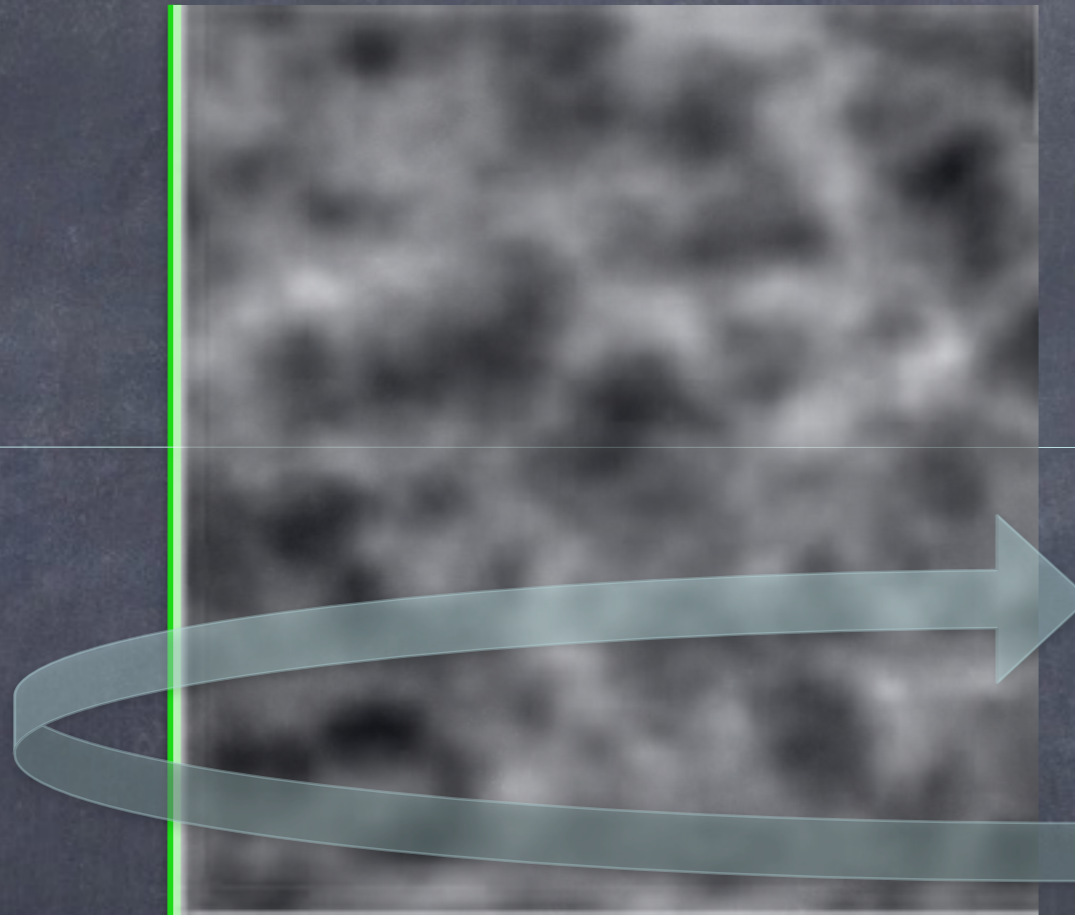


Escaping particles  
Generating seed  
turbulence

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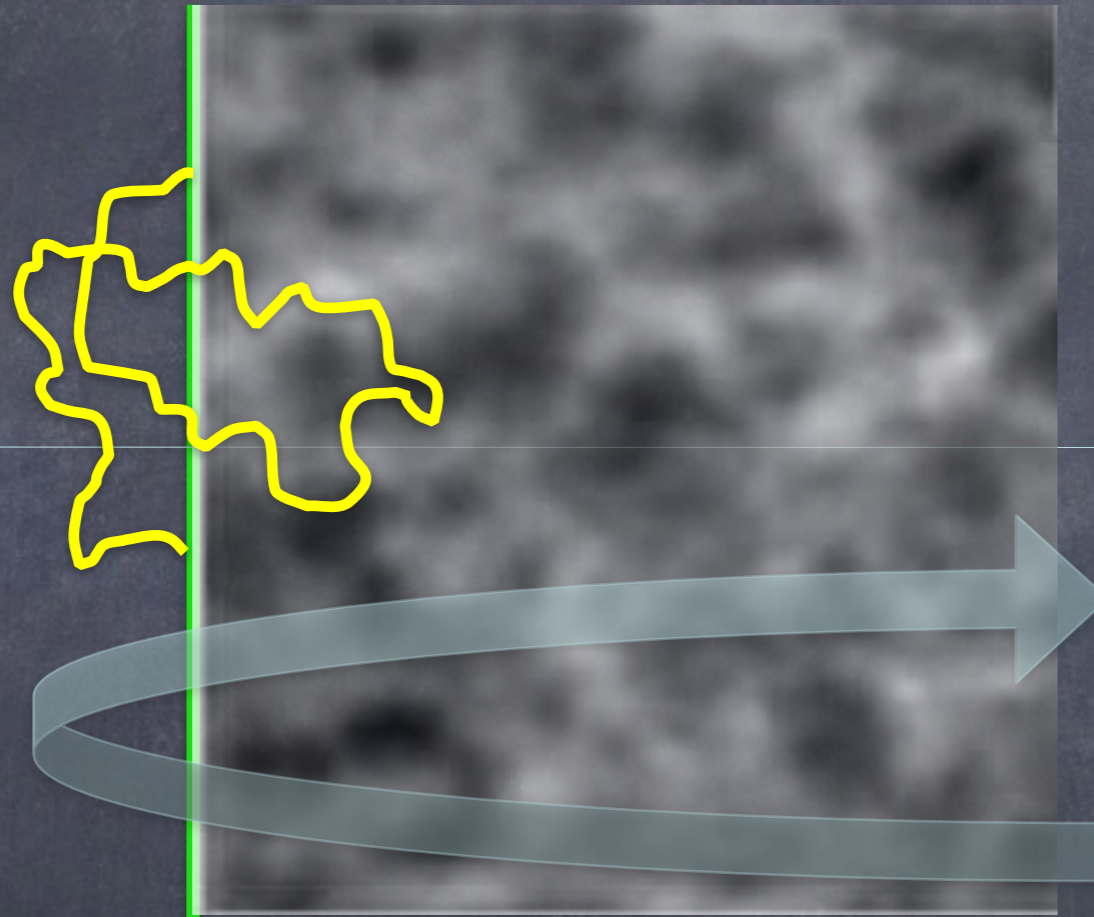


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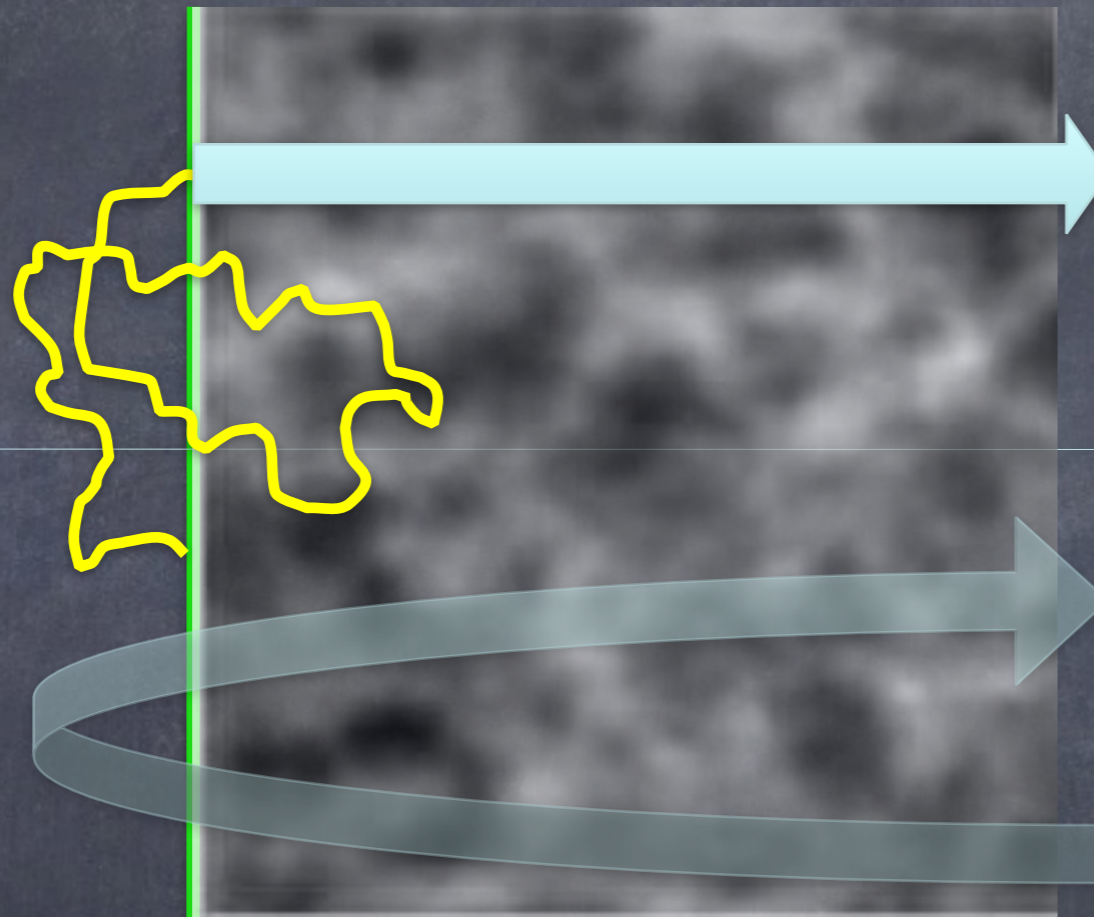


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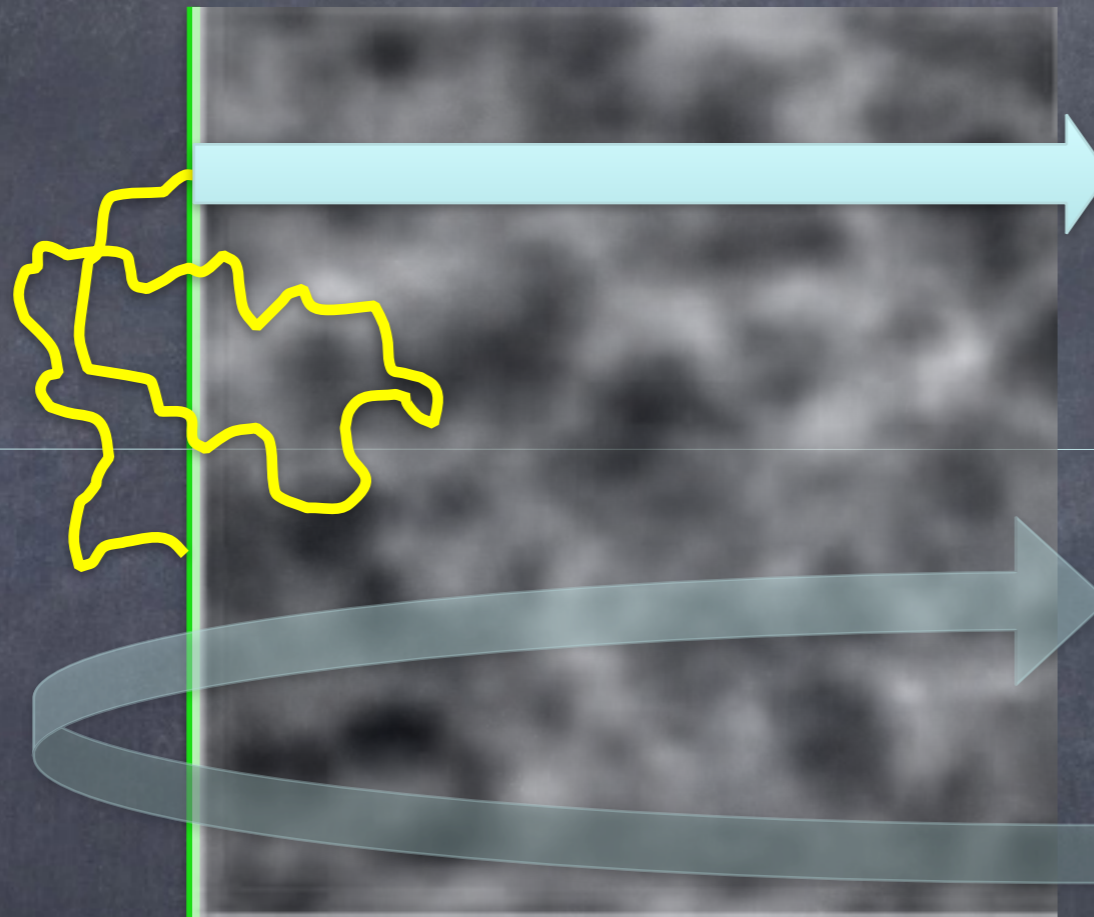


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Escaping particles  
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CALCULATING THE SPECTRUM OF PARTICLES ESCAPING A SNR DURING THE LIFE OF THE REMNANT IS ONE OF THE BIGGEST CHALLENGES FACED BY STUDIES OF THE ORIGIN OF CR

# The fastest growing modes

The growth rate can be written as (Bell 2004)

$$\gamma_{max} = k_{max} v_A \quad k_{max} B_0 = \frac{4\pi}{c} J_{CR}^{esc}$$

but the modes exist only if  $k_{max} > 1/r_L$  (they do not affect the current)

$$n_{CR}(> E) E \frac{v_s}{c} > \frac{B_0^2}{4\pi} = U_{mag}$$

Energy density of escaping CRs

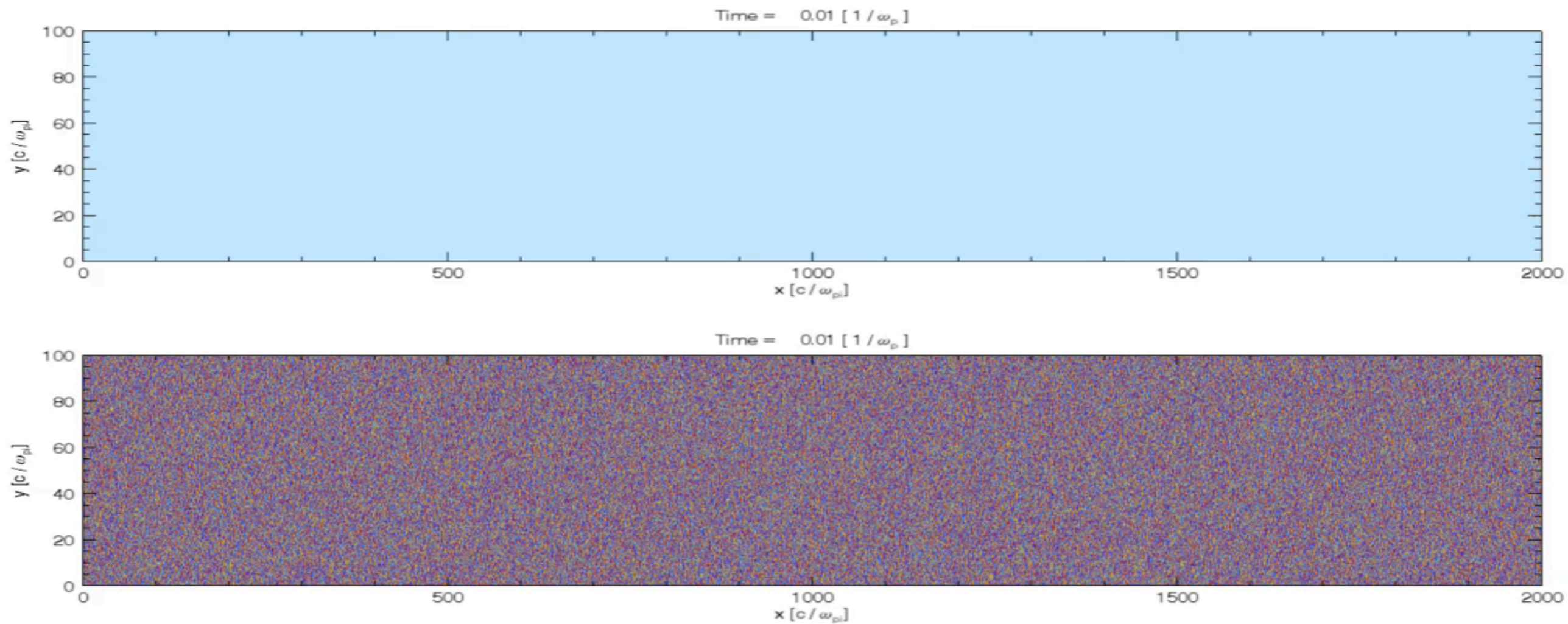
a fluid element gets subject to a force, the scale of the amplified field increases

$$\rho \frac{dv}{dt} \approx J_{CR} \delta B(t) \rightarrow \frac{\delta B^2}{4\pi} \approx n_{CR} \frac{v_s}{c}$$

IN OTHER WORDS THE FIELD SATURATES AT ROUGLY EQUIPARTITION BETWEEN MAGNETIC ENERGY AND ENERGY OF ESCAPING CR, TYPICALLY SEVERAL HUNDRED MICROGAUSS AFTER COMPRESSION

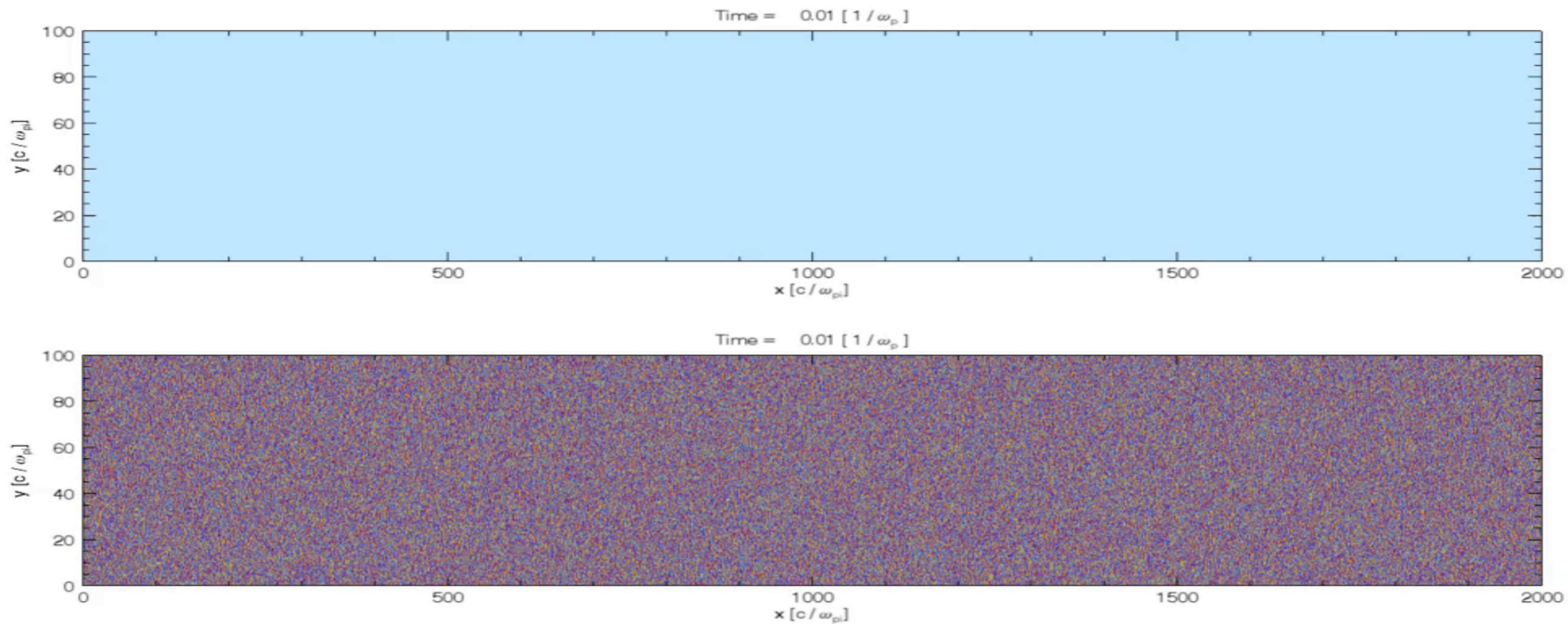


# THE CRUCIAL ROLE OF ESCAPING CR



Caprioli & Spitkovsky 2013

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Caprioli & Spitkovsky 2013

# IMPLICATIONS FOR MAXIMUM ENERGY

## Supernovae Type Ia

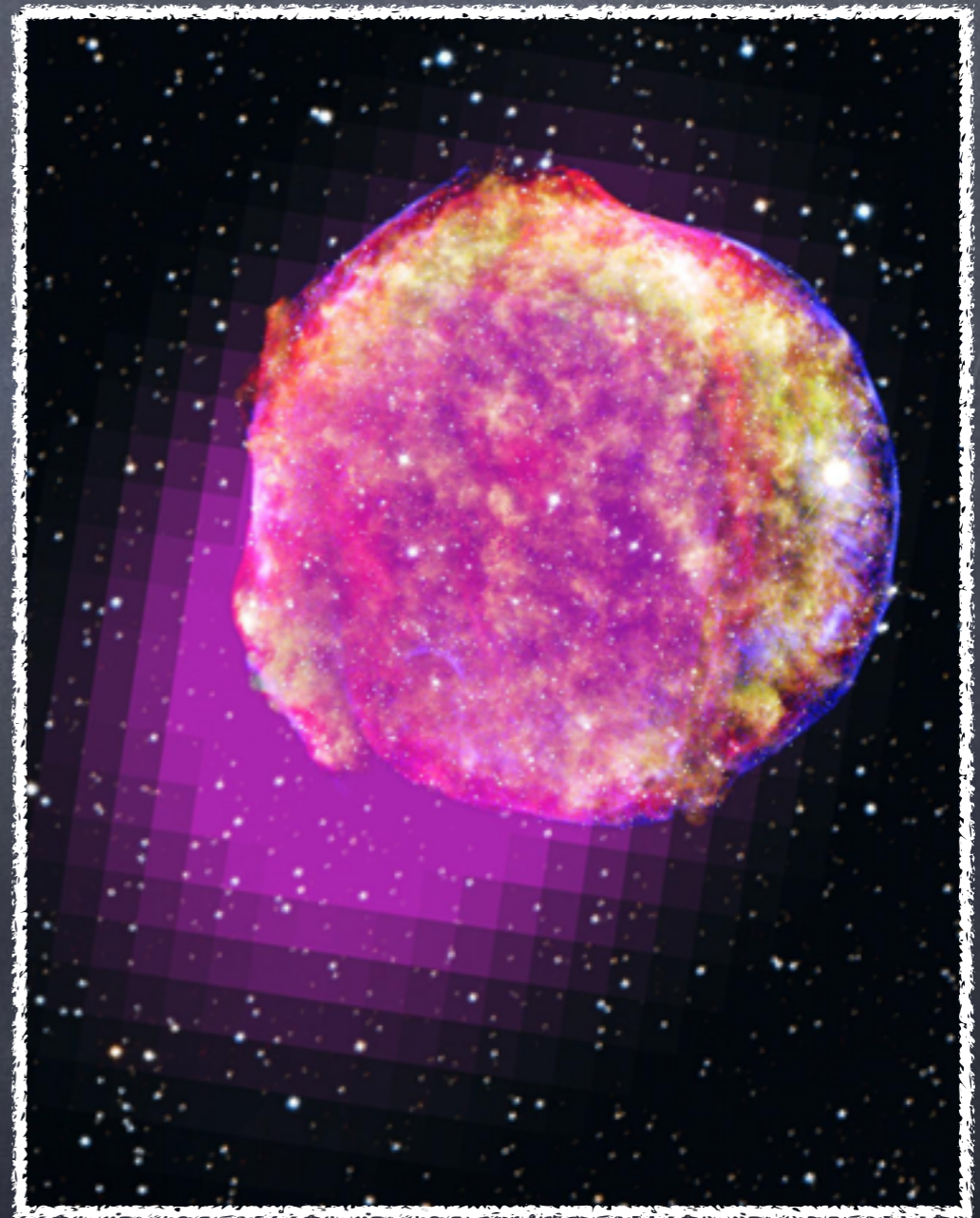
FOR A SN TYPE Ia EXPLODING IN THE ISM THE MAXIMUM ENERGY CAN BE ESTIMATED AS:

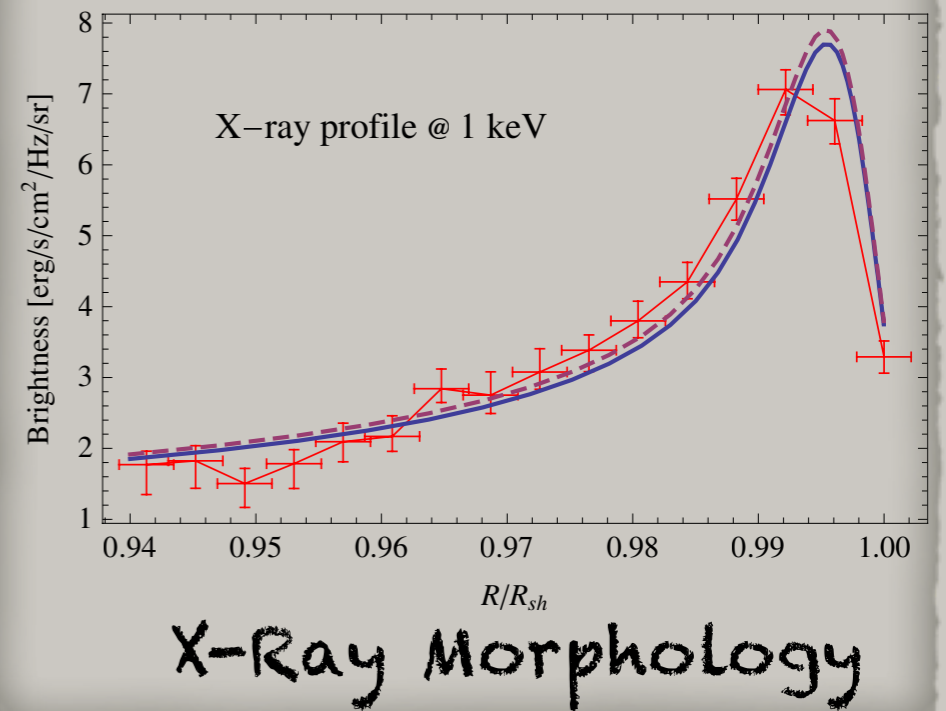
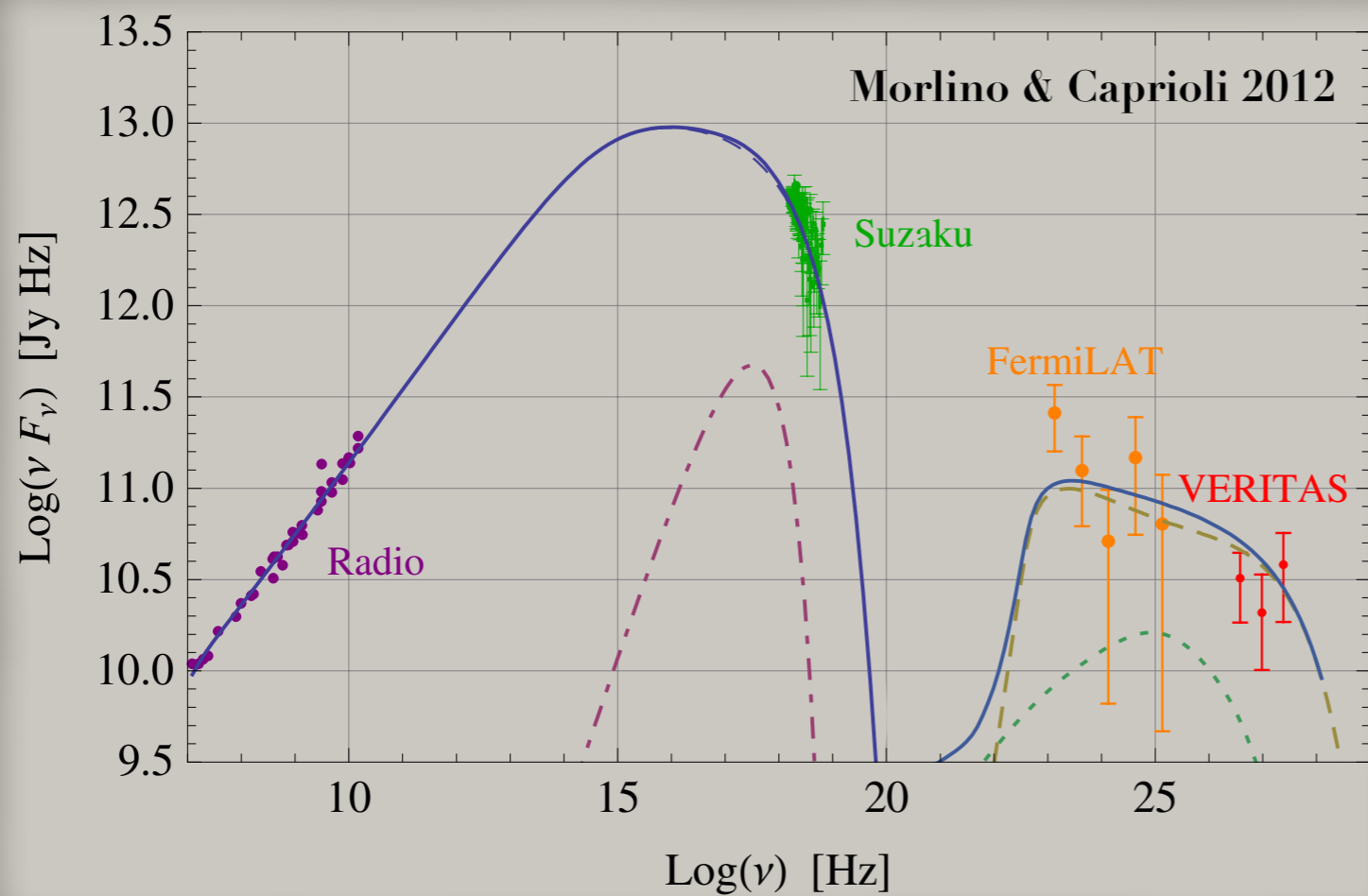
$$E_M \cong \frac{2e}{10c} \xi_{CR} v_0^2 \sqrt{4\pi\rho R_0^2} = 130 \left( \frac{\xi_{CR}}{0.1} \right) \left( \frac{M_{ej}}{M_\odot} \right)^{-\frac{2}{3}} \left( \frac{E_{SN}}{10^{51} \text{erg}} \right) \left( \frac{n_{ISM}}{\text{cm}^{-3}} \right)^{\frac{1}{6}} \text{TeV}$$

FOR TYPICAL VALUES OF THE PARAMETERS THE MAXIMUM ENERGY REACHABLE IS WELL BELOW THE KNEE

# The case of Tycho

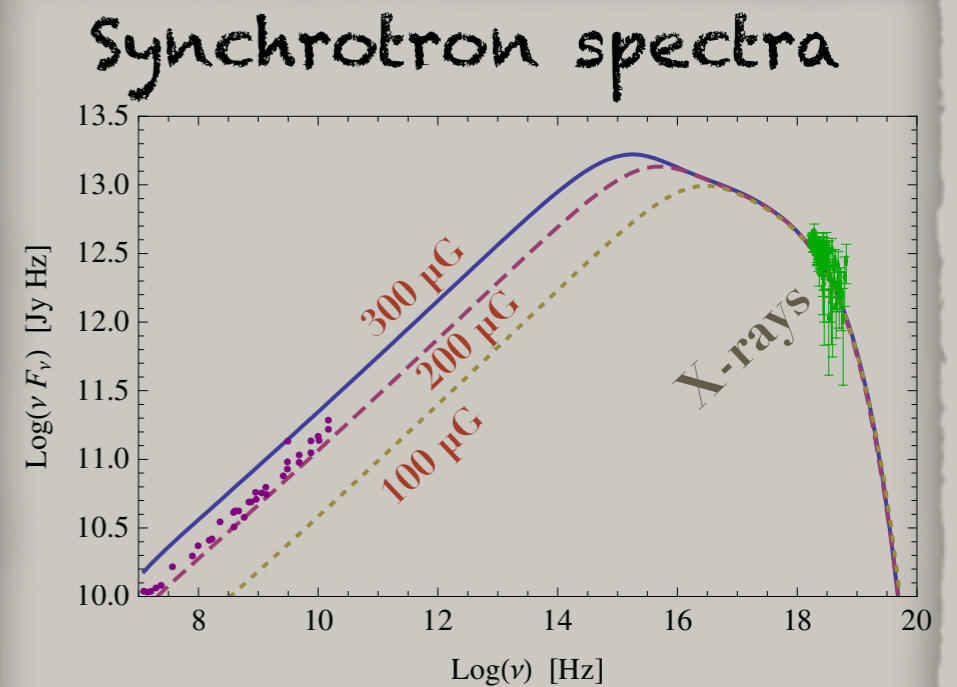
- Type Ia SN, exploded 1572 (age: 443 years)
- Very regular shape
- Strong evidence for X-ray filaments
- Radio  $\rightarrow$  e spectrum  $E^{-(2.2-2.3)}$
- Detected in gamma rays by Fermi-LAT and VERITAS





SPECTRUM AND MORPHOLOGY APPEAR TO BE WELL DESCRIBED BY EFFICIENT CR ACCELERATION

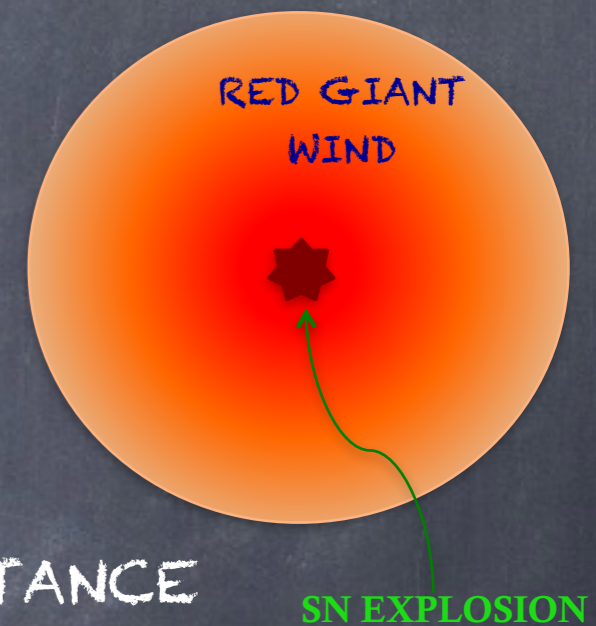
THE MAXIMUM ENERGY IS IN THE RANGE OF A FEW HUNDRED GeV



# MAXIMUM ENERGY FOR A CORE COLLAPSE SN IN A RED SUPERGIANT WIND

CORE COLLAPSE SN OFTEN EXPLODE IN THE WIND OF THE GIANT PROGENITOR. THE GAS DENSITY IN THE WIND IS

$$\rho(r) = \frac{\dot{M}}{4\pi r^2 v_W}$$



IN THE DENSE WIND THE SEDOV PHASE IS REACHED AT DISTANCE

$$R = M_{ej} v_W / \dot{M}$$

(About 30 years after explosion)

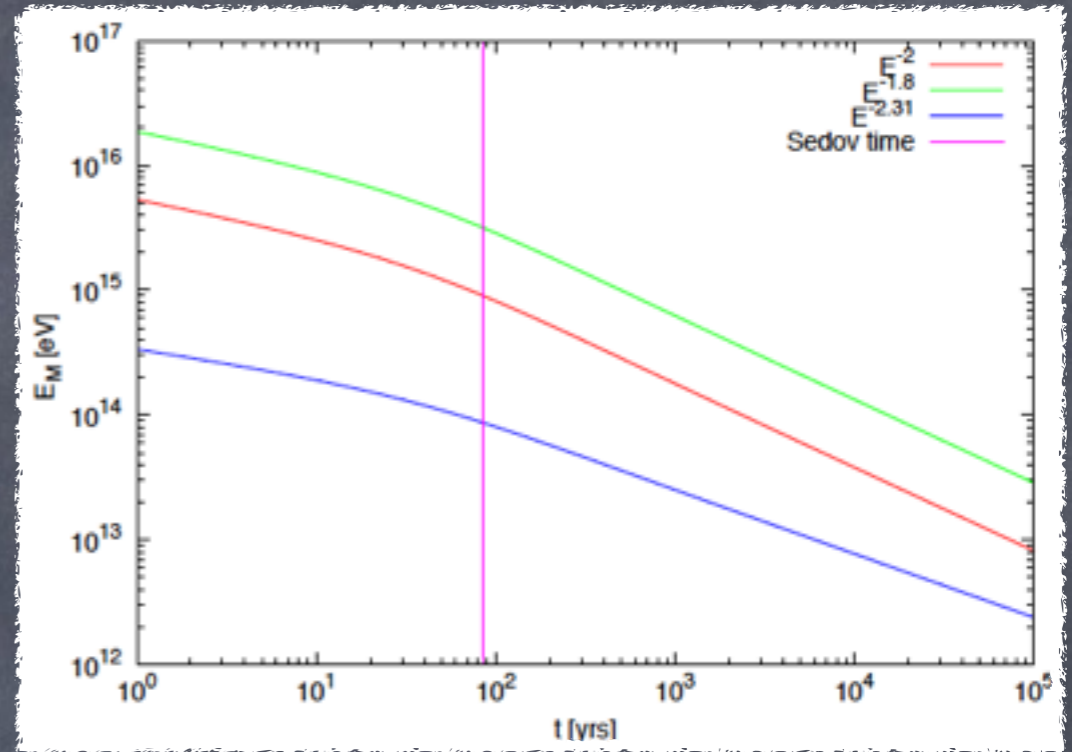
$$E_M \cong \frac{2e}{5c} \xi_{CR} v_0^2 \sqrt{4\pi \rho R_0^2} \approx 1 \left( \frac{\xi_{CR}}{0.1} \right) \left( \frac{M_{ej}}{M_\odot} \right)^{-1} \left( \frac{E_{SN}}{10^{51} \text{ erg}} \right) \left( \frac{\dot{M}}{10^{-5} M_\odot \text{ yr}^{-1}} \right)^{\frac{1}{2}} \left( \frac{V_w}{10 \text{ km s}^{-1}} \right)^{-\frac{1}{2}} \text{ PeV}$$

- The effective max energy is reached at the beginning of the ST phase

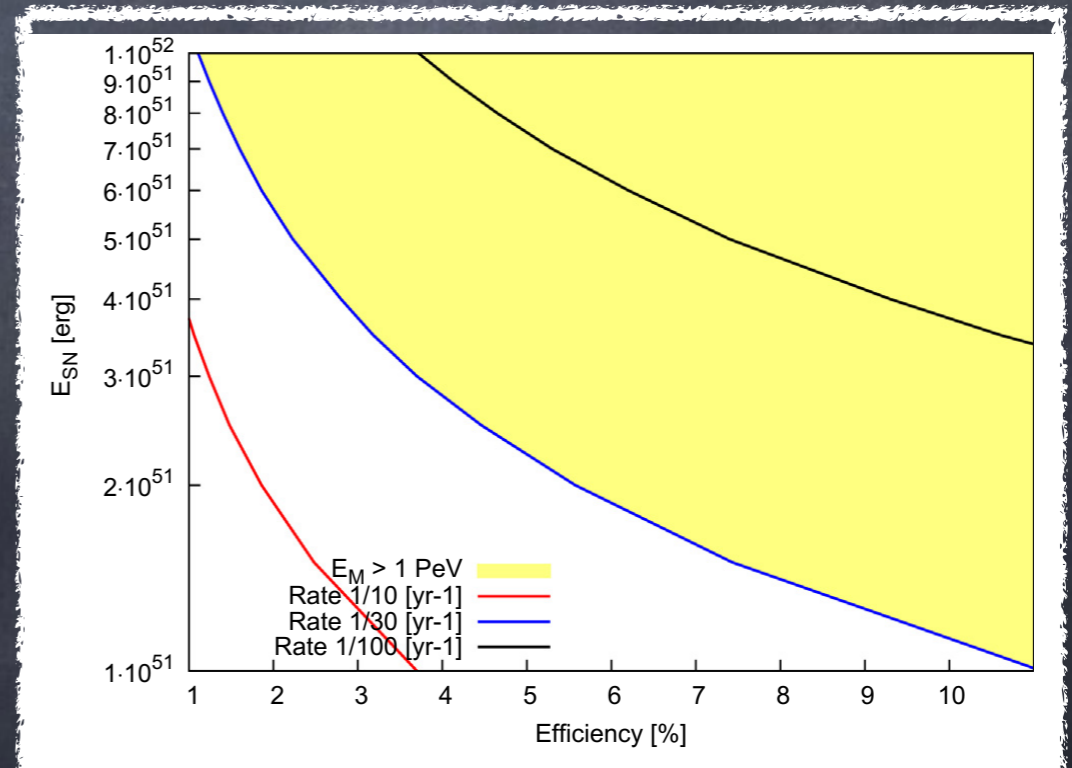
- The escape flux is non zero even during ejecta dominated  $\rightarrow$  no exp cutoff at  $E_{max}$

- SNe cannot be too rare otherwise too high efficiency to reach the knee

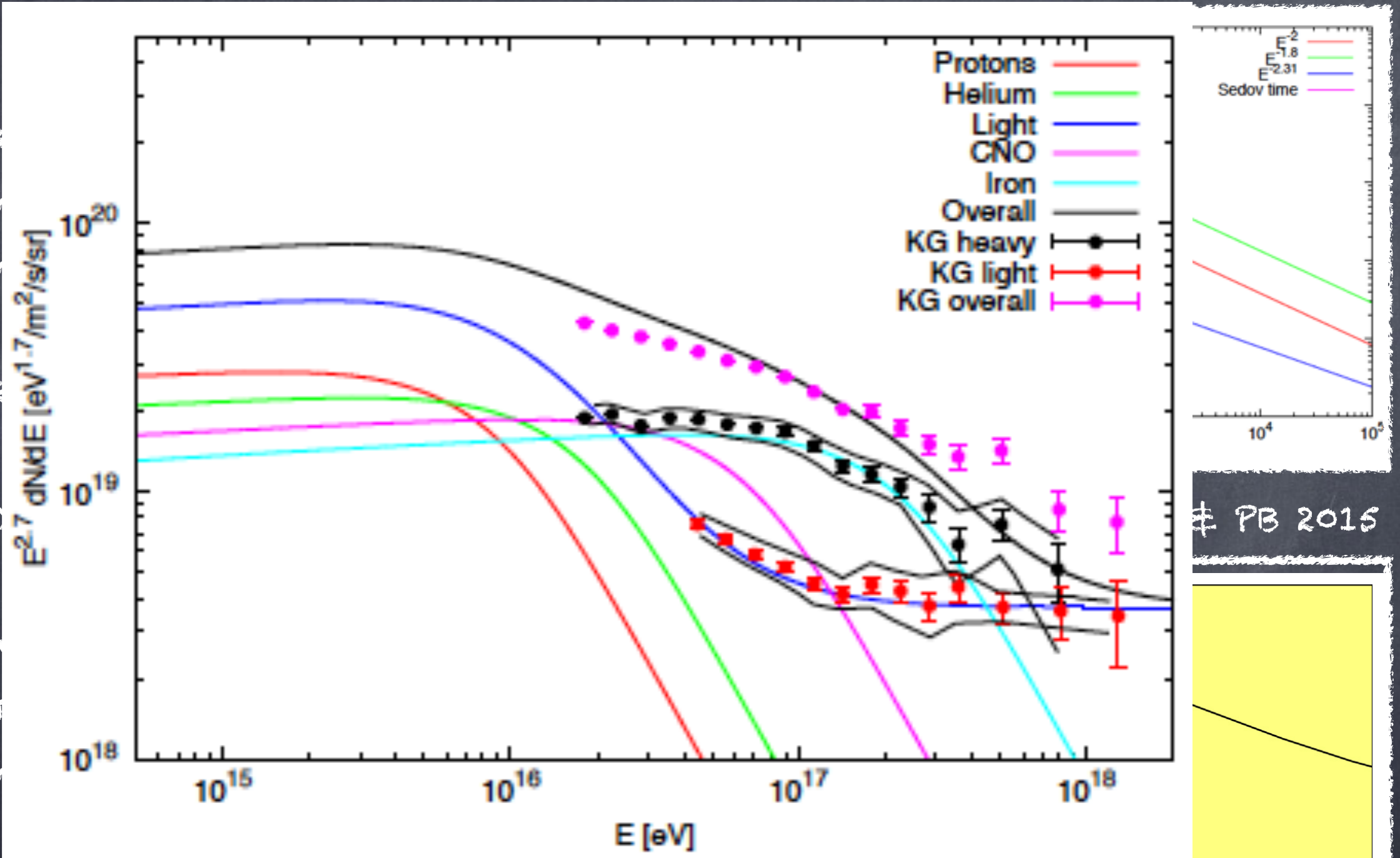
- Overall spectrum of galactic CRs should end around  $10^{17}$  eV



Cardillo, Amato & PB 2015

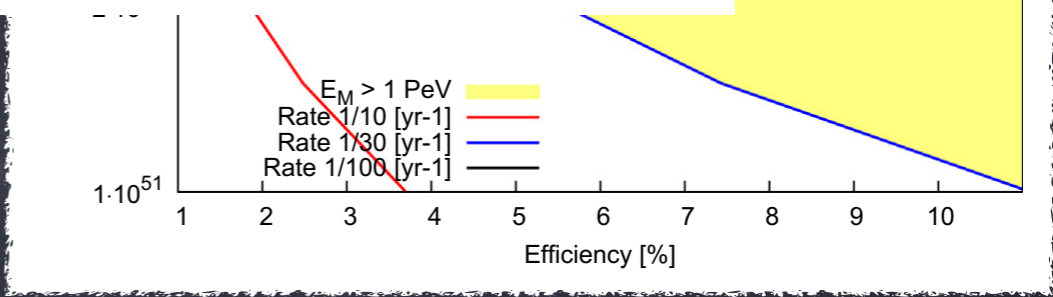


- The reach of ST phase
- The even no energy
- SN other reach



PB 2015

Overall spectrum of galactic CRs should end around  $10^{17}$  eV





# GALACTIC COSMIC RAY PROPAGATION

# Simple Transport Equation

Things can get really complex, but the essential Physics is caught by the simplest transport equation (for protons):

$$-\frac{\partial}{\partial z} \left[ D \frac{\partial f}{\partial z} \right] = Q_0(p) \delta(z)$$

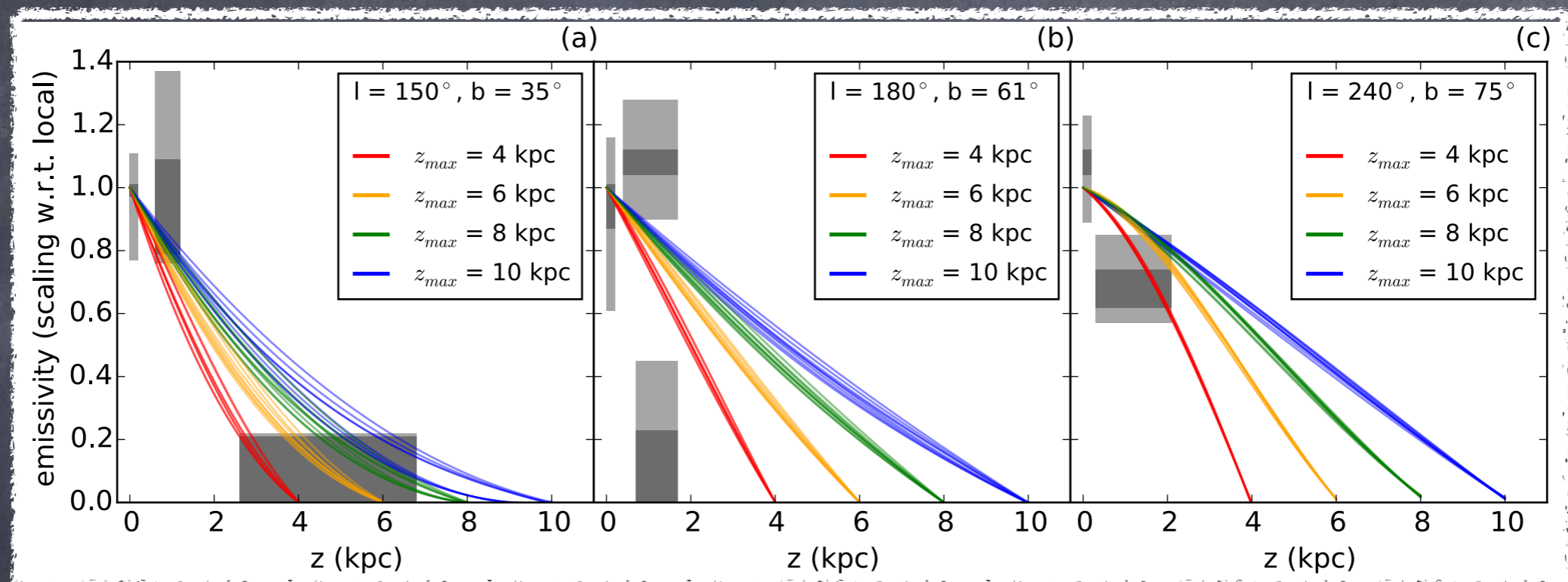
For  $z > 0$  (or  $< 0$ ) one has:

$$D \frac{\partial f}{\partial z} = \text{Constant} \rightarrow f(z) = f_0 \left( 1 - \frac{z}{H} \right)$$

where we used the definition of a Halo [ $f(z=H)=0$ ]

This simple approach contains most of the diffusion Physics that can be found in more complex approaches (one can even introduce advection,  $D(z,p), \dots$ )

# First measurement of $z$ -dependence



Tibaldo et al. (2015)

- Using HVC one can measure the emissivity per atom as a function of  $z$  (proportional to  $f$ )

- Indications of a halo with  $H \sim 4-6$  kpc

- ...and here is where we got to be careful... what physical meaning should we attribute to  $H$ ???

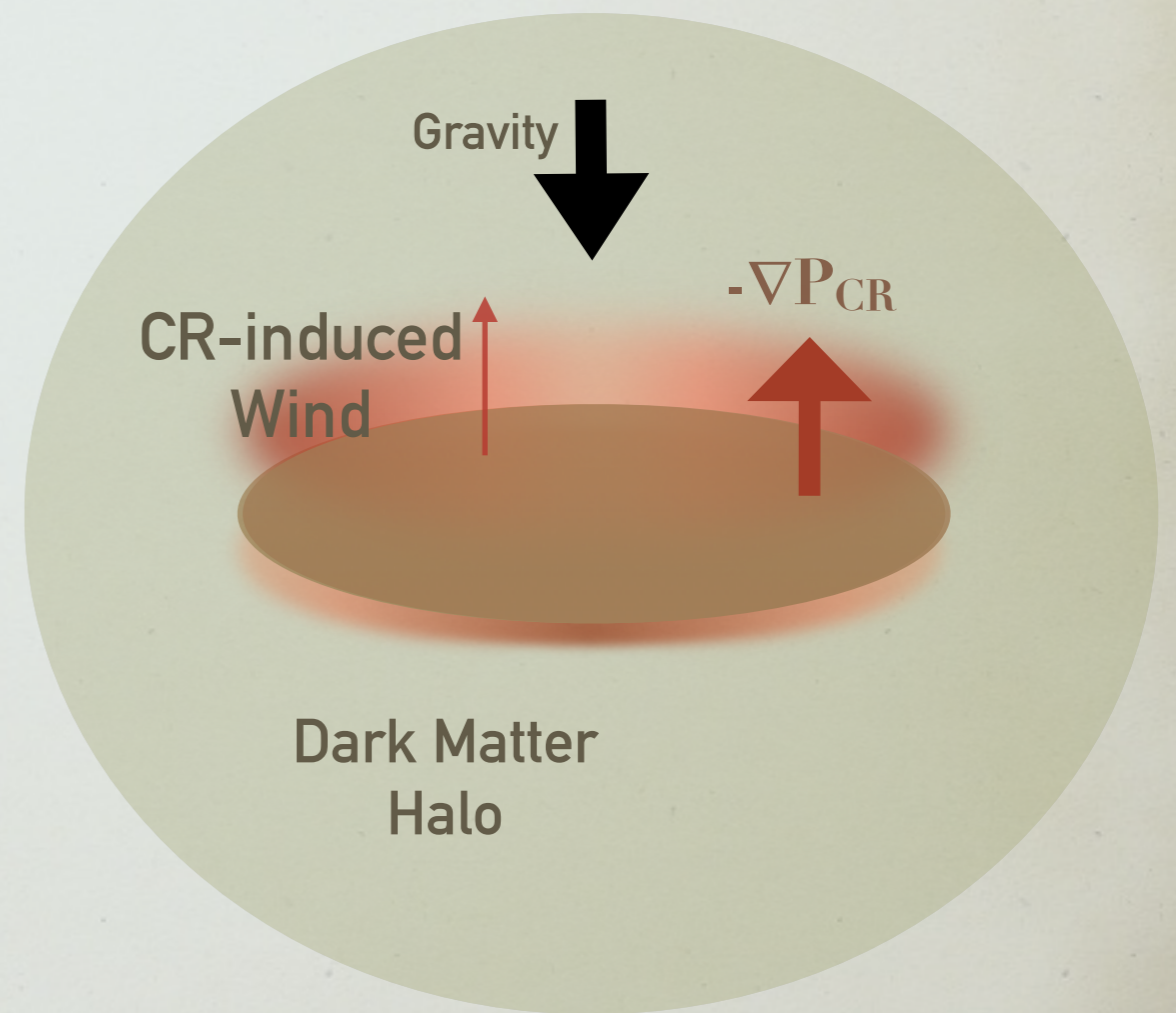
# The halo size $H$

- Assuming  $f(H)=0$  reflects the requirement of lack of diffusion (infinite diffusion coefficient)
- May be because  $B$  goes to zero, or because turbulence vanishes
- Vanishing turbulence may reflect the lack of sources... but the only source up there is CRs themselves
- What if there is no  $H$  at all? Or it is dependent on particle  $E$ ?

# CR blown winds with self-generation of waves

[Breitschwerdt et al. 1991; Ptuskin et al. 1997]

- ▶ Wherever the force  $-\nabla P_{\text{CR}}$  becomes larger than the gravitational force, plasma can be lifted into a wind
- ▶ In turn  $-\nabla P_{\text{CR}}$  is determined by diffusion which occurs on self-generated waves
- ▶ The situation is such that there is no  $H$  (the wind extends to infinity) but at some distance advection takes over diffusion



# CR blown winds with self-generation of waves

The hydrodynamical problem typically leads to  $uW+VA$  that grows linearly with  $z$  (in a NFW DM profile), hence a transition from diffusion to advection occurs when

$$\frac{z^2}{D(p)} \simeq \frac{z}{u(z)} \rightarrow z_*(p) \propto p^{\delta/2} \quad D(p) \sim p^\delta$$

The typical solution that one gets is a simple generalisation of the trivial case:

$$f_0(p) = \frac{Q(p)}{2A_{disc}} \frac{H}{D(p)} \sim E^{-\gamma-\delta} \quad f_0(p) = \frac{Q(p)}{2A_{disc}} \frac{z_*(p)}{D(p)} \sim E^{-\gamma-\delta/2}$$

**STANDARD CASE**

**CR-INDUCED WIND WITH SELF-GENERATION**

**DIFFERENT SCALINGS AND NO REAL BOUNDARY H AT FINITE DISTANCE**

# Diffusion as a physical process

Although we think of  $D(E)$  as a coefficient that we can tune to fit the data, it actually contains most of the physics we are trying to describe

$$f(p, z) \longleftrightarrow D(p, z)$$

The gradient in  $f$  induces waves generation:

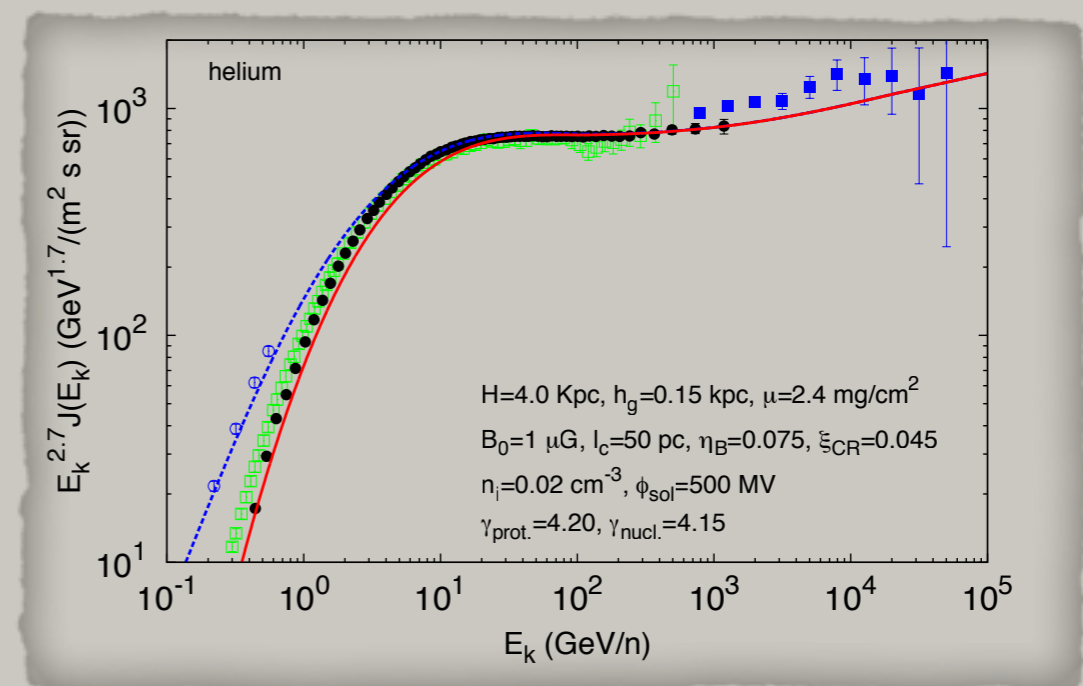
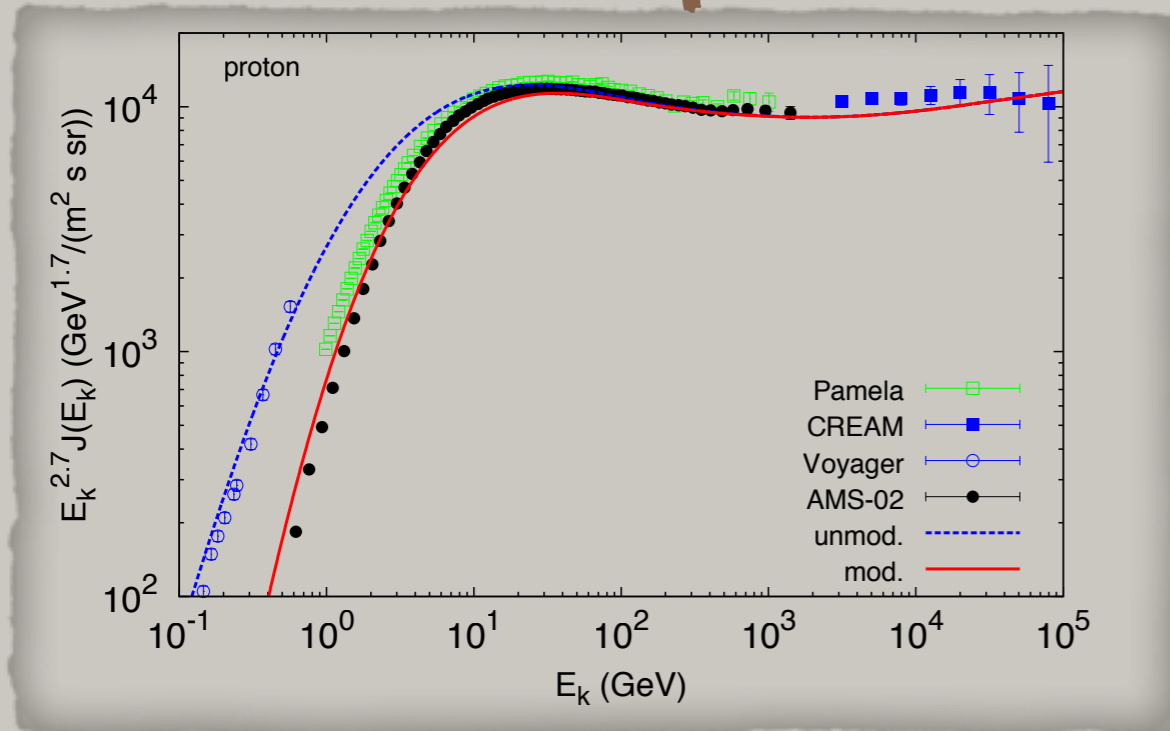
$$\Gamma_{CR}(k) = \frac{16\pi^2}{3} \frac{v_A}{B^2 \mathcal{F}} \left[ p^4 v(p) \frac{\partial f}{\partial z} \right]_{k=k_{res}}$$

which get damped (ion-neutral, non-linear Landau, ...).

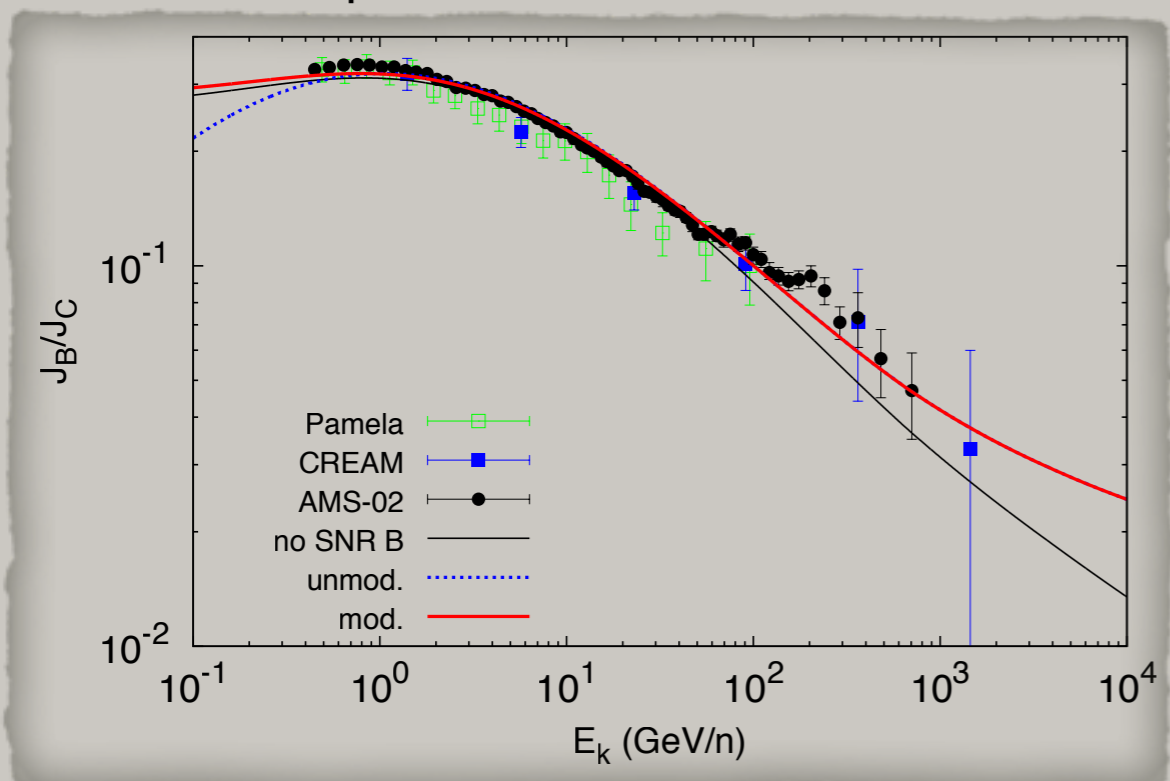
The balancing between the two leads to the determination of  $D$

**WHEN REQUIRING  $p^2 f(p) \sim p^{-2.7}$  one gets for free  $D(p) \sim p^{0.7}$**

# Spectral Breaks



Aloisio, PB & Serpico 2015



PAMELA and AMS-02 data are well described by a combination of self-generated and pre-existing waves

Voyager data are automatically fitted with no additional breaks... advection with self-generated waves at  $E < 10$  GeV?

AMS-02 B/C shows an excess at  $E > 100$  GeV, compatible with the grammage inside sources:

$$X_{\text{SNR}} \approx 1.4 r_s m_p n_{\text{ISM}} c T_{\text{SNR}} \approx 0.17 \text{ g cm}^{-2} \frac{n_{\text{ISM}}}{\text{cm}^{-3}} \frac{T_{\text{SNR}}}{2 \times 10^4 \text{ yr}}$$



# CONCLUSIONS ON GALACTIC CR

- SNR of type II exploding in red giant winds can reach the knee, type Ia are not...
- At  $E_{\max}$  no exponential cutoff but change of slope
- No indication (e.g. gamma) that other objects can reach higher energies
- The knee is most easily explained in terms of  $E_{\max}$
- But if  $E_{\max} > 10^{17}$  eV, the knee could signal a change in transport (Ginzburg 1963, De Marco & PB 2007, Giacinti et al. 2014, 2015)

$$D(E) \sim E^\delta$$

$$r_L(E) < L_c$$

For  $L_c \sim 10$  pc the transition energy is  $\sim$  knee

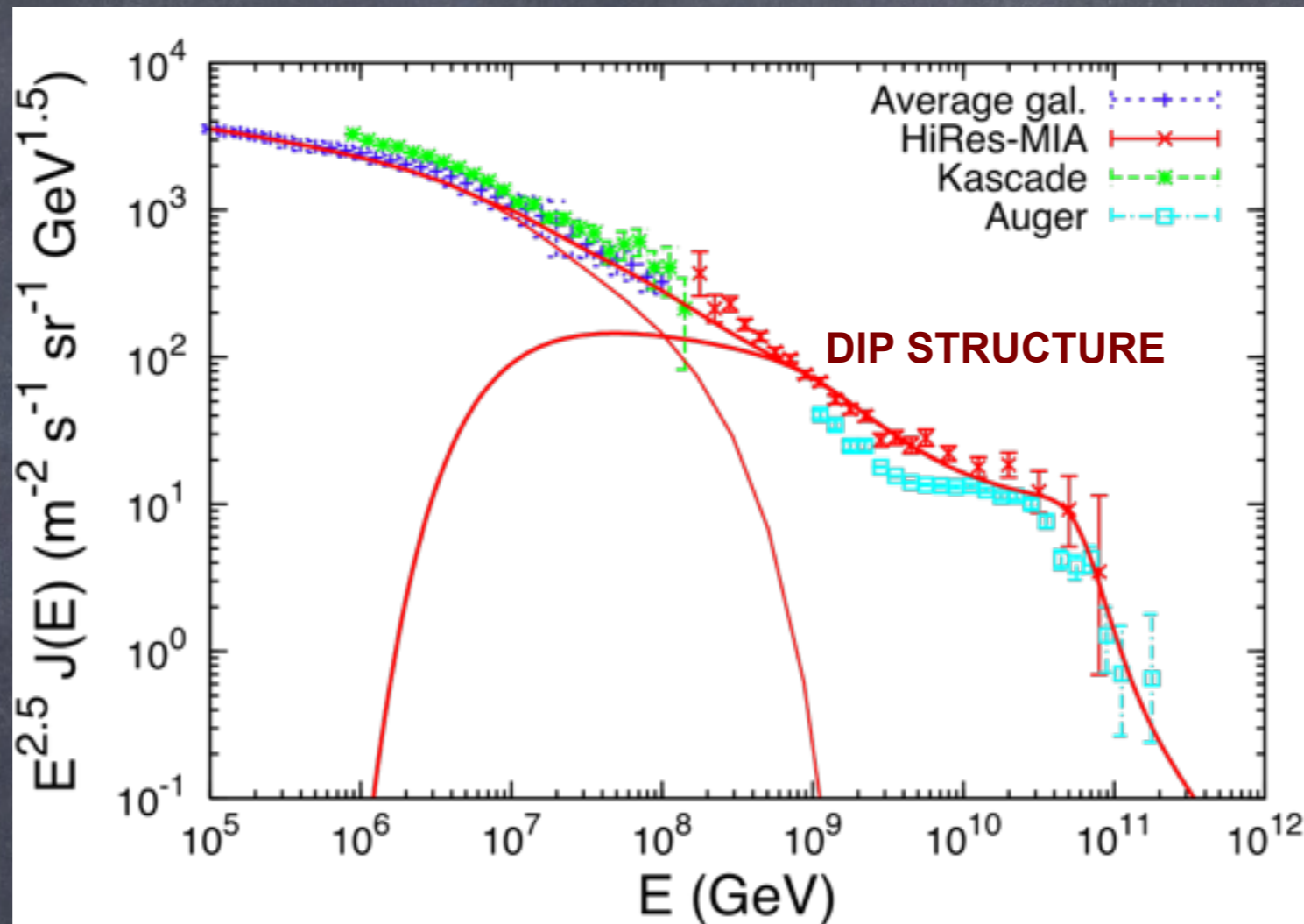
$$D(E) \sim E^2$$

$$r_L(E) > L_c$$

# End of Galactic CRs and transition

- If the knee flags the sources running out of steam, then GCRs should end around 0.1 EeV with a heavy composition
- If the knee is due to propagation, the transition has very similar characteristics to the previous case (transition over at 2 EeV) BUT very high  $E_{\max}$  and small  $L_c$  required
- Transition could be at the ankle, but very severe requirements on the sources and not easy to accommodate Auger
- IN ANY CASE THE TRANSITION IS COMPLEX: IT RETAINS INFO ON BOTH THE WAY GALACTIC CR SPECTRUM ENDS AND EGCR PROPAGATE

# THE DIP

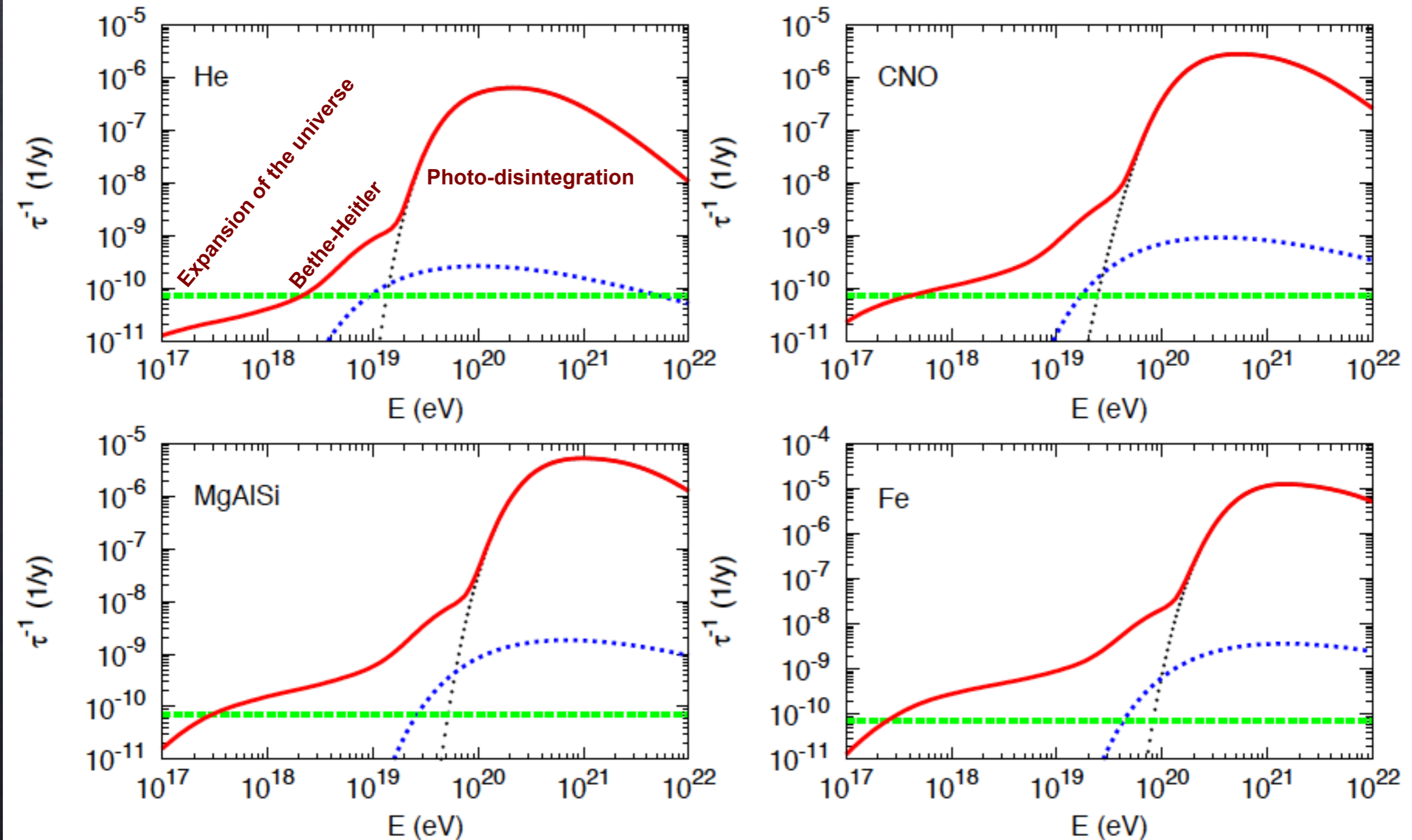


THE BALANCE BETWEEN BETHE-HEITLER PAIR PRODUCTION AND EXPANSION OF THE UNIVERSE NATURALLY CREATES A DIP IN THE CR SPECTRUM

THE DIP IS VISIBLE ONLY IF COMPOSITION IS LIGHT (<15% He)

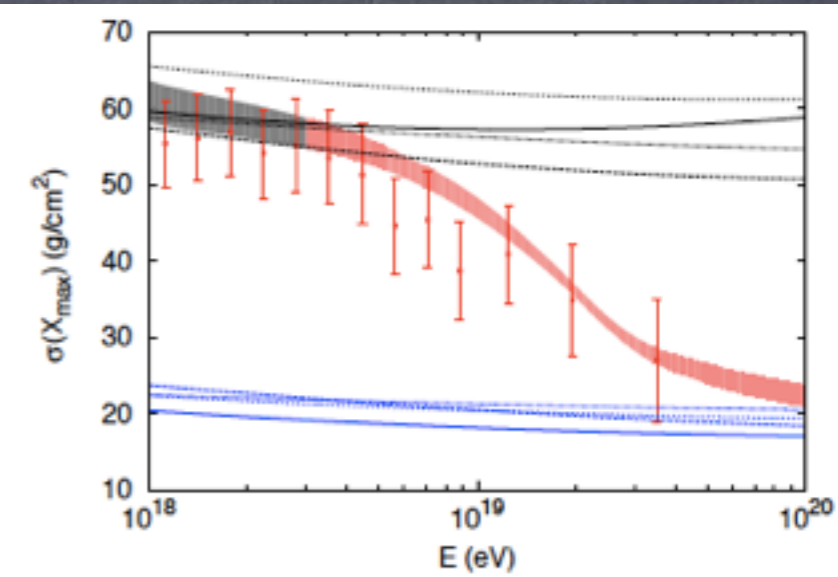
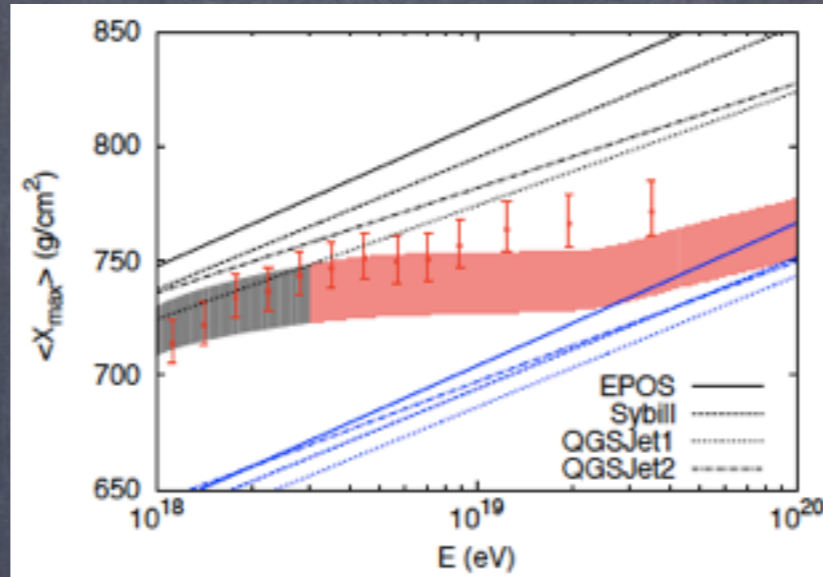
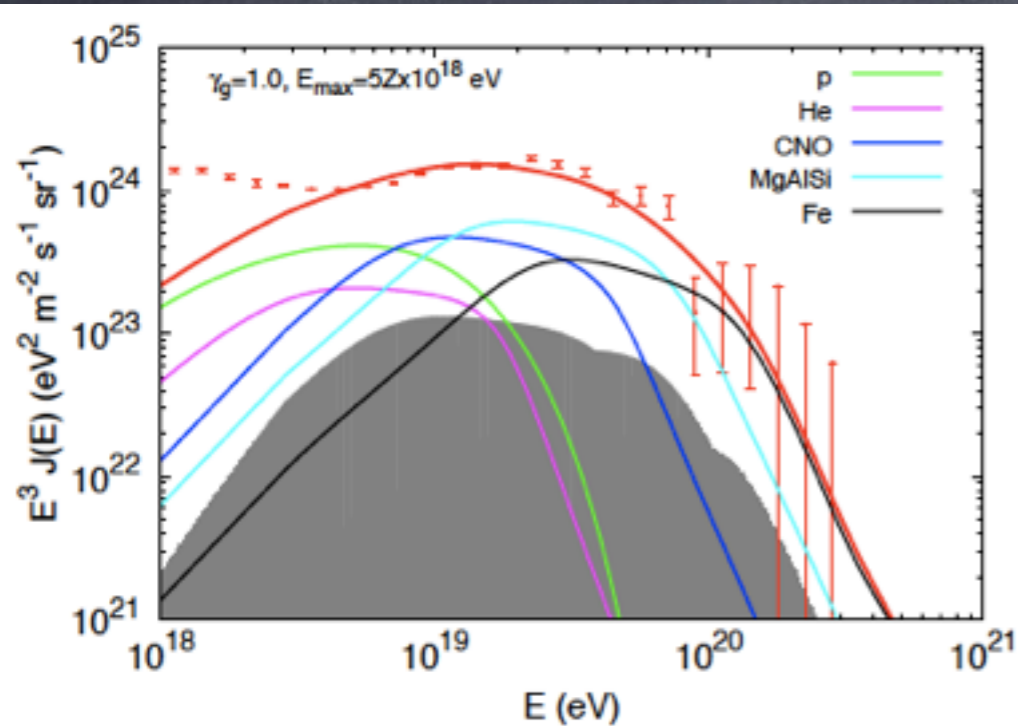
# LOSSES OF NUCLEI

Aloisio et al. 2014



# TRANSITION AND UHECR

Aloisio, Berezhinsky & PB 2014



VERY HARD INJECTION SPECTRA REQUIRED IF SOURCES GENERATE NUCLEI (Allard 2011, Taylor 2014, Aloisio et al. 2014)

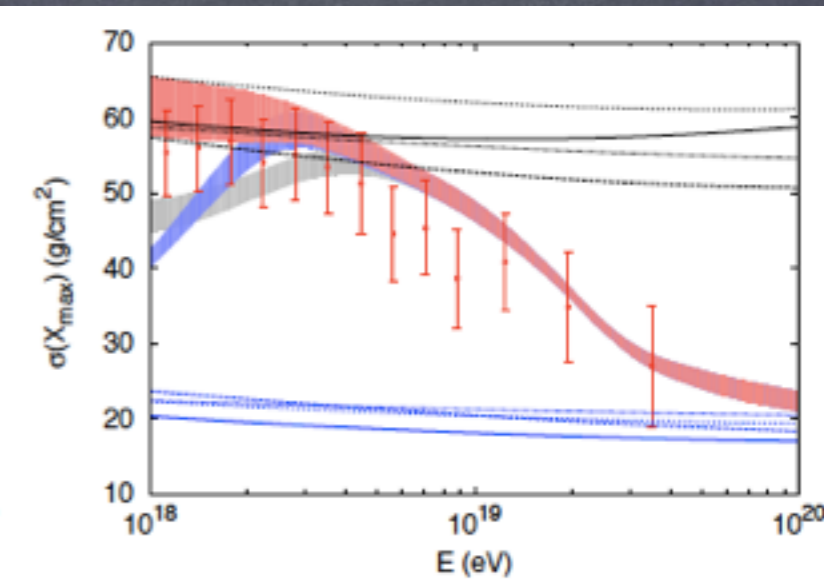
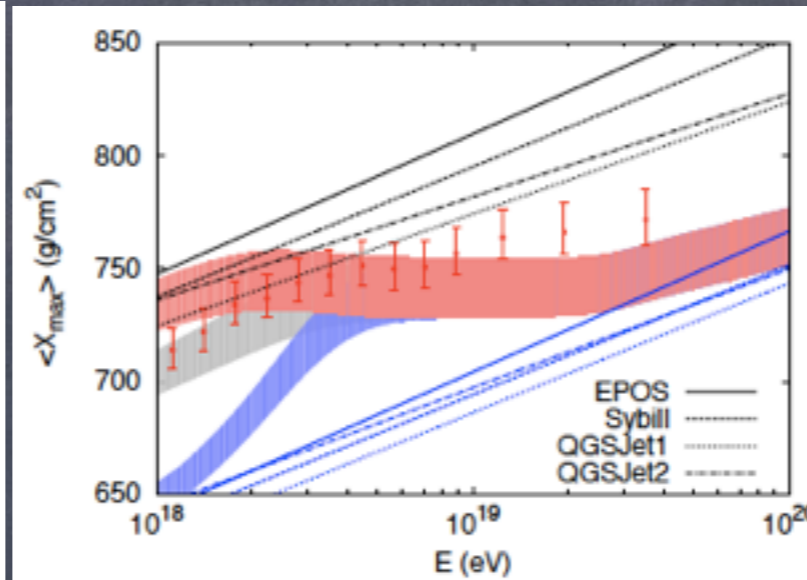
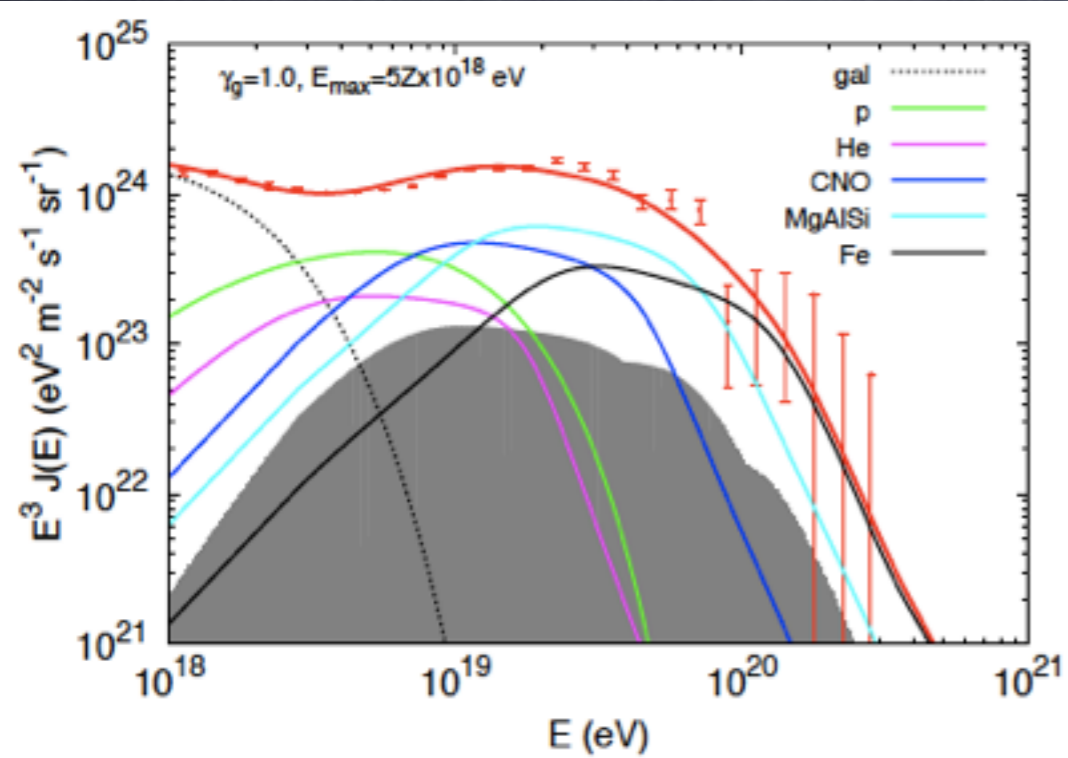
...AND LOW VALUE OF  $E_{\text{MAX}} \sim 5 \times 10^{18} \text{ eV}$ ...

QUITE A CHANGE OF PARADIGM

# TRANSITION AND UHECR

## Additional Galactic Component

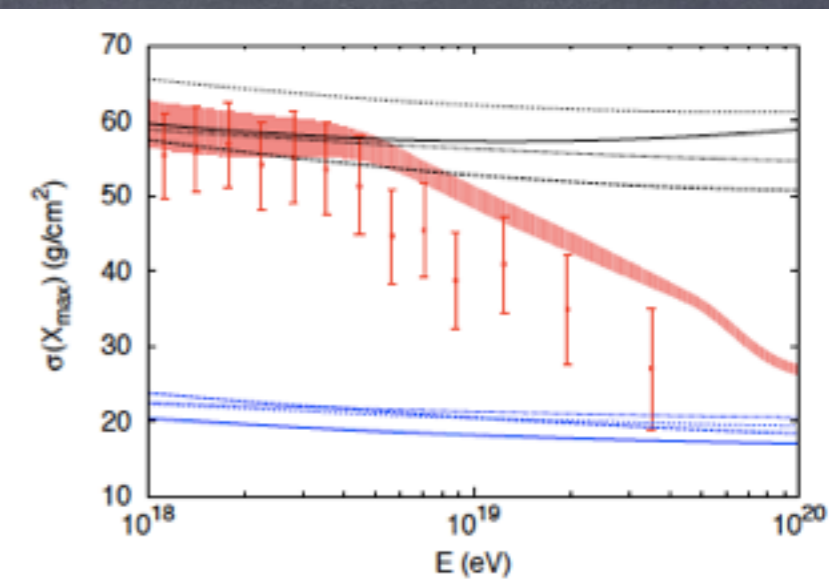
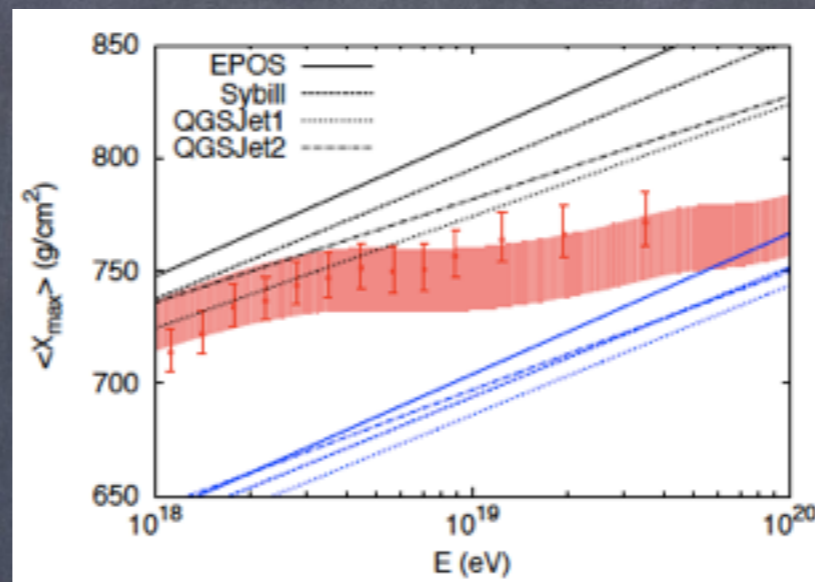
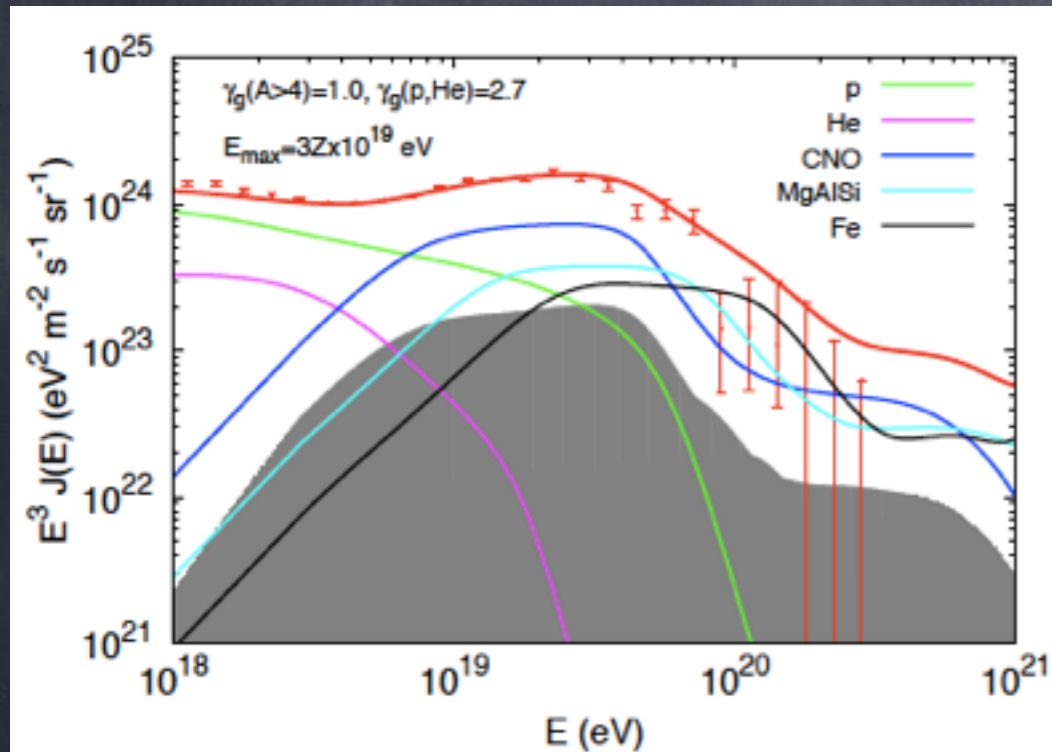
Aloisio, Berezhinsky & PB 2014



IF THE REQUIRED ADDITIONAL COMPONENT IS GALACTIC IN ORIGIN, IT HAS TO BE LIGHT. BUT THIS IS NOT CONSISTENT WITH THE ANISOTROPY MEASURED BY AUGER AT  $10^{18} \text{ eV}$

# TRANSITION AND UHECR

## Additional Extragalactic Component



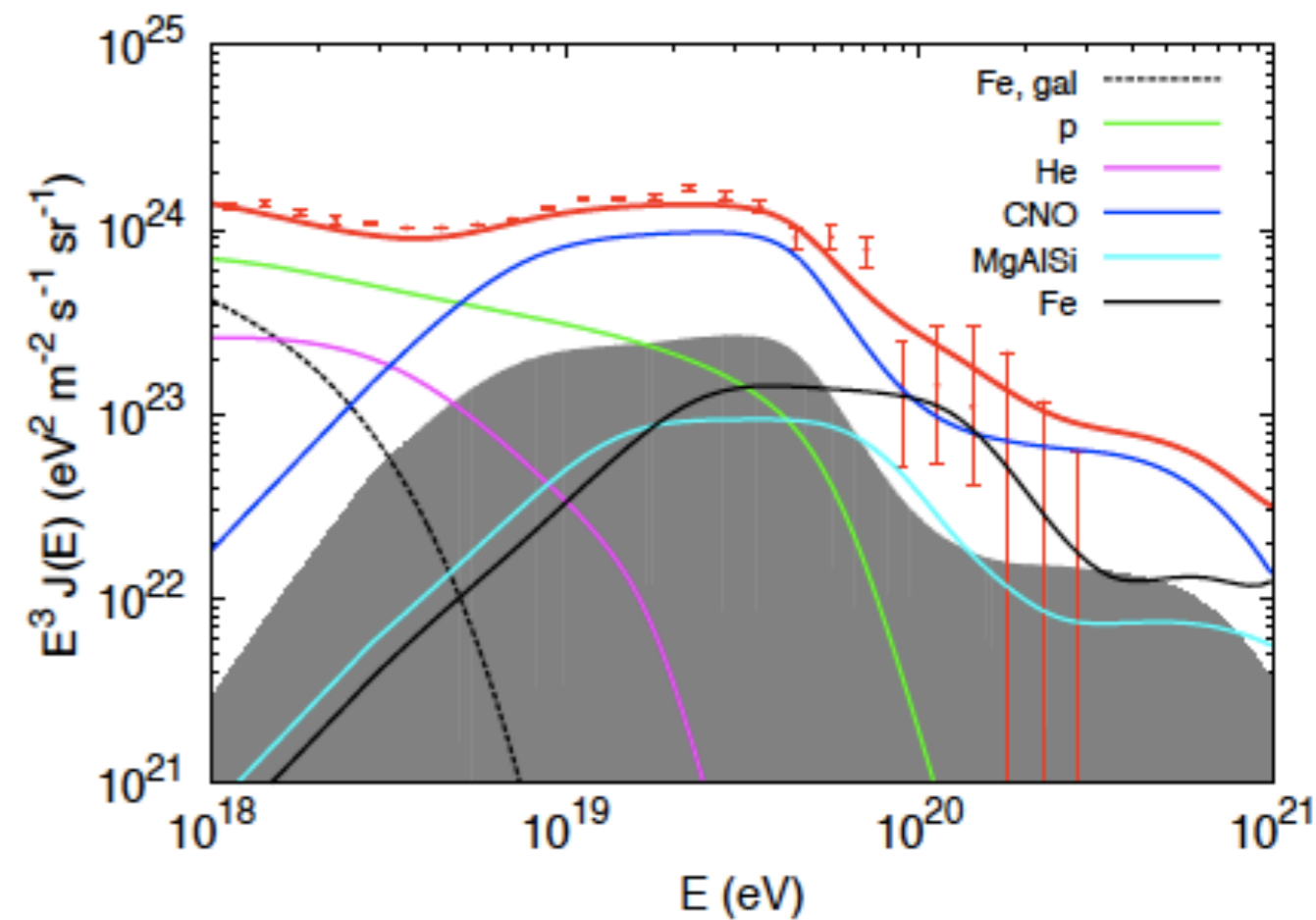
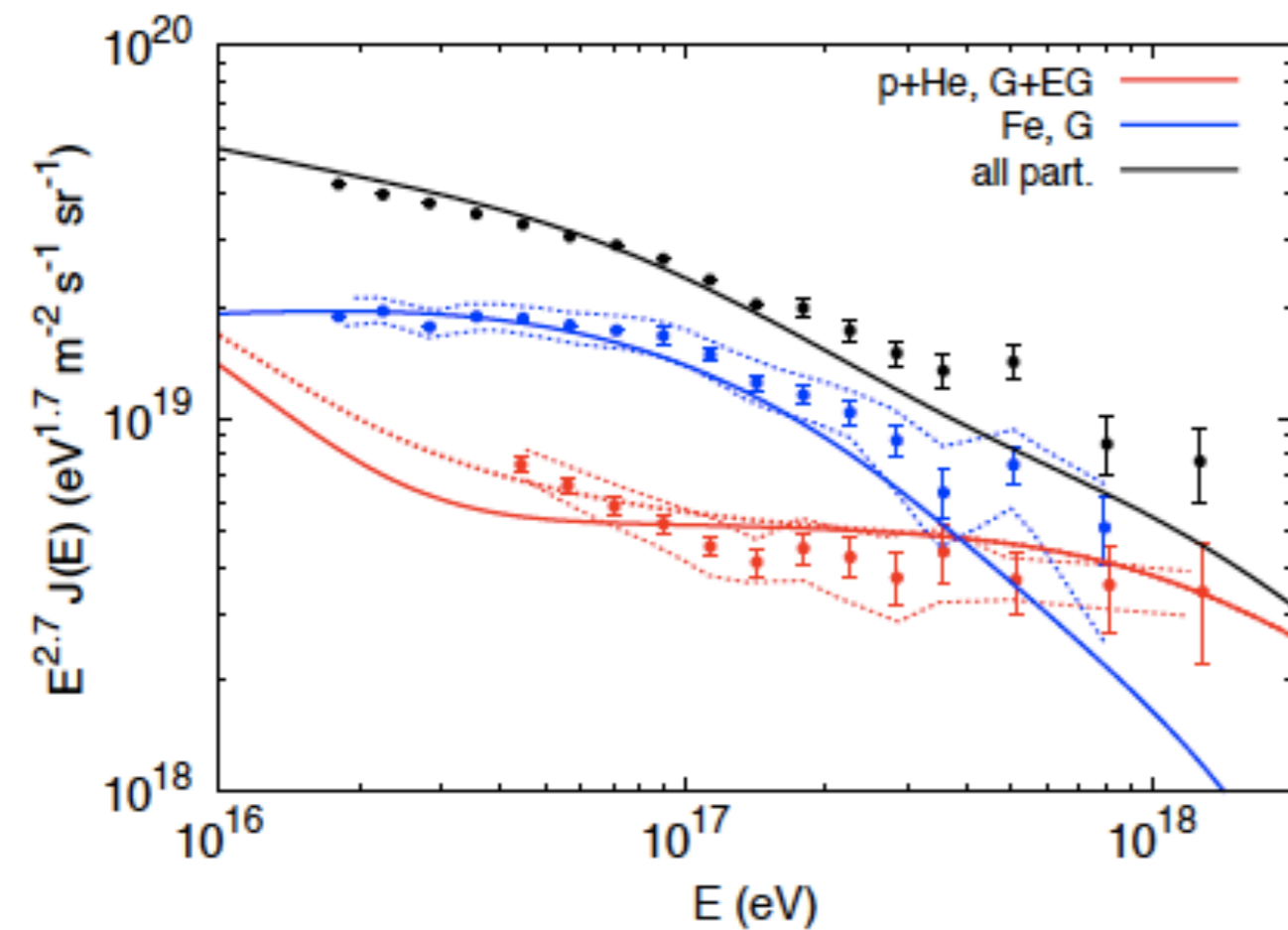
Aloisio, Berezhinsky & PB 2014

THE REQUIRED ADDITIONAL LIGHT COMPONENT MUST HAVE A STEEP SPECTRUM AND A CUTOFF AT  $\sim 10^{19}$  eV

# TRANSITION AND UHECR

Additional Extragalactic Component and KASCADE-Grande

Aloisio, Berezhinsky & PB 2014



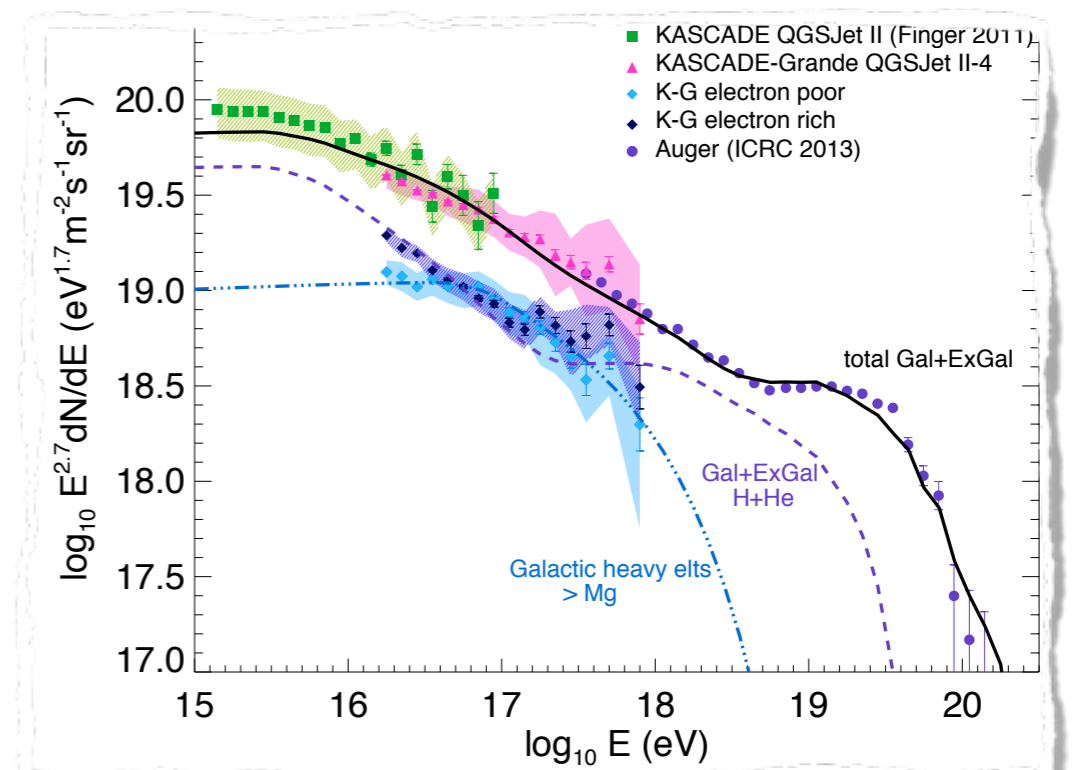
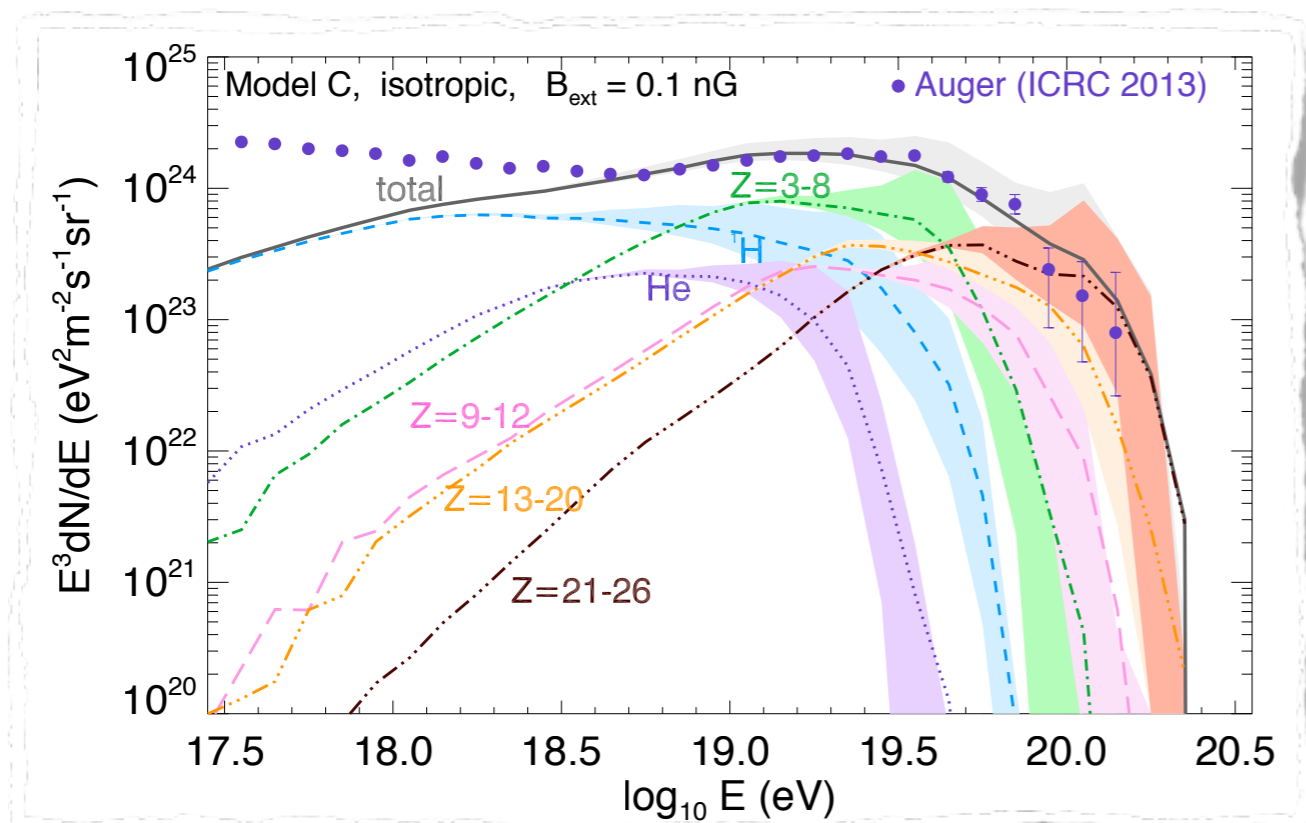


# An attempt to go beyond phenomenology

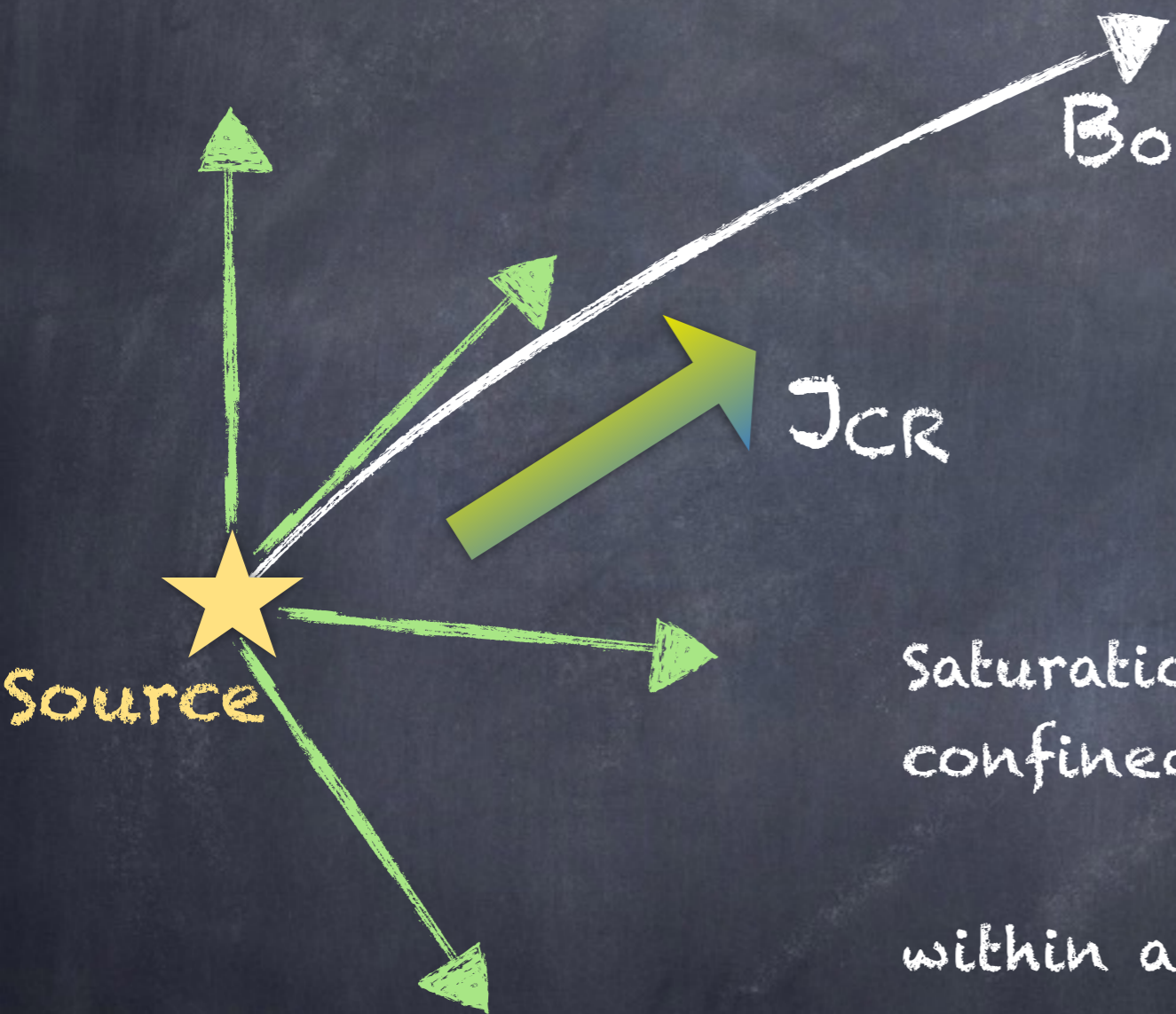
- Existence of peculiar sources? e.g. pulsars can generate very hard spectra of mixed nuclei (Kotera et al. 2015)
- Sources with peculiar (negative) cosmological evolution (Taylor et al. 2015)
- Injection spectra already modified by losses INSIDE the sources (Globus et al. 2015, Unger et al. 2015)
- Magnetic trapping near sources, possibly self-generated by UHECRs themselves (PB et al. 2015) or not (Aloisiot+, 2011; Mollerach & Roulet 2013)
- Or may be data do not make sense...

# A physical model

Globus et al. and Unger et al. (2015) propose a similar idea: spectra of nuclei appear hard because at low energies photo disintegration inside sources has been at work



# CR self-confinement



The electric current due to escaping CR leads to excitation of a non-resonant instability

[PB+, 2015, Phys. Rev. Lett. 115, 121101]

Saturation of the instability  $\rightarrow$  CR self-confined around the source if

$$E < E_c \sim 10^7 \text{ GeV } L_{44}^{2/3}$$

within a distance

$$r_{\text{max}} \sim 3.8 \text{ Mpc } L_{44}^{1/6}$$

THESE CR DO NOT REACH THE EARTH  
AND MORE SO IF THE SOURCE IS BRIGHT

# Summary - I

- GCR start showing interesting features (in addition to the knee) → Physics?
- Acceleration in SNRs ↔ recent understanding... PeV only in special conditions and cutoff not exponential
- At present it is not easy to envision a way to reach  $\gg$ PeV energies in SNRs (while retaining the same spectrum)
- Hence, GCR should end around  $10^{17}$  eV with an Fe dominated chemical composition

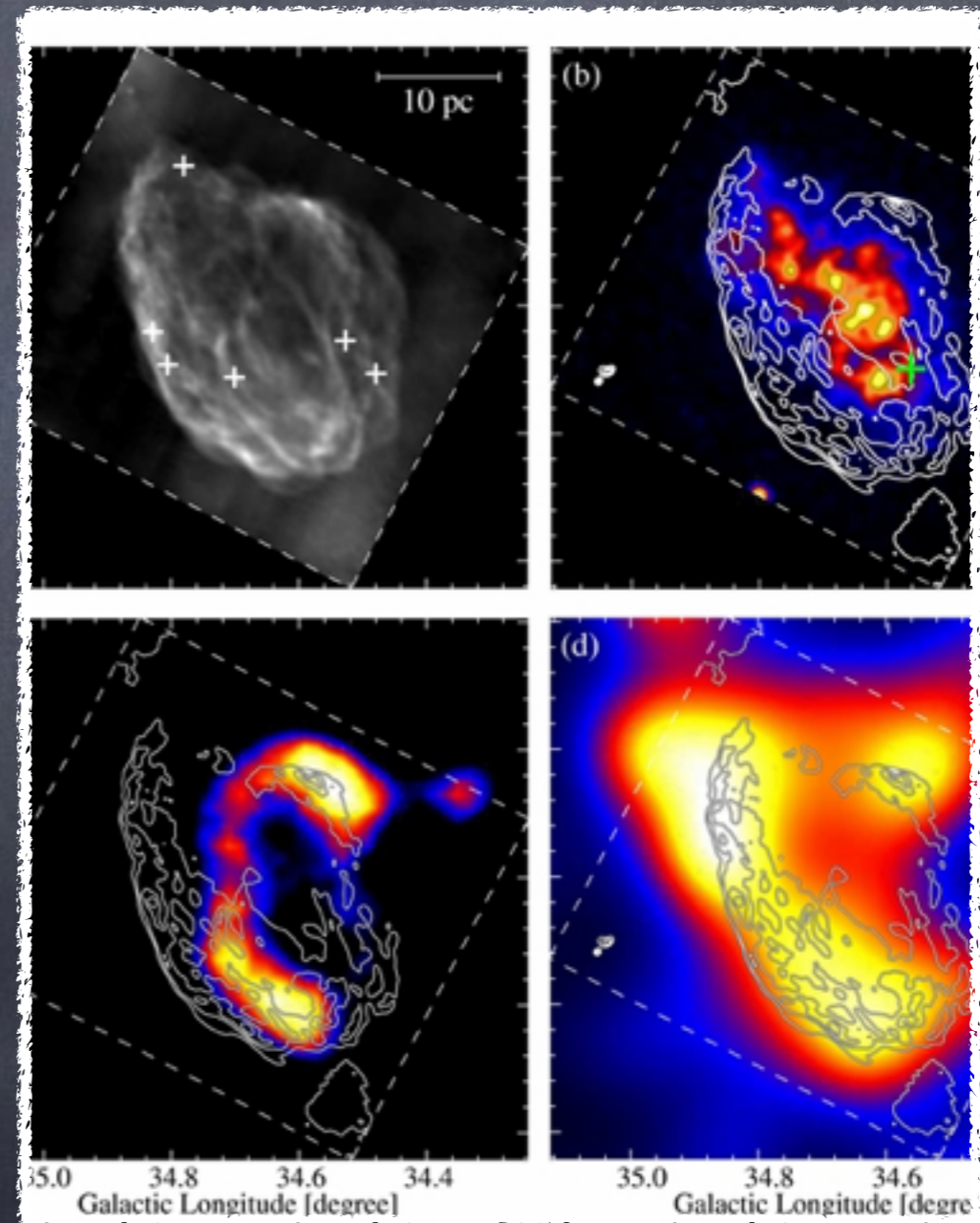
# Summary - II

- Auger data force to require
  - Mixed composition
  - Hard spectra
  - $E_{\text{max}} \sim 5 \text{ EeV}$
  - Extra light-component with steep spectrum
- These could be manifestations of either unusual sources (pulsars) or losses in sources
- Transition region is complex with important Galactic and extragalactic contributions

Additional slides

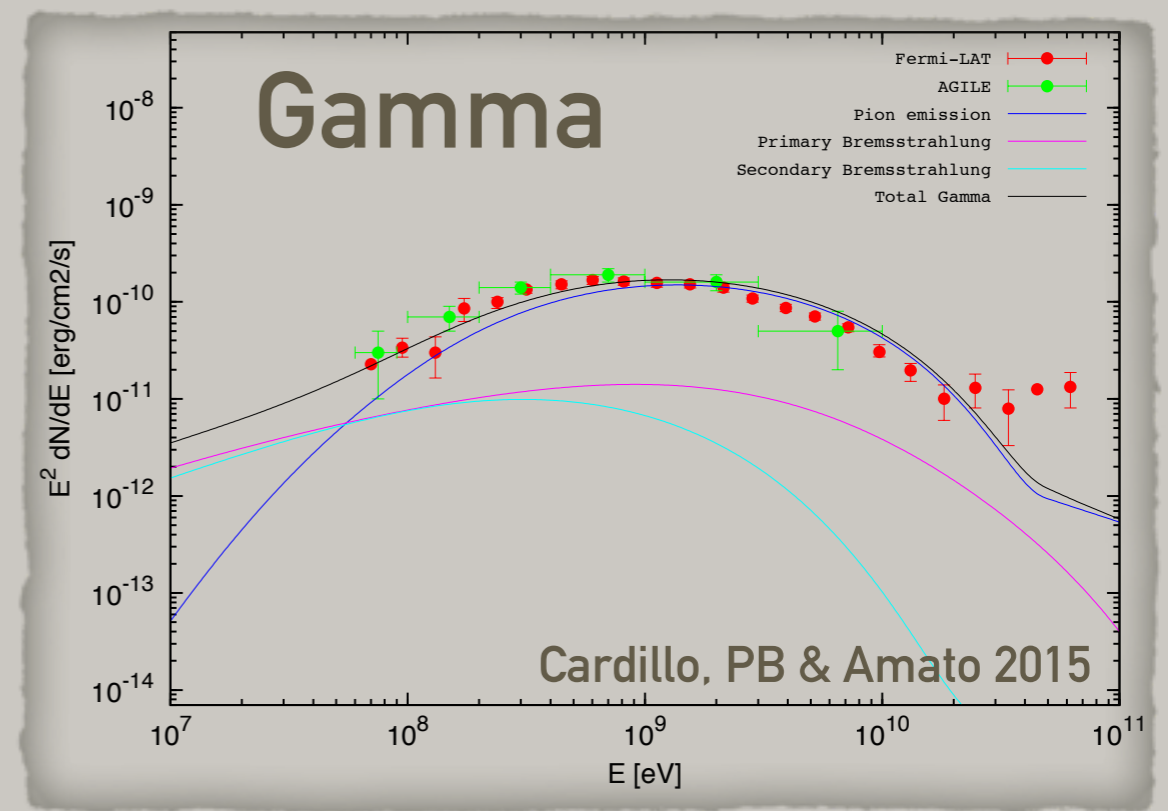
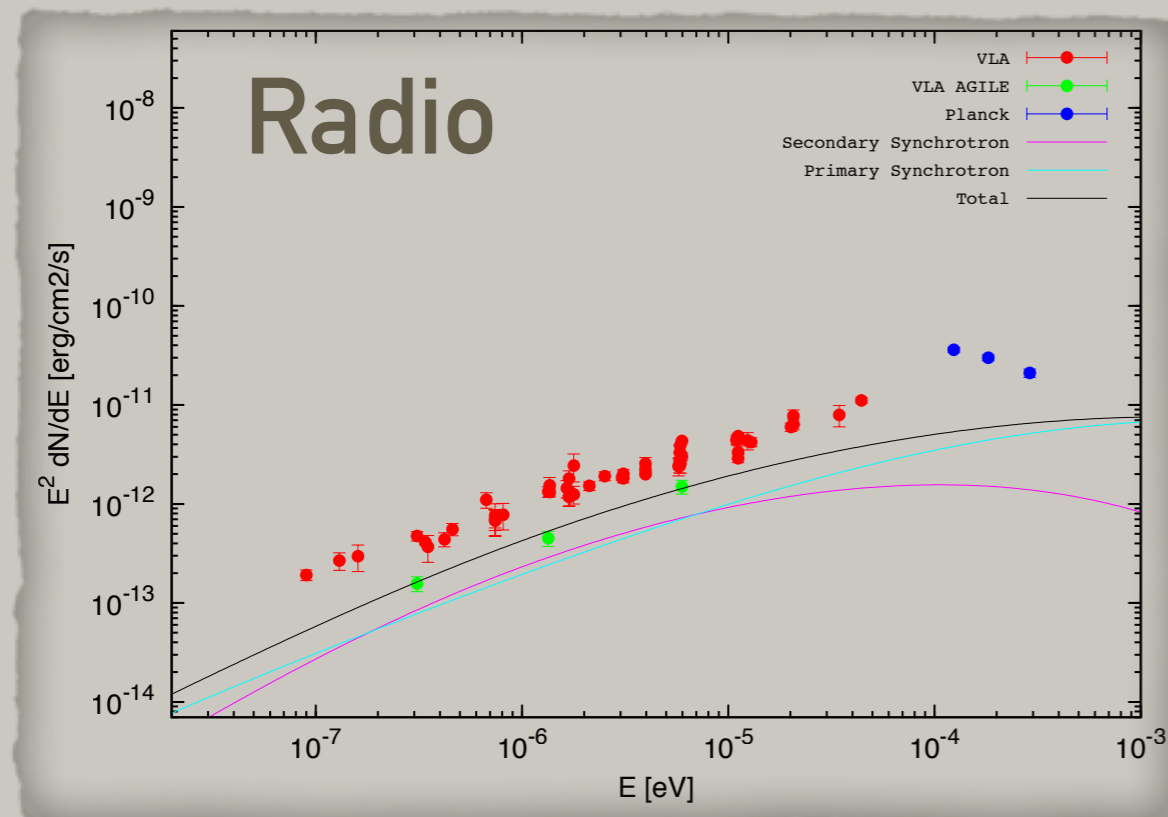
# SNRs close to Molecular Clouds

- $\gamma$ -ray emission is hadronic
- Cases of W44 and IC443 very interesting
- Middle aged SNRs - Not much acceleration expected
- But lots of target material (pp)
- Propagation SNR  $\rightarrow$  cloud (W28)



# The case of W44

New calculation of CR reacceleration and compression using Voyager+AMS-02 CR data for H and He ([Cardillo et al. 2015](#))

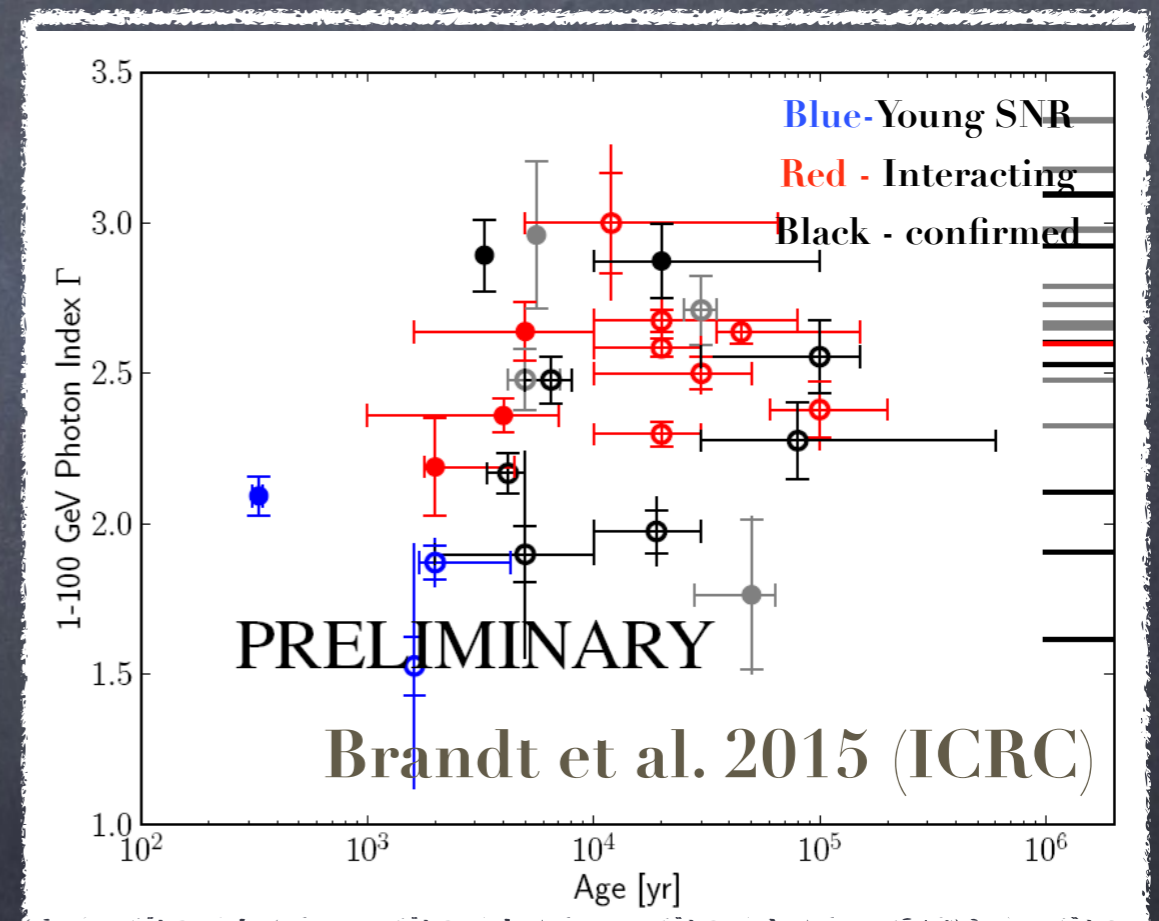


Both radio and gamma ray data appear to be perfectly well fitted if Galactic CRs are reaccelerated and compressed ([Blandford & Cowie 1982](#))... The same conclusion previously reached by [Uchiyama et al. 2010](#) and [Lee et al. 2015](#), though with CR spectrum not normalised to Voyager and with no He + ad hoc steepening



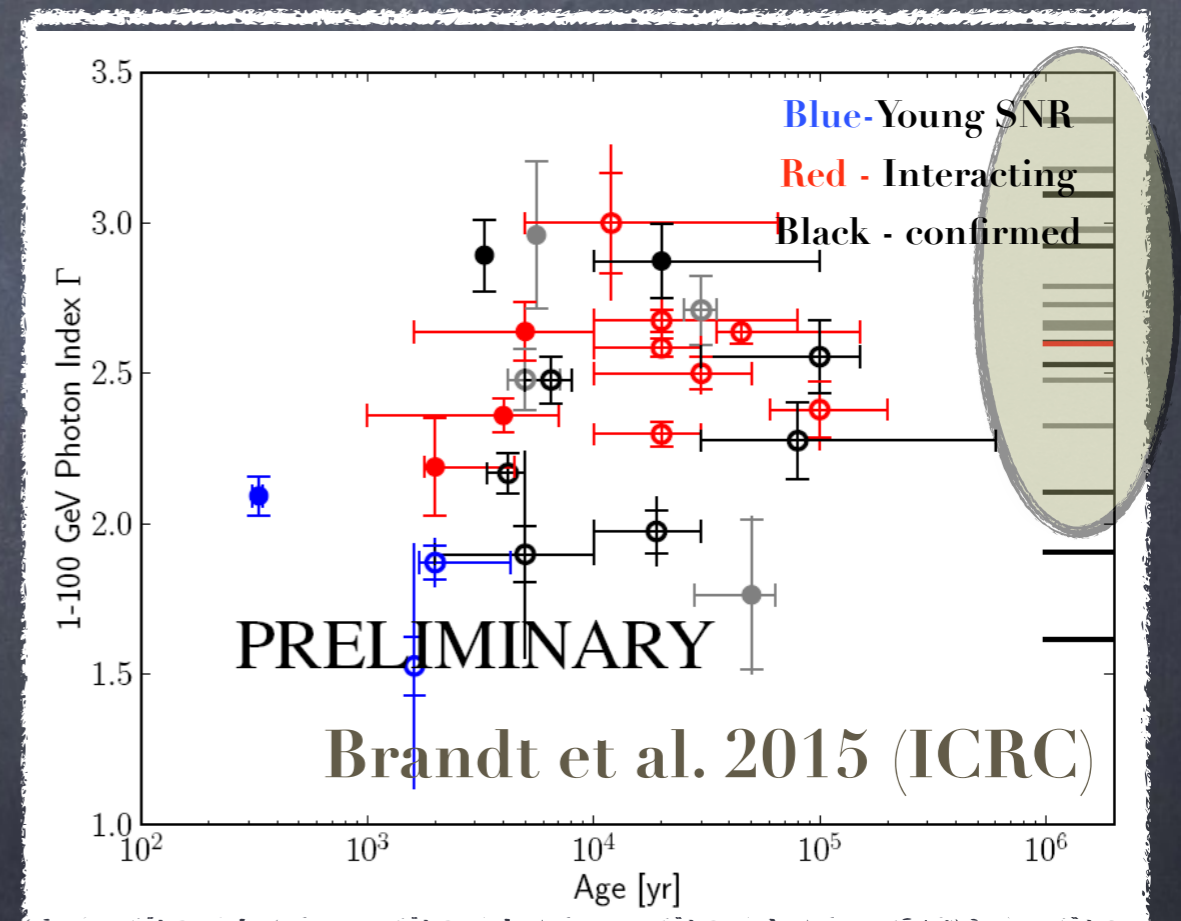
# Puzzling spectra?

- There are indications of spectra steeper than  $E^{-2}$  especially in older SNRs
- Steeper spectra are definitely a hint of hadronic origin, but not easy to reconcile with DSA
- They are even more at odds with NLDSA
- Very interesting the case of Tycho for which slope  $\sim 2.3$  (see above)



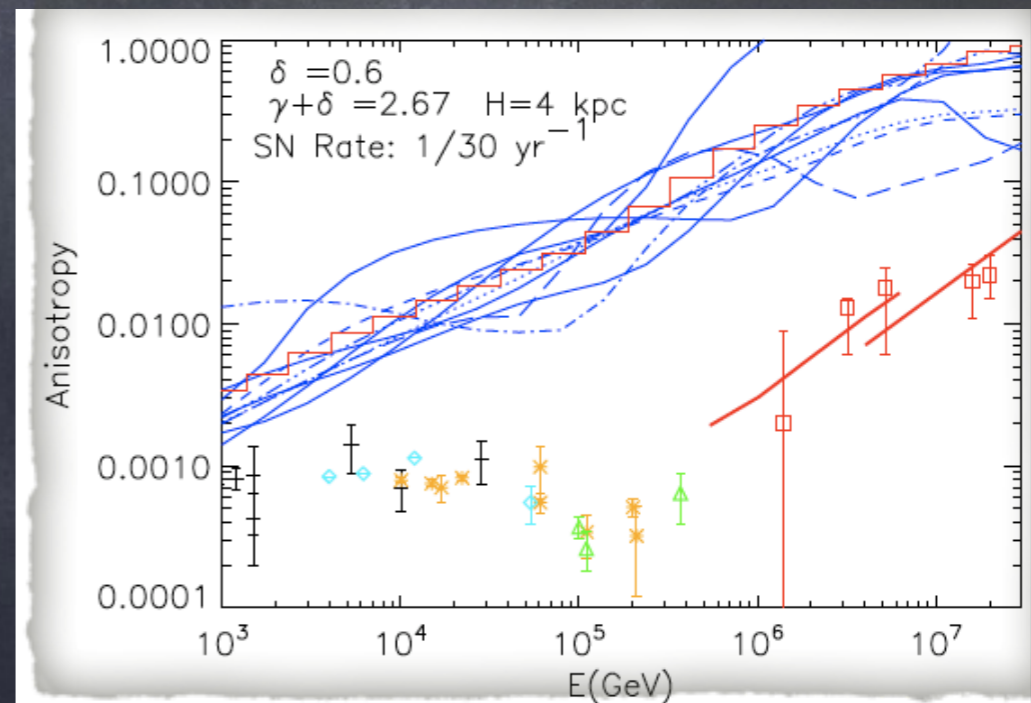
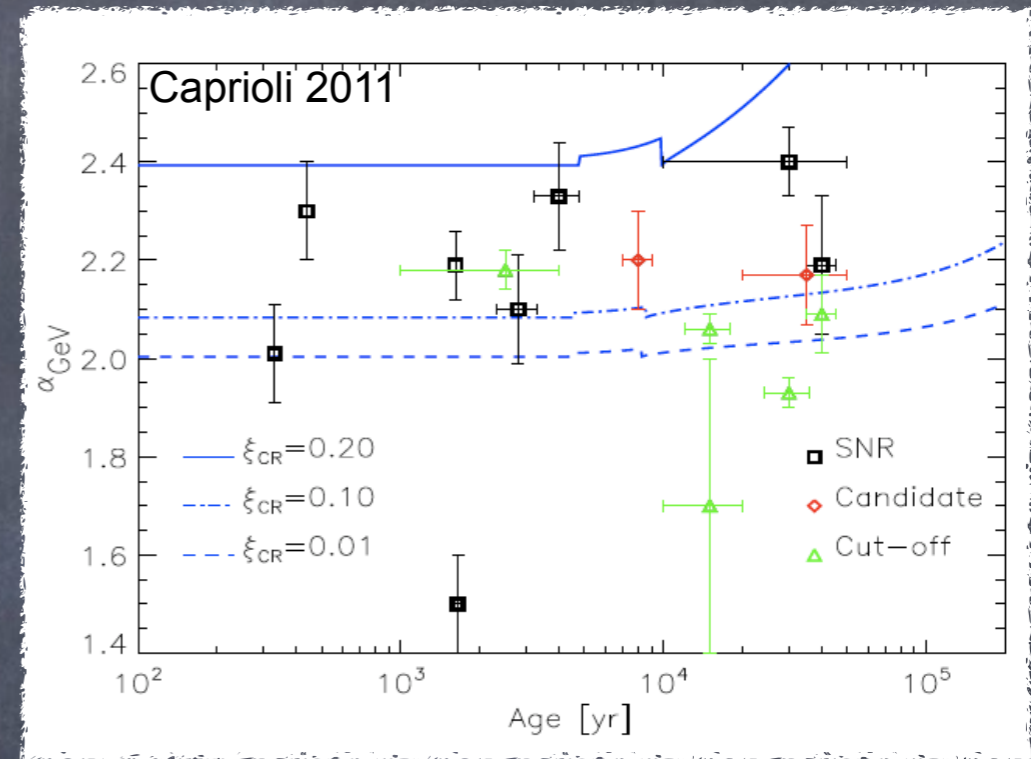
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# Puzzling spectra?

- ✓ Steeper spectra might be due to velocity of scattering centers (model dep) [Caprioli 2011]
- ✓ They also may be due to the presence of neutral hydrogen [PB + 2012]
- ✓ Again, be aware of morphology: even in type Ia there may be more neutrals on one side...
- ✓ On the other hand harder spectra are incompatible with anisotropy
- ✓ But recall that spectra of escaping CRs are different from inside...



# Knee as a propagation effect

IT REQUIRES VERY HIGH  $E_{\text{MAX}}$  AND RARE SOURCES (HIGH EFFICIENCY)

